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Description of document: Federal Reserve Bank of New York (New York Fed)
Historical Materials List (HML) 2024

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Release date: 14-November-2024

Posted date: 25-November-2024

Source of document: Freedom of Information Office
Federal Reserve Bank of New York
33 Liberty Street
New York, NY 10045
Email: FOI@ny.frb.org

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FEDERAL RESERVE BANK *of* NEW YORK

33 LIBERTY STREET, NEW YORK, NY 10045-0001

FREEDOM OF INFORMATION OFFICE

November 14, 2024

VIA E-MAIL

This letter is in response to your letter received on June 13, 2024. Your request is set forth below in relevant part:

“A copy of the Historical Materials List (HML) at the New York Federal Reserve Bank.”

Your request has been processed under the Federal Reserve Bank of New York’s Transparency and Accountability Policy (the “Policy”), a copy of which is available at <https://www.newyorkfed.org/medialibrary/media/aboutthefed/transparency-accountability-policy>.

Enclosed you will find one document consisting of a total of 17 pages responsive to your request. Portions of this document have been redacted because they reflect information controlled by the Board of Governors of the Federal Reserve System (the “Board”), the Federal Open Market Committee, or the Federal Deposit Insurance Corporation, which is not subject to release under the Policy (see Section 2.5). You may wish to request information about record retention practices directly from these entities or from the National Archives and Records Administration. Information about the Board’s record retention program is available online at the following address: <https://www.federalreserve.gov/foia/recordsmanagement.htm>.

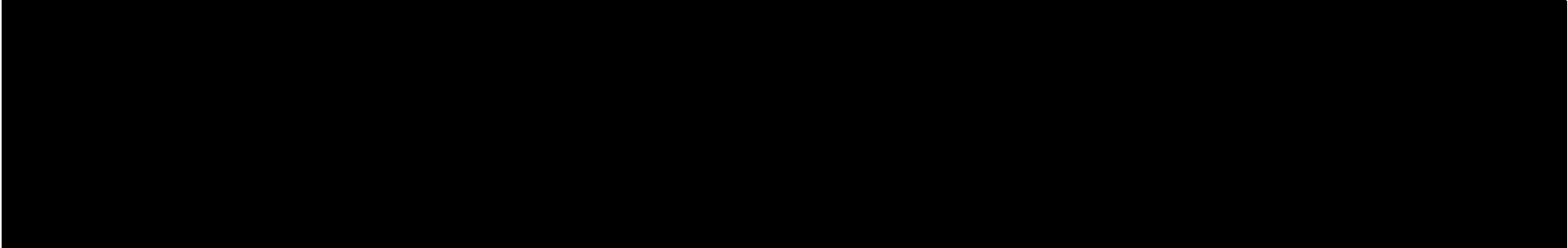
With this letter, we have completed our response to your request. Information on how to file a request for reconsideration may be found in Section 3.6 of the Policy.

Freedom of Information Office

HML

Status: Active

HML ID ↑	HML Title	Description	Owner	Retention Category	Inventory	FedPreserve	Priority	Status	Cross-reference	Revision Note
9	Financial Crisis Materials	Reserve Bank websites, research, press releases and any other documents to communicate details of special programs, policy proposals, and facilities to both the public and internal Fed audiences during the financial crises.	District owned	2026 - Brochures & Publications	Inventory	FedPreserve	Medium	Active	429 - Emergency Lending Program Records	2020: Changed ""Financial Crisis Websites"" to ""Financial Crisis Materials"" Updated Retention Category, Feb. 2021. Updated Retention Category, cross-reference, approved at December 2023 CHIP meeting.



Advisory groups – sometimes referred to as advisory committees, councils, or boards – are formal groups of members of the business community, community bankers, labor leaders, or other key stakeholders within the district, with whom Reserve Banks hold formal meetings to inform Federal Reserve policy.

- Membership information.
- Agendas, minutes, briefings, and presentation materials from meetings with advisory groups, as well as any memos distributed to the advisory groups in advance of such meetings.

Includes:

- Presentations made by group members, unless such retention is not possible for confidentiality reasons.
- The intention is to capture items that could shed light on policy issues under the purview of the Federal Reserve.
- Items of an administrative, procedural, or logistical nature need not be retained.
- Formal internal reports on such meetings, for example, summaries prepared for senior staff or the Reserve Bank president.
- Survey data. If there are regular, formal, quantitative surveys of advisory group members regarding economic or financial conditions, the outlook, or group members' views on Federal Reserve policies, the data sets should be retained.
- Where possible, these data should reflect individual survey responses. If such data cannot be retained (for example, out of concern regarding confidentiality), aggregate results should be retained instead.
- This item is not intended to capture anecdotal information provided by advisory group members or informal or one-time surveys. Such information would instead be reflected in the minutes and summaries of advisory group meetings.

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Advisory Group Materials

District owned

[1070 - External Organizations, Advisory & Sponsored Groups Committees](#)

[Inventory](#)

[FedPreserve](#)

Medium

Active

[111 - Notes on Advisory Council Meetings *Removed from scope*](#)

[112 - Advisory Council Survey Responses \(Raw Data\) *Removed from scope*](#)

Includes HML 111 and 112
Updated Retention Category, Feb. 2021

84	Bank Publications and Blogs	Publications, websites, and blogs created for the public by the Banks that address significant issues. Supporting materials may also be retained and may include, but are not limited to, data and code.	District owned	1010 - Archives & Artifacts	Inventory	FedPreserve	High	Active	<p>2020: added &quot;Supporting materials may also be retained and may include, but are not limited to, data and code.</p> <p>2022: Revise "Policy" to "Significant" Qualifier for Publications; add cross-reference to merged items 18 and 20, approved at September 29, 2022 CHIP meeting</p> <p>Updated Retention Category, approved at December 2023 CHIP meeting.</p> <p>19 - Art Rolnick Archive "Removed from scope"</p> <p>20 - Historical Data on Antebellum-Era Bank Balance Sheets "Removed from scope"</p>
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107	Significant System Committees & Subcommittees	Records of the significant committees composed of members from across the System. This information is stored on various Sharepoint sites (CFVP, COP, CRPICA, FSPC, ITOC, SCRIM)	District owned	1010 - Archives & Artifacts 1047 - Board of Directors Meetings & Board Committee Records 1068 - COP & CFVP Records 1070 - External Organizations, Advisory & Sponsored Groups Committees	Inventory	FedPreserve	Low	Active	<p>Per CHIP's memo of May 8, 2017, split into two HMLs - 107 and 407</p> <p>Updated Retention Category, approved at December 2023 CHIP meeting.</p>
108	Organizational Structure	Records, such as organization charts, which describe the legal and operational structure of the Bank at the officer level and above. Retained annually and when there is a presidential change.	District owned	1010 - Archives & Artifacts	Inventory	FedPreserve	Low	Active	<p>Per CHIP memo of March 24, 2017, &quot;We suggest that once a year should be the normal interval, though if a new Reserve Bank president came in and did a reorganization in the middle of a year, it would make sense to keep a copy of the new org chart. ... [O]rg charts or other material showing the reporting lines and responsibilities of officers would be sufficient [detail].</p> <p>Updated Retention Category, approved at December 2023 CHIP meeting.</p>

2022: added SCRM
qualifier to title,
approved at September
29, 2022 CHIP meeting.
Changed SCRM
references to new
CRMISO, approved at
December 2023 CHIP
meeting.

Active
Medium
[FedPreserve](#)

[Inventory](#)

[1071 - Failing Depository
Institutions Records](#)

District owned
(Credit Risk
Management
Support Office)

The signed agreement for the bank that is
acquiring a failing institution.

CRMISO:
Acquiring Bank
Agreement

113

2022: added SCRM
qualifier to title,
approved at September
29, 2022 CHIP meeting.
Changed SCRM
references to new
CRMISO, approved at
December 2023 CHIP
meeting.
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Changed SCRM
references to new
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December 2023 CHIP
meeting.

Active
Low
[FedPreserve](#)

[Inventory](#)

[1071 - Failing Depository
Institutions Records](#)

District owned
(Credit Risk
Management
Support Office)

Accounting statement of failed DI at date of
closure.

CRMISO:
Accounting
Statement of
Failed DI (date
of closure)

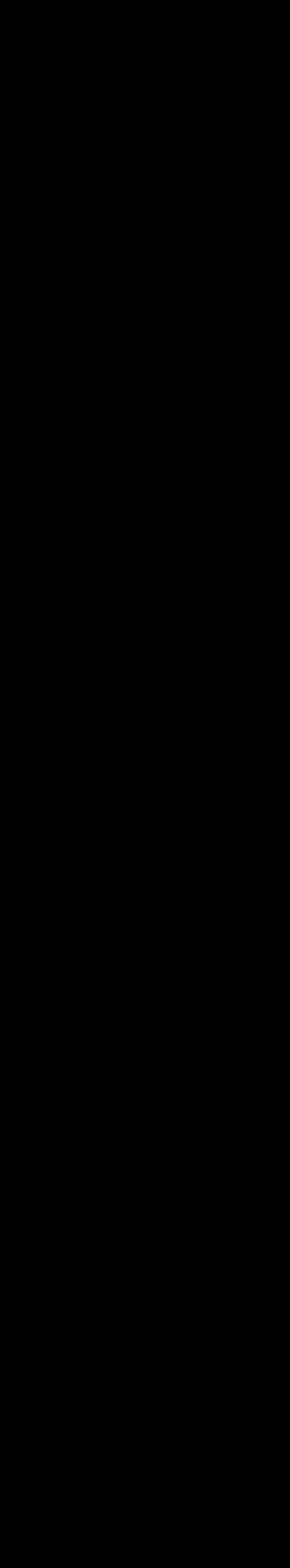
134

E-mails notifying operations areas and CRM
of closure.

CRMISO:
Preliminary
Notice E-Mails
(operation areas
and CRM/C)

135

136	CRMCO: Billing Charges for Failed DI	Notes upcoming billing charges (including detail) for failed DI.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMCO, approved at December 2023 CHIP meeting. 2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMCO, approved at December 2023 CHIP meeting.
137	CRMCO: Balances of Failed DI	Balances of failed DI at 5 p.m.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMCO, approved at December 2023 CHIP meeting.
138	CRMCO: Operations Impact of Failing Depository Institution (DI)	Details operations impact of failing Dis.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMCO, approved at December 2023 CHIP meeting.



141	CRMCO: Press Release Detailing Closure	Copy of press release detailing closure.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMCO, approved at December 2023 CHIP meeting.
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142	CRMSO: Final Closure Notice E-Mails (Ops and CRMC)	E-mails notifying operations areas and CRMC of closure.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
147	CRMSO: Condition Monitoring Reports	Various lists of institutions meeting condition monitoring criteria.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
148	CRMSO: Depository Institution Letters and Risk Controls	Letters advising DIs of changes in their account status, interest rate eligibility, risk profiles, etc.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
149	CRMSO: Liquidity Reports	Institution-specific balance sheet data.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
150	CRMSO: Surveillance Reviews	Institution-specific surveillance reviews.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
151	CRMSO: Condition Monitoring Risk Ratings	Institution-specific DI risk ratings.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.

175	SCRM: CRM Committee (CRMC) Meeting Information	CRMC meeting information.	District owned (Subcommittee on Credit Risk Management)	1119 - Loan Administration	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting
184	CRMSO: Authorizing Resolution for Borrowers	Institution's authorization to borrow from and pledge assets to a Reserve Bank.	District owned (Credit Risk Management Support Office)	1119 - Loan Administration Official Authorizations Lists (OALs)	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
194	SCRM: CRMC Materials for Systemically Important Failures	Information presented on systemically important institution failures to CRMC.	District owned (Subcommittee on Credit Risk Management)	1119 - Loan Administration	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting
195	CRMSO: Term Auction Facility (TAF) Documents	Documentation from TAF auctions.	District owned (Credit Risk Management Support Office)	1119 - Loan Administration	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
198	CRMSO: Surveillance/Condition Monitoring (COMO) - Comprehensive Files	Condition monitoring documentation.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
207	CRMSO: Loan Requests	Written Loan Requests	District owned (Credit Risk Management Support Office)	1010 - Archives & Artifacts	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
208	CRMSO: Discount Window (DW) Information	Reports that list earnings/accruals from loans and related data.	District owned (Credit Risk Management Support Office)	1010 - Archives & Artifacts	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.

209	CRMSO: Savings and Loan Files	Savings and loans crisis-related data/documentation.	District owned (Credit Risk Management Support Office)	1010 - Archives & Artifacts	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
214	CRMSO: Depository Institution (DI)-specific loan reports	Failing DI-specific loan reports.	District owned (Credit Risk Management Support Office)	1119 - Loan Administration	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
219	CRMSO: Monthly Report of Discount Activity	Board of Directors reports.	District owned (Credit Risk Management Support Office)	1119 - Loan Administration	Inventory	FedPreserve	High	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
222	CRMSO: Structure Notice Report for Failing Dis	Report describing failure details.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
224	CRMSO: Service Arrangement Instructions	Closure instructions for the various operating areas.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.

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Changed SCRM
references to new
CRMSO, approved at
December 2023 CHIP
meeting.

227

CRMSO: Order
of Liquidation

Copy of letter dissolving institution.

District owned
(Credit Risk
Management
Support Office)

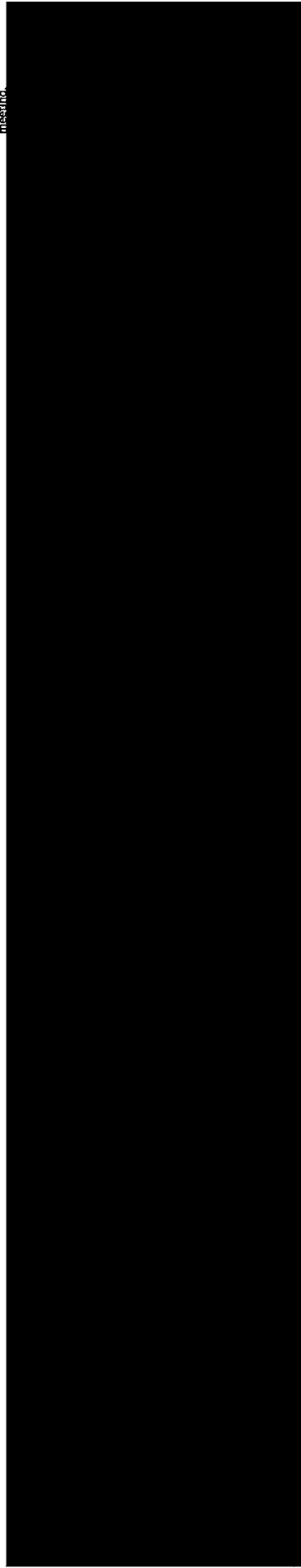
[1071 - Failing Depository
Institutions Records](#)

[Inventory](#)

[FedPreserve](#)

Medium

Active



2022: added SCRM
qualifier to title,
approved at September
29, 2022 CHIP meeting.
Changed SCRM
references to new
CRMSO, approved at
December 2023 CHIP
meeting.

236

CRMSO: Risk
Resolution
Information

Correspondence on how a particular failing DI
is being resolved.

District owned
(Credit Risk
Management
Support Office)

[1071 - Failing Depository
Institutions Records](#)

[Inventory](#)

[FedPreserve](#)

Medium

Active



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29, 2022 CHIP meeting.
Changed SCRM
references to new
CRMSO, approved at
December 2023 CHIP
meeting.

239

CRMSO: Risk
Profile
Information

Information related to the way Reserve Banks
have evaluated and categorized the risk of an
individual DI.

District owned
(Credit Risk
Management
Support Office)

[1071 - Failing Depository
Institutions Records](#)

[Inventory](#)

[FedPreserve](#)

Medium

Active

240	CRMSO: Inter-District Notifications	Notifies other districts involved in the relationship of a failing DI or problem DI.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
242	CRMSO: Internal E-mail Correspondence	Correspondence within the Fed that could include service areas.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
246	CRMSO: News Articles About Failing DIs	News about the failing institution.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
249	CRMSO: FRB Services Information	Information about FRB services used by the failing DI.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
251	CRMSO: Account Verification/Merger Analysis Information	Analysis related to account status or mergers.	District owned (Credit Risk Management Support Office)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
252	SCRM: Charter Information	Copy of charter from a bank.	District owned (Subcommittee on Credit Risk Management)	1071 - Failing Depository Institutions Records	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting.

270	CRMSO: Bi-weekly Counseling and Reconciliation Reports	Various daylight overdraft reports used to determine if any policy violations occurred to assist with counseling; also used to analyze charges.	District owned (Credit Risk Management Support Office)	1118 - Federal Reserve Account Administration	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
282	CRMSO: UBPRs/UTPRs - Uniform Bank and Thrift Performance Reports	Printouts with financial information.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
284	CRMSO: Correspondence with Bank and Primary Regulators	Correspondence about problem DI/failing DI.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	Medium	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
286	CRMSO: Net Debit Cap Information	Failing DI net debit cap information.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	Low	Active	2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
287	CRMSO: Collateral Information	Collateral information for the failing DI.	District owned (Credit Risk Management Support Office)	1141 - Surveillance / Condition Monitoring (CoMo) Files	Inventory	FedPreserve	High	Active	Per CHIP8.439; memo of March 24, 2017, changed historical value rating from Medium to Medium-High; 2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
300	Directors - Administrative	Exhibits and presentations provided at meetings and referenced in the Board of Directors minutes.	District owned	1047 - Board of Directors Meetings & Board Committee Records	Inventory	FedPreserve	Low	Active	Updated Retention Category, approved at December 2023 CHIP meeting.

381	CRMSO: Discount Window(DW) (Primary/Secondary/TAF)	Loan advices, DLOD letters, ONOD letters, historical loan and collateral data, OC-10 documents, borrower-in-custody documents, various bank-specific arrangements, meeting notes, e-mails, etc.	District owned (Credit Risk Management Support Office)	1010 - Archives & Artifacts	Inventory	FedPreserve	High	Active	Updated Retention Category, Feb. 2021; 2022: added SCRM qualifier to title, approved at September 29, 2022 CHIP meeting. Changed SCRM references to new CRMSO, approved at December 2023 CHIP meeting.
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Monetary Policy Briefing Materials

A. Final versions of materials prepared for Reserve Bank presidents on monetary policy issues.

- Including agendas, presentations, briefings, and memos intended to prepare presidents for FOMC meetings, as well as other similar materials on monetary-policy-related topics.
- The intention is to capture items that could shed light on monetary policy preparations of the Reserve Bank president.
- Items of an administrative, procedural, or logistical nature need not be retained.
- B. Staff memos prepared as inputs to the formal materials used in monetary policy briefings.
- Including, for example, memos summarizing model results or anecdotal information gathered from Reserve Bank contacts as part of the preparation for monetary policy briefings.
- C. Survey data. If there is a regular, formal, quantitative survey of members of the business community, community bankers, labor leaders, or other key stakeholders within the district regarding economic or financial conditions or the outlook, such data should be retained. Regular, formal, quantitative surveys undertaken as part of the Beige Book process should also be included.
- Where possible, these data should reflect individual survey responses. If such data cannot be retained (for example, out of concern regarding confidentiality), aggregate results should be retained instead.
- This item is not intended to capture anecdotal information provided by contacts or informal or one-time surveys; such information would instead be reflected in the associated memos and briefings.
- D. Spreadsheets or other materials showing the policy rules used as benchmarks in policy discussions with Reserve Bank presidents, as well as economic forecasts and model simulations used in preparing the president's contribution to the FOMC's Summary of Economic Projections.
- Where possible, the policy rule materials should show both the rules used and the data employed in evaluating the rule prescriptions.
- However, in some cases the data used to evaluate the rules may have been obtained from vendors and retention of such data may be affected by the terms of the contract with the vendor.

District owned

[1117 - Policy Research & Analysis](#)

[Inventory](#)

[Experiences](#)

High

Active

- [90 - FOMC Briefing Presentations](#) ~~Removed from scope~~
- [91 - Preparation Materials for FOMC Briefing Presentations](#) ~~Removed from scope~~
- [92 - Tealbook Forecasts Briefing Presentations](#) ~~Removed from scope~~
- [93 - FOMC Briefing Outlines](#) ~~Removed from scope~~
- [97 - Policy Rule Spreadsheets](#) ~~Removed from scope~~
- [98 - FOMC Statement Preparation Materials](#) ~~Removed from scope~~
- [100 - Tealbook Presentation Support Materials](#) ~~Removed from scope~~
- [159 - Anecdotal Reports](#) ~~Removed from scope~~
- [171 - Beige Book Survey Responses \(Raw Data\)](#) ~~Removed from scope~~
- [317 - Historical FOMC Briefing Materials \(1950s-1990s\)](#) ~~Removed from scope~~

New Item Added Per Chair's memo of May 8, 2017. Replaces HML 90, 91, 92, 93, 97, 98, 100, 159, 171, and 317 Updated Retention Category, Feb. 2021

Contains historical DORPS (Daylight Overdraft Reporting and Pricing System) data dating back to 1998. The size of the file is about 500 GB. Data is purged from the current ABS Express application so that only two years of information is contained in the application and is also stored in the file. The size is about 700-780 KB every five business days (five ECM/transaction files are stored on a nightly basis). The CCRM had requested that the old DORPS data should be moved to a flat file.

District owned -
FRB Richmond
(Subcommittee
on Credit Risk
Management)

DORPS offline
backup file

403

Per CHIP's memo of
June 8, 2017, New Item
Added
Updated Retention
Category, Feb. 2021

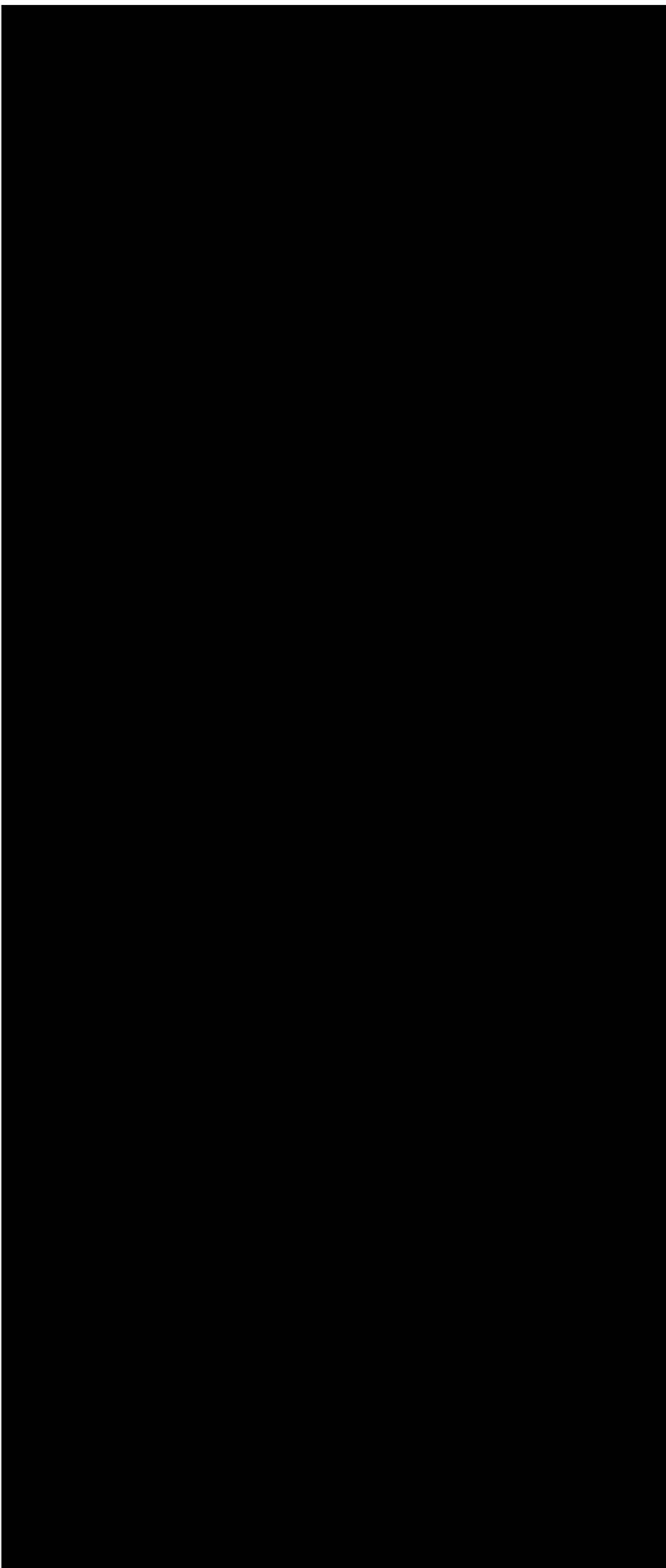
[1010 - Archives & Artifacts](#)

[Inventory](#)

[Expenses](#)

Low

Active



407

Records of
Significant
District
Committees

Materials related to the operations of some Reserve Bank committees also would be of historical importance, to the extent that they served as important sources of information for the Reserve Bank president. For example, materials related to the operation of the Reserve Bank management committee or the credit risk committee could be of considerable interest.

District owned

1010 - Archives & Artifacts

1047 - Board of Directors Meetings & Board Committee Records

1068 - COP & CFVP Records

1070 - External Organizations, Advisory & Sponsored Groups Committees

Inventory

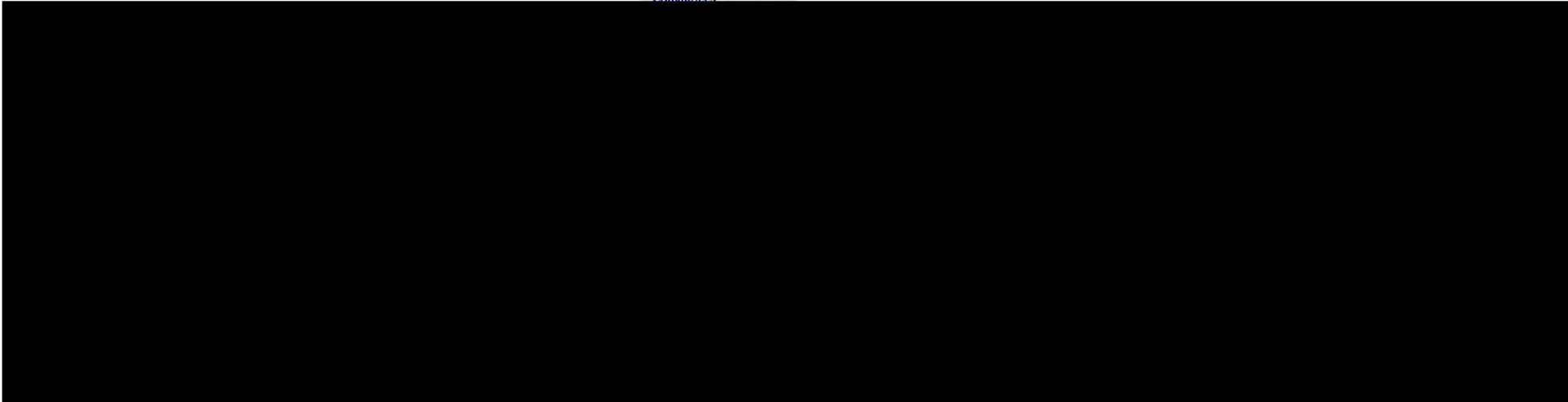
FedPreserve

Low

Active

107 - Significant System Committees & Subcommittees

New Item Added. Separation of HML 107 into two HML items. Updated Retention Category, Feb. 2021. Updated Retention Category, approved at December 2023 CHIP meeting.



417	Archives & Artifacts	<p>Records, artifacts, photographs, and historical memorabilia documenting Bank history which have historical significance or provide economic policy or research value to the Bank or the Federal Reserve System. May include, but is not limited to:</p> <ul style="list-style-type: none"> -Materials arising from significant events that affect the bank, its employees, or its work in the community (e.g. Hurricane Katrina) - Agendas, minutes, and materials presented to committees and subcommittees involved in key System decisions (see HML #107 for list). - Financial crisis materials (see HML #9 for more details) - Organizational charts at the officer level and above, captured annually or after a new President is named. (see HML #108 for more details) - Publications, websites, and blogs created for the public by the Banks that address significant issues. (Capture policy-related public websites semi-annually or before and after a major change.). (see HML #84 for more details) <p>Records documenting the formal administration and proceedings of the Board of Directors and Board Committees including:</p> <ul style="list-style-type: none"> - Board of Directors meeting notices, agendas and minutes. 	District owned	1010 - Archives & Artifacts	Inventory	FedPreserve	Medium	Active	New Item Added per CHIP's memo of June 27, 2017; 2020: Additional details added to description; 2022: Revise "Policy" to "Significant" Qualifier for Publications, approved at September 29, 2022 CHIP meeting
419	District Board of Directors, Executive Committee & Other Board Committees Meeting Records	<ul style="list-style-type: none"> - Board Committee meetings notices, agendas and minutes. - Indemnification policies. - Bylaws and committee charters - Includes materials or presentations directly noted in the minutes. - Records documenting the appointment or reappointment of Federal Reserve Bank President and First Vice President (e.g., resolution of Class B and C directors, appointment/reappointment documentation sent to/from Board of Governors). <p>Records documenting the President's role in Bank and System activities. May include, but is not limited to:</p> <ul style="list-style-type: none"> - Speeches delivered. 	District owned	1047 - Board of Directors Meetings & Board Committee Records	Inventory	FedPreserve	High	Active	New Item Added per CHIP's memo of June 27, 2017; 2022: Edited description to include a bullet for appointment records, corresponding to the SRRM revision approved in 2022
421	President's Records	<p>Reference material relating to domestic and foreign travel to external meetings, visits to other financial institutions, and similar activities, not including administrative documents such as expense reports.</p> <ul style="list-style-type: none"> - Correspondence sent and received by the President. - Files on various subjects and topics of relevance to the President's responsibilities. 	District owned	1103 - President's Records	Inventory	FedPreserve	High	Active	58 - President's Office - Geithner *Removed from scope* New Item Added per CHIP's memo of June 27, 2017; 2022: add cross-reference to merged item 58, approved at September 29, 2022 CHIP meeting

427 Audited, annual Reserve Bank financial statements
Audited, annual Reserve Bank financial statements, including footnotes. Also referred to as the Annual Report.
District owned
[1228 - Financial Reporting - Annual Financial Statements](#)
[Inventory](#)
[Exp/Process](#)
Active
Updated Retention Category, Feb. 2021

428 Bank mission/vision/strategic direction materials
Materials documenting Bank-wide objectives, direction, mission, and values. May include but is not limited to:
- Strategic plans, objectives, or priorities
- Mission, vision, or value statements
- Progress reports
Records relating to emergency lending conducted under sections 13(B) and 13(13) of the Federal Reserve Act that are of historical value. May include, but is not limited to:
- Terms and conditions and frequently asked questions.
- [Formal and Informal Lending, e.g., the Emergency Lending and Repayment Program](#)
- [Records of all agreements to the EILP](#)
- [Records in facilities \(e.g., small businesses made by agreement\)](#)
- Records relating to agreements or arrangements with the US Treasury in connection with facilities.
- Records of executed agreements with facility service providers.
- Records that identify the acquisition and disposition (by sale, write-off, or otherwise) of each asset acquired.
- Procedures and guidelines.
- [Records, including Form FD-302, that identify the records that support the EILP and implementation of the EILP.](#)
District owned
[1010 - Activities & Artifacts](#)
[Inventory](#)
Active
New item approved January 9, 2023, via email
Added new item, approved at December 2023 CHIP meeting.

429 Emergency Lending Program Records
District owned
[1010 - Emergency Lending](#)
[Inventory](#)
Active
Added new item, approved at December 2023 CHIP meeting.