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*"Rummaging in the government's attic"*

Description of document: Securities and Exchange Commission (SEC) Complaints to the SEC about Trump Media in 2024

Requested date: 15-April-2024

Release date: 27-September-2024

Posted date: 04-November-2024

Source of document: FOIA Request  
Securities and Exchange Commission  
100 F Street NE  
Mail Stop 2465  
Washington D.C. 20549  
Fax: 202-772-9337  
[Request for Copies of Documents](#)

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

September 27, 2024

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **24-02115-FOIA**

This letter responds to your request, dated and received in this office on April 15, 2024, for "copies of all informal complaints from the public regarding the NASDAQ stock DJT - Trump Media and Technology Group Corp (aka Trump Media), during calendar year 2024."

Access is granted to the enclosed 35 pages, except for information which is exempt from disclosure under 5 U.S.C. § 552(b)(6) since its release would constitute a clearly unwarranted invasion of personal privacy. Please be advised that we have considered the foreseeable harm standard in preparing this response.


I am the deciding official with regard to this adverse determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at [https://www.sec.gov/forms/request\\_appeal](https://www.sec.gov/forms/request_appeal), or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

September 27, 2024  
Page 2

If you have any questions, please contact Jason Luetkenhaus of my staff at [LuetkenhausJ@sec.gov](mailto:LuetkenhausJ@sec.gov) or 202-551-8352. You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in black ink that reads "Jason Luetkenhaus". The signature is written in a cursive style with a large initial "J".

For Adrienne Santos  
FOIA Branch Chief

Enclosure

## ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Information concerning services offered by OGIS can be found at their website at [Archives.gov](http://Archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

----- Original Message -----

From: [commissionerpeirce@sec.gov]  
Sent: 4/15/2024 8:52 AM  
To: [help@sec.gov](mailto:help@sec.gov)  
Subject: FW: Concerned Citizen re Truth Social

From: (b)(6) |  
Sent: Friday, April 12, 2024 6:22 PM  
To: Chair <[chair@sec.gov](mailto:chair@sec.gov)>; CommissionerLizarraga <[CommissionerLizarraga@SEC.GOV](mailto:CommissionerLizarraga@SEC.GOV)>;  
CommissionerCrenshaw <[CommissionerCrenshaw@SEC.GOV](mailto:CommissionerCrenshaw@SEC.GOV)>; CommissionerUyeda  
<[CommissionerUyeda@SEC.GOV](mailto:CommissionerUyeda@SEC.GOV)>; CommissionerPeirce <[CommissionerPeirce@SEC.GOV](mailto:CommissionerPeirce@SEC.GOV)>  
Subject: Concerned Citizen re Truth Social

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

This is not a compliant filing. I am just asking that the SEC please ensure all the activity around the Truth Social stock is legal and follows the rules. This is just an observation, but the two attached news articles point to real problems that the SEC is responsible for in ensuring safe investment. I would have this concern with any candidate in this position.

1. Conflict of interest - is it a conflict of interest to have a member of Congress, who is openly supporting a presidential candidate, investing in his companies stock, making news about it, all the while campaigning and the company main purpose is the candidates campaign media source?? Especially since the congress member has made statements about being the candidates VP. This seems to reek of conflict and danger to our investing and a danger to our country as the congress member interests are tied to getting the candidate elected and making a lot of money off the stock of the candidate.

2. It seems almost beyond belief that a presidential candidate is out pushing his companies stock while campaigning. Especially when the companies purpose is to be used by the candidate as a media campaign tactic. The voters who support the campaign are being enticed to invest in his stock giving them a reason to ensure the stock unnaturally goes up and he gets elected because it helps his stock. He has billions of dollars at stake and the whole thing is ripe for fraud.

Please send a statement out to investors about these concerns as I ask - do the voters buy stock to gain favors from the candidate and the candidate reaps billions off the company and gets votes bought at the same time, this almost has the appearance of new ponze type scheme.

(b)(6) |

CNBC: Marjorie Taylor Greene won't say what happened to her Trump Media stock.  
<https://www.cnbc.com/2024/04/12/marjorie-taylor-greene-wont-say-what-happened-to-her-trump-media-stock-.html>

CNBC: Trump tries to boost support for Truth Social as DJT stock tanks.

<https://www.cnbc.com/2024/04/12/trump-tries-to-boost-support-for-truth-social-as-his-media-stock-tanks.html>

-----  
Correspondent Name: (b)(6)

Create Date: 4/16/2024

Origin: Web

File #: HO::(b)(6) ~::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name:

Type: GN

Representative:

Address:

#### Security Information

Name: DWAC

Symbol:

Type: Equity security (general)

#### Description:

I hold (b)(6) shares of DWAC or DJT and the short sellers are manipulating the stock trying to crash it  
They are anti Trump and this is a political move along with all the law fare to destroy Donald Trump I have  
held (b)(6) shares since DWAC  
First sold shares Then I bought (b)(6) shares after the merger  
My avg cost was (b)(6) per share and it has been going down  
Since because of the short sellers please help

-----  
Correspondent Name: (b)(6)

Create Date: 4/16/2024

Origin: Web

File #: HO:: (b)(6) ::HO

Send to Entity:

Investor Information

Name: (b)(6)

Address:

,

Day Phone:

Alt Phone:

Fax:

Email: (b)(6)

Entity Information

Name:

Type:

Representative:

Address:

,

Security Information

Name:

Symbol:

Type:

Description:

I am an investor in Trump Media and Technical Group Stock symbol (DJT). Since the highly anticipated merger between Digital World Acquisition Corporation Symbol (DWAC) and DJT The price has dropped significantly to the point, which is far below pre-merger stock price. This is assumably due to the mass amount of failure to deliver meaning that brokerages are now selling shares of the company which do not exist. The company has been on the threshold security list for the past 15 days with no apparent action from the SEC .This is entirely unacceptable. I have personal lost tens of thousands of dollars as a result. You being in security exchange commissions have a responsibility to investors to ensure the stamping out of malfeasance when it comes to the brokerages. You have up to this point failed to do so costing investors in the company millions if not billions. My question is, are you going to do anything to rectify the failures to deliver?



----- Original Message -----

From: [(b)(6)]

Sent: 4/16/2024 10:05 PM

To: [finance@cc.yahoo.com](mailto:finance@cc.yahoo.com); [help@sec.gov](mailto:help@sec.gov)

Cc: [help@sec.gov](mailto:help@sec.gov)

Subject: Trump's campaign is going the way of his media company - Shameful

Shame on Yahoo, and shame on Rick Newman. Disgraceful.

<https://finance.yahoo.com/news/trumps-campaign-is-going-the-way-of-his-media-company-211151954.html>

"Want to know how Donald Trump's presidential campaign is going? There's a ticker symbol for that."

This should not be legal, there is no correlation.

Republicans already know Yahoo Finance is a Democratic run platform.

A statement like Rick Newman began this article with is an absolute disgrace.

How can the SEC continue to allow garbage like this to be shown on a public stock forum?

I hope Trump shuts your asses down when he becomes president.

Sites like this are supposed to be neutral for the benefit of all investors, not a forum to continue to spread your propaganda.

----- Original Message -----

From: [(b)(6)]

Sent: 4/17/2024 7:35 PM

To: [finance@cc.yahoo.com](mailto:finance@cc.yahoo.com); [help@sec.gov](mailto:help@sec.gov)

Cc: [help@sec.gov](mailto:help@sec.gov)

Subject: Eat your own words Yahoo, EAT THEM....

Be careful of how much you hate Trump Yahoo..

Some days you make yourselves look like the fools you are. HAAAAA  
HAAAAA

EAT YOUR WORDS...

From: (b)(6)  
Sent: Tuesday, April 16, 2024 9:05 PM  
To: 'finance@cc.yahoo.com' <finance@cc.yahoo.com>; 'Help@sec.gov' <Help@sec.gov>  
Cc: 'Help@sec.gov' <Help@sec.gov>  
Subject: Trump's campaign is going the way of his media company - Shameful Article  
Importance: High

Shame on Yahoo, and shame on Rick Newman. Disgraceful.

<https://finance.yahoo.com/news/trumps-campaign-is-going-the-way-of-his-media-company-211151954.html>

"Want to know how Donald Trump's presidential campaign is going? There's a ticker symbol for that."

This should not be legal, there is no correlation.

Republicans already know Yahoo Finance is a Democratic run platform.

A statement like Rick Newman began this article with is an absolute disgrace.

How can the SEC continue to allow garbage like this to be shown on a public

stock forum?

I hope Trump shuts your asses down when he becomes president.

Sites like this are supposed to be neutral for the benefit of all investors,  
not a forum to continue to spread your propaganda.

-----  
Correspondent Name: (b)(6)

Create Date: 4/17/2024

Origin: Web

File #: HO:: (b)(6) ::HO

Send to Entity:

Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

Entity Information

Name:

Type: GN

Representative:

Address:

Security Information

Name: Trump Media & Technology Group Corp

Symbol:

Type: Equity security (general)

Description:

This security is being extremely manipulated with illegal shorting. There are no shares to short and this stock is getting driven down everyday somehow. I am just making a formal complaint for this to be looked into to see what brokers/hedge funds are complicit with synthetic shares and other illegal practices.

-----  
Correspondent Name: (b)(6)

Create Date: 4/23/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity:

Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

Entity Information

Name:

Type:

Representative:

Address:

,

Security Information

Name: Donald J Trump Media

Symbol:

Type: Initial public offerings (IPOs)

Description:

This IPO seems like a manipulation of the public marketplace to enrich Donald J Trump while skirting any election campaign laws. Has this been considered as a SEC violation?

-----  
Correspondent Name: (b)(6)

Create Date: 4/23/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name:

Type: GN

Representative:

Address:

,

#### Security Information

Name: DJT

Symbol:

Type: Convertible securities

#### Description:

Someone or multiple entities are falsely manipulating this stock and costing myself and other shareholders money. It's my belief that it is Leftist with an axe to grind trying to hurt President Trump and make money for themselves at the same time, it could possibly even be someone inside the government.

-----  
Correspondent Name: (b)(6)

Create Date: 4/24/2024

Origin: Web

File #: HO:: (b)(6) ::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: charles schawb

Type: Broker-Dealer

Representative:

Address:

,

#### Security Information

Name: trump media

Symbol:

Type: Rights and warrants

#### Description:

I have warrants with trump media DJTWW the exercise date on the (b)(6) shows 4-24-2024 charles schawb refuses to honor this or verify it or even take any measures to update it as they say the last info they are working off of is dated in march also they say if they ever update things so one could exercise the warrants they will not use the price of the common stock on the day of the exercise but make it a market order until it is processed which they know say could be a week or two or longer i have talked to many people at schawb in many depts over the last 3 weeks and have been told as of the date 72 hours 30 days or maybe xmas or longer there is a redditt feed that shows how many people are concerned and saying fi their broker has the info i can say many report that fedility has it all

## Web Form Information

File Number	HO: (b)(6) HO	Web Email	(b)(6)
No Do Not Send to Firm	<input type="checkbox"/>	Yes Send to Company or Firm	<input checked="" type="checkbox"/>
Fax	<input type="checkbox"/>	Are You A	Individual Investor
Contact First Name	(b)(6)	Alternate Phone	
Contact Last Name	(b)(6)	Daytime Phone	(b)(6)
Contact Street Address Cont		Contact Title	
Contact State/Province	(b)(6)	Contact Middle Initial	
Contact Country	UNITED STATES	Contact Street Address	(b)(6)
Type of Firm	GN	Contact City	(b)(6)
Firm/Person Address Cont		Contact Zip/Postal code	(b)(6)
Firm/Person State/Province		Firm Name	charles schawb
Firm/Person Country	UNITED STATES	Broker, Advisor, Salesperson	
Name of Issuer or Security	trump media	Firm/Person Address	
Correspondence to/from Firm	<input checked="" type="checkbox"/>	Firm/Person City	
Notes of Conversation w/Firm	<input checked="" type="checkbox"/>	Firm/Person Zip/Postal Code	
Other Documents	<input type="checkbox"/>	Type of Security	Rights and warrants
Contacted Other Regulators	No	Security Symbol	DJTWW
State Regulators	<input type="checkbox"/>	Canceled Checks	<input type="checkbox"/>
Foreign Regulators	<input type="checkbox"/>	Advertising/Marketing Materials	<input type="checkbox"/>
Stock Exchange Symbol		Other	<input type="checkbox"/>
Other Regulator Text		Complained to Firm	Yes
Legal Action - Arbitration	<input type="checkbox"/>	FINRA	<input type="checkbox"/>
		Other Federal Regulators	<input type="checkbox"/>
		Stock Exchange	<input type="checkbox"/>
		Other Regulator	<input type="checkbox"/>
		Legal Action - Mediation	<input type="checkbox"/>
		Legal Action - Court Action	<input type="checkbox"/>
		Legal Action Details	



	<b>Privacy Notice</b>	
<b>Privacy Too Long</b>	<b>Privacy Typeface Too Small</b>	
<b>Opt Out Notice</b>	<b>Complex Opt Out Procedure</b>	
<b>Unable to Opt Out</b>	<b>Improper Personal Finance Info</b>	
<b>Unauthorized Access</b>	<b>Adequate Safeguards</b>	
<b>Able to send Privacy/Opt Out</b>	<b>Other Privacy</b>	
<b>Invalid Email</b>		

**Description** charles schawb will not verify 4-24-2024 as first exercise date and dont know when they will ???aslo they say if they even will then they will not use the price of the common stock on the day of the request it will ride like a market order until processed one -two weeks or more they have old info from mid march and no clue when they will update

## Web Form Information

File Number	HO::(b)(6)	Web Email	(b)(6)
No Do Not Send to Firm	<input type="checkbox"/>	Yes Send to Company or Firm	<input checked="" type="checkbox"/>
Fax	<input type="checkbox"/>	Are You A	Individual investor
Contact First Name	(b)(6)	Alternate Phone	
Contact Last Name	(b)(6)	Daytime Phone	(b)(6)
Contact Street Address Cont		Contact Title	
Contact State/Province	(b)(6)	Contact Middle Initial	
Contact Country	UNITED STATES	Contact Street Address	(b)(6)
Type of Firm	Public Company	Contact City	(b)(6)
Firm/Person Address		Contact Zip/Postal code	(b)(6)
Firm/Person Address Cont		Firm Name	trump media and technology group
Firm/Person State/Province		Broker, Advisor, Salesperson	
Firm/Person Country		Firm/Person Address	
Name of Issuer or Security	trump media	Firm/Person City	
Correspondence to/from Firm	<input type="checkbox"/>	Firm/Person Zip/Postal Code	
Notes of Conversation w/Firm	<input type="checkbox"/>	Type of Security	Rights and warrants
Other Documents	<input type="checkbox"/>	Security Symbol	djtw
Contacted Other Regulators	No	Canceled Checks	<input type="checkbox"/>
State Regulators	<input type="checkbox"/>	Advertising/Marketing Materials	<input type="checkbox"/>
Foreign Regulators	<input type="checkbox"/>	Other	<input type="checkbox"/>
Stock Exchange Symbol		Complained to Firm	Yes
Other Regulator Text		FINRA	<input type="checkbox"/>
Legal Action - Arbitration	<input type="checkbox"/>	Other Federal Regulators	<input type="checkbox"/>
		Stock Exchange	<input type="checkbox"/>
		Other Regulator	<input type="checkbox"/>
		Legal Action - Mediation	<input type="checkbox"/>
		Legal Action - Court Action	<input type="checkbox"/>
		Legal Action Details	

Privacy Notice 

Privacy Too Long 

Privacy Typeface Too Small 

Opt Out Notice 

Complex Opt Out Procedure 

Unable to Opt Out 

Improper Personal Finance Info 

Unauthorized Access 





Adequate Safeguards 

Able to send Privacy/Opt Out 

Other Privacy 

Invalid Email 

**Description**

these warrants were fist told to investors that 4-24-2024 would be first exercise date now no one knows they do not respond by email and all the phone numbers lists for the company are at the point of this writing say number out of service so we have in effect worthless shares i.e. i could make a nice profit today if i only had assess to my holdings to do so schawb my broker has no info the transfer agent Odesseyticker transfer also has no clue ticker is djtww    
  countless contacts and no info we need help



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Matter: 20240501-(b)(6)

### Record Information

Matter ID	20240501-(b)(6)	File Owner	(b)(6)
Submission Method	Webform	Repeat Matter	<input type="checkbox"/>
Status	Awaiting Response from Investor	Possible Suspicious Content	<input type="checkbox"/>
Reroute Reason		Ombuds Review	<input type="checkbox"/>
Office		File Age	1
Primary Category	Undetermined Matters	File Age Status	
Disposition		Created By	OMMS Webform Submitter, 5/1/2024 9:41 AM
Created Via Webform	<input checked="" type="checkbox"/>	Date/Time Closed	
		Potential Duplicate	<input type="checkbox"/>
		Matter Received Date	5/1/2024

### About You

Submitter Name	(b)(6)	Are You a Retail (Individual) Investor?	Yes
Primary Phone Number	(b)(6)	May We Contact Other Divisions/Entities?	Yes
Secondary Phone Number			
Submitter Primary Email	(b)(6)		
Submitter Secondary Email			

### Your Matter

Matter Description	Djtw no exercise date and the contact info for the trump media i.e. Phone number is a non working number
Is Your Matter About the SEC?	Yes
SEC Division/Office	EDGAR Business Office
Is Your Matter About an SRO?	No
Type of SRO	
Other SRO	
What Is Your Matter About?	Initial Public Offerings (IPOs)
Name of Issuer or Security	
Security Ticker Symbol	

**What Type of Documents Can You Provide?**

**Have You Already:**

Contacted the SEC About This Matter? No

SEC Division Contacted

TCR/Invest. Inq./Invest. Complaint Form?

Do you have TCR or Other ID/Reference #?

Contacted SROs Directly? No

Contacted Type of SRO

Contacted Regulators/Law Enforcement? No

Regulators/Law Enforcement Contacted

Other Regulators/Law Enforcement

Taken Any Legal Action? No

Type of Legal Action

Other Legal Action

Additional Details

**Matter Hold Details**

Matter Hold

Matter Hold Date/Time

**System Information**

OMMS System ID 20240501-(b)(6)

Last Modified By (b)(6) 5/1/2024 10:43 AM

**Contact Information**

Account Name (b)(6)

Address

Primary Phone Number

Secondary Phone Number

Primary Email

Secondary Email

Account Record Type Submitter

### System Information

Created By OMMS Webform Submitter, 5/1/2024 9:41 AM

Last Modified By OMMS Webform Submitter, 5/1/2024 9:41 AM

Potential Duplicate

Created Via Webform

### Matter Comments

5/1/2024 10:59 AM

User (b)(6)  
Comment IRIS file (b)(6)

5/1/2024 10:56 AM

User (b)(6)  
Comment Investor already had a file 20200607- (b)(6), I created a new matter under this one, (20200607- (b)(6)) and now they have this webform matter. Please combine.

### Activity History

Email: SEC Ombuds Matter Management System (OMMS) Submission - Matter ID Number 20240501- (b)(6)

Name (b)(6)

Task

Due Date 5/1/2024

Assigned To (b)(6)

Last Modified Date/Time 5/1/2024 10:42 AM

Comments Additional To: (b)(6)  
CC:  
BCC:  
Attachment:

Subject: SEC Ombuds Matter Management System (OMMS) Submission - Matter ID Number 20240501- (b)(6)

Body:  
U.S. SECURITIES AND  
EXCHANGE COMMISSION

Dear (b)(6):

Thank you for contacting the Ombuds of the U.S. Securities and Exchange Commission (SEC) regarding Trump Media & Technology Group (DTJWW). The Office of the Ombuds handles retail investor recommendations, questions and complaints about the SEC and the self-regulatory organizations (SROs) that it oversees.

We are unable to determine how and whether our office may assist you, based on the contents of your correspondence. Please provide additional information at your convenience, including information about how your matter relates to the SEC, an SRO and/or the federal securities laws.

Thank you again for contacting the SEC Ombuds.

The Ombuds generally treats matters as confidential, and takes reasonable steps to maintain the confidentiality of communications. The Ombuds also attempts to address matters without sharing information outside of the Ombuds staff, unless you give the Ombuds permission to do so.

However, the Ombuds may need to contact other SEC divisions or offices, Self-Regulatory Organizations, entities, and/or individuals and share information without your permission under certain circumstances including, but not limited to: a threat of imminent risk or serious harm; assertions, complaints, or information relating to violations of the securities laws; allegations of government fraud, waste, or abuse; or if required by law, such as pursuant to a court order or Freedom of Information Act request initiated by a third party.

Information provided by the staff via email is informal and is not binding on the staff or the Commission. The information is provided as a service to investors. It is neither a legal

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**Ombuds**  
U.S. Securities and Exchange Commission  
100 F Street NE | Washington, DC 20549  
202.551.3330 | Toll-free: 877.SEC.2001 (877.732.2001)  
Ombudsman@sec.gov | https://www.sec.gov/ombuds

----- Original Message -----

**From:**  
**Sent:** 5/1/2024 9:42 AM  
**To:** (b)(6)  
**Subject:** Ombudsman Matter Management System (OMMS) Submission Received

**U.S. SECURITIES AND  
EXCHANGE COMMISSION**

Thank you for your submission on 05/01/2024 09:41 AM Eastern.

Please note your OMMS Matter ID Number and retain it for future reference.

OMMS Matter ID Number: 20240501- (b)(6)

The Ombuds generally treats matters as confidential, and takes reasonable steps to maintain the confidentiality of communications. The Ombuds also attempts to address matters without sharing information outside of the Ombuds staff, unless you give the Ombuds permission to do so. However, the Ombuds may need to contact other SEC divisions or offices, Self-Regulatory Organizations, entities, and/or individuals and share information without your permission under certain circumstances including, but not limited to: a threat of imminent risk or serious harm; assertions, complaints, or information relating to violations of the securities laws; allegations of government fraud, waste, or abuse; or if required by law, such as pursuant to a court order or Freedom of Information Act request initiated by a third party.

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**Ombuds**  
U.S. Securities and Exchange Commission  
100 F Street NE | Washington, DC 20549  
202.551.3330 | Toll-free: 877.SEC.2001 (877.732.2001)  
Ombudsman@sec.gov | https://www.sec.gov/ombuds

**Emails**

**SEC Ombuds Matter Management System (OMMS) Submission - Matter ID Number 20240501- (b)(6)**

Message Date 5/1/2024 10:40 AM  
Has Attachment   
Email Address (b)(6)  
Status **Sent**

Subject **SEC Ombuds Matter Management System (OMMS) Submission - Matter ID Number 20240501 [REDACTED]**  
Text Body **U.S. SECURITIES AND EXCHANGE COMMISSION**

Dear [REDACTED]

Thank you for contacting the Ombuds of the U.S. Securities and Exchange Commission (SEC) regarding Trump Media & Technology Group (DTJWW). The Office of the Ombuds handles retail investor recommendations, questions and complaints about the SEC and the self-regulatory organizations (SROs) that it oversees.

We are unable to determine how and whether our office may assist you, based on the contents of your correspondence. Please provide additional information at your convenience, including information about how your matter relates to the SEC, an SRO and/or the federal securities laws.

Thank you again for contacting the SEC Ombuds. The Ombuds generally treats matters as confidential, and takes reasonable steps to maintain the confidentiality of communications. The Ombuds also attempts to address matters without sharing information outside of the Ombuds staff, unless you give the Ombuds permission to do so. However, the Ombuds may need to contact other SEC divisions or offices, Self-Regulatory Organizations, entities, and/or individuals and share information without your permission under certain circumstances including, but not limited to: a threat of imminent risk or serious harm; assertions, complaints, or information relating to violations of the securities laws; allegations of government fraud, waste, or abuse; or if required by law, such as pursuant to a court order or Freedom of Information Act request initiated by a third party.

Information provided by the staff via email is informal and is not binding on the staff or the Commission. The information is provided as a service to investors. It is neither a legal representation nor a statement of SEC policy. SEC staff cannot act as your personal representative or attorney. For specific information on protecting your particular rights, or if you feel you need a definitive legal analysis of your particular situation, it may be in your best interest to consult with an attorney who specializes in securities law.

This communication and any attachments may be privileged or confidential. If you are not the intended recipient, you have received this communication in error and any review, dissemination, distribution, copying, or use of this communication is strictly prohibited. In such an event, please notify SEC staff immediately by reply email to Ombudsman@sec.gov or by phone toll-free at 877.732.2001 and immediately delete this communication and all attachments.

**Ombuds**  
U.S. Securities and Exchange Commission  
100 F Street NE | Washington, DC 20549  
202.551.3330 | Toll-free: 877.SEC.2001 (877.732.2001)  
Ombudsman@sec.gov | <https://www.sec.gov/ombuds>

----- Original Message -----

**From:**  
**Sent:** 5/1/2024 9:42 AM  
**To:** [REDACTED]  
**Subject:** Ombudsman Matter Management System (OMMS) Submission Received

**U.S. SECURITIES AND EXCHANGE COMMISSION**

Thank you for your submission on 05/01/2024 09:41 AM Eastern.

Please note your OMMS Matter ID Number and retain it for future reference.

OMMS Matter ID Number: 20240501 [REDACTED]

The Ombuds generally treats matters as confidential, and takes reasonable steps to maintain the confidentiality of communications. The Ombuds also attempts to address matters without sharing information outside of the Ombuds staff, unless you give the Ombuds permission to do so. However, the Ombuds may need to contact other SEC divisions or offices, Self-Regulatory Organizations, entities, and/or individuals and share information without your permission under certain circumstances including, but not limited to: a threat of imminent risk or serious harm; assertions, complaints, or information relating to



violations of the securities laws; allegations of government fraud, waste, or abuse; or if required by law, such as pursuant to a court order or Freedom of Information Act request initiated by a third party.

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**Ombudsman Matter Management System (OMMS) Submission Received**

Message Date 5/1/2024 9:42 AM  
Has Attachment   
Email Address (b)(6)  
Status Replied  
Subject Ombudsman Matter Management System (OMMS) Submission Received  
U.S. SECURITIES AND EXCHANGE COMMISSION

Thank you for your submission on 05/01/2024 09:41 AM Eastern.

Please note your OMMS Matter ID Number and retain it for future reference.

OMMS Matter ID Number: 20240501-(b)(6)

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**Files**

File\_ (b)(6) - Salesforce (b)(6)

Last Modified 5/1/2024 11:00 AM

Created By (b)(6)

### Matter History

5/1/2024 10:43 AM

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User (b)(6)

Action **Changed Status from Received to Awaiting Response from Investor.**

5/1/2024 10:42 AM

User (b)(6)

Action **Changed Primary Category to Undetermined Matters.**

5/1/2024 10:42 AM

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User (b)(6)

Action **Changed File Owner from New Matters to (b)(6)**

5/1/2024 9:41 AM

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User **OMMS Webform Submitter**

Action **Changed Owner (Assignment) from (b)(6) to New Matters. Created.**

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Matter: 20240501- (b)(6)

### Record Information

Matter ID	20240501- (b)(6)	File Owner	(b)(6)	
Submission Method	Phone	Repeat Matter	<input type="checkbox"/>	
Status	Received	Possible Suspicious Content	<input type="checkbox"/>	
Reroute Reason		Ombuds Review	<input type="checkbox"/>	
Office		File Age	1	
Primary Category	Investor Account Matters	File Age Status		
Disposition		Created By	(b)(6) AM	5/1/2024 10:51
Created Via Webform	<input type="checkbox"/>	Date/Time Closed		
		Potential Duplicate	<input type="checkbox"/>	
		Matter Received Date	5/1/2024	

### About You

Submitter Name	(b)(6)	Are You a Retail (Individual) Investor?	
Primary Phone Number		May We Contact Other Divisions/Entities?	
Secondary Phone Number			
Submitter Primary Email			
Submitter Secondary Email			

### Your Matter

Matter Description	This is (b)(6) My problem is symbol DJTWW warrants there is no exercise date. The original date has been withdrawn and no way to find out any information. This is the Trump media malady group and all the company contact information listed on Edgar and the SEC is all of no value as the phone number is not in service at this time that's listed, so I as an investor have no way to contact the company and their investor relations is just a machine that never returns any phone calls as well. Thank you.
Is Your Matter About the SEC?	
SEC Division/Office	
Is Your Matter About an SRO?	
Type of SRO	
Other SRO	
What Is Your Matter About?	

Name of Issuer or Security

Security Ticker Symbol

What Type of Documents Can You Provide?

**Have You Already:**

Contacted the SEC About This Matter?

SEC Division Contacted

TCR/Invest. Inq./Invest. Complaint Form?

Do you have TCR or Other ID/Reference #?

Contacted SROs Directly?

Contacted Type of SRO

Contacted Regulators/Law Enforcement?

Regulators/Law Enforcement Contacted

Other Regulators/Law Enforcement

Taken Any Legal Action?

Type of Legal Action

Other Legal Action

Additional Details

**Matter Hold Details**

Matter Hold

Matter Hold Date/Time

**System Information**

OMMS System ID 20240501-(b)(6)

Last Modified By (b)(6) 5/1/2024 10:51 AM

**Contact Information**

Account Name (b)(6)

Address

Primary Phone Number

Secondary Phone Number

Primary Email (b)(6)

Secondary Email (b)(6)

Account Record Type Submitter

**System Information**

Created By OMMS Webform Submitter, 6/7/2020 3:29 PM

Last Modified By (b)(6), 5/1/2024 10:53 AM

Potential Duplicate

Created Via Webform ✓

**Files**

**Keith Alexander VoiceMessage**

Last Modified 5/1/2024 10:51 AM

Created By (b)(6)

**Matter History**

5/1/2024 10:51 AM

User (b)(6)

Action Created.

-----  
Correspondent Name: (b)(6)

Create Date: 4/24/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity:

Investor Information

Name: (b)(6)

Address:

,

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

Entity Information

Name:

Type:

Representative:

Address:

,

Security Information

Name:

Symbol:

Type:

Description:

Hi, I was wondering about the Trump Media & Technology Group warrants (DJTWW). I had contacted my broker about questions regarding exercise of these warrants and they said while under the filing they were able to be exercised today (Wed. April 24, 2024) the warrants hadn't been approved by the SEC yet or that the shares on the shelf for these warrants hadn't been approved yet by the SEC. I was wondering if I could get a time table for their approval and when an investor might expect the SEC would allow these warrants to be exercised?

Thanks in advance.

-----  
Correspondent Name: (b)(6)

Create Date: 4/24/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone:

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: Trump media and tech group inc

Type: Public Company

Representative:

Address: 401 N. Cattlemen Rd.

ste 200

sarasota, FLORIDA 34232

#### Security Information

Name:

Symbol:

Type: Stock index options

#### Description:

There should be an investigation into the price action of djt. the ticker has strange level 2 orders on the order book. the highest ask price is \$190 from an exchange labeled AMEX. There has been ask prices of \$99 from other exchanges such as otc other. I have no idea what that exchange is.

There is far more volume when the stock is on an uptrend but when a price decline comes the trading volume becomes nonexistent.

The ceo of TMGT claims only four participants are trading the stock which they claim are short sellers. This doesn't seem logical since TMGT management owns over %80 of available shares.

The price action of ticker DJT is suspicious. Lastly how can a ceo file a form telling investors to fight short sellers? all while creating a template to send to the sec and brokers? Is this legal? can a company guide investors in such a manner?

It seems to me that insiders are trading the shares among themselves in order to inflate the stock price until the lock up period ends.

why is ticker DJT still on the sho reg list? its been pumping for the past 5 days.

is donald trump just allowed to do as he please, violate laws and ethical trading standards with no consequences? The recent sec filings for djt such as the 10k 8k and s1 state that warrants may not be converted if no shares are available. which djt can issue at there will as a way to control the size of the available shares aka the float.



-----  
Correspondent Name: (b)(6)

Create Date: 4/24/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: Citadel Securities

Type: Market Maker

Representative:

Address:

,

#### Security Information

Name: Trump Media and Technology Group

Symbol:

Type: Equity security (general)

#### Description:

I believe the 'naked' short selling, off of the legitimate market, has driven the stock price down, and has hindered the company from progressing naturally.

Please protect us small individual investors.

-----  
Correspondent Name: (b)(6)

Create Date: 4/29/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: Citadel Securities

Type: Broker-Dealer

Representative: (b)(6)

Address: 200 S Biscayne Blvd

Miami, FLORIDA 33131

#### Security Information

Name: Trump Media and Technology Group

Symbol:

Type: Equity security (general)

#### Description:

Illegal naked short selling and manipulation.

-----  
Correspondent Name: (b)(6)

Create Date: 4/29/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone:

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: Trump Media

Type: Public Company

Representative:

Address:

#### Security Information

Name: Trump Media & Tecnology

Symbol:

Type: Commodity Stock Index Options

#### Description:

Management is tell stock holders how to get around the short selling of the stock. They are trying to minupulate the market and prevent short selling of a publicly trade stock. They are preventing investors access to certain stock buying and selling features. See comments below.

BRIEF-Trump Media Provides Additional Information To DJT Investors Regarding Recalling Shares

06:10:10 AM ET, 04/29/2024 - Reuters

April 29 (Reuters) - Trump Media & Technology Group Corp :

\* TRUMP MEDIA PROVIDES ADDITIONAL INFORMATION TO DJT INVESTORS REGARDING RECALLING SHARES

\* TRUMP MEDIA: CLARIFIES SHAREHOLDERS HAVE OPTION OF ASKING BROKER TO RECALL SHARES HELD ON LOAN TO FACILITATE SHORT SELLING Source text for Eikon: Further company coverage: ([Reuters.Briefs@thomsonreuters.com](mailto:Reuters.Briefs@thomsonreuters.com))

April 23 (Reuters) - Donald Trump is set to secure on Tuesday a stock bonus worth \$1.3 billion from the company that operates his social m...

Trump Media Provides Additional Information To DJT Investors Regarding Short Selling

GlobeNewswire - 6:00 AM ET, 04/23/2024

--Trump Media & Technology Group Corp. is highlighting actions DJT shareholders can take to prevent the lending of their shares by brokerage firms for the purpose of short selling. The Company's shareholder base primarily consists of retail investors who hold their shares through various brokerage firms. 3) moving their shares to a Direct Registration ("DRS")...

-----  
Correspondent Name: (b)(6)

Create Date: 4/30/2024

Origin: Web

File #: HO::(b)(6)::HO

Send to Entity:

Investor Information

Name: (b)(6)

Address:

,

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

Entity Information

Name:

Type:

Representative:

Address:

,

Security Information

Name:

Symbol:

Type:

Description:

Hi. I own warrants and I would like to exercise them, but the SEC hasn't declared effective the S-1 registration. It is for DJTWW. I am curious how long this process takes and when these warrants will be available to exercise. I really appreciate any help you can give in clarifying the details of these warrants. Thank you so much for your time.

-----  
Correspondent Name: (b)(6)

Create Date: 5/1/2024

Origin: Web

File #: HO::~(b)(6)~::HO

Send to Entity: Yes

#### Investor Information

Name: (b)(6)

Address: (b)(6)

(b)(6)

Day Phone: (b)(6)

Alt Phone:

Fax:

Email: (b)(6)

#### Entity Information

Name: Trump Media & Technology Group Corp

Type: Public Company

Representative:

Address:

,

#### Security Information

Name:

Symbol:

Type: Equity security (general)

#### Description:

DJT is artificially creating an inflated valuation by instructing people to purposefully prevent stock lending

The earnings of this company are negative with no prospect of future profits (their own admission in filings), but it is valued at over 7 billion market cap.

The instruction to prevent stock lending creates a bubble where no one can express a contrary opinion. Stock borrow rates to be short are over 400%. Even disregarding the clear attempt to manipulate the price higher for the benefit of insiders waiting to exit, this is harmful to investors who are long and do not understand that they can earn yield if they do in fact lend the securities.

Nowhere in the DJT instructions to investors does it explain that they are foregoing up to 400% annualized yield to lend out their shares. This is harmful to both long and short investors and should be investigated.

From: unityconnectionpri <unityconnection@ucxnpr>

Sent: Friday, April 12, 2024 6:41 PM

To: Commissioner, Lizarraga (b)(6)

Subject: Message from Anonymous (0000000000)

Truth Social

Anonymous Correspondent complains about Trump Media & Technology Group Corp. (NASDAQ: DJT) -- Correspondent suggests that Donald Trump is running a pump & dump on the firm's stock by posting false information on Truth Social while the price of the firm's stock continues to decline. Correspondent suggests that the SEC investigate the matter.