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Description of document: Defense Intelligence Agency (DIA) Inspector General (OIG) Semi-Annual Reports - 1996-2004

Requested date: 13-April-2008

Release date: 24-September-2024

Posted date: 11-November-2024

Source of document: Defense Intelligence Agency
ATTN: IMO-2 (FOIA)
7400 Pentagon
Washington, DC 20301-7400
[DIA FOIA Request Form](#)
Email: foial@dodiis.mil

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-24-7043/IMO-2 (FOIA)

FOIA-00326-2008
September 24, 2024

This responds to your Freedom of Information Act (FOIA) request, dated April 13, 2008, that you submitted to the Defense Intelligence Agency (DIA) for information concerning: *A copy of each semi-annual and/or annual report produced by the Defense Intelligence Agency's Inspector General since the establishment of the DIA IG position.* I apologize for the delay in responding to your request as DIA continues its efforts to eliminate the large backlog of pending requests.

A search of DIA's systems of records located 73 documents (410 pages) responsive to your request.

Upon review, while considering the foreseeable harm standard, I have determined that some portions of the documents (410 pages) must be withheld in part from disclosure pursuant to the FOIA. The withheld portions are exempt from release pursuant to Exemptions 1, 2, 3, and 6 of the FOIA, 5 U.S.C. § 552 (b)(1), (b)(3), and (b)(6). Exemption 1 applies to information properly classified under the criteria of Executive Order 13526. Exemption 3 applies to information specifically exempted by a statute establishing particular criteria for withholding. The applicable statutes are 10 U.S.C. § 424 and 50 U.S.C. § 3024(i). Statute 10 U.S.C. § 424 protects the identity of DIA employees, the organizational structure of the agency, and any function of DIA. Statute 50 U.S.C. § 3024(i) protects intelligence sources and methods. Exemption 6 applies to information which if released would constitute an unwarranted invasion of the personal privacy of other individuals. DIA has not withheld any reasonably segregable non-exempt portions of the records.

If you have any questions/concerns you may:

Contact the FOIA Public Liaison	Email: FOIA1@dodis.mil Phone: 301-394-6253
File an administrative appeal (must be submitted within 90 days of the date on the letter) please contact us via one of the following and use FOIA-00326-2008 when referencing your case)	Email: FOIA1@dodis.mil Mail: Defense Intelligence Agency ATTN: IMO-2A (FOIA) 7400 Pentagon Washington, DC 20301-7400

For mediation services, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire

Email: ogis@nara.gov Phone: 202-741-5770
Toll-Free 1-877-684-6448 Facsimile: 202-741-5769
Mail: Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road-OGIS
College Park, MD 20740-6001

Sincerely,



(for)

C. C. Davison

Chief, Records and Open Government

Enclosures: a/s



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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



~~S~~-0131/IG

15 October 1996

MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR POLICY AND
OVERSIGHT, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the
Congress (U)

Reference: DoD IG memorandum, 11 September 1996, subject:
Semiannual Report to the Congress.

1. (U) As requested by reference, the information requested for
each audit and inspection report issued during this reporting
period is attached. In addition, the following schedules are
submitted:

- a. (U) Schedule 7 - Fraud/Theft Investigative Case Inventory
- b. (U) Schedule 8 - Investigative Case Results
- c. (U) Schedule 9 - Investigative Case Results
(Administrative Actions)

2. (U) If additional information is required, please contact

(b)(3) 10 USC 424; (b)(6)

4 Encls

1. Schedule 7 (U), 1 cy
2. Schedule 8 (U), 1 cy
3. Schedule 9 (U), 1 cy
4. Audit and Inspection
Reports ~~(S)~~, 1 cy

cc:
CS

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET~~

UNCLASSIFIED

April 27, 1990
 7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 30 September 1990
SCHEDULE 7		
FRAUD/THEFT INVESTIGATIVE CASE INVENTORY		
CASE INVENTORY		NUMBER OF CASES
1. OPEN CASES - BEGINNING OF PERIOD ^{1/}		44
2. CASES OPENED THIS PERIOD		113
3. TOTAL		157
4. CASES CLOSED THIS PERIOD ^{2/}		104
5. OPEN CASES - END OF THIS PERIOD		53
6. CLOSED CASES BY FUNCTIONAL AREA		
a. PAY AND ALLOWANCE FRAUD ^{3/}		6
b. NONAPPROPRIATED FUND FRAUD ^{4/}		0
c. PROCUREMENT PROGRAMS / SYSTEMS FRAUD		3
d. COMMISSARY FRAUD		0
e. PROPERTY DISPOSAL PROGRAM / SYSTEMS FRAUD		0
f. BRIBERY OF GOVERNMENT OFFICIALS		0
g. CONFLICT OF INTEREST		5
h. DAMAGE, WRONGFUL DESTRUCTION (INCLUDING ARSON)		0
i. GOVERNMENT THEFT (OVER \$1,000) ^{5/}		0
j. CHAMPUS FRAUD		0
k. FRAUDULENT PERSONNEL ACTIONS		8
l. SUBSISTENCE FRAUD		0
m. OTHER ^{6/}		91
n. TOTAL CASES CLOSED BY FUNCTIONAL AREA ^{2/}		113

- ^{1/} Must match the number of open cases at the end of the prior period. Explain differences.
- ^{2/} Must match total of closed cases by functional area.
- ^{3/} Include traveler per diem fraud.
- ^{4/} Include military exchange stores and morale/welfare/recreation activities.
- ^{5/} Include larceny, theft or wrongful appropriation of Government property, funds, or services whether by forgery, embezzlement, computer fraud, burglary, robbery, and/or other means.
- ^{6/} Footnote categories included.

UNCLASSIFIED

UNCLASSIFIED

April 27, 1990
7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL	
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 30 Sep 96	
SCHEDULE 9* INVESTIGATIVE CASE RESULTS (ADMINISTRATIVE ACTIONS)			
CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			0
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			11
b. DEMOTIONS			0
c. TERMINATIONS			4
d. OTHER			
3. MANAGEMENT ACTIONS			
* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).			
SCHEDULE 10 NUMBER OF INSPECTION REPORTS ISSUED			
CATEGORY	NUMBER OF REPORTS ISSUED		
1. GENERAL			
2. SPECIAL			
3. OTHER			
4. TOTAL			

UNCLASSIFIED

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AUDIT AND INSPECTION REPORTS

1. (U) Inspection of Defense Intelligence Agency (DIA) Support to the Combatant Commanders (Project Number 94-1549-HQ-001), 30 September 1996.

a. (U) Purpose: DIA provides tailored intelligence products and support to the Unified Commands and the Supreme Headquarters Allied Powers Europe. This inspection evaluated the efficiency and effectiveness with which DIA's production elements, current intelligence structure, and indications and warning group supports the Combatant Commanders.

b. (U) Major Findings:

(1) ~~(S)~~ [redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(2) ~~(S)~~ [redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(3) (U) The [redacted] plays a limited role in providing intelligence support to the commands.

(b)(3); 10 USC 424

(4) (U) DIA's ability to support the [redacted]

(b)(3); 10 USC 424

[redacted] process is hampered by a limited dialogue with the Unified Commands, and by Directorate for Intelligence (J2) manpower and fiscal limitations.

(5) (U) DIA's production requirements management process is decentralized. Multiple points of contact for crisis and noncrisis related actions frustrate the CINCs efforts to receive updates on their production requirements.

Derived From: DIA [redacted] 291330Z JAN 96
~~Declassify On: X1~~
~~Date of Source: 29 Jan 96~~

(b)(3); 10 USC 424

Enclosure 4 to ~~S~~-0131/IG

~~SECRET~~

(6) (U) CINCs want to be more involved in production of the daily Military Intelligence Digest (MID).

(7) (U) Under the DoD Intelligence Production Program (DoDIPP), theater commands have increased responsibility for their geographic areas and should be more involved in drafting National Intelligence Estimates (NIEs). NIEs serve as the intelligence baseline for command contingency plans.

c. (U) Recommendations:

(b)(3)-10 USC 424

(1) (U) The Directorate for Intelligence Production (DI) and the [redacted] to improve the oversight and management of requirements, DI establish a centralized customer service center to receive, process, and provide status reports on all production requirements submitted to DIA.

(2) (U) MID staff improve the process and its relationship with external producers.

(3) (U) Directorate for Policy Support (DP), in coordination with DI, document DIA policies, responsibilities, and procedures for intelligence community participation in the NIE process in one of the DoDIPP publications.

(4) (U) J2 expand current efforts, such as the publication of DIN newsletters via INTELINK, to update the Unified Commands on the capabilities of the DIN to support the command and to advise them on production and technical operations issues.

(5) (U) J2 expand the use of Joint Warning Indications Communications System to coordinate with the Unified Command J2s on ISR JWCA actions.

d. (U) Benefits: Nonmonetary. Increased services and support to Combatant Commanders.

2. (U) Audit of External Research Contracting at the Defense Intelligence Agency (Project Number 96-1560-OA-003), 20 August 1996.

a. ~~(S)~~ Purpose: DIA uses external research contracts to support a number of [redacted]

(b)(1), Sec 1.4(c)

b. (U) Major Findings:

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(1) ~~(S)~~ Contrary to DIA employee perceptions articulated in a management survey, DIA's use of external research contracts in support of [REDACTED] was not extensive. Only 11 projects between fiscal years 1990-1994 involved DIA-funded contracts which produced intelligence products.

(2) (U) Justification for external research contracting was generally well-documented.

(3) (U) Deliverables from external research contracts were received and utilized as intended.

(4) (U) DI would benefit from adopting quality assurance and internal control procedures currently utilized by the [REDACTED]

c. (U) Recommendation: DI establish a process for the independent review of all external research contracting decisions and implementation similar to those processes utilized by MS.

d. (U) Benefits: Nonmonetary. Improved internal controls and processes to ensure effective use of contract deliverables.

3. Audit of the Defense Intelligence Agency Pilot Credit Card Program (Project Number 95-1571-OA-006), 30 September 1996.

a. (U) Purpose: The Federal Acquisition Streamlining Act allows federal agencies to establish micro-purchasing procedures, through the use of Agency cards, for purchases under \$2500. DIA initiated a pilot credit card program and requested an Inspector General audit of the pilot before proceeding to Agency-wide implementation.

b. (U) Major Findings:

(1) (U) Established credit card policies and procedures were not followed as required, including split purchases used to circumvent single purchase limits; failure to use mandatory sources; purchase of items listed as restricted or prohibited; and purchase of personal preference items.

(2) (U) DIA credit card guidelines do not establish procedures for initiating purchase requests, documentation to be retained, or coordination/approvals required for specific items. Consequently, there was no assurance that purchase requests were valid, authorized, or mission essential.

(3) (U) Program administration was performed by one individual as a collateral duty with no assistance from each directorate.

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(4) (U) Limited training and absence of clear guidelines resulted in either reluctance to use the credit card, or lack of knowledge of credit card requirements by those that did.

(5) (U) Some required administrative procedures were unnecessary, burdensome, time consuming, and discouraged use of the card, resulting in the use of more costly methods for micro-purchases.

(6) (U) Pilot credit card program resulted in over [redacted] in monetary savings from January 1995 through March 1996. Further expansion of the program will reduce procurement lead time, imprest fund usage, and vendor payment time.

c. (U) Recommendations:

(1) (U) The Directorate for Administration (DA) establish written procedures requiring documented Office of the Comptroller (OC) approval prior to initiating any purchases after the September 15th cut-off date.

(2) (U) DA task approving officials to ensure that monthly statements are dated upon receipt as required by credit card guidelines.

(3) (U) DA issue International Merchant Purchase Authorization Cards to Office for Procurement contract specialists for the purchase of goods and services totalling more than [redacted] and less than [redacted] and incorporate into the credit card guidelines the controls and procedures which are to be followed for the use of these cards.

(4) (U) DA expand procedures in the credit card guidelines detailing the use of mandatory sources to include the name, address, and telephone number for obtaining catalogs for each mandatory source.

(5) (U) DA open accounts and provide account numbers to each cardholder to allow for GSA catalog and other mandatory source activities purchases. If this is not feasible, provide detailed guidance on how purchases are to be made from those sources in the Program guidelines to meet Federal Acquisition Regulations (FAR) requirements.

(6) (U) DA establish procedures outlining the requirement for adequate price reasonableness.

(7) (U) DA establish procedures for documenting exceptions for unauthorized and/or emergency purchases. The procedures should include, at a minimum: 1) written justifications for exceptions and emergencies be approved by the appropriate level of authority to include a full explanation as

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to why such actions are deemed necessary; 2) prohibition against using the following to justify emergencies or exceptions: administrative delays, lack of advance planning, loss or expiration of funds, or limited processing time; requirement that written documentation with the appropriate level of approval accompany the user's request to the cardholder, and be maintained in cardholder files.

(8) (U) DA review the listing of prohibited and restricted items identified in the credit card guidelines to ensure it is complete and that all exceptions are correctly identified.

(9) (U) DA establish micro-purchasing procedures in the credit card guidelines which:

(a) (U) identify the form to be used for requesting purchases;

(b) (U) identify the specific data which must be furnished to support the purchase;

(c) (U) require user requests to be properly approved by appropriate authority prior to being submitted to the cardholder; and,

(d) (U) require retention of documentation supporting the purchases for a minimum of 3 years.

(10) (U) DA establish in the credit card guidelines a listing of all acquisitions that must be coordinated with other DIA offices (such as automated data processing [ADP] and open-source publications) and the responsible action office point of contact.

(11) (U) DA establish a program coordinator position and require that Administrative Office Contacts be mandatory for each directorate or special office involved in the Program. Responsibilities would include at a minimum:

(a) (U) setting up of cardholders' accounts;
(b) (U) submitting additions, changes, and deletions;

(c) (U) maintaining copies of mandatory source catalogs;

(d) (U) maintaining the CD-ROM training software;

(e) (U) providing updated information regarding the Program; and,

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(f) (U) fielding questions concerning purchases.

(12) (U) DA revise and expand the current training program to provide detailed guidance regarding Agency unique procedures and policies; emphasize, in detail, the duties and responsibilities of each participant; and discuss the mandatory procedures and requirements identified by DoD and DIA.

(13) (U) DA schedule additional training for current cardholders and approving officials. Training should emphasize the need to coordinate selected purchases with other DIA offices; and the duties and responsibilities of the approving official, particularly their obligation to safeguard Government funds and property.

(14) (U) DA subdivide the Program guidelines by topic, (cardholder and approving official responsibilities) to allow a greater understanding of what is expected and required of each participant in the Program.

(15) (U) DA eliminate the requirement to prepare a duplicate credit card transaction log for OC.

(16) (U) DA review existing automated systems for possible implementation of the expanded program.

(17) (U) DA eliminate the requirement to send copies of the monthly package to the Office of the Inspector General.

(18) (U) DA not accept purchase requests for less than \$2,500 for those directorates and special offices having credit cards, except for the following reasons:

(a) (U) purchases of prohibited items under the Agency's Government Credit Card Guidelines (leases, furniture, ADP equipment, audio visual, etc.);

(b) (U) purchases where payment by credit card is not accepted;

(c) (U) purchases that have to be placed on back-order; or,

(d) (U) purchases that cannot be delivered within the 30-day billing cycle.

(19) (U) DA review Blanket Purchase Agreements (BPAs) upon expiration to determine whether it is more economical and/or efficient to use the credit card instead of renewing the BPA.

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(20) (U) DA incorporate Recommendations (18) and (19), if adopted, as policies into DIA Manual (DIAM) 44-2 and the Agency's Government Credit Card Guidelines.

d. (U) Benefits:

(1) (U) Potential Monetary. Increased efficiencies/cost savings from proper use of Agency credit cards versus traditional procurement mechanisms; cost savings on small purchases through the use of local vendors; improved performance in prompt payment of vendors.

(2) Nonmonetary. Compliance with FAR; increased efficiencies in procurement of goods and services.

4. (U) Audit of the [redacted] (Project Number 95-1586-OA-007), 24 April 1996.

a. ~~(S)~~ Purpose: [redacted] was a DIA systems development effort intended to provide an integrated administrative system throughout DIA, replacing stand-alone systems and providing new applications.

b. (U) Major Findings:

(1) ~~(S)~~ DIA expended over [redacted] in 2 years on [redacted] commercial-off-the-shelf software, contractor efforts, supporting hardware, software maintenance, and internal resources which has not resulted in an operational system to meet the Agency's needs.

(2) (U) Allegations received by the Director and the Inspector General (IG) were substantiated:

(a) (U) over [redacted] was spent on hardware in support of [redacted]

(b) (U) a purchase request was changed "after the fact" to add hardware for the [redacted]

(c) (U) management responsibility for [redacted] was inappropriately placed, creating a conflict-of-interest;

(d) (U) the Program Manager made significant decisions contrary to the advice of experts;

(e) (U) the Oracle Government Financial (OGF) software is unsuitable to support DIA requirements;

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(f) (U) two delivery orders duplicated existing systems or other contract efforts; and,

(g) (U) key personnel received awards and other favorable personnel actions, some of which were based on work related to the unsuccessful [redacted] project.

(3) (U) All aspects of Automated Information System (AIS) acquisition in DIA, including contract development, contract administration, budget planning, budget execution, initiation of purchase requests, and approval of purchase requests, are controlled by the same organization.

(4) (U) The composition of the source selection team does not sufficiently involve the customer in the selection process.

(5) (U) DIA did not follow established criteria for a structured project review, as required by DoD Directive (DoDD) 8120.1, "Life Cycle Management of Automated Information Systems."

[redacted] (6) (U) No valid justification exists to pursue the concept, or continue efforts to implement the [redacted] software.

c. (U) Recommendations:

[redacted] (1) (U) SC separate conflicting functions currently performed by [redacted] (as a minimum, contract development, funding authority, and program management) among other SC offices to establish proper segregation of duties, with appropriate checks and balances, in accordance with DoDD 5010.38, "Internal Management Control Program."

(2) (U) DA revise DIAM 44-2 to address the composition of source selection teams, ensuring that all affected offices are appropriately represented in the source selection process, that functional end-user perspective is present, and that each member has a vote.

(3) (U) SC establish an independent review board staffed by all affected offices, to review AIS contracts and task orders involving multiple offices and/or high dollar value acquisitions.

(4) (U) SC establish a structured process for Agency oversight and reporting of AIS efforts; develop criteria for project evaluation; and ensure that the actual and complete status of projects is reported to senior leadership, in accordance with DoDD 8120.1, and using Military Intelligence Board reporting efforts as a baseline.

(5) (U) SC clearly define the duties and responsibilities of the Project Manager, and Program Manager (where such a

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position is appropriate), and ensure that only the contracting officer's representative provides technical guidance to the contractor, in accordance with DIAM 44-2 and DIA Regulation (DIAR) 65-17.

(b)(3);10 USC 424

(6) (U) SC cease all additional expenditures of funds to implement the [redacted] software using current business processes and systems interfaces.

(7) (U) SC consider using Oracle (or other) relational data base management system to correct current operational shortfalls of the Acquisition, Receiving, and Inventory Management Information System by rehosting the back-end, rather than continued attempts to use the OGF.

(8) (U) SC cease all further [redacted] development and implementation actions.

d. (U) Benefits:

(1) (U) Monetary. [redacted] in funds put to better use.

(b)(3);10 USC 424

(2) (U) Potential Monetary. Elimination of all further development and implementation efforts.

(3) (U) Nonmonetary. Improvements in AIS acquisition and development process.

5. (U) Audit of [redacted] Mission and Functions at the Defense Intelligence Agency (Project Number 96-1602-OA-002), 12 April 1996.

a. (U) Purpose:

[redacted] (b)(1); (b)(3);10 USC 424; 1.4(c)

b. (U) Major Findings:

(b)(3);10 USC 424

(1) (U) The [redacted] function at DIA is sufficiently staffed, optimally placed, and fulfilling its basic legislative and regulatory requirements.

(2) (U) [redacted]

(b)(1); (b)(3);10 USC 424; 1.4(c)

(3) (U) [redacted]

(b)(1); (b)(3);10 USC 424; 1.4(c)

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(b)(3);10 USC 424 (4) (U) J2 internal communications need improvement.

c. (U) Recommendations:

(1) (U) The (b)(3);10 USC 424 [redacted] rewrite and update the (b)(3);10 USC 424 [redacted] Operations Manual and the (b)(3);10 USC 424 [redacted] Directory.

(2) (U) (b)(3);10 USC 424 [redacted] incorporate (b)(3);10 USC 424 [redacted] into its standard operating procedures.

(3) (U) DP track the DIAR 60-28 annual reporting requirement through the Automated Tasking System to ensure that assessment reports are submitted.

(4) (U) (b)(3);10 USC 424 [redacted] The Chief of Staff (CS) reactivate the DIA (b)(3);10 USC 424 [redacted] as the senior oversight and policy coordination body to ensure greater interaction between the J2 (b)(3);10 USC 424 [redacted] and (b)(3);10 USC 424 [redacted] in DI.

(5) (U) (b)(3);10 USC 424 [redacted] J2 (b)(3);10 USC 424 [redacted] DIA responsibilities for both shorter term and longer-term predictive (b)(3);10 USC 424 [redacted] and define how the various elements within DIA must interface to accomplish the mission.

(6) (U) (b)(3);10 USC 424 [redacted] J2 examine options to (b)(3);10 USC 424 [redacted] process (b)(3);10 USC 424 [redacted]

(7) (U) CS recommend Human Resources Development Board action to staff the reimbursable DIA Intelligence Community billet in accordance with Director Central Intelligence Directive 6/1.

(8) (U) J2 evaluate the adequacy of its internal communications and submit the findings, and a communications implementation plan, to CS.

(9) (U) J2 personally debrief the authors of the (b)(3);10 USC 424 [redacted] allegations on the results of the IG audit and J2 plans for addressing the IG recommendations.

d. (U) Benefits: Nonmonetary benefits. Increased efficiency and effectiveness of (b)(3);10 USC 424 [redacted] functions.

6. Inspection of the Diversity Management Office (Project Number 95-1610-HQ-001), 19 July 1996.

a. (U) Purpose: The Diversity Management Office (MD) develops and implements civilian and military diversity management policies, procedures, and programs. They manage

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complaints processing and mediation; DIA's affirmative employment and mandated programs; and develop proactive programs throughout DIA.

b. (U) Major Findings:

(1) (U) MD is meeting affirmative action and civilian complaint system processes.

(2) (U)

(b)(3) 10 USC 424

(3) (U)

(b)(3) 10 USC 424

(4) (U)

(b)(3) 10 USC 424

(5) (U) DIA diversity training needs to incorporate "lessons learned" from discrimination complaints. Recurring Prevention of Sexual Harassment training needs to be a part of DIA's ongoing training program.

(6) (U)

(b)(3) 10 USC 424

c. (U) Recommendations:

(1) (U) Eleven recommendations touch on the need for MD to assess how their services are meeting DIA's needs.

(2) (U) MD develop and implement a Military EO policy for DIA. Develop Support Agreements with all Services to provide complaint processing, investigative support, and counseling or referral services, at DIA facilities worldwide.

(3) (U) MD develop a programmed approach to communicate and meet with personnel prior to and during their assignments. This may include periodic presentations which are tailored to address current diversity issues and challenges, to regional conferences.

(4) (U) MD produce an instructional video tape on discrimination and sexual harassment which should be distributed to all DIA facilities. DA resume prevention of sexual harassment training for all employees.

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(5) (U) The IG will evaluate MD support to [redacted] and remote facilities during all inspections of [redacted] and worldwide locations. (b)(3), 10 USC 424

(6) (U) MD reassess DIA's deaf interpretation requirements for the next 5 years and reconcile the results with the current level of funding and support provided by the [redacted]

(c)(3), 10 USC 424

(7) (U) MD, in coordination with the Director, OC, and the Office for Human Resources, assess feasibility to establish new or redesignate existing billets as [redacted] (b)(3), 10 USC 424

d. (U) Benefits: Nonmonetary. Brought focus to individual MD programs and renewed corporate emphasis in diversity processes throughout DIA.



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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



~~S~~-0197/IG

15 April 1997

MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR POLICY AND
OVERSIGHT, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the
Congress (U)

Reference: DoD IG memorandum, 25 March 1997, subject:
Semiannual Report to the Congress.

1. (U) As requested by reference, the information pertaining to
each audit and inspection report issued during this reporting
period is attached. In addition, the following schedules are
submitted:

- a. (U) Schedule 7 - Fraud/Theft Investigative Case Inventory
- b. (U) Schedule 8 - Investigative Case Results
- c. (U) Schedule 9 - Investigative Case Results
(Administrative Actions).

2. (U) If additional information is required, please contact

(b)(3) 10 USC 424 - (b)(6)

4 Encls

1. Schedule 7 (U), 1 cy
2. Schedule 8 (U), 1 cy
3. Schedule 9 (U), 1 cy
4. Audit and Inspection
Reports ~~(S)~~, 1 cy

cc:
CS

~~REGRADED UNCLASSIFIED WHEN SEPARATED
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UNCLASSIFIED

April 27, 1990
 7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION Defense Intelligence Agency	REPORT CONTROL SYMBOL
		FOR THE 6 MONTH PERIOD ENDING (Enter Date) 31 March 1997
SCHEDULE 7		
FRAUD/THEFT INVESTIGATIVE CASE INVENTORY		
CASE INVENTORY		NUMBER OF CASES
1. OPEN CASES - BEGINNING OF PERIOD ^{1/}		53
2. CASES OPENED THIS PERIOD		102
3. TOTAL		155
4. CASES CLOSED THIS PERIOD ^{2/}		113
5. OPEN CASES - END OF THIS PERIOD		42
6. CLOSED CASES BY FUNCTIONAL AREA		
a. PAY AND ALLOWANCE FRAUD ^{3/}		8
b. NONAPPROPRIATED FUND FRAUD ^{4/}		0
c. PROCUREMENT PROGRAMS/SYSTEMS FRAUD		4
d. COMMISSARY FRAUD		0
e. PROPERTY DISPOSAL PROGRAM/SYSTEMS FRAUD		0
f. BRIBERY OF GOVERNMENT OFFICIALS		0
g. CONFLICT OF INTEREST		0
h. DAMAGE, WRONGFUL DESTRUCTION (INCLUDING ARSON)		0
i. GOVERNMENT THEFT (OVER \$1,000) ^{5/}		0
j. CHAMPUS FRAUD		0
k. FRAUDULENT PERSONNEL ACTIONS		6
l. SUBSISTENCE FRAUD		0
m. OTHER ^{6/}		91
n. TOTAL CASES CLOSED BY FUNCTIONAL AREA ^{7/}		113

- ^{1/} Must match the number of open cases at the end of the prior period. Explain differences.
- ^{2/} Must match total of closed cases by functional area.
- ^{3/} Include travel/per diem fraud.
- ^{4/} Include military exchange stores and morale/welfare/recreation activities.
- ^{5/} Include larceny, theft or wrongful appropriation of Government property, funds, or services whether by forgery, embezzlement, computer fraud, burglary, robbery, and/or other means.
- ^{6/} Footnote categories included:
 Employee Misconduct
 Government Property Matters
 False Statements
 False Claims
 Misuse of Government Resources
 Employee Reprisal Matters
 Government Funds Matters
 Security Matters
 Waste of Government Resources
 Abuse of Authority
 Employee Assistance
 Special Inquiry
 Other - Miscellaneous

UNCLASSIFIED

Enclosure 1 to S-0197/IG

UNCLASSIFIED

April 27, 1990
7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL	
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 31 March 1997	
SCHEDULE 9* INVESTIGATIVE CASE RESULTS (ADMINISTRATIVE ACTIONS)			
CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			15
b. DEMOTIONS			
c. TERMINATIONS			4
d. OTHER			19
3. MANAGEMENT ACTIONS			59
* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).			
SCHEDULE 10 NUMBER OF INSPECTION REPORTS ISSUED			
CATEGORY	NUMBER OF REPORTS ISSUED		
1. GENERAL			
2. SPECIAL			
3. OTHER			
4. TOTAL			

UNCLASSIFIED

~~SECRET~~

AUDIT AND INSPECTION REPORTS

1. (U) Audit of Defense Intelligence Agency (DIA) Travel Funds Management (Project Number 94-1561-OA-006).

a. (U) Purpose: To evaluate the effectiveness of the management of the Agency's travel program. The specific objectives of the audit were to: 1) determine whether travel fund allocations were consistent with mission priorities; 2) evaluate DIA procedures and controls designed to ensure the most efficient utilization of travel funds; 3) assess individual DIA unit planning for the expenditure of travel funds; and, 4) evaluate the effectiveness of applicable internal controls. In addition to the objectives listed above, we also evaluated the validity of employee perceptions concerning temporary duty (TDY) travel that were identified in the 1994 DIA Workforce Survey.

b. (U) Major Findings: Our analysis does not support the overall perception generated by the DIA workforce survey that travel was excessive, unnecessary, disproportionately allocated to senior personnel, and unwisely spent at the end of the fiscal year (FY). However, we did find that internal controls need to be strengthened to enhance the effectiveness and efficiency of TDY travel, and to dispel numerous negative perceptions concerning the Agency's travel program. Specifically, we found insufficient justification to support TDY travel; insufficient controls for approving travel against blanket travel orders (BTOs) and unnecessary use of BTOs; an absence of overall travel planning guidance; and the need for an automated travel system capability to enhance the administration and control of the DIA travel program.

c. (U) Recommendations:

(1) (U) Directorate for Intelligence Production (DI) reevaluate the policy of using commercial tours for some analyst orientation travel; and ensure that its staff is fully informed about the nature and extent of commercial tours and how they are used to satisfy requirements.

(2) (U) Directorate for Administration (DA) update DIA Manual (DIAM) 12-7 to reflect that each directorate and special office establish procedures to ensure that TDY requests or attached supporting documentation provide adequate justification

Derived From: DIA/ (b)(3):10 USC 424 291330Z JAN 96
Declassify On: Xi
Date of Source: 29 Jan 96

Enclosure 4 to ~~S~~-1097/IG

The next three pages are withheld in full under the following exemptions and will not be provided:
(b)(1) 1.4(c);
(b)(3) 10 USC 424;
(b)(3) 50 USC 3024

~~SECRET~~

regarding the purpose of the TDY travel and the requirement for multiple travelers; amend DIAM 12-7 to require chain-of-command approval for all travel against BTOs for individuals below directorate and special office head level; and amend DIAM 12-7 to authorize only the minimum necessary number of rental vehicles on multiple traveler TDY orders.

(3) (U) DA continue to reduce the number of BTOs issued to DIA personnel by tying approval to the frequency of travel during the preceding FY.

(4) (U) DA continue to closely coordinate with Department of Defense (DoD) and National Security Agency (NSA) to obtain travel reengineering test results and to provide feedback on any unique DIA travel system requirements; explore interim measures of automating TDY travel forms and mapping data fields to a corporate data base to provide DIA senior managers with comprehensive travel information support; and provide periodic updates to DIA leadership on the latest results of the DoD travel reengineering tests and potential implications of these changes on DIA.

(5) Chief of Staff (CS) issue an Agency-wide memorandum on travel policy which reflects the corporate DIA philosophy on the planning and utilization of travel funds.

d. (U) Benefits:

(1) (U) Potential Monetary. More efficient use of DIA travel funds.

(2) (U) Nonmonetary. Reduction in unnecessary or marginally productive travel.

2. (U) DIA Support to the DoD Counterdrug (CD) Effort (Project Number 95-1609-OA-009).

(b)(1) 50 USC 1.4(c); (b)(3) 10 USC 424

~~SECRET~~

(b)(1); (b)(3);10 USC 424, Sec. 1.4(c)

c. (U) **Recommendations:**

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(2) (U) DO add to the (b)(3);10 USC 424 Course curriculum a requirement for all (b)(3);10 USC 424 to be trained in local area network management, to the extent that they are able to maintain their systems on site. Additionally, DO provide training to (b)(3);10 USC 424 currently in the field.

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(4) (U) DO stabilize RO tours in the geographic offices.

(5) (U) DO ensure worldwide (b)(3);10 USC 424 compliance with all aspects of the intelligence oversight program.

d. (U) **Benefits:** Nonmonetary. Increased efficiency and effectiveness of (b)(3);10 USC 424 operations.

5. (U) **Audit of the** (b)(3);10 USC 424 **Fiscal and Logistics Operations (Project Number 96-1627-OA-011).**

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

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~~SECRET~~

(b)(3), 10 USC 424; (b)(3) 50 USC 3024(i)

(4) (U)

(b)(3), 10 USC 424; (b)(3) 50 USC 3024(i)

(5) (U)

(b)(3), 10 USC 424; (b)(3) 50 USC 3024(i)

d. (U)

(b)(3), 10 USC 424; (b)(3) 50 USC 3024(i)

**7. (U) Special Inspection within the Office for Publications
(Project Number 96-1639-HQ-003).**

a. (U) **Purpose:** This was a special inspection to determine the validity of anonymous complaints regarding overtime management and work processes within the Office for Publications (SVP), Services Group (SV), DS.

b. (U) **Major Findings:** The lack of a professional editor within SVP increases production time and causes reprint jobs due to editorial errors. SVP lacks a process to assess effectively workload and overtime requirements. SVP publishing operations employ several systems and software which are not DIA standard which has precluded system support by the Systems Group (SY) of DS. SVP personnel identified a need for improved internal communications and management oversight.

c. (U) Recommendations:

(1) (U) SVP develop and implement a mechanism to track production costs by job and customer.

(2) (U) SV provide an independent editorial review of products before being printed; and assess the feasibility of establishing a customer "charge back" system when customers make minor editorial changes after publication of a document.

(3) (U) DS ensure a SY systems support person is cross-trained in SVP technical maintenance requirements.

(4) (U) DS, SV, and SVP management to interact with SVP employees to motivate and support mission focus, particularly for those employees working night shift.

~~SECRET~~

SECRET

d. (U) **Benefits:**

(1) (U) Potential Monetary. Reduction of overtime costs and printing production runs for charges created by editorial error.

(2) (U) Nonmonetary. Increased effectiveness and efficiency of printing operations

8. (U) Inspection of (b)(3), 10 USC 424 Maintenance Support Issues (Project Number 96-1641-HQ-003).

(b)(1), Sec. 1.4(c); (b)(3), 10 USC 424

(b)(3), 10 USC 424

c. (U) **Recommendations:**

(b)(3), 10 USC 424

9. Inspection of (b)(3), 10 USC 424 (Project Number 96-1643-HQ-003).

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(b)(3) 19 USC 424; (b)(3) 50 USC 3024(i)

c. (U) **Recommendations:** None.

d. (U) **Benefits:**

(b)(3) 19 USC 424; (b)(3) 50 USC 3024(i)

12. (U) **Followup Inspection within the Joint Military Intelligence College (MC) (Project Number 94-1563-HQ-001).**

a. (U) **Purpose:** In June 1995, the IG conducted an organizational inspection of MC and issued a series of findings regarding the operation and management of the John T. Hughes Library (MCA-4), Office for Academic Operations and College Resources (MCA), MC. This was a followup inspection to determine the level of improved communication between the library director and staff; improvements in delegation of library management responsibilities; improvements in personnel management; and, improvements to the library collection policy.

b. (U) **Major Findings:** MCA-4 results indicated only minimal improvement in library management practices documented in 1995. MCA-4 had not improved adequate delegation of duties as recommended in the initial inspection. MCA-4 employees do not receive effective performance feedback as required by the DIA performance appraisal system.

c. (U) **Recommendations:** MC develop a performance improvement plan which clearly addresses the personnel management deficiencies; and a mechanism to improve MCA-4 internal communications.

d. (U) **Benefits:** Nonmonetary. Increased effectiveness and efficiency of operations.

~~SECRET~~



~~SECRET~~

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0546/IG

14 October 1997

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the Congress (U)

Reference: DoD IG memorandum, 17 September 1997, subject: Semiannual Report to the Congress.

1. (U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. In addition, the following schedules are submitted:

a. (U) Schedule 7 - Fraud/Theft Investigative Case Inventory.

b. (U) Schedule 8 - Investigative Case Results.

c. (U) Schedule 9 - Investigative Case Results (Administrative Actions).

2. If additional information is required, please contact

(b)(3)10 USC 424; (b)(6)

4 Encls

1. Schedule 7 (U), 1 cy
2. Schedule 8 (U), 1 cy
3. Schedule 9 (U), 1 cy
4. Audit and Inspection Reports (U), 1 cy

cc:
CS

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET~~

UNCLASSIFIED

April 27, 1990
7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 30 September 1997

SCHEDULE 7 FRAUD/THEFT INVESTIGATIVE CASE INVENTORY	
CASE INVENTORY	NUMBER OF CASES
1. OPEN CASES- BEGINNING OF PERIOD ^{1/}	43
2. CASES OPENED THIS PERIOD	136
3. TOTAL	179
4. CASES CLOSED THIS PERIOD ^{2/}	109
5. OPEN CASES- END OF THIS PERIOD	70
6. CLOSED CASES BY FUNCTIONAL AREA	
a. PAY AND ALLOWANCE FRAUD ^{3/}	9
b. NONAPPROPRIATED FUND FRAUD ^{4/}	
c. PROCUREMENT PROGRAMS /SYSTEMS FRAUD	4
d. COMMISSARY FRAUD	
e. PROPERTY DISPOSAL PROGRAM /SYSTEMS FRAUD	
f. BRIBERY OF GOVERNMENT OFFICIALS	
g. CONFLICT OF INTEREST	2
h. DAMAGE, WRONGFUL DESTRUCTION (INCLUDING ARSON)	
i. GOVERNMENT THEFT (OVER \$1,000) ^{5/}	
j. CHAMPUS FRAUD	
k. FRAUDULENT PERSONNEL ACTIONS	9
l. SUBSISTENCE FRAUD	
m. OTHER ^{6/}	85
n. TOTAL CASES CLOSED BY FUNCTIONAL AREA ^{2/}	109

- ^{1/} Must match the number of open cases at the end of the prior period. Explain differences.
- ^{2/} Must match total of closed cases by functional area.
- ^{3/} Include travel/per diem fraud.
- ^{4/} Include military exchange stores and morale/welfare/recreation activities.
- ^{5/} Include larceny, theft or wrongful appropriation of Government property, funds, or services whether by forgery, embezzlement, computer fraud, burglary, robbery, and/or other means.
- ^{6/} Footnote categories included:
 - Employee Misconduct
 - Government Property Matters
 - False Statements
 - False Claims
 - Misuse of Government Resources
 - Employee Reprisal Matters
 - Government Funds Matters
 - Security Matters
 - Waste of Government Resources
 - Abuse of Authority
 - Employee Assistance
 - Special Inquiry
 - Other - Miscellaneous

UNCLASSIFIED

UNCLASSIFIED

April 27, 1990
7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL	
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING Major Date 30 Sep 97	
SCHEDULE 9* INVESTIGATIVE CASE RESULTS (ADMINISTRATIVE ACTIONS)			
CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			1
d. OTHER			1
3. MANAGEMENT ACTIONS			
* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).			
SCHEDULE 10 NUMBER OF INSPECTION REPORTS ISSUED			
CATEGORY	NUMBER OF REPORTS ISSUED		
1. GENERAL			
2. SPECIAL			
3. OTHER			
4. TOTAL			

UNCLASSIFIED

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AUDIT REPORTS

(U) Audit of (b)(3) 10 USC 424 Infrastructure Support Billets, IG, DIA, Project Number 96-1628-OA-008, April 10, 1997

~~(S)~~

(b)(1), Sec. 1.4(c) (b)(3) 10 USC 424

~~(S)~~

(b)(1), Sec. 1.4(c) (b)(3) 10 USC 424

~~(S)~~

(b)(1), Sec. 1.4(c) (b)(3) 10 USC 424

(U) Follow-up Audit of Property and Facility Transfer to the (b)(3) 10 USC 424 IG, DIA, Project Number 95-1570-OA-014, May 1, 1997

~~(S)~~

(b)(1), Sec. 1.4(c) (b)(3) 10 USC 424

Enclosure 4 to S-0546/IG

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(b)(1), Sec 1.4(c), (b)(3) 10 USC 424

(U) The follow-up audit found that one full year after the transfer date, the reconciliations had not been accomplished, and that execution of signed, complete hand receipts had only been accomplished for 56 percent of the hand receipt holders. Therefore, there was no assurance that the original discrepancies were corrected, that all property was properly transferred to DIA, or that property accountability was maintained during the transfer process.

(U) The recommendation was made that the DIA Directorate for Intelligence Operations and the Directorate for Administration reconcile Service property transfer records to the property hand receipts at unit level to ensure that all property is either on the DIA property books or proper disposition actions are complete, documented, or in process. Potential monetary benefit of this audit is reestablished physical control over property and related cost savings of avoiding unnecessary replacement.

(U) Audit of the Defense Intelligence Agency (DIA)

(b)(3) 10 USC 424

IG, DIA, Project Number

96-1612-OA-006, June 25, 1997

(U) The DIA

(b)(3) 10 USC 424

within DIA. The is organized to supplement the morale and welfare of personnel.

(b)(3) 10 USC 424

(b)(3) 10 USC 424

Annual income of approximately (b)(3) 10 USC 424 is generated from commission fees from the providers of services, and interest on the bank account. DIA Regulation 12-4 requires that the and its activities be audited by the IG, DIA every

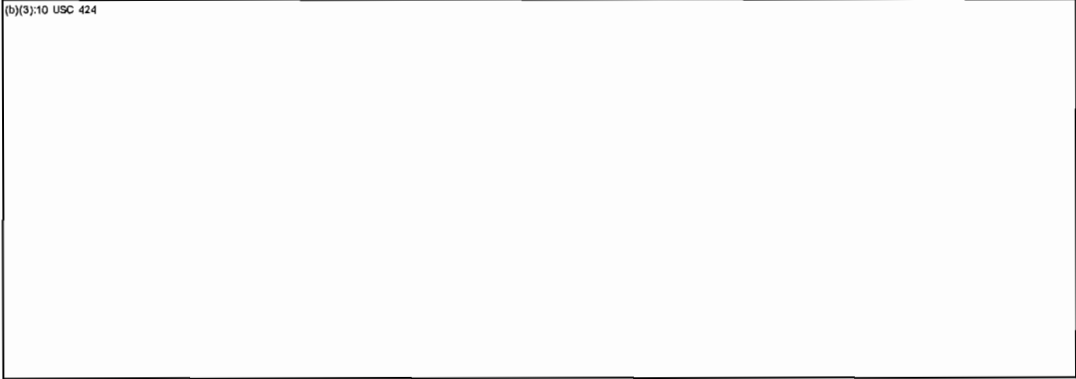
(U) The audit found the (b)(3) 10 USC 424 to be generally well managed with internal controls adequate to protect Fund assets. However, the IG, DIA found areas where management

(b)(3)

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(b)(3);10 USC 424



(U) Audit of Defense Intelligence Agency (DIA) Warehouse Operations, IG, DIA, Project Number 96-1629-OA-014, July 1, 1997

(b)(3) 10 USC424



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controls over property, more efficient warehouse business practices, and increased use of new technologies to eliminate redundant and time consuming tasks.

~~(S)~~ Audit of Defense Intelligence Agency (DIA) Activities with the ^{(b)(3);50 USC 3024(i)} IG, DIA, Project Number 96-1634-OA-013, September 30, 1997

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(b)(1); Sec. 1.4(c); (b)(3);10 USC 424

(b)(1); (b)(3);10 USC 424. Sec. 1.4(c)

(b)(2);10 USC 424, (b)(1), Sec. 1.4(c)

~~SECRET~~

~~SECRET~~

INSPECTION REPORTS

(U) Special Review of [redacted] Laptop Computer Policy, IG, DIA, Project Number 97-1655-HQ-066, April 8, 1997

(S) [redacted]

(b)(1), (b)(3);10 USC 424; Sec. 1.4(c)

(U) Several recommendations were issued for [redacted] to revise policies and practices and train personnel on proper laptop security procedures. These changes will ensure personnel are following proper security practices when using these systems in an operational setting.

(U) Inspection of [redacted] IG, DIA, Project Number 96-1631-HQ-001, April 9, 1997

(U) Inspection of [redacted] IG, DIA, Project Number 97-1633-HQ-001, June 18, 1997

(U) Inspection of [redacted] IG, DIA, Project Number 97-1659-HQ-001, September 4, 1997

(U) These inspections evaluated the economy, efficiency, and effectiveness with which these elements perform their [redacted] internal management; and all aspects of administration and security.

(U) Unit Assistance Visit of [redacted] IG, DIA, Project Number 97-1673-HQ-003, April 30, 1997

[redacted]

~~SECRET~~

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(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(U) Survey of [redacted] IG, DIA, Project Number 97-1645-HQ-001, May 12, 1997

(U) The IG, DIA conducted a broad-based survey of Defense [redacted] A separate customer satisfaction survey was deployed to Ambassadors, Office of the Under Secretary of Defense for Policy, Unified Commands, and internal customers in DIA. The survey identified areas for improvement, which included enhanced training, [redacted] and personnel development. This effort provided the IG, DIA, a tool to establish mission-based priorities for DHS inspections.

(U) Follow-up Inspection of Defense Intelligence Agency [redacted] IG, DIA, Project Number 97-1666-HQ-04, May 27, 1997

(C) The IG, DIA gauged the Agency's progress in improving DIA [redacted] were initially inspected in July 1993 and recommendations were made to improve program management practices.

(b)(3); 10 USC 424

(U) This inspection resulted in substantial changes to how DIA organizations coordinate and communicate to support [redacted]. The changes should ensure consistent and effective security practices throughout DIA [redacted]

(U) Special Review of the [redacted] Organizational Climate, IG, DIA, Project Number 97-1648-HQ-001, May 29, 1997

(U) The IG, DIA assessed employee knowledge and understanding of the equal opportunity/equal employment opportunity (EO/EEO) process within the [redacted] Office for Human Resources, Directorate for Administration. Inspectors deployed a survey and used sensing sessions to examine [redacted] organizational climate.

(U) One recommendation noted the need for EO/EEO training for [redacted] personnel, and has resulted in [redacted] personnel providing better, more accurate service and support to DIA military personnel.

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(U) Inspection of Senior Level Professional Development, IG, DIA, Project Number 97-1682-HQ-003, August 15, 1997

(U) The IG, DIA, assessed the process by which the Agency professionally develops its senior civilians (GS-14, GS-15, and SES) to determine if the program is postured to meet the Agency's needs and regulatory requirements. Approximately [redacted] employees were surveyed. The inspection found that gaps exist between the professional development needs of DIA's senior staff and DIA's ability to systematically meet the needs.

(b)(3):10 USC 424

(U) Twenty-one recommendations were issued regarding professional education, management skills, promotion opportunities, and rotational assignment programs for DIA professionals. Benefits of this inspection were non-monetary, involving increased focus in DIA's professional development program.

(U) Follow-up Inspection of Defense Intelligence Agency's [redacted] IG, DIA, Project Number 97-1672-HQ-003, August 29, 1997

(b)(3):10 USC 424

[redacted]

(U) Intelligence Oversight Inspection of [redacted] IG, DIA, Project Number 97-1710-HQ-001, September 12, 1997

(b)(3):10 USC 424

(U) This was the first in a series of Agency-wide inspections to determine intelligence oversight awareness and compliance among the workforce. It focused on unit training and compliance with appropriate regulations.

(U) The IG, DIA determined that the inspected element was in compliance with regulatory guidance. The element was commended for its ongoing relationship with the General Counsel, DIA for determinations of [redacted]

(b)(3):10 USC 424



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DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-1028

~~S~~ 0132/IG

13 April 1999

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the Congress (U)

Reference: DoD IG memorandum, 17 February 1999, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please contact me at (b)(3)-10 USC 424

1 Encl
Audit and Inspection
Reports (S), 1 cy

cc:
CS

(b)(3)-10 USC 424; 1616

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET~~
~~NOT-RELEASABLE TO FOREIGN NATIONALS~~

~~SECRET~~

AUDIT AND INSPECTION REPORTS (U)

(U) Inspection of (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Project Number 98-1724-HQ-001;
3 November 1998

~~(S)~~ This report is classified ~~SECRET~~. This inspection was conducted in accordance with the Fiscal Year (FY) 1998 Annual Plan. The unit demonstrated a strong mission focus and commitment despite difficult circumstances. (b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(b)(1); Sec 1.4(c); (b)(3);10 USC 424 Management concurred with the majority of the recommendations and has taken steps to implement corrective action.

(U) Special Inspection of Blacks in Government Use of Resources; Project Number 98-1779-HQ-003; 23 December 1998

(U) This report is UNCLASSIFIED. The inspection was initiated in response to an anonymous complaint alleging inappropriate/wrongful use of resources in support of Blacks in Government. The allegation was not substantiated.

(U) Special Inspection of (b)(3);10 USC 424; Project Number 98-1775-HQ-003; 31 December 1998

(U) This report is UNCLASSIFIED. This inspection was conducted as a result of an employee complaint that the (b)(3);10 USC 424

(b)(3);10 USC 424 Our finding was that the Branch was, in fact, performing far more capably now than it was before. However, the following recommendations resulted from the inspection: the Branch should employ positive leadership techniques and administration procedures; senior analysts should have more opportunity to do independent research; and, the Directorate for Intelligence Production should establish a comprehensive database of significant analytical products that have been issued. Management concurred with the recommendations.

(U) Review of Year 2000 Issues; Project Number 98-1717-001; 28 January 1999

~~(FOUO)~~ This interim report is ~~FOR OFFICIAL USE ONLY~~. The series of management advisory reports provide the Director, DIA, and Agency leadership with a continuing and current assessment of activities associated with Year 2000 (Y2K) renovations of DIA critical and mission support systems. Specifically, these interim reports address issues such as the need for contingency planning; meeting established timelines in complying with

~~Derived From: DHS SCG~~
~~Declassify On: X1~~
~~Date of Source: October 1997~~

Enclosure to S-0131/IG

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~~NOT RELEASABLE TO FOREIGN NATIONALS~~

SECRET

General Accounting Office/Department of Defense (DoD) guidance; awareness of associated system impact both internal and external to DIA; and, collecting and exploiting Y2K problems in foreign countries.

(U) Audit of Special Passport Program; Project Number 97-1662-OA-013; 4 February 1999

~~(S)~~ This report is classified ~~SECRET/NOT RELEASABLE TO FOREIGN NATIONALS~~. This effort was part of a joint audit conducted under the auspices of the Intelligence Community (IC) Inspector General (IG) Forum, consisting of the IGs of DIA, DoD, the

(b)(3);10 USC 424; (b)(1); (b)(3);10 USC 3024(i); Sec. 1.4(e)

(U) Inspection of (b)(3);10 USC 424 Project Number 98-1703-HQ-001; 8 February 1999

(U) This report is classified ~~SECRET~~. This inspection was conducted as part of the FY98 Annual Plan. Based on interviews with the Ambassador and the Deputy Chief of Mission, (b)(3);10 USC 424 were highly regarded integral members of the country team. (b)(3);10 USC 424 had recurring issues with Government vehicle usage. A recommendation for the Directorate for Intelligence Operations (DO) to establish procedures to monitor compliance with regulations was issued. (b)(3);10 USC 424 have both experienced disruptions and major inconveniences because of the office space they occupy. A recommendation for DO to coordinate permanent, secure facilities was issued. Management action is pending.

(U) Inspection of (b)(3);10 USC 424 Project Number 98-1750-HQ-001; 19 February 1999

(U) This report is classified ~~SECRET~~. Both (b)(3);10 USC 424 had the complete trust and respect of the Chiefs of Mission. French language ability is essential and a recommendation to ensure personnel were language-qualified before arriving on station was issued. Concerns about official use of Government vehicles were observed and a recommendation to obtain specific permission to use vehicles for medical related travel was issued. Another recommendation was made for DO to fill a vacant billet in (b)(3);10 USC 424 on a priority basis. Management action is pending.

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~~NOT RELEASABLE TO FOREIGN NATIONALS~~



~~SECRET~~

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



S-0044/IG

10 April 1996

MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR POLICY AND
OVERSIGHT, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the
Congress (U)

Reference: DoD IG memorandum, 18 March 1996, subject:
Semiannual Report to the Congress.

1. (U) As requested by reference the following are the titles of
each Defense Intelligence Agency (DIA) audit or inspection report
issued and a brief description:

a. ~~(S)~~ Audit of the (b)(3) 10 USC 424
(b)(3) 10 USC 424 to the DIA (Project Number 95-1568-OA-009).
(b)(3) 10 USC 424
(b)(3) 15 USC 3024(i) Sec. 1.4(c)

b. ~~(FOUO)~~ Defense (b)(3) 10 USC 424 System Weapons Issues Inspection
 (Project Number 95-1617-HQ-001). Inspections were conducted of
 the U.S.

(b)(3) 10 USC 424
 The purpose of the inspections was to evaluate the
 economy, efficiency, and effectiveness of the (b)(3) 10 USC 424 and to
 identify systemic issues within DIA. The key finding systemic to
 the organization was that DIA did not have clear and coherent
 policies in effect for determining if and when a (b)(3) 10 USC 424 should
 maintain weapons at post nor an established criteria for when
 personnel should be authorized to carry the firearms. Neither
 did DIA have an established procedure for periodic review of
 previous approvals authorizing (b)(3) 10 USC 424 members to carry weapons.
 Recommendations addressing each specific issue above and several

~~Derived From: Multiple Sources~~
~~Declassify On: Source Marked "OADR"~~
~~Date of Source: 29 January 1996~~

~~SECRET~~

~~SECRET~~

sub-issues were made. Management concurred in each of the recommendations, and actions are underway to correct this situation.

c. ~~(S)~~ Followup Audit of the (b)(3);10 USC 424 Program (Project Number 96-1624-OA-004). The DIA

(b)(1) (b)(3);10 USC 424; Sec 1.4(c)

utilization, by the individual initiative of some (b)(3);10 USC 424 and (b)(3);10 USC 424 and by the implementation of rebasing recommendations provided in our 1993 audit report. Continued improvement in (b)(1); Sec. 1.4(c) effectiveness can be achieved with full implementation of our original and followup recommendations for greater management oversight, and for further/

(b)(1) (b)(3);10 USC 424; Sec 1.4(c)

2. (U) If additional information is required, please contact

(b)(3);10 USC 424; (b)(9)

(b)(3);10 USC 424; (b)(9)

cc:
CS

~~SECRET~~



~~SECRET~~
DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



~~S~~-0337/IG

14 October 1998

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the Congress (U)

Reference: DoD IG memorandum, 11 August 1998, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please contact

(b)(3), (b) USC 424; (b)(6)

(b)(3), (b) USC 424; (b)(6)

1 Encl.
Audit and Inspection
Reports ~~(S)~~, 1 cy

cc:
CS

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET~~

~~SECRET~~

AUDIT AND INSPECTION REPORTS (U)

(U) Inspection of

(b)(3):10 USC 424

(b)(3):10 USC 424

Project Number 97-1704-HQ-001; 2 April 1998

~~(S)~~ This report is classified ~~SECRET~~. The inspection evaluated the economy, efficiency, and effectiveness with which these offices perform their

(b)(1); Sec. 1.4(c); (b)(3) 10 USC 424

(U) Inspection of

(b)(3):10 USC 424

1738-HQ-004; 29 May 1998

Project Number 98-

~~(S)~~ This report is classified ~~SECRET~~. The review was initiated at the request of the Director (DR), DIA, to assess command climate; communication and management concerns; safety and security; and, vehicle use practices in the

(b)(1); (b)(3) 10 USC 424, Sec. 1.4(c)

(U) Audit of Property Accountability; Project Number 97-1676-OA-014; 23 June 1998

~~(FOUO)~~ This report is ~~FOR OFFICIAL USE ONLY~~. This audit found that property accountability and its associated financial reporting is not reliable. Specifically, property account records do not match property on-site line item entries; bar code labels contained errors; turned-in property was not deleted from an account; transaction cycle time is too slow to keep accounts current; and, discrepancies are slow and difficult to resolve. We also found that DIA Headquarters has not complied with established policy and procedures for identifying and investigating property losses. As a result, frozen and unsubstantiated accounts with as much as (b)(3):10 USC 424 in unaccounted for property had been established and Reports of Survey were not adjudicated as required.

~~Derived From: DHS SCG, October 1997.~~

~~Declassify On: X1~~

~~Date of Source: October 1997.~~

Enclosure to ~~S~~-0337/IG

~~SECRET~~

-SECRET

(U) Inspection of the (b)(3) 10 USC 424
Directorate for Information Systems and Services; Project Number 98-1753-HQ-004;
21 August 1998

~~(FOUO)~~ This report is ~~FOR OFFICIAL USE ONLY~~. This review was generated by an
anonymous complaint to the DR. The inspection examined the (b)(3) 10 USC 424

(b)(3) 10 USC 424 as well as
personnel management and promotion practices within (b)(3) 10 USC 424. The inspection resulted in
recommendations to improve customer support and orientation; balance workload and
responsibilities of assigned personnel; and, address specific personnel problems as needed.
Management is implementing corrective actions.

(U) Audit of (b)(3) 10 USC 424 Project Number 97-1632-OA-013; 30 September
1998

~~(S/NF)~~ This report is classified ~~SECRET/NOFORN~~.

(b)(1); (b)(3); 10 USC 424; 1.4 (c); (b)(3); 50 USC 3024(i)

(U) Audit of Year 2000 Issues; Project Number 98-1717-OA-001; 1 April 1998, 26 June
1998, and 11 September 1998

~~(FOUO)~~ These interim reports are ~~FOR OFFICIAL USE ONLY~~. This series of
management advisory reports provide the DR and Agency leadership with a continuing and
current assessment of activities associated with Year 2000 (Y2K) renovations of DIA
critical and mission support systems. Specifically, these reports address issues such as the
need for contingency planning; meeting established timelines in complying with General
Accounting Office/DoD guidance; awareness of associated system impact both internal and
external to DIA; and, collecting and exploiting Y2K problems in foreign countries.

-SECRET



~~SECRET~~
DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



S-0130/IG

13 April 1998

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Intelligence Annex for the Semiannual Report to the Congress (U)

Reference: DoD IG memorandum, 2 March 1998, subject: Updated Guidance Regarding the Intelligence Annex to the Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please contact

[Redacted]

(b)(3);10 USC 424; (b)(6)

1 Encl a/s
Audit and Inspection
Reports ~~(S)~~, 1 cy

[Redacted]

(b)(3);10 USC 424; (b)(6)

cc:
CS

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET~~

~~SECRET~~

AUDIT AND INSPECTION REPORTS

(U) Inspection of the [redacted] IG, DIA, Project Number 97-1696-HQ-001, October 20, 1997

(U) Inspection of [redacted] IG, DIA, Project Number 97-1713-HQ-001, December 10, 1997

~~(S)~~ These inspections are classified ~~CONFIDENTIAL~~. The inspections evaluated the economy, efficiency, and effectiveness of operations in each of the [redacted]. The inspections examined and recommended improvements in customer relations, internal operational and management processes, and relations with [redacted].

(U) Program Evaluation of Security Adjudication Procedures, IG, DIA, Project Number 97-1651-HQ-001, November 4, 1997

(U) This inspection is ~~FOR OFFICIAL USE ONLY~~. The review examined procedures adjudication officials use to determine individual suitability for access to classified information.

[redacted]
(b)(3) 10 USC 424

(U) Inspection of DIA Corporate Staffing Strategies, Project Number 97-1661-HQ-001, November 5, 1997

(U) This inspection is classified ~~SECRET~~. The inspection evaluated how DIA develops and implements corporate staffing strategies; implements recruitment efforts; effectively automates human resource (HR) functions; and how senior HR policy issues are identified and addressed in DIA. The inspection resulted in recommendations to increase corporate focus on HR strategies and practices, improve fundamental business processes, and substantially upgrade DIA's HR information system platform. Management is implementing corrective actions.

Derived From: DHS SCG, October 1997.
Declassify On: ~~X1~~

Enclosure to S-0130/IG

~~SECRET~~

~~SECRET~~

(U) Inspection of [redacted]

(b)(3);10 USC 424

IG, DIA, Project Number 97-1667-HQ-001, December 3, 1997

(U) Inspection of [redacted]

IG, DIA, Project Number 97-1709-HQ-001, March 23, 1998

(S) These inspections are classified ~~SECRET~~. The inspections evaluated the economy, efficiency and effectiveness with which these offices perform their [redacted] internal management, and all aspects of administration and security. These inspections examined relatively new operations and identified high tempo operations in all offices. Resource management issues were noted in both inspections. The small offices are often overwhelmed with [redacted] and representational duties, and five offices are experiencing staffing shortfalls. Overall, embassy leadership consistently described [redacted] support as favorable and proactive. Recommendations focused on the need for improved resource management, automation support by DIA Headquarters, [redacted]

(U) Audit of [redacted]

IG, DIA, Project Number 96-1642-OA-006, March 10, 1998

(S) This report is classified ~~SECRET~~.

(b)(1); Sec. 1.4(c)

(U) Review of [redacted]

Operational Training, IG, DIA, Project Number 97-1707-HQ-001, March 13, 1998

(S) This review is classified ~~SECRET~~.

(b)(1); Sec. 1.4(c), (b)(3);10 USC 424

~~SECRET~~

~~SECRET~~

(U) Audit of Serold Limited Contract, IG, DIA, Project Number 96-1678-OA-009, March 16, 1998

~~(S)~~ This report is classified ~~SECRET~~. As a contractor, Serold provides

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

However, the audit also found that DIA has not effectively

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

Management concurred with all recommendations and is implementing corrective action.

(U) Survey of Customer Focus within the Directorate for Intelligence Production, IG, DIA, Project Number 97-1723-HQ-001, March 20, 1998

(U) This survey is ~~FOR OFFICIAL USE ONLY~~. Three elements within the Directorate for Intelligence Production (DI) were surveyed to determine strengths and areas for improvement in customer focus. The survey found that DI needs to share best practices, and develop a systematic approach to customer satisfaction data by customer segment, product, or functional office. This survey was designed to increase the IG's understanding of key business functions in DI and help prioritize future inspection and audit requirements in DI. As such, no formal recommendations were issued.

~~SECRET~~



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0545/IG

9 October 1997

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections completed:

a. Special Review of (b)(3);10 USC 424 Laptop Computer Policy; Project Number 97-1655-HQ-066; 8 April 1997.

b. Inspection of (b)(3);10 USC 424
Project Number 96-1631-HQ-001; 9 April 1997.

c. Audit of (b)(3);10 USC 424 Project Number 96-1628-OA-008; 10 April 1997.

d. Unit Assistance Visit of (b)(3);10 USC 424 Project Number 97-1673-HQ-003; 30 April 1997.

e. Follow-up Audit of Property and Facility Transfer to (b)(3);10 USC 424 Project Number 95-1570-OA-014; 1 May 1997.

f. Survey of (b)(3);10 USC 424; Project Number 97-1645-HQ-001; 12 May 1997.

g. Follow-up Inspection of (b)(3);10 USC 424 Project Number 97-1666-HQ-004; 27 May 1997.

h. Special Review of Military Personnel Division Organizational Climate; Project Number 97-1648-HQ-001; 29 May 1997.

i. Inspection of (b)(3);10 USC 424
(b)(3);10 USC 424 Project Number 97-1633-HQ-001; 18 June 1997.

j. Audit of the DIA Restaurant Fund; Project Number 96-1612-OA-006; 25 June 1997.

k. Audit of DIA Warehouse Operations; Project Number 96-1629-OA-014; 1 July 1997.

1. Inspection of Senior Level Professional Development; Project Number 97-1682-HQ-003; 15 August 1997.

m. Follow-up Inspection of [redacted] Project Number 97-1672-HQ-003; 29 August 1997.

n. Inspection of [redacted]; Project Number 97-1659-HQ-001; 4 September 1997.

o. Intelligence Oversight Inspection of [redacted] Project Number 97-1710-HQ-001; 12 September 1997.

p. Audit of DIA Activities with the [redacted] Project Number 96-1634-OA-013; 30 September 1997.

2. Action was completed on 109 investigative cases and 136 cases were received during the report period. There were 70 cases open at the close of September 1997.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the Assistant Inspector General for Policy and Oversight (AIG-PO/IR). IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact [redacted]

1 Encl a/s

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0033-95/IG

6 April 1995

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. Reports:

a. The Outstanding Travel Advances Follow-up Audit Report, Project Number 94-1559-OA-012, was issued by the Office for Audits.

b. The Office for Inspections issued the following Headquarters and [redacted] inspection reports:

- Missile and Space Intelligence Center, Project Number 94-1526-HQ-001
- [redacted] Project Number 94-1535-HQ-001
- [redacted] Project Number 94-1541-HQ-001
- [redacted] Project Number 94-1542-HQ-001
- [redacted] Project Number 94-1550-HQ-001
- [redacted] Project Number 94-1551-HQ-001
- [redacted] Project Number 94-1552-HQ-001
- [redacted] Project Number 95-1564-HQ-001

c. There were nine DoD Hotline referrals received by the Office for Investigations during this reporting period. Action was completed on referrals 94-L-58352, 94-L-58486, 94-C-58787, 94-L-58978, 94-T-59032, 94-C-58386, and one referral (93-T-54647) that was open from the last report period. Three referrals remain open (95-L-59133, 95-L-59210, and 95-L-59121).

d. None of the completed referrals, audit, or inspection findings involved significant instances of fraud, waste, or mismanagement.

2. Statistical reporting (the following schedules are attached to this report):

a. Schedule 1 - Civilian and Military Personnel Strength

b. Schedule 2 - Professional and Administrative/Support Personnel

c. Schedule 3 - Operating Costs

3. Defense Intelligence Agency (DIA) policy restricts the release of Inspector General (IG) reports, in whole or in part, outside of the Agency. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff. If additional information is required, please contact

(b)(3) 10 USC 424, (b)(6)



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-0109/IG

4 April 2001

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits, inspections, and investigations:

a. Criminal Investigation; Project Number 99-4981-OI-055; 19 October 2000.

b. (b)(3) USC 3024(i) Follow-up Audit; Project Number 99-1784-OA-023; 21 November 2000.

c. Restaurant Fund Audit; Project Number 99-1823-OA-026; 7 December 2000.

d. IO Investigation Report; Project Number 00-1898-MA-056; 7 December 2000.

e. Project (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i) Evaluation; Project Number 00-1866-MA-026; 28 December 2000.

f. (b)(3) 10 USC 424 Inspection; Project Number 00-1872-HQ-002; 6 February 2001.

g. CWF Audit; Project Number 00-1902-OA-026; 26 February 2001.

h. IO Investigation Report; Project Number 01-1923-MA-056; 6 March 2001.

i. (b)(3) 10 USC 424 Inspection; Project Number 00-1849-HQ-008; 22 March 2001.

j. (b)(3) 10 USC 424 Inspection; Project Number 00-1892-HQ-002; 23 March 2001.

k. Criminal Investigation; Project Number 00-5135-OI-055; 26 March 2001.

1. [redacted] IO Inspection; Project Number 01-1910-MA-056; 29 March 2001.

2. Action was completed on 66 investigative cases and 67 cases were received during the report period. There were 77 cases open at the close of March 2001.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the [redacted]

[redacted] Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's Congressional Affairs staff.

5. If additional information is required, please contact [redacted]

[redacted]

[redacted]

[redacted]

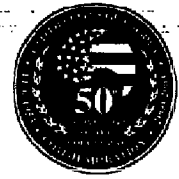
1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340



U-0360/IG

30 September 2002

To:

[Redacted]

Inspector General, Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DIA IG memorandum, U-0351/IG, subject: "Information Requirements for Semiannual Report to the Congress," 26 September 2002.

1. The following information should be added as paragraph 1.k through 1.m to reference: a)

(b)(3) 10 USC 424

[Redacted] IO Investigation; Project Number 01-1919-MA-056; 26 September 2002; b)

(b)(3) 10 USC 424

[Redacted] IO Investigation; Project Number 01-1954-MA-056; 26 September 2002;

and c)

(b)(3) 10 USC 424, (b)(3) 50 USC 3024(i)

[Redacted] IO Investigation; Project Number 02-1977-MA-056; 26 September 2002.

2. Intelligence related annex information is being submitted under separate cover to the [Redacted]

(b)(3) 10 USC 424

[Redacted] Inspector general (IG) reports will be made available for congressional staff review, either at the Defense Intelligence Agency (DIA) or another agreed upon location, upon specific request to the DIA IG or to the agency's Congressional Affairs staff.

3. If additional information is required, please contact [Redacted]

(b)(3) 10 USC 424, (b)(6)

(b)(3) 10 USC 424

(b)(3) 10 USC 424

[Redacted]

(b)(3) 10 USC 424, (b)(6)

[Redacted]



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-0365/IG

28 September 2001

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits, inspections, and investigations:

- a. [redacted] (b)(3)-10 USC 424
[redacted] Inspection; Project Number 00-1850-HQ-008;
19 April 2001.
- b. [redacted] (b)(3)-10 USC 424
[redacted] Inspection; Project Number 00-1851-HQ-007;
11 May 2001.
- c. Civilian Welfare Fund Audit; Project Number 01-1942-OA-032; 29 May 2001.
- d. Criminal Investigation; Project Number 01-5200-OI-055;
8 June 2001.
- e. [redacted] (b)(3)-10 USC 424
[redacted] Evaluation; Project Number 00-1916-HQ-003; 14 June 2001.
- f. [redacted] (b)(3)-10 USC 424
[redacted] Audit; Project Number 99-1756-OA-026; 6 July 2001.
- g. Criminal Investigation; Project Number 01-5194-OI-057; 23 July 2001.
- h. [redacted] (b)(3) 50 USC 3024(e)
[redacted] Evaluation; Project Number 01-1943-OA-006;
1 August 2001.
- i. [redacted] (b)(3)-10 USC 424
[redacted] Audit; Project Number 00-1877-OA-029; 31 August 2001.
- j. [redacted] (b)(3)-10 USC 424
[redacted] Follow-up Inspection; Project Number 00-1907-HQ-008; 4 September 2001.
- k. DAC-4 Evaluation; Project Number 00-1932-HQ-007;
7 September 2001.

1. [redacted] Evaluation; Project Number 00-1925-OA-034; 20 September 2001.

m. [redacted] Inspection; Project Number 00-1881-HQ-008; 24 September 2001.

n. [redacted] Inspection; Project Number 00-1879-HQ-008; 25 September 2001.

2. Action was completed on 62 investigative cases and 63 cases were received during the report period. There were 76 cases open at the close of September 2001.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the [redacted] Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's Congressional Affairs staff.

5. If additional information is required, please contact [redacted]

1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340



U-0145/IG

28 March 2002

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DIA IG memorandum, U-120/IG, subject: "Information Requirements for Semiannual Report to the Congress," 18 March 2002.

(b)(3) FO
USC 424

1. The following information should be added as paragraph 1.j. to reference: [redacted] inspection;
Project Number 01-1946-HQ-002; 22 March 2002.

2. Intelligence related annex information is being submitted under separate cover to the [redacted] (b)(3) FO USC 424
[redacted] (b)(3) FO USC 424 Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's Congressional Affairs staff.

3. If additional information is required, please contact [redacted] (b)(3) FO USC 424 (b)(6) [redacted] (b)(3) FO USC 424
[redacted] (b)(3) FO USC 424

(b)(3) FO USC 424, (b)(6)



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



28 APR 1989

U-310-89/IG

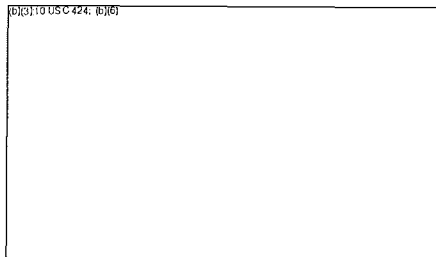
MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 22 April 1987.

The information required by reference concerning DIA inspection activities is enclosed.

1 Enclosure a/s



DR CY
IG CY



1. INSPECTION REPORTS:

a. The Office of the Inspector General, Defense Intelligence Agency (DIA-IG), inspected (b)(3)-10 USC 424

(b)(3)-10 USC 424 during the 1 October 1988 - 31 March 1989 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were no DoD Hotline Referrals received during this reporting period. Action was completed on one referral received during the 1 April - 30 September 1988 reporting period.

2. SYNOPSES OF PREVENTION EFFORTS AND MANAGEMENT IMPROVEMENTS:

a. The [redacted]

(b)(3) 50 USC 424

[redacted] undertook the following actions to support the Agencywide efforts against fraud, waste, and abuse:

(1) Conducted a financial review to determine if the DIA civilian welfare fund was being operated and managed in accordance with applicable policies and regulations, if resources were being safeguarded and managed economically and efficiently, and whether an adequate system of internal controls existed. The study found that the financial reports were presented fairly and were in accordance with established criteria and that there was no evidence of fraud, gross negligence, or any other form of wrongdoing by anyone involved in the management and administration of the fund. Recommendations made included revisions to the budget package and financial statements, actions to improve the administration and financial management of the fund, formal appointment of all personnel involved in the conduct of fund activities, and procedures to ensure the custodian and governing council satisfy their responsibilities regarding the management, review, and analysis of the fund's financial activities.

(2) A review was conducted to determine whether the current DIA procedures for the acquisition of open-source publications were in agreement with DoD guidance and were adequate and functioning as intended. The study found that the current procedures conform to existing regulations, but various recommendations were made to improve the management of the program. Recommendations included consolidating open-source publication requests prior to submission to the library, conducting a cost comparison of various acquisition methods, implementing procedures to confirm the receipt of all ordered publications, and enforcing the requirement to justify renewals of subscriptions.

(3) Manpower surveys were conducted at two DIA liaison detachments to evaluate current manning levels, particularly in the administrative support area, against present and future mission and functions. Recommendations resulting from the surveys included conversion of administrative positions from part-time to full-time positions, reallocation of duties between positions, and restructuring several military positions. The surveys also recommended the purchase of some technical equipment which would save time, improve security, and reduce the risk of human error.

b. Training: During this reporting period [redacted] newly assigned to the Office of the Inspector General attended the

(b)(3) 50 USC 424

[redacted]

3. STATISTICAL REPORTING

The following schedules are included in this report.

- a. **Schedule 1. - Civilian and Military Personnel Strength**
- b. **Schedule 2. - Professional and Administrative/Support Personnel**
- c. **Schedule 3. - Operating Costs**
- d. **Schedule 12.- Number of Inspection Reports Issued**

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION Defense Intelligence Agency	REPORT CONTROL SYMBOL DD-IG (SA) 1717
		FOR THE 6 MONTH PERIOD ENDING (Enter Date) March 31, 1989

SCHEDULE 11*
INVESTIGATIVE CASE RESULTS

CASE RESULTS	DOJ (1)	COMMAND (2)
1. LITIGATION RESULTS		
a. INDICTMENTS/REFERRALS FOR COURTS MARTIAL		
b. CONVICTIONS		
c. PRETRIAL DIVERSIONS		N/A
d. ARTICLE 15s	N/A	
e. CIVIL SETTLEMENTS/JUDGMENTS		N/A
2. MONETARY OUTCOMES (\$ Amount in thousands)		
a. FINES / FORFEITURES		
b. RESTITUTIONS		
c. RECOVERIES		
d. CIVIL SETTLEMENTS /JUDGMENTS		
3. CONTRACTOR ACTIONS		
a. DEBARMENTS		
b. SUSPENSIONS		
c. OTHER ACTIONS		
4. PERSONNEL ACTIONS		
a. REPRIMANDS		
b. DEMOTIONS		
c. TERMINATIONS		
d. OTHER (Explain in Footnote)		

* To be completed by the Assistant Inspector General for Criminal Investigations Policy and Oversight only.

SCHEDULE 12
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	19
2. SPECIAL	33
3. OTHER	2
4. TOTAL REPORTS ISSUED	54



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-



U-0342/IG

26 September 2003

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22207

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight, and investigation reports:
 - a. DISL Selection Process Inquiry; Project Number 03-2110-WA-070; 3 April 2003.
 - b. DIA Regulatory and Statutory Compliance Evaluation; Project Number 03-2049-HQ-006; 4 April 2003.
 - c. (b)(3);10 USC 424 Inspection, Project Number 02-1983-HQ-007; 14 April 2003.
 - d. (b)(3);10 USC 424 Inspection; Project Number 02-1996-HQ-008; 15 April 2003.
 - e. Criminal Investigation; Case Number 99-4941-OI-054; 30 April 2003.
 - f. (b)(3);10 USC 424 Inspection; Project Number 03-2056-HQ-008; 2 May 2003.
 - g. (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Inspection; Project Number 02-1999-HQ-007; 8 May 2003.
 - h. Records Management Follow-up Inspection; Project Number 03-2130-HQ-004; 15 May 2003.
 - i. Pre-Deployment Process Evaluation; Project Number 03-2111-HQ-003; 19 May 2003.
 - j. Crime Prevention Report; Case Number 03-5429-OI-073; 21 May 2003.
 - k. (b)(3);10 USC 424 GPC Audit; Project Number 03-2091-OA-026; 12 June 2003.
 - l. (b)(3);50 USC 3024(i); (b)(3);50 USC 3605 ICF Audit; Project Number 02-1980-OA-026; 17 June 2003.

(b)(3) 10 USC 424

- m. [redacted] IO Investigation; Project Number 03-3122-MA-056; 20 June 2003.
- n. [redacted] IO Investigation; Project Number 02-2097-MA-056; 27 June 2003.
- o. DIA IG Response to FISMA; Project Number 03-2107-OA-026; 30 June 2003.
- p. Management Control Program Review; Project Number 03-2120-HQ-006; 2 July 2003.
- q. Criminal Investigation; Case Number 03-5404-OI-052; 3 July 2003.
- r. [redacted] Inspection; Project Number 03-2065-HQ-008; 24 July 2003.
- s. DIA Disaster Preparedness; Project Number 03-2121-HQ-006; 8 August 2003.
- t. [redacted] IO Inspection; Project Number 03-2096-MA-056; 28 August 2003.
- w. Special Inquiry; Case Number 03-5468-OI-071; 25 September 2003.

2. Action was completed on 41 investigative cases and 49 cases were received during the report period. There were 80 cases open as of 25 September 2003. As a result of our investigative efforts, the government has recovered over [redacted] in recoupments and fines.

- 3. Statistical reporting (the following schedules are attached to this report):
 - a. Schedule 1 - Civilian and Military Personnel Strength.
 - b. Schedule 2 - Professional and Administrative/Support Personnel.
 - c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the [redacted] Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's congressional affairs staff.

5. If additional information is required, please contact [redacted] [redacted]

1 Encl a/s

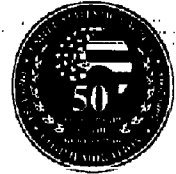
cc:
DR
DD

[redacted]



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-



U-0351/IG

26 September 2002

To:

(b)(3), 10 USC 424

Inspector General, Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits, inspections, and investigations:

- a. (b)(3), 10 USC 424 Inspection; Project Number 02-1960-HQ-008; 2 April 2002.
- b. (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i) Follow-Up Inspection; Project Number 02-1974-HQ-004; 21 May 2002.
- c. Criminal Investigation - (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i) Crime Prevention Report; Case Number 02-5300-OI-064; 22 May 2002.
- d. MOAMOU Staffing Process Evaluation; Project Number 02-1982-HQ-003; 18 June 2002.
- e. DIA IG Response to (b)(3), 50 USC 3024(i) Project Number 02-1976-OA-006; 12 July 2002.
- f. IRS Tax Forms 1099 Audit; Project Number 01-1926-OA-029; 29 July 2002.
- g. (b)(3), 10 USC 424 Inspection; Project Number 00-1969-HQ-002; 13 August 2002.
- h. DO Vacancy Staffing Inquiry; Project Number 02-2010-HQ-065; 4 September 2002.
- i. (b)(3), 10 USC 424 Inspection; Project Number 02-1972-HQ-008; 5 September 2002.
- j. (b)(3), 10 USC 424 Project Number 02-1975-HQ-008; 10 September 2002.

2. Action was completed on 40 investigative cases and 52 cases were received during the report period. There were 82 cases open as of 20 September 2002.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the (b)(3) 10 USC 424 Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's Congressional Affairs staff.

5. If additional information is required, please contact (b)(3) 10 USC 424; (b)(7)(E) (b)(3) 10 USC 424

1 Encl a/s

cc:
ADR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-



U-0160/IG

26 March 2004

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight, and investigation reports:

- a. Criminal Investigation; Case Number 02-5351-OI-055; 6 October 2003
- b. (b)(3); 10 USC 424 Inspection; Project Number 03-2066-HQ-008; 14 November 2003
- c. DI IO Investigation; Project Number 03-2152-MA-056; 8 December 2003
- d. DIA Support to the (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i) Audit; Project Number 04-2249-OA-026; 19 December 2003
- e. (b)(3); 10 USC 424 Inspection; Project Number 03-2059-HQ-008; 23 December 2003
- f. (b)(3); 10 USC 424 IO Investigation; Project Number 03-2222-MA-056; 24 December 2004
- g. (b)(3); 10 USC 424 Audit; Project Number 02-1981-OA-021; 29 December 2003
- h. (b)(3); 10 USC 424 Inspection; Project Number 03-2105-HQ-008; 29 December 2003
- i. (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i) ICF Audit; Project Number 04-2229-OA-026; 6 January 2004
- j. (b)(3); 50 USC 3024(i) Climate Survey; Project Number 04-2268-WA-075; 16 January 2004
- k. Criminal Investigation; Case Number 02-5371-OI-055; 20 January 2004

- l. CA-21 Hiring Process Evaluation; Project Number 03-2137-HQ-003; 20 January 2004
- m. (b)(3);10 USC 424 Operations Evaluation; Project Number 04-2289-WA-075; 26 January 2004
- n. Criminal Investigation; Case Number 03-5475-OI-050; 4 February 2004
- o. Unexploded Ordinance Reporting and Disposal; Project Number 04-2296-WA-075; 6 February 2004
- p. (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Inspection; Project Number 03-2148-HQ-003; 18 February 2004
- q. DIA EA Roles and Responsibilities; Project Number 03-2226-HQ-002; 27 February 2004
- r. Criminal Investigation; Case Number 00-5032-OI-063; 1 March 2004
- s. (b)(3);10 USC 424 Inspection; Project Number 03-2112-HQ-008; 4 March 2004
- t. (b)(3);10 USC 424; (b)(3);50 USC 3024(i) IO Follow-Up Inspection; Project Number 03-2177-MA-056; 18 March 2004
- u. DIA Support to (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Evaluation; Project Number 04-2277-HQ-008; 19 March 2004
- v. (b)(3);10 USC 424 Inspection; Project Number 03-2129-HQ-008; 23 March 2004

2. Action was completed on 57 investigative cases and 50 cases were received during the report period, there were 70 open cases. As a result of our investigative efforts, out of the (b)(3);10 USC 424 documented lost, the government has recovered over (b)(3);10 USC 424 in recoupments and fines.

3. Intelligence related annex information is being submitted under separate cover to the director, (b)(3);10 USC 424 Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

4. If additional information is required, please contact (b)(3);10 USC 424; (b)(6) (b)(3);10 USC 424
(b)(3);10 USC 424

1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340



U-0117/IG

25 March 2003

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight, and investigation reports:
 - a. CWF/NAFFS Accounting Discrepancies Audit; Project Number 02-2034-OA-026; 10 October 2002.
 - b. (b)(3);10 USC 424 Inspection; Project Number 02-1973-HQ-008; 25 November 2002.
 - c. (b)(2);10 USC 424 Command Climate Assessment, Project Number 02-2060-HQ-075; 27 November 2002.
 - d. (b)(3);10 USC 424 Inspection; Project Number 02-1989-HQ-008; 13 December 2002.
 - e. (b)(3);10 USC 424 IO Investigation; Project Number 02-1994-MA-056; 30 December 2002.
 - f. (b)(3);10 USC 424 IO Inspection; Project Number 03-2087-MA-056; 30 December 2002.
 - g. Incident Prevention Report; Case Number 03-5412-OI-073; 8 January 2003.
 - h. (b)(3);10 USC 424 Inspection; Project Number 02-1987-HQ-008; 10 January 2003.
 - i. (b)(3);10 USC 424 Inspection; Project Number 02-1988-HQ-008; 10 January 2003.
 - j. Criminal Investigation; Case Number 97-4695-OI-050; 15 January 2003.

- k. [redacted] IO Special Inquiry; Project Number 03-2092-MA-056; 15 January 2003.
- l. [redacted] IO Investigation; Project Number 02-2054-MA-056; 21 January 2003.
- m. Incident Prevention Report; Case Number 03-5429-OI-073; 22 January 2003.
- n. [redacted] Inspection; Project Number 01-1952-HQ-002; 12 February 2003.
- o. Incident Prevention Report; Case Number 02-5339-OI-064; 20 February 2003.
- p. IMPAC Audit; Project Number 01-1940-OA-026; 26 February 2003.
- q. [redacted] Inspection; Project Number 02-1997-HQ-008; 27 February 2003.
- r. [redacted] Inspection; Project Number 02-1998-HQ-008; 13 March 2003.

- 2. Action was completed on 51 investigative cases and 43 cases were received during the report period. There were 72 cases open as of 21 March 2003. As a result of our investigative efforts, the government has recovered over [redacted] in recoupments and fines.
- 3. Statistical reporting (the following schedules are attached to this report):
 - a. Schedule 1 - Civilian and Military Personnel Strength.
 - b. Schedule 2 - Professional and Administrative/Support Personnel.
 - c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the [redacted]. [redacted] Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's congressional affairs staff.

5. If additional information is required, please contact [redacted] [redacted]

1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



25 APR 1990

U-302-89/IG

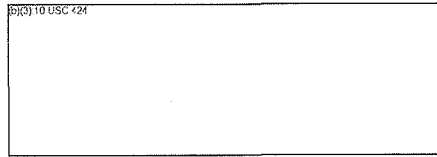
MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 22 April 1987.

The information required by reference concerning DIA inspection activities is enclosed.

1 Enclosure a/s



2. SYNOPSES OF PREVENTION EFFORTS AND MANAGEMENT IMPROVEMENTS:

a. Management Improvements:

(1) In February, the Inspector General, DIA, testified before the Subcommittee on Oversight and Evaluation, House Permanent Select Committee on Intelligence (HPSCI). The testimony focused on the organization, mission, functions, and staffing of the Agency's Office of the Inspector General (DIA-IG). The subcommittee chairman expressed concern over the minimal capability to perform internal audits and was assured that the Director, DIA, intends to strengthen this oversight capability.

(2) In March, a DoD-IG team began an inspection of the DIA-IG as part of an overall scheduled inspection of the DIA. The team's findings are being drafted into final form and include a number of recommendations to improve the effectiveness and efficiency of the DIA-IG. The DIA-IG, in coordination with the Director, DIA, is presently reviewing these recommendations to determine how best to build upon these findings in improving Office operations and capabilities.

b. Prevention Efforts:

(1) The DIA-IG prepared summaries of completed investigations and inquiries which were published in internal DIA publications. These summaries, written in general terms, were designed to educate the DIA workforce to the consequences of engaging in prohibited actions.

(2) The DIA-IG has initiated an inspection of a DIA (b)(3) 50 USC 424. The inspection effort will attempt to detect any indication of fraud, waste, or abuse within the program.

b. Training:

(1) In December, (b)(3) 50 USC 424 attended the

(b)(3) 50 USC 3024(i)

(2) In March, (b)(3) 50 USC 424 newly assigned to the Office of the Inspector General attended the (b)(3) 50 USC 3024(i)

(b)(3) 50 USC 3024(i)

1. INSPECTION REPORTS:

a. The Office of the Inspector General, Defense Intelligence Agency (DIA-IG), inspected [redacted] (b)(3) 10 USC 424 and [redacted] Defense Intelligence Agency [redacted] (b)(3) 10 USC 424 [redacted] (b)(3) 10 USC 424 during the 1 October 1989 - 31 March 1990 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were two DoD Hotline Referrals received during this reporting period. Action was completed on two referrals; one received during this reporting period and one received during the last reporting period.

3. STATISTICAL REPORTING

The following schedules are included in this report.

- a. Schedule 1. - CIVILIAN and MILITARY Personnel Strength
- b. Schedule 2. - Professional and Administrative/Support
Personnel
- c. Schedule 3. - Operating Costs
- d. Schedule 12.- Number of Inspection Reports Issued

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL DD-IG(SA)1717
	DEFENSE INTELLIGENCE AGENCY	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 31 MARCH 1990

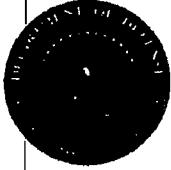
SCHEDULE 11*
INVESTIGATIVE CASE RESULTS

CASE RESULTS	DOJ (1)	COMMAND (2)
1. LITIGATION RESULTS		
a. INDICTMENTS / REFERRALS FOR COURTS MARTIAL		
b. CONVICTIONS		
c. PRETRIAL DIVERSIONS		N/A
d. ARTICLE 15s	N/A	
e. CIVIL SETTLEMENTS/JUDGMENTS		N/A
2. MONETARY OUTCOMES (\$Amount in thousands)		
a. FINES / FORFEITURES		
b. RESTITUTIONS		
c. RECOVERIES		
d. CIVIL SETTLEMENTS / JUDGMENTS		
3. CONTRACTOR ACTIONS		
a. DEBARMENTS		
b. SUSPENSIONS		
c. OTHER ACTIONS		
4. PERSONNEL ACTIONS		
a. REPRIMANDS		
b. DEMOTIONS		
c. TERMINATIONS		
d. OTHER (Explain in Footnote)		

* To be completed by the Assistant Inspector General for Criminal Investigations Policy and Oversight only.

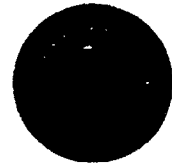
SCHEDULE 12
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	23
2. SPECIAL	2
3. OTHER	38
4. TOTAL REPORTS ISSUED	63



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-08-0306/IG

24 July 2008

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance reports:
 - a. Disposition of Video Recordings Inquiry; DIA OIG; Project No. 2008-003059-SA; April 8, 2008
 - b. (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Intelligence Oversight Investigation; DIA OIG; Project No. 2008-003049-MA; April 22, 2008
 - c. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005933-OI; April 22, 2008
 - d. DIA Personnel (b)(3);10 USC 424 Assessment; DIA OIG; Project No. 2007-002939-MA; April 23, 2008
 - e. Misuse of Government-Issued Charge Card Investigation; DIA OIG; Project No. 2008-005961-OI; April 24, 2008
 - f. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005827-OI; April 25, 2008
 - g. Misuse of Government Property Investigation; DIA OIG; Project No. 2008-005966-OI; April 25, 2008
 - h. DIA Defense Analysis Report; DIA OIG; Project No. 2008-003104-MA; April 30, 2008
 - i. Video Recording Inquiries; DIA OIG; Project No. 2008-003081-MA, 2008-003089-MA, 2008-003092-MA, and 2008-003093-MA; May 2, 2008

- j. Violation of USCENTCOM General Order Investigation; DIA OIG; Project No. 2008-005956-OI; May 9, 2008
- k. Unaccounted for Government Property Investigation; DIA OIG; Report Number 2007-005844-OI; May 15, 2008
- l. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003072-HQ; May 15, 2008
- m. Wrongful Destruction of Government Property Investigation; DIA OIG; Project No. 2008-005941-OI; May 16, 2008
- n. Standard of Ethical Conduct Investigation; DIA OIG; Project No. 2008-005953-OI; June 11, 2008
- o. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005948-OI; June 19, 2008
- p. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005936-OI; June 20, 2008
- q. Report on an Investigation of a Questionable Intelligence Collection Activity; DoD OIG and DIA OIG; Project No. 08-INTEL-07 and 2007-002920-MA; June 23, 2008
- r. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003017-HQ; June 24, 2008
- s. (b)(3);10 USC 424 Civilian Overtime Audit; DIA OIG; Project No. 2008-003058-HQ; June 25, 2008

2. **Intelligence-related annex information** is being submitted under separate cover to the (b)(3);10 USC 424 OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

3. **If additional information** is required, please contact (b)(3);10 USC 424 (b)(3);10 USC 424; (b)(6) at (b)(3);10 USC 424

cc:
DR
DD
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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



23 OCT 1990

U-795-90/IG

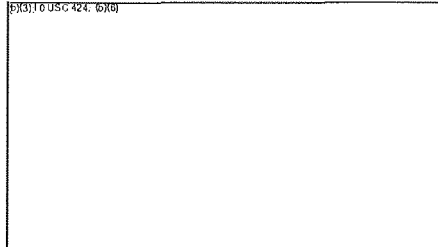
MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to
the Congress

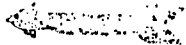
Reference: DoD Instruction 7750.6, "Information Requirements
for Semiannual Report to the Congress," 27 April
1990.

The information required by reference concerning DIA inspection
activities is enclosed.

1 Enclosure a/s



DR CY
IG CY



1. INSPECTION REPORTS:

a. The Office of the Inspector General, Defense Intelligence Agency (DIA-IG), inspected [redacted] during the 1 April - 30 September 1990 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. The number of [redacted] inspections has been significantly reduced because of DIA's inability to staff the [redacted] positions within the DIA-IG. There are [redacted] authorized [redacted] billets, however only [redacted] is presently filled. [redacted] were selected for early retirement during this period. A replacement has been identified for assignment in July 1991 to [redacted] of the billets; no replacement has been identified for the [redacted] billet.

c. There were two DoD Hotline Referrals received during this reporting period. One referral was returned to the DoD Hotline authorities as the referral pertained to another Agency not to the DIA. Action on the second referral continues. Action was completed on two referrals which had been received during the last reporting period; final reports have been submitted to the DoD Hotline authorities. Neither referral involved significant instances of fraud, waste, or mismanagement.

2. SYNOPSES OF PREVENTION EFFORTS AND MANAGEMENT IMPROVEMENTS:

a. The position of Inspector General, DIA, has been converted from a military O-6 billet to a Senior Executive Service civilian billet. A position description has been developed and the vacancy announcement given the widest possible dissemination.

b. During the second half of FY 90, the DIA Inspector General's office significantly improved its oversight capability by adding a new audit function, expanding and reassessing inspection efforts, and establishing a new planning, analysis and follow-up section. Billets for [redacted] (b)(3);10 USC 424 have been established. Position descriptions have been developed for these positions and applications from interested individuals are being processed. In addition, an agreement has been signed detailing [redacted] (b)(3);10 USC 424 to the DIA-IG in exchange for the detail of [redacted] (b)(3);10 USC 424 from DIA to the OIG, DoD. The addition of the new billets has increased the authorized manning of the Inspector General's office to [redacted] (b)(3);10 USC 424

b. Training: [redacted] (b)(3);10 USC 424 attended a conference on Control, Audit & Security of Information Systems. This training will be invaluable in conducting program evaluations and audits of DIA's Directorate for Information Systems.

3. STATISTICAL REPORTING

The following schedules are included in this report.

- a. Schedule 1. - Civilian and Military Personnel Strength
- b. Schedule 2. - Professional and Administrative/Support Personnel
- c. Schedule 3. - Operating Costs
- d. Schedule 10.- Number of Inspection Reports Issued

April 27, 1990
7750.6 (Encl 4)

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING <small>(Enter Date)</small> 30 SEP 90



SCHEDULE 9*
INVESTIGATIVE CASE RESULTS
(ADMINISTRATIVE ACTIONS)

CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCIS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			
d. OTHER			
3. MANAGEMENT ACTIONS			

* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).



SCHEDULE 10
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL <small>(b)(3),10 USC 424</small> <small>(b)(3),10 USC 424</small>	6
2. SPECIAL DoD Hotline	2
3. OTHER Invest (2) IGARs (45) DIA Hotline (1)	48
4. TOTAL	56



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028

23 OCT 1989

U-727-89/IG

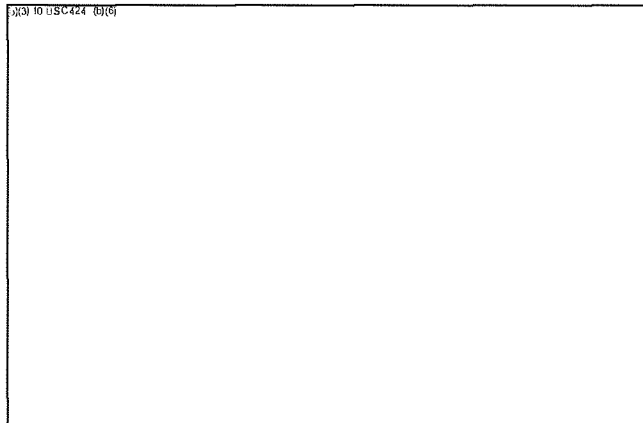
MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 22 April 1987.

The information required by reference concerning DIA inspection activities is enclosed.

1 Enclosure a/s



1. INSPECTION REPORTS:

a. The Office of the Inspector General, Defense Intelligence Agency (DIA-IG), inspected (b)(3);10 USC 424

(b)(3);10 USC 424 and (b)(3);10 USC 424 during the 1 April - 30 September 1989 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were two DoD Hotline Referrals received during this reporting period. Action on both referrals has been completed and final reports have been submitted to the DoD Hotline authorities.

c. Two cases were referred to the appropriate Defense Criminal Investigative Organization for necessary action.

(1) As a result of an investigation conducted by the Defense Criminal Investigation Service, a civilian employee resigned from the DIA after admitting to time and attendance fraud.

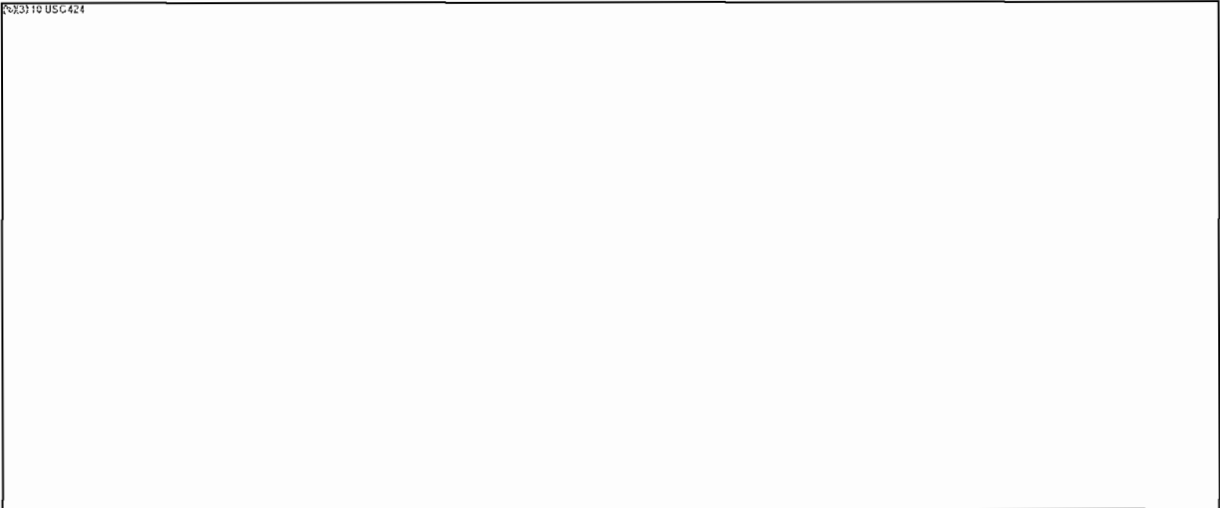
(2) An investigation conducted by the US Army Criminal Investigation Command has resulted in charges being filed against a Non-Commissioned Officer for entitlement fraud, making false official statements, and theft.

2. SYNOPSES OF PREVENTION EFFORTS AND MANAGEMENT IMPROVEMENTS:

a. The DIA-IG published a pamphlet entitled "What an Inspector General Investigation Means to You," which has been distributed to all DIA employees. This pamphlet explains the types of Investigations conducted; the violations of U.S. Codes and employee conduct violations; how employees can assist the IG in the conduct of Investigations; and, how employees can report suspected wrongdoings.

b. As a result of testimony by the DIA Inspector General before the House Permanent Select Committee on Intelligence, the overall manning authorization of the DIA-IG has been increased by (b)(3):10 USC 424 billets, effective 1 October 1989. (b)(3):10 USC 424 position has already been filled and the remaining billets should be filled by the end of the calendar year.

b. Training:



3. STATISTICAL REPORTING

The following schedules are included in this report.

- a. Schedule 1. - Civilian and Military Personnel Strength
- b. Schedule 2. - Professional and Administrative/Support Personnel
- c. Schedule 3. - Operating Costs
- d. Schedule 12.- Number of Inspection Reports Issued

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL DD-IG (SA) 1717
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 6 September 1980

SCHEDULE 11*
INVESTIGATIVE CASE RESULTS N/A

CASE RESULTS	DOJ (1)	COMMAND (2)
1. LITIGATION RESULTS		
a. INDICTMENTS / REFERRALS FOR COURTS MARTIAL		
b. CONVICTIONS		
c. PRETRIAL DIVERSIONS		N/A
d. ARTICLE 15s	N/A	
e. CIVIL SETTLEMENTS/JUDGMENTS		N/A
2. MONETARY OUTCOMES (<i>\$ Amount in thousands</i>)		
a. FINES / FORFEITURES		
b. RESTITUTIONS		
c. RECOVERIES		
d. CIVIL SETTLEMENTS / JUDGMENTS		
3. CONTRACTOR ACTIONS		
a. DEBARMENTS		
b. SUSPENSIONS		
c. OTHER ACTIONS		
4. PERSONNEL ACTIONS		
a. REPRIMANDS		
b. DEMOTIONS		
c. TERMINATIONS		
d. OTHER (<i>Explain in Footnote</i>)		

* To be completed by the Assistant Inspector General for Criminal Investigations Policy and Oversight only.

SCHEDULE 12
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	11
2. SPECIAL	2
3. OTHER	17
4. TOTAL REPORTS ISSUED	30



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340



U-0155/IG

22 March 2005

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), and investigation reports:
 - a. DIAC Completion Progress Assessment; IG, DIA; Report Number 04-2400-HQ-003; October 1, 2004
 - b. Criminal Investigation; IG, DIA; Report Number 04-5563-OI-060; October 1, 2004
 - c. Criminal Investigation; IG, DIA; Report Number 04-5602-OI-060, October 4, 2004
 - d. Criminal Investigation; IG, DIA; Report Number 04-5569-OI-055, November 4, 2004
 - e. Criminal Investigation; IG, DIA; Report Number 04-5472-OI-064, November 19, 2004
 - f. Logistics Business Evaluation; IG, DIA; Report Number 04-2135-HQ-003; November 23, 2004
 - g. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 04-2336-HQ-008; November 29, 2004
 - h. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 04-2329-HQ-008; November 30, 2004
 - i. Criminal Investigation; IG, DIA; Report Number 04-5536-OI-050; December 6, 2004
 - j. Criminal Investigation; IG, DIA; Report Number 04-5585-OI-069, December 12, 2004
 - k. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 04-2334-HQ-008; December 13, 2004

- l. Criminal Investigation; IG, DIA; Report Number 03-5456-OI-052, December 21, 2004
- m. Criminal Investigation; IG, DIA, Report Number 04-5582-OI-050; December 22, 2004
- n. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 03-2000-MA-056, December 28, 2004
- o. (b)(3);10 USC 424 Audit; IG, DIA; Report Number 03-2168-OA-023; January 31, 2005
- p. (b)(3);10 USC 424 Inspections; IG, DIA; Report Number 04-2204-HQ-008; February 7, 2005
- q. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2332-HQ-008; February 7, 2005
- r. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2345-HQ-008; February 7, 2005
- s. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2346-HQ-008; February 7, 2005
- t. (b)(3);10 USC 424 Inspection; IG; DIA; Report Number 04-2347-HQ-008; February 7, 2005
- u. (b)(3);10 USC 424 Follow-up Inspection; IG, DIA; Report Number 04-2389-HQ-004; February 8, 2005
- v. (b)(3);10 USC 424 Inspection; IG; DIA; Report Number 04-2349-HQ-008; February 18, 2005
- w. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2361-HQ-008; February 18, 2005
- x. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2362-HQ-008; February 18, 2005
- y. Criminal Investigation; IG, DIA, Report Number 04-5603-OI-060, February 23, 2005
- z. Criminal Investigation; IG, DIA; Report Number 98-4773-OI-071, March 4, 2005
- aa. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2406-HQ-008; March 15, 2005
- bb. Criminal Investigation; IG, DIA; Report Number 03-5411-OI-072, March 21, 2005

2. Action was completed on 63 investigative cases. A total of 39 cases were received during the report period and the report period ended with 37 open cases. As a result of our investigative efforts, out of the \$566,679 in government funds documented as lost, \$20,241 was recovered in recoupments. Additionally, \$3,605 in fines was received by the government.
3. Intelligence-related annex information is being submitted under separate cover to the director, Office of Intelligence Review. OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.
4. If additional information is required, please contact (b)(3);10 USC 424; (b)(6)

(b)(3);10 USC 424

(b)(3);10 USC 424; (b)(6)

1 Encl a/s

cc:
DR
DD

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100

U-08-0392/IG

19 September 2008

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

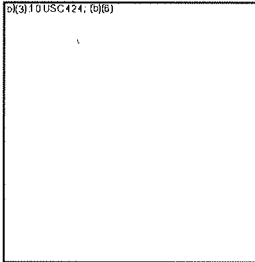
1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance reports:
 - a. False Claims Investigation; DIA OIG; Project No. 2008-005929-OI; July 8, 2008
 - b. FISMA Evaluation; DIA OIG; Project No. 2008-003025-OA; July 10, 2008
 - c. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005954-OI; July 31, 2008
 - d. Procurement Integrity Act Investigation; DIA OIG; Project No. 2008-006004-OI; August 6, 2008
 - e. (b)(5);10 USC 424 Inspection; DIA OIG; Project No. 2008-003044-HQ; August 7, 2008
 - f. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005920-OI; August 7, 2008
 - g. (b)(5);10 USC 424 Inspection; DIA OIG; Project No. 2008-003047-HQ; August 25, 2008
 - h. Misuse of Government Resources Investigation; DIA OIG; Project No. 2008-005989-OI; August 29, 2008
 - i. (b)(5);10 USC 424 Inspection; DIA OIG; Project No. 2007-002999-HQ; September 11, 2008
 - j. Contract Improprieties Investigation; DIA OIG; Project No. 2006-005767-OI; September 12, 2008

k. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003045-HQ;
September 16, 2008

l. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003098-HQ;
September 16, 2008

2. Intelligence-related annex information is being submitted under separate cover to the (b)(3);10 USC 424 OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

3. If additional information is required, please contact (b)(3);10 USC 424; (b)(6)
(b)(3);10 USC 424



cc:
DR
DD
CP



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-06-0370/IG

19 September 2006

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance final reports:
 - a. (b)(3); 10 USC 424 Inspection; DIA OIG; Report No. 2006-002703-HQ; April 5, 2006
 - b. (b)(3); 10 USC 424 Inspection; DIA OIG; Report No. 2005-002466-HQ; April 14, 2006
 - c. Acquisition and Accounting Processes Assessment; DIA OIG; Report No. 2006-2718-OA; May 5, 2006
 - d. (b)(3); 10 USC 424 Inspection; DIA OIG; Report No. 2006-002731-HQ; May 12, 2006
 - e. (b)(3); 10 USC 424 Inspection; DIA OIG; Report Number 2006-002699-HQ; May 15, 2006
 - f. DH Travel Card Management Assessment; DIA OIG; Report No. 2006-002716-OA; May 22, 2006
 - g. (b)(3); 10 USC 424 Inspection; DIA OIG; Report No. 2005-002523-HQ; June 1, 2006
 - h. Criminal Investigation; DIA OIG; Report No. 2006-005738-OI; June 12, 2006
 - i. (b)(3); 10 USC 424 Assessment; DIA OIG; Report No. 2006-002486-HQ; June 13, 2006
 - j. Security Clearance Adjudications Process Evaluation; DIA OIG; Report No. 2005-002489-HQ; June 28, 2006

- k. Criminal Investigation; DIA OIG; Report No. 2006-005731-OI; June 28, 2006
 - l. Periodic Trend Analysis of Inspection Reports and Recommendations; DIA OIG; Report No. 2006-002764-HQ; August 3, 2006
 - m. Unauthorized Collection Activity Report; DIA OIG; Report No. 2005-002748-MA; July 10, 2006
 - n. (b)(3);10 USC 424 Investigation; DIA OIG; Report No. 2005-002555-MA; July 18, 2006
 - o. FISMA Evaluation, DIA OIG; Report No. 2006-002750-OA; July 19, 2006
 - p. (b)(3);10 USC 424 Inspection; DIA OIG; Report No. 2006-002733-HQ; August 4, 2006
 - q. Criminal Investigation; DIA OIG; Report No. 2006-005743-OI; August 4, 2006
 - r. (b)(3);10 USC 424 Inspection; DIA OIG; Report No. 2006-002766-HQ; August 7, 2006
 - s. Criminal Investigation; DIA OIG; Report No. 2006-005745-OI; August 22, 2006
 - t. Criminal Investigation; DIA OIG; Report No. 2006-005740-OI; August 24, 2006
 - u. (b)(3);10 USC 424 Inspection; DIA OIG; Report No. 2006-002732-HQ; August 28, 2006
 - v. Criminal Investigation; DIA OIG; Report No. 2006-005753-OI; August 28, 2006
 - w. (b)(3);10 USC 424 Inspection; DIA OIG; Report Number 2006-002698-HQ; September 6, 2006
 - x. Unauthorized Collection Activity IO Investigation; DIA OIG; Report No. 2006-002721-MA; September 12, 2006
 - y. (b)(3);10 USC 424 Inspection; DIA OIG; Report No. 2006-002702-HQ; September 15, 2006
2. A total of 47 cases were received during the reporting period, and the reporting period ended with 25 open cases. OIG investigative efforts documented (b)(3);10 USC 424 in lost government funds or property.
3. Intelligence-related annex information is being submitted under separate cover to the (b)(3);10 USC 424 OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

4. **If additional information is required, please contact** (b)(5); 10 USC 424; (b)(6) **at**
(b)(5); 10 USC 424

(b)(3) 10 USC 424; (b)(6)

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-07-0138/IG

19 March 2007

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance final reports:
 - a. Reports Officer Assessment; DIA OIG; Project No. 2006-002690-HQ; October 20, 2006
 - b. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002767-HQ; November 1, 2006
 - c. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002772-HQ; November 2, 2006
 - d. (b)(3); 10 USC 424 Assessment; DIA OIG; Project No. 2006-002756-MA; November 7, 2006
 - e. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002851-HQ; November 7, 2006
 - f. Cellular Telephone and Pager Management Audit; DIA OIG; Project No. 2006-002612-OA; November 8, 2006
 - g. (b)(3); 10 USC 424 Evaluation; DIA OIG; Project No. 2006-002762-HQ; November 9, 2006
 - h. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002773-HQ; November 9, 2006
 - i. Air Force GDIP Assessment; DIA OIG; Project No. 2005-002664-HQ; November 10, 2006
 - j. (b)(3); 10 USC 424 Climate Survey; DIA OIG; Project No. 2006-002842-HQ; November 10, 2006

- k. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2006-002852-HQ; November 10, 2006
- l. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2006-002771-HQ; December 18, 2006
- m. Criminal Investigation; IG, DIA; Project No. 2006-005834; December 19, 2006
- n. (b)(3) 10 USC 424 Contract Audit; DIA OIG; Project No. 2005-002487-OA; December 20, 2006
- o. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2006-002768-HQ; December 20, 2006
- p. (b)(3) 10 USC 424 Evaluation; DIA OIG; Project No. 2006-002765-HQ; December 21, 2006
- q. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2006-002850-HQ; January 23, 2007
- r. Criminal Investigation; DIA OIG; Project No. 2006-005836-OI; January 25, 2007
- s. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2006-002769-HQ; February 2, 2007
- t. Tempest Shipping Review; DIA OIG; Project No. 2007-002897-OA; February 7, 2007
- u. (b)(3) 10 USC 424 Follow-up Inspection; DIA OIG; Project No. 2007-002906-HQ; February 7, 2007
- v. Disaster Preparedness Follow-up Evaluation; DIA OIG; Project No. 2007-002907-HQ; February 8, 2007
- w. Criminal Investigation; DIA OIG; Project No. 2006-005761-OI; February 15, 2007
- x. Criminal Investigation; DIA OIG; Project No. 2006-005741-OI; February 26, 2007
- y. Criminal Investigation; DIA OIG; Project No. 2006-005815-OI; February 26, 2007
- z. DIAC Completion Follow-up Inspection; DIA OIG; Project No. 2007-002937-HQ; March 8, 2007
- aa. IO Follow-up Inspection; DIA OIG; Project No. 2006-002875-MA; March 16, 2007
- bb. IO Investigation Report; DIA OIG; Project No. 2007-002898-MA; March 16, 2007
- cc. (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i) Assessment; DIA OIG; Project No. 2006-002817-MA; March 19, 2007

2. A total of 53 cases were received during the reporting period, and the reporting period ended with 38 open cases. OIG investigative efforts documented (b)(3);(b) USC 424 in lost government funds or property.
3. Intelligence-related annex information is being submitted under separate cover to the director, Office of Intelligence Review. OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.
4. **If additional information** is required, please contact (b)(3);(b) USC 424; (b)(6)

(b)(3);(b) USC 424

(b)(3);(b) USC 424; (b)(6)

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-



U-0120/IG

18 March 2002

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits, inspections, and investigations:

(b)(3), 10 USC 424
a. DO FY 2001 GG-13 [redacted] Promotion Process Special Inquiry; Project Number 01-1957-HQ-007; 15 November 2001.

b. Expenditures in Support of [redacted] Special Inquiry; Project Number 02-1967-HQ-003; 4 December 2001.

c. Criminal Investigation - Crime Prevention Report; Project Number 01-5267-OI-072; 17 December 2001.

d. [redacted] Inspection; Project Number 01-1880-HQ-008; 27 December 2001.

e. [redacted] Inspection; Project Number 01-1945-HQ-008; 9 January 2002.

f. [redacted] Inspection; Project Number 01-1882-HQ-006; 4 February 2002.

g. [redacted] Inspection; Project Number 01-1924-HQ-009; 6 February 2002.

h. [redacted] Inspection; Project Number 01-1936-HQ-009; 22 February 2002.

i. Criminal Investigation - Crime Prevention Report; Project Number 02-5319-OI-054; 13 March 2002.

2. Action was completed on 49 investigative cases and 47 cases were received during the report period. There were 71 cases open at the close of March 2002.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the (b)(3);10 USC 424
(b)(3);10 USC 424 Inspector general reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the agency's Congressional Affairs staff.

5. If additional information is required, please contact (b)(3);10 USC 424; (b)(6)
(b)(3);10 USC 424

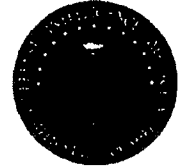
1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-07-0376/IG

17 September 2007

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance reports:
 - a. Misuse of Government Property Investigation; DIA OIG; Project No. 2006-005800-OI; April 2, 2007
 - b. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002774-HQ; April 11, 2007
 - c. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002868-HQ; April 11, 2007
 - d. (b)(3); 10 USC 424,
(b)(5); 50 USC 3024(i) Inspection; DIA OIG; Project No. 2006-002792-HQ; April 12, 2007
 - e. (b)(3); 10 USC 424 Evaluation; DIA OIG; Project No. 2006-002809-HQ; April 12, 2007
 - f. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002867-HQ; April 13, 2007
 - g. (b)(3); 10 USC 424 Inspection; DIA OIG; Project No. 2006-002869-HQ; April 13, 2007
 - h. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2006-005804-OI; May 1, 2007
 - i. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2006-005809-OI; May 1, 2007
 - j. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2006-005810-OI; May 1, 2007

- k. Time and Attendance Abuse Investigation; DIA OIG, Project No. 2007-005846-OI; May 4, 2007
- l. False Reporting Investigation, DIA OIG; Project No. 2006-005839-OI; June 4, 2007
- m. (b)(3) 10 USC 424 Inspection; DIA OIG; Project No. 2007-002903-HQ; June 5, 2006
- n. (b)(3);10 USC424 Evaluation; DIA OIG ; Project No. 2007-002944-HQ; June 25, 2007
- o. Crime Analysis Report; DIA OIG; Project No. 2007-005880-OI; July 17, 2007
- p. Defense Intelligence Analysis Program Audit; DIA OIG; Project No. 2006-002879-OA; July 20, 2007
- q. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2007-005851-OI; August 1, 2007
- r. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005863-OI; August 1, 2007
- s. Federal Information Security Management Act Audit; DIA OIG; Project No. 2006-002858-OA; August 15, 2007
- t. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002936-HQ; August 24, 2007
- u. DIA OIG Procurement Fraud Initiative

2. A total of 35 cases were received during the reporting period, and the reporting period ended with 63 open cases. OIG investigative efforts documented (b)(3);10 USC 424 in lost government funds or property.

3. Intelligence-related annex information is being submitted under separate cover to the (b)(3) 10 USC 424 OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

4. If additional information is required, please contact (b)(3);10 USC 424; (b)(6)
(b)(3) 10 USC 424

cc:
DR CP
DD DNI IG



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-08-0116/IG

17 March 2008

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance reports:

- a. (b)(3);10 USC 424 Audit; DIA OIG; Project No. 2006-002846-OA; October 22, 2007
- b. (b)(3);10 USC 424 Evaluation; DIA OIG; Project No. 2007-002928-HQ; October 22, 2007
- c. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002992-HQ; December 14, 2007
- d. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002976-HQ; December 28, 2007
- e. (b)(3);10 USC 424;
(b)(3);50 USC 3024(f) Reinspection; DIA OIG; Project No. 2007-002968-HQ; January 25, 2008
- f. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002986-HQ; January 28, 2008
- g. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002989-HQ; January 29, 2008
- h. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002971-HQ; January 30, 2008
- i. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002988-HQ; January 31, 2008
- j. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002975-HQ; February 1, 2008
- k. (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002987-HQ; February 6, 2008

- l. MS Academic Personnel Use Review; DIA OIG; Project No. 2007-003018-MA; February 12, 2008
- m. [REDACTED] IO Review; DIA OIG; Project No. 2007-003053-MA; February 12, 2008
- n. DIA [REDACTED] Evaluation; DIA OIG; Project No. 2007-002973-HQ; February 13, 2008
- o. [REDACTED] IO Inspection; DIA OIG; Project No. 2007-003014-MA; February 13, 2008
- p. [REDACTED] Inspection; DIA OIG; Project No. 2007-002990-HQ; February 15, 2008
- q. [REDACTED] IO Inspection; DIA OIG; Project No. 2007-003013-MA; February 26, 2008
- r. [REDACTED] Inspection; DIA OIG; Project No. 2007-002993-HQ; February 28, 2008
- s. [REDACTED] Inspection; DIA OIG; Project No. 2007-003000-HQ; February 29, 2008
- t. [REDACTED] Peer Review; DIA OIG; Project No. 2007-002985-OA; December 6, 2007
- u. Property Accountability Investigation; DIA OIG; Project No. 2007-005844-OI; January 10, 2008
- v. Acquisition, Contracting, and Procurement Assessment; DIA OIG; Project No. 2007-002934-SA; January 12, 2008
- w. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005897-OI; October 17, 2007
- x. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005934-OI; November 15, 2007
- y. Unaccounted for Government Property Investigation; DIA OIG; Project No. 2007-005842-OI; November 26, 2007
- z. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005801-OI; December 10, 2007
- aa. Time and Attendance Abuse Investigation; DIA OIG; Project No. 2007-005905-OI; December 31, 2007

bb. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005889-OI;
January 9, 2008

cc. Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005908-OI;
January 14, 2008

dd. Misuse of Government Resources Investigation; DIA OIG; Project No. 2008-005944-OI;
February 4, 2008

2. Intelligence-related annex information is being submitted under separate cover to the [redacted] OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

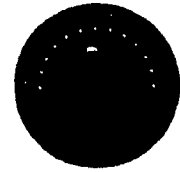
3. If additional information is required, please contact [redacted]

cc:
DR
DD
CP



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-06-0136/IG

17 March 2006

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), investigation, and workforce assistance final reports:

- a. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2507-HQ-008; October 13, 2005
- b. Criminal Investigation; IG, DIA; Report Number 05-5656-OI-060, October 13, 2005
- c. Criminal Investigation; IG, DIA; Report Number 05-5667-OI-050; October 13, 2005
- d. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2513-HQ-008; November 1, 2005
- e. Criminal Investigation; IG, DIA; Report Number 005-5681-OI-064; November 1, 2005
- f. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2514-HQ-008; November 2, 2005
- g. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2535-HQ-008; November 2, 2005
- h. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2510-HQ-008; November 3, 2005
- i. Criminal Investigation; IG, DIA; Report Number 05-5704-OI-060; November 3, 2005
- j. (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2522-HQ-008; November 4, 2005
- k. Allegation of (b)(3);10 USC 424 IG, DIA; Report Number 05-2566-MA-056; November 16, 2005 and Report Number 05-5651-OI-050; November 29, 2005

- i. [REDACTED] Unauthorized Operational Activity Investigation; IG, DIA; Report Number 05-2467-MA-056; November 21, 2005
- m. DAC Unauthorized Operational Activity Investigation; IG, DIA; Report Number 05-2561-MA-056; December 6, 2005
- n. [REDACTED] Inspection; IG, DIA, Report Number 05-2405-HQ-008; December 8, 2005
- o. [REDACTED] Inspection; IG, DIA; Report Number 05-2528-HQ-009; December 8, 2005
- p. Allegation Inquiry [REDACTED] Complaint; IG, DIA; Report Number 05-2593-WA-071; December 8, 2005
- q. Top Three Association Audit; IG; DIA; Report Number 05-2643-OA-027; December 8, 2005
- r. [REDACTED] Inspection; IG, DIA; Report Number 05-2501-HQ-008; December 10, 2005
- s. [REDACTED] Inspection; IG; DIA; Report Number 05-2502-HQ-008; December 10, 2005
- t. [REDACTED] Inspection; IG, DIA; Report Number 05-2503-HQ-008; December 12, 2005
- u. [REDACTED] Inspection; IG, DIA; Report Number 05-2505-HQ-008; December 23, 2005
- v. [REDACTED] Inspection; IG, DIA; Report Number 05-2511-HQ-008; December 23, 2005
- w. Criminal Investigation; IG, DIA; Report Number 05-5688-OI-055; December 30, 2005
- x. [REDACTED] Inspection; IG, DIA; Report Number 05-2517-HQ-008; January 10, 2006
- y. [REDACTED] Inspection; IG, DIA; Report Number 05-2519-HQ-008; January 12, 2006
- z. [REDACTED] Property Accountability Assessment Follow-up; IG, DIA; Report Number 05-2775-OA-031; January 18, 2006
- aa. Government Purchase Card Audit; IG, DIA; Report Number 05-2483-OA-026; January 19, 2006

- bb. [redacted] Inspection; IG, DIA; Report Number 05-2518-HQ-008; January 26, 2006
- cc. [redacted] Inspection; IG, DIA; Report Number 05-2520-HQ-008; January 27, 2006
- dd. [redacted] Inspection; IG, DIA; Report Number 05-2521-HQ-008; January 27, 2006
- ee. [redacted] Inspection; IG, DIA; Report Number 05-2515-HQ-008; January 31, 2006
- ff. [redacted] Inspection; IG, DIA; Report Number 05-2598-HQ-008; January 31, 2006
- gg. [redacted] Inspection; IG, DIA; Report Number 05-2516-HQ-008; February 3, 2006
- hh. Disaster Preparedness Follow-up Evaluation; DIA, IG; Report Number 02-2444-HQ-004; February 7, 2006
- ii. [redacted] Inspection; DIA, IG; Report Number 06-2671-HQ-008; February 8, 2006
- jj. Joint Enlisted Advisory Council Audit; IG, DIA; Report Number 05-2666-OA-027; February 14, 2006

2. Action was completed on 57 investigative cases. A total of 34 cases were received during the reporting period, and the reporting period ended with 28 open cases. OIG investigative efforts documented [redacted] in lost government funds or property.
3. Intelligence-related annex information is being submitted under separate cover to the [redacted] OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.
4. If additional information is required, please contact [redacted].

[redacted]

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-0389/IG

16 September 2005

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), and investigation reports:
 - a. Investigation; IG, DIA; Report Number 04-5537-OI-052; April 25, 2005
 - b. (b)(3); 10 USC 424 Management Inspection; IG, DIA; Report Number 04-2241-HQ-002, May 11, 2005
 - c. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 05-2424-HQ-008; May 13, 2005
 - d. Contractor Compliance Inquiry; IG, DIA; Report Number 05-2527-HQ-003; May 25, 2005
 - e. IC IG Forum Review of the (b)(3); 10 USC 424 IG, DIA; Report Number 03-2139-OA-022; June 6, 2005
 - f. (b)(3); 10 USC 424 Property Accountability Assessment; IG, DIA; Report Number 05-2548-OA-031; June 8, 2005
 - g. Trend Analysis of Inspection Reports and Recommendations; IG, DIA; Report Number 05-2591-HQ-075; June 8, 2005
 - h. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 05-2402-HQ-008; June 13, 2005
 - i. Investigation; IG, DIA; Report Number 05-5634-OI-055; June 14, 2004
 - j. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 05-2423-HQ-008; June 14, 2004
 - k. (b)(3); 10 USC 424 Inspection; IG, DIA; Report Number 05-2403-HQ-008; June 15, 2005

- l. [REDACTED] Inspection; IG, DIA; Report Number 05-2425-HQ-008; June 16, 2005
- m. [REDACTED] Administrative Investigation; IG, DIA; Report Number 05-2477-WA-070; July 8, 2005
- n. Investigation; IG, DIA; Report Number 99-4952-OI-063; July 26, 2005
- o. [REDACTED] Intelligence Oversight Inspection; IG, DIA; Report Number 04-2310-MA-056; July 26, 2005
- p. [REDACTED] Inspection; IG, DIA; Report Number 05-2451-HQ-008; July 26, 2005
- q. [REDACTED] Inspection; IG, DIA; Report Number 05-2509-HQ-008; July 27, 2005
- r. [REDACTED] Inspection; IG, DIA; Report Number 04-2348-HQ-008; July 28, 2005
- s. [REDACTED] Inspection; IG, DIA; Report Number 05-2504-HQ-008; July 29, 2005
- t. [REDACTED] Inspection; IG, DIA; Report Number 05-2562-HQ-008; February 18, 2005
- u. [REDACTED] Inspection; IG, DIA; Report Number 05-2526-HQ-009; August 8, 2005
- v. [REDACTED] Follow-up Evaluation; IG, DIA; Report Number 05-2439-HQ-004; August 12, 2005
- w. [REDACTED] Assessment; IG, DIA; Report Number 05-2492-HQ-003; August 15, 2005
- x. DIA Publication/Subscription Pricing Review; IG, DIA; Report Number 05-2604-OA-075; August 15, 2005
- y. [REDACTED] Inspection; IG, DIA; Report Number 04-2452-HQ-008; August 22, 2005
- z. FISMA Evaluation; IG, DIA; Report Number 05-2454-OA-021; September 2, 2005
2. Action was completed on 31 investigative cases. A total of 47 cases were received during the report period. The report period ended with 53 open cases. As a result of our investigative efforts, out of the [REDACTED] in government funds documented as lost [REDACTED] was recovered.
3. Intelligence-related annex information is being submitted under separate cover to the [REDACTED]. [REDACTED] OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

4. **If additional information is required, please contact**

(b)(3); 10 USC 424

(b)(3); 10 USC 424; (b)(6)

(b)(3); 10 USC 424

(b)(3); 10 USC 424; (b)(6)

1 Encl a/s

cc:
DR
DD



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0388/IG

14 October 1999

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections:
 - a. Inspection of the Office for Publications; Project Number 99-1781-HQ-004; 27 April 1999.
 - b. Inspection of the Diversity Management and Equal Opportunity Office; Project Number 98-1743-HQ-001; 7 May 1999.
 - c. Audit of International Merchant Purchase Authorization Card Cover Program; Project Number 98-1749-OA-006; 11 May 1999.
 - d. Audit of (b)(3), 10 USC 424 Automated Data Processing and Communications; Project Number 98-1652-OA-001; 12 May 1999.
 - e. Audit of the DIA Drug Testing Program; Project Number 97-1649-OA-008; 26 August 1999.
 - f. Inspection of the (b)(3), 10 USC 424 Staff; Project Number 99-1802-HQ-003; 24 September 1999.
2. Action was completed on 65 investigative cases and 74 cases were received during the report period. There were 62 cases open at the close of September 1999.
3. Statistical reporting (the following schedules are attached to this report):
 - a. Schedule 1 - Civilian and Military Personnel Strength.
 - b. Schedule 2 - Professional and Administrative/Support Personnel.
 - c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the (b)(3) 10 USC 424

(b)(3) 10 USC 424 Inspector General reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact (b)(6)

(b)(3) 10 USC 424; (b)(6) (b)(3) 10 USC 424

(b)(3) 10 USC 424; (b)(6)

1 Encl a/s

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0336/IG

14 October 1998

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections:

a. Inspection of [REDACTED]

[REDACTED] Project Number 97-1704-HQ-001;
2 April 1998.

b. Inspection of [REDACTED]

Project Number 98-1738-HQ-004; 29 May 1998.

c. Audit of Property Accountability; Project Number 97-1676-OA-014; 23 June 1998.

d. Inspection of the Administration and Operations Staff, Services Group, Directorate for Information Systems and Services; Project Number 98-1753-HQ-004; 21 August 1998.

e. Audit of [REDACTED] Project Number 97-1632-OA-013; 30 September 1998.

f. Audit of Year 2000 Issues; Project Number 98-1717-OA-001; 1 April 1998, 26 June 1998, and 11 September 1998.

2. Action was completed on 74 investigative cases and 79 cases were received during the report period. There were 45 cases open at the close of September 1998.

3. Statistical reporting (the following schedules are attached to this report):

a. Schedule 1 - Civilian and Military Personnel Strength.

b. Schedule 2 - Professional and Administrative/Support Personnel.

c. Schedule 3 - Operating Costs.

4. Intelligence related ~~anex information is being~~ submitted
under separate cover to the (b)(3) 10 USC 424

(b)(3) 10 USC 424 Inspector General reports will be made available for
Congressional Staff review, either at DIA or another agreed upon
location, upon specific request to the Agency's Legislative
Liaison Staff.

5. If additional information is required, please contact (b)(3) 10 USC 424

(b)(3) 10 USC 424, (b)(6)

(b)(3) 10 USC 424 (b)(6)

1 Encl a/s.

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0699-93/IG

14 October 1993

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. Reports:

a. The Office for Audits completed the following audits or issued management memoranda:

- (1) Civilian Welfare Fund Audit, Project Number 93-1169-OA-006
- (2) [redacted] Audit, Project Number 93-1214-OA-004
- (3) [redacted] Audit, Project Number 93-1333-OA-006
- (4) Defense Intelligence Journal Follow-up Audit, Project Number 93-1339-OA-012
- (5) Location of [redacted] management memorandum
- (6) Composition of [redacted] management memorandum

b. The Office for Inspections conducted the following

[redacted] inspections:

- (1) [redacted], Project Number 93-1313-DA-001
- (2) [redacted], Project Number 93-1314-DA-001
- (3) [redacted], Project Number 93-1315-DA-001
- (4) [redacted], Project Number 93-1316-DA-001
- (5) [redacted], Project Number 93-1318-DA-001
- (6) [redacted] Project Number 93-1467-HQ-001
- (7) [redacted] Project Number 93-1475-HQ-003

c. There were four DoD Hotline referrals received by the Office for Investigations during this reporting period. Action was completed on three of the referrals (93-L-54258, 93-T-54276, and 93-T-54132) and one referral that was open from the last report period (92-L-52552). One referral (93-T-54647) remains open.

d. None of the completed referrals, audit or inspection findings involved significant instances of fraud, waste, or mismanagement.

2. During this reporting period, the Office of the Inspector General consolidated its resources and refocused its efforts, to some degree as the rest of DIA has done. The (b)(3) 10 USC 424

(b)(3) 10 USC 424

(b)(3) 10 USC 424

(b)(3) 10 USC 424 were consolidated into the Office for Inspections. The Office for Administration was dissolved and its resources were distributed to the line managers. In so doing, we have given line managers greater flexibility and control over their programs, and reduced our supervisory staff by 20 percent. We believe the result is a better use of resources and a more focused program.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs

4. DIA policy restricts the release of IG reports, in whole or in part, outside of the Agency. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff. If additional information is required, please contact (b)(3) 10 USC 424; (b)(6)

(b)(3) 10 USC 424; (b)(6)

(b)(3) 10 USC 424; (b)(6)



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-1016-92/IG

14 OCT 1992

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

Information required by the reference concerning Defense Intelligence Agency activities is enclosed.

(b)(3);10 USC 424; (b)(6)

1 Enclosure a/s

REPORTS AND STATISTICS

1. Reports:

a. The Defense Intelligence Agency (DIA), Office of the Inspector General (IG) inspected [redacted] and [redacted] Headquarters element during the 1 April through 30 September 1992 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were three DoD Hotline referrals received during this reporting period. Action was completed on all of the referrals (92-L-50754, 92-L-50813, and 92-L-51255) and two referrals that were open from the last report period (92-T-50481 and 92-L-50616). None of these referrals involved significant instances of fraud, waste, or mismanagement.

c. A former DIA employee left the Government service without repaying foreign travel advances. Working in cooperation with the Agency Comptroller and federal prosecutors a judgement for [redacted] has been obtained against the individual and collection action is ongoing (530-029-90).

d. Based on an audit of the Agency travel advance system reported during the last period, the Office for Investigations began a program to collect [redacted] outstanding travel advances (Project Numbers 92-1171-OI-063 through 92-1184-OI-063). As of 30 September 1992, [redacted] advances had been reconciled, resulting in [redacted] in collections and \$3,968.86 in distributions.

e. As a result of a complaint against a DIA employee in another matter, we conducted a review of travel claims for [redacted]

[redacted] (250-052-91). Although we did not identify any specific instances of fraud, we identified approximately [redacted] in overpayments which we are currently resolving.

2. Synopses of prevention efforts and management improvements:

a. In response to an Office for Audits report on Time and Attendance Reporting (Project Number 91-1018-OA-006), the Agency implemented a series of improved internal controls, new training programs, and revised overtime budgeting and oversight procedures.

b. An audit of the Defense Intelligence Journal (Project Number 92-1146-OA-011), requested by the Director, resulted in revised procedures and internal controls to ensure the proper use of government personnel and other assets. The audit also resulted in a preliminary inquiry into security violations, and reimbursement for misuse of Government telephone and telefaxes.

Enclosure to U-1016-92/IG

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs
- d. Schedule 10 - Number of Reports Issued

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 30 Sep 92

SCHEDULE 9*
INVESTIGATIVE CASE RESULTS
(ADMINISTRATIVE ACTIONS)

CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DOS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			
d. OTHER			
3. MANAGEMENT ACTIONS			

* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).

SCHEDULE 10
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	16
2. SPECIAL	68
3. OTHER	2
4. TOTAL	86



DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340- 1028

U-0194/IG

14 April 1997

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections completed:

a. Audit of Travel Funds Management (Project Number 94-1561-OA-006).

b. Audit of DIA Support to the [redacted] (Project Number 95-1609-OA-009).

c. Audit of Project [redacted] (Project Number 96-1613-OA-009).

d. Inspection of [redacted] (Project Number 96-1626-HQ-001).

e. Audit of [redacted] (Project Number 96-1627-OA-011).

f. Inspection of [redacted] (Project Number 96-1637-HQ-001).

g. Special Inspection within the Office for Publications (Project Number 96-1639-HQ-003).

h. Inspection of [redacted] System Maintenance Support Issues (Project Number 96-1641-HQ-003).

i. Inspection of [redacted] (Project Number 96-1643-HQ-003).

j. Inspection of the DIA [redacted] (Project Number 96-1644-HQ-001).

k. Intelligence Oversight Inspection of [redacted] (Project Number 97-1658-HQ-056).

l. Followup Inspection within the Joint Military Intelligence College (Project Number 97-1660-HQ-004).

2. There were four Department of Defense Hotline referrals received during this reporting period (96-L-64082, 96-T-64311, 97-T-65087, and 97-L-64474). Action is pending on referrals 97-T-65087 and 97-L-64474. Action was completed on referrals 96-L-64082 and 96-T-64311 from this report period, and referrals 96-T-63060 and 96-L-62178 which were open from the last report period.

3. None of the completed referrals involved significant instances of fraud, waste, or mismanagement. See the intelligence annex for information pertaining to the audit and inspection reports.

4. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs

5. Intelligence related annex information is being submitted under separate cover to the Assistant Inspector General for Policy and Oversight (AIG-PO/IR). IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

6. If additional information is required, please contact

(b)(3) 10 USC 424, (b)(6)

(b)(3) 10 USC 424; (b)(6)

(b)(3) 10 USC 424, (b)(6)

1 Encl a/s

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0086-95/IG

13 October 1995

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning audits and inspections completed:

a. The Office for Audits issued the following audit reports:

- ADP Commercial Off-the Shelf Software (92-1215-OA-001)
- (b)(3);10 USC 424 (92-1294-OA-009)
- (b)(3);10 USC 424 (93-1509-OA-013)
- Automated Data Processing Hardware (94-1545-OA-014)

b. The Office for Inspections issued the following

(b)(3);10 USC 424 inspection reports:

- (b)(3);10 USC 424 (95-1527-HQ-002)
- (b)(3);10 USC 424 (95-1528-HQ-002)
- (95-1543-HQ-001)
- (95-1544-HQ-001)
- (95-1547-HQ-001)
- (95-1548-HQ-001)
- (b)(3);10 USC 424 (95-1553-HQ-001)
- (b)(3);10 USC 424 (95-1554-HQ-001)
- (95-1555-HQ-001)
- (95-1556-HQ-001)
- (95-1557-HQ-001)
- (95-1558-HQ-001)
- (b)(3);10 USC 424 (95-1563-HQ-001)
- (95-1564-HQ-001)
- (b)(3);10 USC 424 (95-1567-HQ-001)
- (95-1572-HQ-004)
- (95-1573-HQ-001)
- (95-1574-HQ-004)
- (b)(3);10 USC 424 (95-1575-HQ-001)
- (95-1576-HQ-001)
- (95-1577-HQ-001)
- (95-1578-HQ-001)

(b)(3) 10 USC 424

(95-1579-HQ-001)
(95-1580-HQ-001)
(95-1581-HQ-001)
(95-1582-HQ-001)
(95-1583-HQ-001)
(95-1584-HQ-001)
(95-1585-HQ-001)
(95-1590-HQ-001)
(95-1591-HQ-001)
(95-1592-HQ-001)
(95-1593-HQ-001)
(95-1594-HQ-001)
(95-1597-HQ-001)
(95-1598-HQ-001)
(95-1606-HQ-001)

c. There were two DoD Hotline referrals received by the Office for Investigations during this reporting period. Action was completed on referral 95-G-60302, and four referrals (94-C-58787, 95-L-59133, 95-L-59210, and 95-L-59121) that were open from the last report period. One referral remains open (95-L-60286).

d. None of the completed referrals, audit or inspection findings involved significant instances of fraud, waste, or mismanagement.

2. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs

3. DIA policy restricts the release of Inspector General (IG) reports, in whole or in part, outside of the Agency. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff. If additional information is required, please contact

(b)(3) 10 USC 424, (b)(6)



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0081-94/IG

13 October 1994

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. Reports:

a. The Office for Audits issued the following audit reports:

- Management of Commercial Off-the-Shelf Software, Project Number 92-1215-OA-001
- Audit of Intelligence Commercial Activities, Project Number 93-1292-OA-009
- Interim Audit Report One [redacted] Project Number 93-1294-OA-009
- Interim Audit Report Two [redacted] Project Number 93-1294-OA-009
- Special Audit of [redacted] Entry Level Professional Program Issues, Project Number 94-1525-OA-009
- Interim Audit Report of ADP Hardware Inventory, Project Number 94-1545-OA-014

b. The Office for Inspections issued the following Headquarters and [redacted] inspection reports:

- [redacted] Project Number 94-1514-HQ-001
- [redacted] Project Number 94-1515-HQ-001
- [redacted] Project Number 94-1516-HQ-001
- [redacted] Project Number 94-1517-HQ-001
- [redacted] Project Number 94-1520-HQ-001
- [redacted] Project Number 94-1521-HQ-001
- Office for Procurement Follow-Up, Project Number 94-1523-HQ-004
- Awards Program Review, Project Number 94-1524-HQ-002
- [redacted] Project Number 94-1533-HQ-001
- [redacted], Project Number 94-1534-HQ-001
- [redacted] Project Number 94-1536-HQ-001
- [redacted] Project Number 94-1537-HQ-001
- [redacted] Project Number 94-1538-HQ-001

c. There were six DoD Hotline referrals received by the Office for Investigations during this reporting period. Action was completed on all of the referrals (94-T-56742, 94-T-56571, 94-T-57022, 94-L-57029, 94-T-56234, and 94-5-56234) and one referral that was open from the last report period (94-T-56388). One referral (93-T-54647) remains open.

d. None of the completed referrals, audit, or inspection findings involved significant instances of fraud, waste, or mismanagement.

2. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs

3. DIA policy restricts the release of IG reports, in whole or in part, outside of the Agency. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff. If additional information is required, please contact

(b)(3) 10 USC 424; (b)(6)



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 5100



U-0142/IG

13 April 2000

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections:

- a. Inspection of (b)(3);10 USC 424 (b)(3);10 USC 424; Project Number 99-1773-HQ-001; 17 November 1999.
- b. Follow-up Audit of the Pilot Credit Card Program; Project Number 99-1824-OA-032; 18 November 1999.
- c. Audit of Civilian Welfare Fund; Project Number 98-1757-OA-026; 15 December 1999.
- d. Inspection of (b)(3);10 USC 424; Project Number 99-1783-HQ-001; 15 December 1999.
- e. Inspection of (b)(3);10 USC 424 (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Project Number 99-1804-HQ-001; 22 December 1999.
- f. Inspection of (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Project Number 98-1742-HQ-001; 29 December 1999.
- g. Audit of (b)(3);10 USC 424 Senior-Level Staffing Process; Project Number 99-4969-OA-065; 13 January 2000.
- h. Inspection of the (b)(3);10 USC 424 Project Number 99-1775-HQ-003; 2 February 2000.
- i. Audit of (b)(3);10 USC 424 (b)(3);10 USC 424 Project Number 99-1782-OA-001; 15 March 2000.
- j. Inspection of the (b)(3);10 USC 424 Project Number 99-1831-HQ-001; 31 March 2000.

2. Action was completed on 65 investigative cases and 70 cases were received during the report period. There were 69 cases open at the close of March 2000.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the Director, [redacted] Inspector General reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact [redacted]

[redacted]

1 Encl a/s

cc:
DR
DD
CS

[redacted]



DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-1028

U-0131/IG

13 April 1999

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections:

a. Inspection of (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Project Number 98-1724-HQ-001; 3 November 1998.

b. Special Inspection of Blacks in Government Use of Resources; Project Number 98-1779-HQ-003; 23 December 1998.

c. Special Inspection of [REDACTED] Project Number 98-1755-HQ-003; 31 December 1998.

d. Review of Year 2000 Issues; Project Number 98-1717-001; 28 January 1999.

e. Audit of (b)(3):10 USC 424 Project Number 97-1662-OA-013; 4 February 1999.

f. Inspection of (b)(3):10 USC 424 Project Number 98-1703-HQ-001; 8 February 1999.

g. Inspection of (b)(3):10 USC 424 Project Number 98-1750-HQ-001; 19 February 1999.

h. Follow-up Audit of (b)(3):10 USC 424 Project Number 98-1771-OA-009; 8 March 1999.

2. Action was completed on 61 investigative cases and 68 cases were received during the report period. There were 52 cases open at the close of March 1999.

3. Statistical reporting (the following schedules are attached to this report):

a. Schedule 1 - Civilian and Military Personnel Strength.

b. Schedule 2 - Professional and Administrative/Support Personnel.

c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the Director, ^{(b)(3) 10 USC 424} [redacted] Inspector General reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact me at ^{(b)(3) 10 USC 424} [redacted]

1 Encl a/s

cc:
CS

^{(b)(3) 10 USC 424; (b)(6)} [redacted]



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0129/IG

13 April 1998

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections completed:

- a. Inspection of the [redacted] Project Number 97-1696-HQ-001, 20 October 1997.
- b. Program Evaluation of Security Adjudication Procedures; Project Number 97-1651-HQ-001, 4 November 1997.
- c. Inspection of Corporate Staffing Strategies; Project Number 97-1661-HQ-001; 5 November 1997.
- d. Inspection of [redacted] Project Number 97-1667-HQ-001, 3 December 1997.
- e. Inspection of [redacted] Project Number 97-1713-HQ-001, 10 December 1997.
- f. Audit of Intelligence Contingency Funds; Project Number 96-1642-OA-006, 10 March 1998.
- g. Review of [redacted] Project Number 97-1707-HQ-001, 13 March 1998.
- h. Audit of Serold Limited Contract; Project Number 96-1678-OA-009, 16 March 1998.
- i. Survey of Customer Focus within the Directorate for Intelligence Production; Project Number 97-1723-HQ-001; 20 March 1998.
- j. Inspection of [redacted] Project Number 97-1709-HQ-001, 23 March 1998.

2. Action was completed on 95 investigative cases and 68 cases were received during the report period. There were 40 cases open at the close of March 1998.

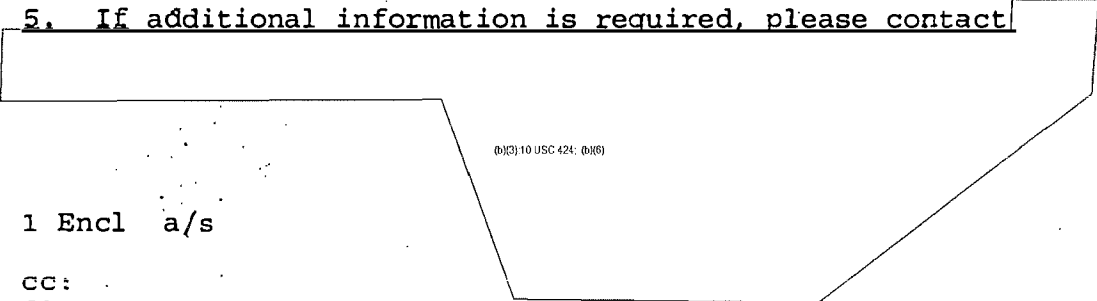
3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the Director, (b)(3) 10 USC 424

(b)(3) 10 USC 424 IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact



(b)(3) 10 USC 424; (b)(6)

1 Encl a/s

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0325-93/IG

13 APR 1993

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

Information required by the reference concerning Defense Intelligence Agency activities is enclosed.

(b)(3); 10 USC 424. (b)(6)

1 Enclosure a/s

REPORTS AND STATISTICS

1. Reports:

a. The [redacted] (b)(3);10 USC 424 Inspections, conducted [redacted] (b)(3);10 USC 424 inspections which included [redacted] (b)(3);10 USC 424 inspections; and [redacted] (b)(3);10 USC 424 follow-up inspections. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. The Office for Inspections conducted the following inspections:

(1) Office for Procurement (Project Number 92-1147-HQ-001). Improvements in long range acquisition planning throughout the Defense Intelligence Agency (DIA) were recommended; contracts were identified for audit review; an inconsistent contract file documentation process was cited; control weakness in Blanket Purchase Agreements were pointed out; and improvements in security procedures and intelligence oversight were recommended.

(2) Military Personnel Division (Project Number 92-1203-HQ-001). Recommendations were made that resulted in improved personnel support to military members assigned to DIA and automated many formerly manual functions.

(3) Office for Security and Counterintelligence Follow-up (Project Number 93-1334-HQ-004). Closed all recommendations that had been completed and consolidated all former automation recommendations so that they would comply with DIA's projected overarching architecture. There were seven commendable findings that identified employee initiatives that will save the Government approximately [redacted] (b)(3);10 USC 424

c. There were seven DoD Hotline referrals received during this reporting period. Action was completed on six of the referrals (92-L-52096, 92-T-52527, 92-L-52612, 93-T-52653, 93-L-52776, and 93-T-52745) and one referral (92-L-52552) remains open. None of the completed referrals involved significant instances of fraud, waste, or mismanagement.

d. An employee has been indicted on charges of violating 18 USC 641, Theft of Government Property (time and resources). At the direction of the U.S. Department of Justice, Public Integrity Section, a joint investigation was conducted by the Office for Investigations (Project Number 92-1109-OI-060) and the Defense Criminal Investigative Service (9310014B-05-OCT-92-01DC-JGD). The investigation determined that the employee had used his time, Government equipment (computer, facsimile, etc.), and materials during duty hours to pursue a hobby.

Enclosure to U-0325-93/IG

2. Synopses of prevention efforts and management improvements:

a. DIA issued a revised time and attendance regulation in response to our Audit of Time and Attendance Reporting (Project Number 91-1018-OA-006). This regulation changes the internal control process over timecards, revises the overtime budget and approval process, and establishes training requirements for timekeepers and certifying officers.

b. An ongoing Audit of Economy Act Transfers (Project Number 93-1333-OA-006) has prompted changes to DIA procedures for approving Military Interdepartmental Purchase Requests (MIPRs).

(b)(3) 10 USC 424; (b)(3) 50 USC 3024(i)

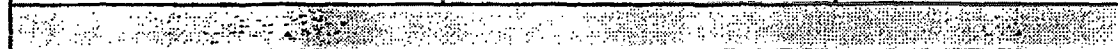
c. The final report was issued on the Audit of the Defense Intelligence Journal (Project Number 92-1146-OA-011) resulting in revised procedures and internal controls to ensure the proper use of Government personnel and other assets. A follow-up audit is currently underway to determine compliance with revised procedures and internal controls.

d. The Office for Inspections continues to use functional experts to conduct its inspections. The Office for Procurement inspection was conducted with the assistance of senior contracting officers from the National Security Agency, Defense Advanced Research Projects Agency, the Office of Naval Intelligence, and the Office of the Assistant Secretary of the Army. The Military Personnel Division inspection included technical experts from the System Architecture and Engineering Staff, Directorate for Information Systems, DIA; U.S. Army Personnel Command; Air Force District of Washington; and the Bureau of Naval Personnel. The Office for Security and Counterintelligence follow-up inspection was augmented by experts from the Directorate for Information Services, DIA; Inspector General Staff, Naval Criminal Investigative Service; and a U.S. Air Force Reservist.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs
- d. Schedule 10 - Number of Reports Issued.

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 31 March 1993



SCHEDULE 9*
INVESTIGATIVE CASE RESULTS
(ADMINISTRATIVE ACTIONS)

CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCIS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			
d. OTHER			
3. MANAGEMENT ACTIONS			

* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).



SCHEDULE 10
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	23
2. SPECIAL	84
3. OTHER	1
4. TOTAL	108



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



513 APR 1992

U-0391-92/IG

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

Information required by the reference concerning Defense Intelligence Agency activities is enclosed.

(b)(3) 10 USC 424; (b)(6)

1 Enclosure a/s

REPORTS AND STATISTICS

1. Reports:

a. The Defense Intelligence Agency (DIA), Office of Inspector General (OIG) inspected [redacted] and

[redacted] Headquarters elements during the 1 October 1991 through 31 March 1992 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were seven DoD Hotline referrals received during this reporting period. Action was completed on five of the referrals (91-L-49393, 91-L-49550, 91-T-49885, 91-T-50106, and 92-L-50157) and one referral that was open from the last report period (91-T-49465). None of these referrals involved significant instances of fraud, waste, or mismanagement. There were two referrals (92-T-50481 and 92-L-50616) open at the end of the reporting period.

c. Our last report included an investigation (250-054-91) of theft of government property by an employee. Working in cooperation with the Sheriff of Calvert County, MD and county prosecutors, [redacted] worth of government property was recovered and returned to government control.

(b)(3)10 USC 424, (b)(6)

d. Working in cooperation with the Agency Comptroller and federal prosecutors in California, a judgement for almost \$11,000 was obtained against a former employee who had left government service without repaying foreign travel advances (530-029-90).

e. Following an audit of the Agency travel advance system, the [redacted] in unresolved travel advances to the Office for Investigations for resolution. This referral resulted in [redacted] in cash collections and forced the filing of [redacted] in long overdue travel claims. Based upon the success of this test program, we are working with the Agency Comptroller to resolve other outstanding travel advances.

f. A complaint of possible fraud against one employee led to a review of claims for [redacted] travel to [redacted] Approximately [redacted] in overpayments were identified and are in the process of resolution (250-052-91). Fraud could not be documented in the cases.

Enclosure to U-0391-92/IG

2. Synopses of prevention efforts and management improvements:

a. In response to an Office for Audits report on Outstanding Travel Advances (560-003-91), the Agency implemented a series of improved internal control procedures to settle outstanding advances in a timely manner. In December 1991, the Agency also instituted a traveler's check program in lieu of cash advances which is operating effectively.

b. An Audit of Time and Attendance Reporting (560-001-91) was nearing completion. The draft report should be released for comment in April 1992.

c. Audits of the DoD Intelligence Information System (92-1016-OA-001) and ADP System Security (92-1015-OA-001) have been delayed due to staffing, but will be resumed in May 1992. At the request of the Director, an audit of the Defense Intelligence College Foundation Journal will begin in May 1992.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs
- d. Schedule 10 - Number of Reports Issued

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL	
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Fiscal Date) 31 Mar 92	
SCHEDULE 9* INVESTIGATIVE CASE RESULTS (ADMINISTRATIVE ACTIONS)			
CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DOCS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			
d. OTHER			
3. MANAGEMENT ACTIONS			
* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).			
SCHEDULE 10 NUMBER OF INSPECTION REPORTS ISSUED			
CATEGORY	NUMBER OF REPORTS ISSUED		
1. GENERAL	31		
2. SPECIAL	63		
3. OTHER	0		
4. TOTAL	94		



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0022-94/IG

12 April 1994

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. Reports:

a. The Office for Audits issued the following audit report or management memorandum:

- (1) [REDACTED] Management Audit Report, Project Number 92-1214-OA-006
- (2) Overdue Investigation of [REDACTED] management memorandum

b. The Office for Inspections issued the following Headquarters and [REDACTED] inspection or staff assistance reports:

- (1) [REDACTED] Project Number 93-1513-HQ-003
- (2) [REDACTED] Project Number 94-1518-HQ-001
- (3) [REDACTED] Project Number 94-1532-HQ-005 (staff assistance)

c. There were three DoD Hotline referrals received by the Office for Investigations during this reporting period. Action was completed on two of the referrals (93-L-56125, and 94-L-56366). Two referrals remain open (94-T-56388 and 93-T-54647 from the last report period).

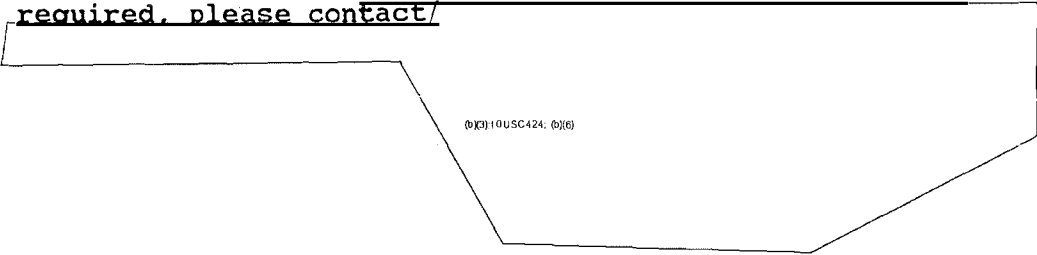
d. None of the completed referrals, audit, or inspection findings involved significant instances of fraud, waste, or mismanagement.

2. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel

c. Schedule 3 - Operating Costs

3. DIA policy restricts the release of IG reports, in whole or in part, outside of the Agency. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff. If additional information is required, please contact/





DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-789-91/IG

11 OCT 1991

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to
the Congress

Reference: DoD Instruction 7750.6, "Information Requirements
for Semiannual Report to the Congress," 27 April
1990.

The information required by reference concerning DIA activities
is enclosed.

1 Enclosure a/s

(b)(3)(1) USC 424, (b)(6)



REPORTS AND STATISTICS

1. Reports:

a. The Defense Intelligence Agency (DIA), Office of Inspector General (OIG) inspected (b)(3);10 USC 424 (b)(3);10 USC 424 and two Headquarters elements during the 1 April through 30 September 1991 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There were three DoD Hotline referrals received during this reporting period. Action was completed on two of the referrals (91-L-48507 and 91-T-48780). Neither referral involved significant instances of fraud, waste, or mismanagement. There was one referral (91-T-49465) open at the end of the reporting period.

c. A completed time and attendance fraud investigation was referred to the Defense Criminal Investigative Service (DCIS) who presented the case to the Assistant U.S. Attorney for the District of Columbia. Prosecution of this case by the Justice Department resulted in sentencing and relief from employment. The DCIS Case Number is 911-04170-11-DEC-90-01DC-C2D.

d. A fraud investigation (250-030-91) was completed concerning misuse of GSA Government credit cards to purchase gasoline for privately owned vehicles of contractor employees. The two employees involved were fired by the contractor, restitution was made, and tighter controls were established.

e. An investigation (250-054-91) was conducted concerning theft of government property by an employee. DCIS declined jurisdiction, as did the Federal Protective Service. The Sheriff of Calvert County, Maryland arrested the employee on another charge and searched his house. The stolen property was recovered and returned to government control. Individual has a scheduled trial date in November 1991 and has resigned from government service.

2. Synopses of prevention efforts and management improvements:

a. The Office of Audits has completed an Audit of Outstanding Travel Advances (560-003-91). A traveler's check program in lieu of cash advances is being instituted. In addition, management has agreed to a series of improved internal control procedures to settle outstanding travel advances in a timely manner. Monetary benefits include:

Enclosure to U-789-91/IG

(1) Potential one-time collection of outstanding travel advances totaling approximately [redacted] (offset by any entitled reimbursements, which cannot be calculated with available data).

(2) Projected savings of \$108,000 per year in uncollected advance funds (offset costs consist of entitled reimbursements which cannot be calculated, and the minimal charges of the new program, which are [redacted] of 1 percent of traveler's checks issued).

(3) Projected savings of [redacted] per year in advance "write-offs" by discounting regular cash advances (offset costs consist of entitled reimbursements, which cannot be calculated with available data).

(4) Non-quantifiable financial savings include -
a) reduced cost of interest to the Government for cash advances;
b) elimination of traveler's check fees [redacted] percent);
c) improvement in Air Force travel management procedures.

b. An Audit of Time and Attendance Reporting (560-001-91) is in the fieldwork phase, with anticipated report release date of 1 February 1992.

c. Two additional audits were announced and are scheduled to begin by 15 October 1991: Audit of the DoD Intelligence Information System (92-1016-OA-001) and Audit of ADP System Security (92-1015-OA-001).

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength
- b. Schedule 2 - Professional and Administrative/Support Personnel
- c. Schedule 3 - Operating Costs
- d. Schedule 10 - Number of Reports Issued

DOD INSPECTOR GENERAL SEMIANNUAL REPORT TO THE CONGRESS	NAME OF ORGANIZATION	REPORT CONTROL SYMBOL
	Defense Intelligence Agency	FOR THE 6 MONTH PERIOD ENDING (Enter Date) 30 September 91



SCHEDULE 9*
INVESTIGATIVE CASE RESULTS
(ADMINISTRATIVE ACTIONS)

CASE RESULTS	INVESTIGATIVE ACTIVITY		
	DCIS (1)	MILITARY SERVICES (2)	TOTAL (3)
1. CONTRACTOR ACTIONS			
a. DEBARMENTS			
b. SUSPENSIONS			
c. OTHER ACTIONS			
2. PERSONNEL ACTIONS			
a. REPRIMANDS			
b. DEMOTIONS			
c. TERMINATIONS			
d. OTHER			
3. MANAGEMENT ACTIONS			

* To be completed by the Assistant Inspector General for Investigations (Defense Criminal Investigative Service data) and the Assistant Inspector General for Criminal Investigations Policy and Oversight (military criminal investigative organizations data).



SCHEDULE 10
NUMBER OF INSPECTION REPORTS ISSUED

CATEGORY	NUMBER OF REPORTS ISSUED
1. GENERAL	7
2. SPECIAL	86
3. OTHER	1
4. TOTAL	94



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-0401/IG

10 October 2000

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections:

a. Serold Limited Contract Follow-up Audit; Project Number 99-1780-OA-023; 11 April 2000.

b. [redacted] Intelligence Oversight Inspection; Project Number 99-1796-MA-056; 8 May 2000.

c. Year 2000 Issues Review; Project Number 98-1717-OA-001; 12 May 2000.

d. [redacted] Inspection; Project Number 99-1839-HQ-009; 22 May 2000.

e. [redacted] Inspection; Project Number 99-1800-HQ-001; 12 June 2000.

f. [redacted] Follow-up Inspection; Project Number 00-1868-HQ-004; 2 August 2000.

g. [redacted] Project Number 98-1726-HQ-003; 3 August 2000.

h. [redacted] Inspection; Project Number 00-1848-HQ-009; 18 September 2000.

i. [redacted] Audit; Project Number 98-1801-OA-006; 19 September 2000.

j. [redacted] Inspection; Project Number 00-1861-HQ-008; 21 September 2000.

k. [redacted] Inspection; Project Number 00-1847-HQ-008; 25 September 2000.

2. Action was completed on 67 investigative cases and 64 cases were received during the report period. There were 73 cases open at the close of September 2000.

3. Statistical reporting (the following schedules are attached to this report):

- a. Schedule 1 - Civilian and Military Personnel Strength.
- b. Schedule 2 - Professional and Administrative/Support Personnel.
- c. Schedule 3 - Operating Costs.

4. Intelligence related annex information is being submitted under separate cover to the Director, (b)(3) 10 USC 424
(b)(3) 10 USC 424 Inspector General reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

5. If additional information is required, please contact (b)(6)

(b)(3) 10 USC 424; (b)(6)

(b)(3) 10 USC 424

(b)(3) 10 USC 424; (b)(6)

1 Encl a/s

CC:
DR
DD
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0128/IG

10 October 1996

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections completed:

a. Inspection of DIA Support to the Combatant Commanders (Project Number 96-1549-HQ-001).

b. Audit of External Research Contracting at DIA (Project Number 96-1560-OA-003).

c. Audit of the DIA (b)(3) TO USC 424 (Project Number 95-1571-OA-006).

d. Audit of the Agency Resource Management Information Support System (ARMISS) (Project Number 95-1586-OA-007).

e. Audit of (b)(3) TO USC 424 at DIA (Project Number 96-1602-OA-002).

f. Inspection of the Diversity Management Office (Project Number 95-1610-HQ-001).

2. There were two Department of Defense Hotline referrals received during this reporting period (96-L-62990 and 96-T-63060). Action is pending on referrals 96-T-63060 (from this report period) and 96-L-62178 (from the previous report period). Action was completed on referral 96-L-62990 and referrals 95-T-60985 and 95-L-61603 which were open from the last report period.

3. None of the completed referrals involved significant instances of fraud, waste, or mismanagement. See the intelligence annex for information pertaining to the audit and inspection reports.

4. Statistical reporting (the following schedules are attached to this report):

a. Schedule 1 - Civilian and Military Personnel Strength

b. Schedule 2 - Professional and Administrative/Support Personnel

c. Schedule 3 - Operating Costs

5. Intelligence related annex information is being submitted under separate cover to the Assistant Inspector General for Policy and Oversight (b)(3) 10 USC 424. In addition, copies of the six reports referred to in paragraph 1 have been forwarded to AIG-PO. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Agency's Legislative Liaison Staff.

6. If additional information is required, please contact [redacted]

(b)(3) 10 USC 424; (b)(6)

cc:
CS



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 1028



U-0042/IG

10 April 1996

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

1. The following information is provided concerning Defense Intelligence Agency (DIA) audits and inspections completed:

a. Audit of the Transfer of (b)(3) TO USC 424; (b)(3) 50 USC 3024(i) to the DIA (Project Number 95-1568-OA-009).

b. (b)(3) TO USC 424 Issues Inspection (Project Number 95-1617-HQ-001).

c. Followup Audit of the (b)(3) TO USC 424; (b)(3) 50 USC 3024(i) (Project Number 96-1624-OA-004).

2. There were two Department of Defense Hotline referrals received during this reporting period (95-T-60985 and 95-L-61603) which remain open. Action was completed on referral 95-L-60286 which was open from the last report period.

3. None of the completed referrals, audit or inspection findings involved significant instances of fraud, waste, or mismanagement.

4. Statistical reporting (the following schedules are attached to this report):

a. Schedule 1 - Civilian and Military Personnel Strength

b. Schedule 2 - Professional and Administrative/Support Personnel

c. Schedule 3 - Operating Costs

5. Intelligence related annex information is being submitted under separate cover to the Assistant Inspector General for Policy and Oversight (AIG-PO). In addition, copies of the three reports referred to in paragraph 1 have been forwarded to AIG-PO. IG reports will be made available for Congressional Staff review, either at DIA or another agreed upon location, upon specific request to the Legislative Liaison Staff.

6. If additional information is required, please contact

[Redacted]

(b)(3) 10 USC 424, (b)(6)

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-235-91/IG

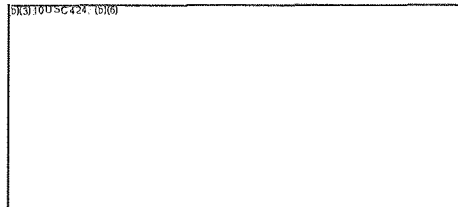
10 APR 1991

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990.

The information required by reference concerning DIA inspection activities is enclosed.



1 Enclosure a/s

1. INSPECTION REPORTS:

a. The Office of the Inspector General, Defense Intelligence Agency (DIA-IG), inspected (b)(3); 10 USC 424 (b)(3); 10 USC 424 during the 1 October 1990 - 31 March 1991 reporting period. There were no significant inspection findings involving fraud, waste, and abuse issued as a result of these inspections.

b. There was one DoD Hotline Referral received during this reporting period. Action was completed on this referral as well as on a referral received during the last reporting period. There are no referrals open or pending as of this date. Neither referral involved significant instances of fraud, waste, or mismanagement.

c. A completed time and attendance fraud investigation was referred to the DCIS who presented the case to the Assistant U.S. Attorney for the District of Columbia. Prosecution of this case by the Justice Department continues. The DCIS Case Number is 911-04170-11-DEC-90-01DC-C2D.

2. SYNOPSES OF PREVENTION EFFORTS AND MANAGEMENT IMPROVEMENTS:

a. (b)(3) 10 USC 424, (b)(6) was appointed the first civilian Inspector General of the Defense Intelligence Agency in January, 1991. (b)(3) 10 USC 424, (b)(6) was formerly the (b)(3) 10 USC 424

b. The Office of the Inspector General, DIA, has been reorganized along the lines of a statutory Inspector General's office. Policies and procedures being developed will follow those of statutory IGs as closely as possible. While current vacancies are being filled, a needs analysis is being conducted to assist in long range planning for the office in staffing and audit and inspection planning.

c. The DIA-IG Audits Section has issued one audit report: 560-002-91, Audit of the DIA Imprest Fund (3/4/91). Two additional audits are presently in progress: 560-001-91, Audit of Time and Attendance, estimated completion date of March 1992; and, 560-003-91, Audit of Outstanding Travel Advances, estimated completion date of July 1991.

3. STATISTICAL REPORTING

The following schedules are included in this report.

- a. Schedule 1. - Civilian and Military Personnel Strength
- b. Schedule 2. - Professional and Administrative/Support
Personnel
- c. Schedule 3. - Operating Costs
- d. Schedule 10.- Number of Inspection Reports Issued



DEFENSE INTELLIGENCE AGENCY
WASHINGTON, D.C. 20340-5100



U-0515/IG

1 October 2004

To: Inspector General
Department of Defense
400 Army Navy Drive
Arlington, VA 22202-4704

Subject: Information Requirements for Semiannual Report to the Congress

Reference: DoD Instruction 7750.6, "Information Requirements for Semiannual Report to the Congress," 27 April 1990

1. The following information is provided concerning Defense Intelligence Agency (DIA) audit, inspection, intelligence oversight (IO), and investigation reports:

- a. (b)(3);10 USC 424 Audit; Report Number 04-2228-OA-026; 19 April 2004
- b. (b)(3);10 USC 424;
(b)(3);50 USC 3024(i) Audit; Report Number 04-2272-OA-026; 20 April 2004
- c. (b)(3);10 USC 424 Assessment; Report Number 04-2260-MA-056; 27 April 2004
- d. (b)(3);10 USC 424 Report Number 03-2127-OA-029; 30 April 2004
- e. Possible Anti-Deficiency Act Violation Preliminary Review; Report Number 04-2307-MA-056; 4 May 2004
- f. Criminal Investigation; Report Number 03-5463-OI-068; 14 May 2004
- g. (b)(3);10 USC 424; (b)(3);50 USC 3024(i) IO Investigation; Report Number 03-2171-MA-056; 18 May 2004
- h. Criminal Investigation; Report Number 03-5453-OI-050; 25 May 2004
- i. Criminal Investigation; Report Number 04-5567-OI-072; 25 June 2004
- j. (b)(3);10 USC 424 Inspection; Report Number 03-2216-HQ-008; 1 July 2004
- k. (b)(3);10 USC 424 Inspection; Report Number 04-2333-HQ-008; 1 July 2004
- l. (b)(3);10 USC 424 Inspection; Report Number 03-2150-HQ-008; 12 July 2004

- m. [REDACTED] IO Investigation; Report Number 03-2231-MA-056; 12 July 2004
 - n. Criminal Investigation; Report Number 03-5406-OI-052; 20 July 2004
 - o. Criminal Investigation; Report Number 03-5470-OI-054; 22 July 2004
 - p. Limited Scope Audit of the DIA Civilian Welfare Fund (CWF), Custodian Transfer of CWF Assets; Report Number 04-2370-OA-026; 23 July 2004
 - q. [REDACTED] Follow-up Inspection; Report Number 04-2256-HQ-004; 30 July 2004
 - r. DIA Office of the Inspector General (OIG) Response to the Federal Information Security Management Act; Report Number 04-2320-OA-006; 30 July 2004
 - s. [REDACTED] Inspection; Report Number 04-2239-HQ-008; 10 August 2004
 - t. [REDACTED] Inspection, Report Number 04-2331-HQ-008; 10 August 2004
 - u. Criminal Investigation; Report Number 04-5578-OI-072; 10 August 2004
 - v. [REDACTED] Follow-up Inspection, Report Number 04-2328-HQ-008; 11 August 2004
 - w. [REDACTED] Audit; Report Number 04-2227-OA-026; 19 August 2004
 - x. Deployment Operations Issues, Report Number 04-2407-HQ-003; 24 August 2004
 - y. Criminal Investigation; Report Number 03-5465-OI-050; 2 September 2004
2. Action was completed on 64 investigative cases and 54 cases were received during the report period, and the report period ended with 59 open cases. As a result of our investigative efforts, out of the \$136,758 documented lost, the government has recovered over [REDACTED] in recoupments. In addition, we recovered [REDACTED]
3. Intelligence-related annex information is being submitted under separate cover to the director, Office of Intelligence Review. OIG reports will be made available for congressional staff review, either at DIA or another agreed upon location, upon specific request to this office.

4. If additional information is required, please contact

(b)(3), 10 USC 424

(b)(3), 10 USC 424; (b)(6)

(b)(3), 10 USC 424

(b)(3), 10 USC 424; (b)(6)

1 Encl a/s

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-1028



U-0155/IG

20 November 1996

MEMORANDUM FOR THE ASSISTANT INSPECTOR GENERAL FOR POLICY AND
OVERSIGHT, DEPARTMENT OF DEFENSE

SUBJECT: Final Coordination of Intelligence Annex for the
Semiannual Report to the Congress

Reference: DoD IG fax, 18 November 1996, subject as above.

1. As requested by reference, the Defense Intelligence Agency
Inspector General has reviewed the draft Intelligence Annex to
the Semiannual Report to the Congress. Request that the
following minor changes be made to the draft:

a. **Audit of External Research Contracting:**

(1) Last sentence in the second paragraph change "DIA" to
read "The Directorate for Intelligence Production (DI)"

(2) First sentence in the third paragraph change "DIA" to
read "DI"

b. **Audit of Indications and Warning:** Last sentence in first
paragraph change "J2" to read "Directorate for Intelligence,
Joint Staff"

2. We appreciate the opportunity to review the Intelligence
Annex prior to publication. If additional information is needed,
please contact me at (b)(7)(C) USC 424

(b)(7)(C) USC 424, (b)(6)



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-5100

~~S~~-0402/IG

10 October 2000

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, 18 August 2000, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please

contact (b)(3);10 USC 424, (b)(6) (b)(3); 10 USC 424

(b)(3);10 USC 424

(b)(3);10 USC 424

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FROM CLASSIFIED ENCLOSURE~~

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(U) AUDIT AND INSPECTION REPORTS

(U) Serold Limited Contract Follow-up Audit; Project Number 99-1780-OA-023; 11 April 2000

~~(S)~~ This report is ~~SECRET~~. The audit was performed in response to a request from the (b)(3);10 USC 424 Directorate for Administration, to evaluate management oversight issues related to DIA contract actions with Serold Hawaii, Inc. Serold provides open source acquisition and translation support. Serold has operated in Hawaii since the People's Republic of China took control of Hong Kong.

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

We found all discrepancies had been corrected, and identified no additional concerns. All recommendations are closed.

(U) (b)(3);10 USC 424 Intelligence Oversight (IO) Inspection; Project Number 99-1796-MA-056; 8 May 2000

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The inspection was an IO compliance inspection of

(b)(3);10 USC 424

IO inspection was performed in concert with an organizational inspection. The organizational inspection report (Project Number 00-1861-HQ-008) includes specific findings and recommendations. No findings or recommendations are included in this report.

(U) Year 2000 Issues Review; Project Number 98-1717-OA-001; 12 May 2000

(U//~~FOUO~~) The report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This was a special review requested by the (b)(3);10 USC 424 and was coordinated with the Department of Defense IG. The objectives of the review were to assess DIA's compliance with Office of the Secretary of Defense (OSD)/Office of Management and Budget guidance, and effectiveness in planning, resourcing, and managing the Year 2000 (Y2K) effort. The review monitored DIA's Y2K progress throughout the problem life-cycle as defined by OSD - awareness, assessment, remediation, validation, and testing, through to successful conclusion and lessons learned in the aftermath of the actual date rollover. Several interim reports were issued, as well as a lessons learned report based on private industry's early-on experience. A final Y2K report found that DIA had effectively managed the Y2K effort, developed a complete and current database on facilities and systems, and improved the agency's posture for contingency operations. The report contained no unresolved recommendations.

Derived From: ~~DHS/SCG~~

Declassify On: ~~X1~~

Date of Source: ~~October 1997~~

Enclosure to ~~S~~-0402/IG

~~SECRET//NOFORN//X1~~

(U) [redacted]

Inspection; Project Number 99-1839-HQ-009; 22 May 2000

(U) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted as part of a systematic effort to examine operations at all [redacted]. The objective of this inspection was to evaluate the overall [redacted] mission accomplishment and operational effectiveness, as well as to identify issues of a systemic nature. The [redacted] and its subordinate [redacted]

[redacted] were operating effectively; however, internal administration was hampered by a lack of standardized administrative guidance. The inspection recommended DO publish and disseminate [redacted]. Management concurred with the recommendations and is taking corrective action.

(U) [redacted] Inspection; Project Number 99-1800-HQ-001; 12 June 2000

(U) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted as part of a systematic effort to examine operations at all [redacted]. The objective of this inspection was to evaluate overall [redacted] mission accomplishment and operational effectiveness, as well as to identify issues of a systemic nature. In addition to the original purpose, the [redacted]

[redacted] requested that command climate and morale be assessed. [redacted]

Management concurred with the recommendations and is taking corrective actions.

(U) [redacted] Follow-up Inspection; Project Number 00-1868-HQ-004; 2 August 2000

(U) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted to gauge progress [redacted] had made in addressing deficiencies noted in the 1999 inspections of both units. During the May 1999 inspection of [redacted], the IG noted

[redacted]

Management concurred with all follow-on recommendations and is taking corrective action.

(U) [redacted] Review; Project Number 98-1726-HQ-003; 3 August 2000

(U) This Report is classified ~~SECRET//NOFORN~~. The inspection was conducted as part of the [redacted]

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(U) [redacted] Inspection; Project Number 00-1848-HQ-009; 18 September 2000

(S) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in conjunction with an overall evaluation of the [redacted]

The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the [redacted] as well as to identify issues of a systemic nature within [redacted].

[redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

[redacted] more closely and initiate appropriate action; and correct systemic issues and ensure compliance with existing regulations. Management concurred with the recommendations and is taking corrective action.

(U) [redacted] Audit; Project Number 98-1801-OA-006; 19 September 2000

(S//NF) This report is classified ~~SECRET//NOFORN~~. This audit was conducted as a joint audit/investigation of [redacted]

[redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

[redacted] Action on one of two recommendations has been completed and the other is ongoing.

(U) (b)(3);10 USC 424 Inspection; Project Number 00-1861-HQ-008; 21 September 2000

~~(S)~~ This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in conjunction with an overall evaluation of

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(3);10 USC 424 An additional systemic issue in each (b)(3);10 USC 424 pertained to the improper use of official vehicles. We also recommended that DO more closely monitor this issue and ensure vehicle use procedures comply with current DIA guidance. Management concurred with the recommendations.

(U) (b)(3);10 USC 424 Inspection; Project Number 00-1847-HQ-008; 25 September 2000

~~(S)~~ This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in conjunction with an overall evaluation of (b)(3);10 USC 424. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(3);10 USC 424 Additional systemic issues pertained to: 1) lack of effective oversight of (b)(3);10 USC 424 2) incorrect administration of travel orders; and 3) improper use of official government vehicles. We recommended that DO more closely monitor these issues to correct and ensure compliance with existing regulations. Management concurred with 88 percent of our recommendations and is taking corrective actions.



~~SECRET//NOFORN//X1~~
~~DEFENSE INTELLIGENCE AGENCY~~



WASHINGTON, D.C. 20340-

~~S~~-0121/IG

18 March 2002

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

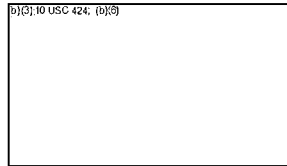
SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress,
12 February 2002.

(U) As requested by reference, the information pertaining to the audit, inspection, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3); 10 USC 424; (b)(6) (b)(3); 10 USC 424

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(U) AUDIT, INSPECTION, AND INVESTIGATION REPORTS

(U) DO FY 2001 GG-13 CTO Promotion Process Special Inquiry; Project Number 01-1957-HQ-007; 15 November 2001

(U) The goal of this special inquiry was to determine if the Directorate for Intelligence Operations (DO) complied with published guidance for the civilian table of organization (CTO) promotion process when considering GG-12s for promotion to GG-13 in fiscal year (FY) 2001. It had been alleged that the GG-12 to GG-13 CTO promotion panel conducted on 16 February 2001 had not been fair and impartial. A special inquiry determined that: 1) the DO CTO promotion panel was conducted in a fair and impartial manner; and 2) DO had not provided feedback to unsuccessful candidates in accordance with the Defense Intelligence Agency Manual (DIAM) 22-23, "Civilian Personnel Administration," or DO Administrative Policy Memorandum #15, "CTO Promotions." Failure to provide substantive feedback to unsuccessful candidates could inhibit their ability to accomplish effective career management and professional development action(s). Our assessment was that this situation, if permitted to continue, would have a negative impact on the civilian workforce. It was recommended that DO, in coordination with the Office for Human Resources, establish and implement a process for compliance with employee feedback criteria contained in the DO administrative policy memorandum.

(b)(3);10 USC 424

(U)

Project Number 02-1967-HQ-003; 4 December 2001

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

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Date of Source: ~~October 1997~~

Enclosure to ~~S-0121/IG~~

~~SECRET//NOFORN//X1~~

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(U) Criminal Investigation – Crime Prevention Report; Project Number 01-5267-OI-072; 17 December 2001

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The IG received information from a

(b)(3); 10 USC 424

The IG report provided a number of recommendations to establish or greatly strengthen internal management controls, all of which have been implemented.

(U)

(b)(3); 10 USC 424

Inspection; Project Number 01-1880-HQ-008; 27 December 2001

(U) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for the defense

(b)(3); 10 USC 424

office being inspected, as well as issues of a more systemic nature within [redacted]. The team examined all aspects of mission accomplishment; office administration and operations; residential and work security; assessed quality of life issues for the unit(s); and assessed compliance with special interest items including prevention of sexual harassment, equal employment opportunity/equal opportunity standards, standards of conduct, intelligence oversight (IO), security, and the counterterrorism posture. The [redacted] were generally in compliance with current requirements. Two systemic findings were noted during this inspection in the areas of use of government vehicles and non-compliance with service-required counseling of military members. DO is keenly aware of the vehicle use issue and has been working to eliminate the problem(s). It was recommended that DO send a reminder to the field regarding the required counseling for military members.

(b)(3); 10 USC 424

(U) [redacted] Inspection; Project Number 01-1945-HQ-008; 9 January 2002

(U) This report is classified ~~SECRET//NOFORN~~. [redacted] were inspected to evaluate mission accomplishment and operational effectiveness. The team examined all aspects of mission accomplishment; office administration and operations; residential and work security; quality of life; and compliance with special interest items, to include prevention of sexual harassment, equal employment opportunity/equal opportunity standards, standards of conduct, IO, security, and counterterrorism. The [redacted] were in compliance with current requirements. No issues of a systemic nature were identified during the inspection.

(U) [redacted] Inspection; Project Number 01-1882-HQ-006, 4 February 2002

(U) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted to evaluate the economy, efficiency, and effectiveness with which the [redacted] perform their mission. An assessment was made of internal operational and managerial processes; [redacted] DIA headquarters elements; training and career development issues; quality of life; and compliance with regulatory and statutory issues. Additionally, the team assessed a wide-range of inspector general (IG) special interest items including safety and security; IO; fraud, waste, and abuse; [redacted] and the equal opportunity climate. No issues of a systemic nature were identified during the inspection.

(U) [redacted] Inspection; Project Number 01-1924-HQ-009, 6 February 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. [redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

An assessment was made of compliance with applicable IO executive orders, public laws, and DoD regulations. IG special interest items included: fraud, waste, and abuse; application of equal employment opportunity principles; prevention of sexual harassment; and safety and security. The inspection determined that [redacted] was accomplishing their mission and operating in an effective, proactive manner.

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(U) [redacted] Inspection; Project Number 01-1936-HQ-009; 22 February 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The purpose of the inspection was to: evaluate mission accomplishment and operational effectiveness of [redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

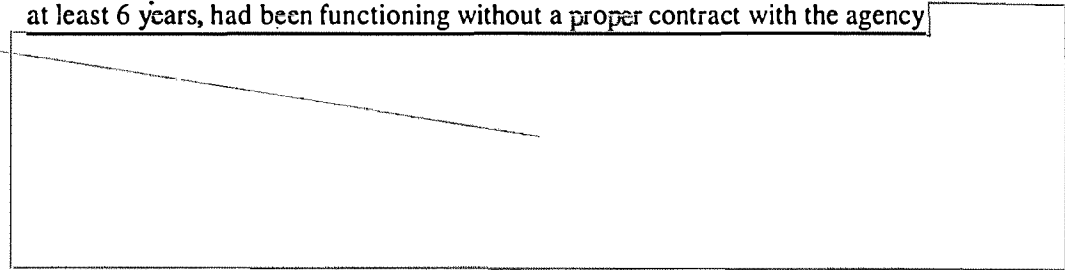
(b)(3)-10 USC 424; (b)(1); (b)(3)-50 USC 3024(i); Sec. 1.4(c)



(U) Criminal Investigation – Crime Prevention Report; Project Number 02-5319-OI-054; 13 March 2002

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A preliminary criminal investigation discovered that a private company, which operated a boutique espresso-type coffee bar service in the Defense Intelligence Analysis Center for at least 6 years, had been functioning without a proper contract with the agency

(b)(3)-10 USC 424



- Recommendations included that the MWR fund manager contract direct with the coffee bar operation. It was also recommended that the MWR fund manger, under the existing MWR contract, compel the restaurant contractor to provide proof that its share of the coffee bar proceeds were in fact included in their reported gross monthly sales and a percentage of the coffee bar sales was properly paid to the MWR fund.



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340- 5100

~~S~~-0110/IG

5 April 2001

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, 18 January 2001, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to the audit, inspection, and investigation reports issued during this reporting period is attached. If additional information is

required, please contact

(b)(3);10 USC 424; (b)(6)

(b)(3);10 USC 424

(b)(3);10 USC 424

(b)(3);10 USC 424, (b)(6)

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FROM CLASSIFIED ENCLOSURE~~

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(U) AUDIT, INSPECTION, AND INVESTIGATION REPORTS

(U) Criminal Investigation, Project Number 99-4981-OI-055; 19 October 2000

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of committing wire fraud, mail fraud, false official statements and larceny, a Defense Intelligence Agency (DIA) analyst was suspended from work for 45 days without pay and subsequently repaid the agency \$22,891.80. In March 1998, the subject began to absent himself from his place of work without explanation, while allowing his timecards to be annotated that he was present for duty. This conduct continued until April 1999 when an internal administrative investigation uncovered the fraud. A subsequent IG criminal investigation documented the loss to the agency and the lapse in management controls that allowed the fraud to continue.

(b)(3);50 USC 3024(i)

(U) **Follow-up Audit; Project Number 99-1784-OA-023; 21 November 2000**

(S//NF) This report is ~~SECRET//NOFORN~~. The audit was performed in response to a request from the to follow-up on the status of recommendations from our prior audit, Project Number 96-1634-OA-013.

(b)(1); (b)(3); 10 USC 424; 14 (c)

In addition, DIA had been overcharged, and government property was not properly accounted for. Our follow-up audit found that 17 of the 27 prior recommendations had been completed. Of the remaining 10 recommendations, some were adjusted due to the time lapse, resulting in 11 new recommendations in this report.

(U) Restaurant Fund Audit; Project Number 00-1823-OA-026; 7 December 2000

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The audit was performed in accordance with DIA regulations requiring non-appropriated funds to be audited every 2 years. The objectives were to determine whether: financial statements accurately reflected the financial condition and activity of the fund; funds were properly managed; and prior audit recommendations were implemented. The restaurant fund is organized to supplement the morale and welfare of DIA personnel, providing services including dining facilities, dry cleaning, and memorabilia sales. Because of inadequate management controls over fund activity, we were compelled to disclaim an opinion on fund financial reports for fiscal years 1996 through 1998. We also identified management control weaknesses in the cashing of personal checks by fund personnel; the process used to

Derived From: ~~DHS-SEG~~
Declassify On: ~~X1~~
Date of Source: ~~October 1997~~

Enclosure to S-0110/IG

~~SECRET//NOFORN//X1~~

record financial activities; and predictable cash counts, a condition identified in our prior audit. We also identified the need to change the audit cycle to concurrently audit this fund and the civilian welfare fund (CWF) to adequately analyze the flow of funds between the two organizations. We recommended that cashing of personal checks be stopped and quarterly cash counts performed on a random basis. We also made recommendations to improve fund management and operations.

(U) IO Investigation Report; Project Number 00-1898-MA-056; 7 December 2000

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. This intelligence oversight (IO) investigation was conducted to determine the facts of an allegation that an employee of the

(b)(3);10 USC 424 [redacted] violated Procedure 10 of Department of Defense (DoD) 5240.1-R (b)(3);10 USC 424 [redacted]

(b)(3);10 USC 424; (b)(1); Sec. 1.4(c) [redacted] The investigation found that the subject did violate (b)(3);10 USC 424 [redacted] We recommended that [redacted] take appropriate management action. (b)(3);10 USC 424 [redacted]

(U) (b)(3);10 USC 424; (b)(3);50 USC 3024(i) [redacted] Evaluation; Project Number 00-1866-MA-026; 28 December 2000

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This was an evaluation performed in response to a management request arising from an IO inspection.

(b)(1); (b)(3);10 USC 424; 1.4 (c) [redacted]

We made recommendations for improved procedures for oversight and accountability of funds.

(U) (b)(3);10 USC 424 [redacted] Inspection; Project Number 00-1872-HQ-002; 6 February 2001

~~(S)~~ This report is classified ~~SECRET//NOFORN~~. [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c) [redacted]

[redacted] demonstrated a strong customer service orientation, and this was identified as a commendable effort.

(U) CWF Audit; Project Number 00-1902-OA-026; 23 February 2001

(U//~~FOUO~~) The report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This audit was performed in accordance with ~~DIA regulations requiring an audit of the fund upon change of custodian, who left the CWF for another position.~~ The objectives were to determine whether all CWF funds and assets were accounted for and the financial statements accurately reflected the financial condition and activity of the fund. We also evaluated internal controls in securing the funds and other assets prior to hiring a new fund custodian. The CWF is organized to supplement the morale and welfare of DIA personnel, providing athletic, recreation, and entertainment activities to contribute to the comfort and morale of agency personnel. We found that the CWF is well managed and the financial reports fairly represented the financial condition of the fund. However, we also found that the regulation governing the fund had not been updated to comply with current DoD regulation, documented procedures for custodian duties and handling of funds did not exist, a safe was needed to store cash, and the fund custodian needed to review activities more frequently. We recommended that procedures be established and updated, a safe be obtained, and reviews by the custodian be more frequent.

(U) IO Investigation Report; Project Number 01-1923-MA-056; 6 March 2001

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. This investigation was conducted to determine the facts of an allegation that an analyst assigned to the Directorate for Analysis and Production utilized a (b)(3) 50 USC 3024(i) in violation of United States Code (50 USC § 403-7). The investigation found that the analyst did

(b)(3) 50 USC 3024(i) There was no violation of the statute. We also found that the analyst's supervisors were not aware of the statutory provisions and thus failed to provide proper guidance regarding (b)(3) 10 USC 424. We recommended that the directorate publish guidance on this subject for all employees.

(U) (b)(3) 10 USC 424 Inspection; Project Number 00-1849-HQ-008; 22 March 2001

(U) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in conjunction with an overall evaluation of the (b)(3) 10 USC 424. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the (b)(3) 10 USC 424 as well as to identify issues of a systemic nature within the (b)(3) 10 USC 424. It was determined that the overall mission performance of each (b)(3) 10 USC 424 was credible. Systemic issues pertained to: 1) incorrect administration of travel orders; 2) improper use of official government vehicles; and 3) lax security procedures. The inspection recommended that (b)(3) 10 USC 424 more closely monitor these issues to correct and ensure compliance with existing regulations.

(U) ^{(b)(3);10 USC 424} [redacted] Inspection, Project Number 00-1892-HQ-002; 23 March 2001

~~(S//NF)~~ This report is classified **SECRET//NOFORN**. The inspection evaluated the

[redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

as required by current DoD and DIA mandate. We made recommendations to assist ^{(b)(3);10 USC 424} [redacted] to enhance relationships and results.

(U) Criminal Investigation; Project Number 00-5135-OI-055; 26 March 2001

~~(U//FOUO)~~ This report is classified UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A former DIA employee pled guilty in Federal District Court to one count of wire fraud; was sentenced to 12 months, 1 day incarceration; and 3 years of supervised probation. In 1998, the subject realized she had access to her own timecards. From July 1998 until October 2000, the subject, without authority, accessed her timecard records through a DIA classified computer and fraudulently recorded overtime hours that she had not earned or worked. As a result of the fraudulent act, the subject had attempted to illegally receive more than \$120,000. Subject was ordered to pay \$91,380 in restitution to the U.S. Government, and to pay a special assessment fee of \$100.

(U) ^{(b)(3);10 USC 424} [redacted] IO Inspection; Project Number 01-1910-MA-056, 29 March 2001

~~(S//NF)~~ This report is classified **SECRET//NOFORN**. The inspection was conducted to assess unit compliance with IO policies and procedures contained in Executive Order 12333, "United States Intelligence Activities," DoD 5240.1-R, "Procedures Governing the Activities of DoD Intelligence Components That Affect United States Persons," and DIA policies and regulations governing the conduct of intelligence activities. The objective of this inspection was to evaluate ^{(b)(3);10 USC 424; (b)(1); Sec. 1.4(c)} [redacted] in terms of mission accomplishment, ^{(b)(3);10 USC 424; (b)(1); Sec. 1.4(c)} [redacted] resource management. Both locations demonstrated sound ^{(b)(3);10 USC 424; (b)(1); Sec. 1.4(c)} [redacted] practices. No adverse findings were made at either location. ^{(b)(3);10 USC 424} [redacted] received a commendable finding for its

^{(b)(3);10 USC 424; (b)(1); Sec. 1.4(c)} [redacted]



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340

~~S~~-0361/IG

30 September 2002

To: (b)(7) USC 424
Inspector General, Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DIA IG memorandum ~~S~~-0352/IG, subject: Intelligence Annex for the Semiannual Report to the Congress, 26 September 2002.

(U) The enclosed information should be added to the enclosure to the reference memorandum. If

additional information is required, please contact

(b)(3); (b) USC 424; (b)(6)

(b)(3); (b) USC 424

(b)(3); (b) USC 424

(b)(3); (b) USC 424; (b)(6)

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FROM CLASSIFIED ENCLOSURE~~

(U) INVESTIGATION REPORTS

(U) [redacted] IO Investigation; Project Number 01-1919-MA-056; 26 September 2002

(S//NF) This report is ~~SECRET//NOFORN~~. An intelligence oversight investigation was conducted in response to a report that the Defense Intelligence Agency (DIA)

[redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

The responsible staff element has been tasked to publish clear and definitive guidance for the staffing of [redacted] requests.

(b)(3); 10 USC 424

(U) [redacted] IO Investigation; Project Number 01-1954-MA-056; 26 September 2002

(S//NF) This report is ~~SECRET//NOFORN~~. An intelligence oversight (IO) investigation was conducted in response to a report that the

[redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

The

investigation determined that the subject did not violate [redacted]

However, the report discovered that the agreement between DIA and the [redacted]

(b)(3); 50 USC 3024(i)

for the [redacted]

(b)(3); 50 USC 3024(i)

was not

executed in accordance with DIA regulations. The responsible staff element has been tasked to review all such agreements and staff them in accordance with DIA regulations.

(U) [redacted] IO Investigation; Project Number 02-1977-MA-056; 26 September 2002

(S//NF) This report is classified ~~SECRET//NOFORN~~. An IO investigation was conducted in response to a report that

[redacted]

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); 1.4 (c)

The responsible staff element was tasked to publish guidance on [redacted] and the IG will conduct an audit of

(b)(3); 10 USC 424; (b)(3); 50 USC 3024(i)

accounts in fiscal year 2003.



~~SECRET//NOFORN//X1~~

~~DEFENSE INTELLIGENCE AGENCY~~



WASHINGTON, D.C. 20340- 5100

~~S~~ 0366/IG

28 September 2001

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, 6 August 2001, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to the audit, inspection, and investigation reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3);10 USC 424, (b)(6) (b)(3);10 USC 424

(b)(3);10 USC 424

Warren A. Uthe
WARREN A. UTHE
Inspector General

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(U) AUDIT, INSPECTION, AND INVESTIGATION REPORTS

(U) (b)(3);10 USC 424
Inspection; Project Number 00-1850-HQ-008; 19 April 2001

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate the economy, efficiency, and effectiveness with which the (b)(3);10 USC 424 perform their mission. Inspectors assessed: 1) internal operational and managerial processes; 2) (b)(3);10 USC 424 Defense Intelligence Agency (DIA) headquarters (HQs), (b)(3);50 USC 3024(i) and 3) training and career development issues; quality of life; and compliance with regulatory and statutory issues. Additionally, the team assessed a wide-range of Office of the Inspector General (IG) special interest items including safety and security; intelligence oversight (IO), fraud, waste, and abuse; (b)(3);50 USC 3024(i) and the equal opportunity climate. It was determined that the overall mission performance of each DAO was credible. Systemic issues pertained to: 1) improper use of official government vehicles; 2) incorrect administration of travel orders; 3) improper use of government-owned cellular phones; and 4) lax security procedures. We recommended that the (b)(3);10 USC 424 more closely monitor these issues to correct and ensure compliance with existing regulations.

(U) (b)(3);10 USC 424 **Inspection; Project Number 00-1851-HQ-007; 11 May 2001**

(S//~~AF~~) This report is classified ~~SECRET//NOFORN~~. The purpose of the inspection was to evaluate mission accomplishment and to assess the economy, efficiency, and effectiveness of (b)(3);10 USC 424 operations and programs. (b)(3);10 USC 424 works well as a team and maintains a keen appreciation and dedication to their respective

(b)(1), (b)(3);10 USC 424, 1.4 (c)

~~Derived From: DHS SCG
Declassify On: X1
Date of Source: October 1997~~

Enclosure to S-0366/IG

~~SECRET//NOFORN//X1~~

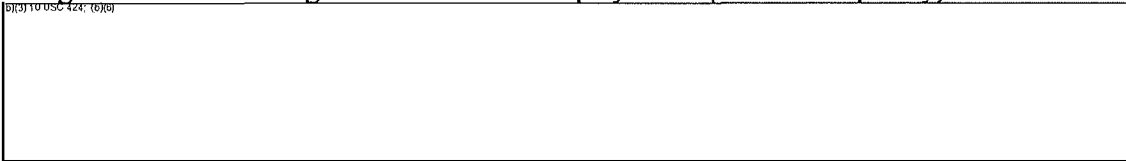
(U) Civilian Welfare Fund Audit; Project Number 01-1942-OA-032; 29 May 2001

(U//~~FOUO~~) The report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The IG conducted a prior audit of the Civilian Welfare Fund (Project Number 01-1942-OA-032; 23 February 2001), in accordance with DIA regulations, which require an audit when there is a change in the fund's custodian. This project was conducted because it had been a year between the prior fund custodian's departure and the new custodian assuming his duties. We did not conduct a full audit, only a cash count and inventory of assets. We found no discrepancies in petty cash and assets with the exception of DIA cookbooks, which we did not consider to be significant.

(U) Criminal Investigation; Project Number 01-5200-OI-055; 8 June 2001

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of a contract employee committing the offense of false official statement, a government contractor agreed to reimburse the government ^{(b)(3) 10 USC 424} [redacted]. The contract employee knowingly submitted fraudulent time and attendance records when he and an U.S. Navy military member agreed to split their 12-hour shifts to 6 hours each. However, the contract employee continued to charge 12 hours per duty day to the contractor for which the government was charged and the contract employee was paid. Subsequently, the

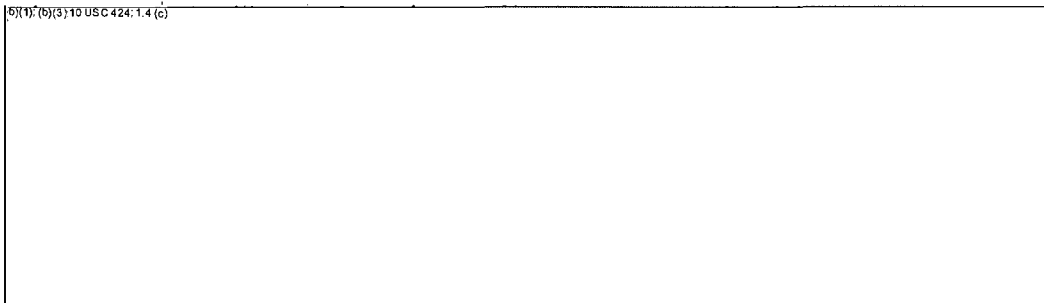
(b)(3) 10 USC 424; (b)(6)



(U) ^{(b)(3); 10 USC 424} [redacted] Evaluation; Project Number 00-1916-HQ-003; 14 June 2001

(~~SECRET~~) This report is classified ~~SECRET//NOFORN~~. The purpose of the evaluation was to assess the current policy, operational process, and security for the handling of sensitive

(b)(1); (b)(3); 10 USC 424; 1.4 (c)



~~SECRET//NOFORN//X1~~

(U) ^{(b)(3); 10 USC 424} [redacted] Audit; Project Number 99-1756-OA-026; 6 July 2001

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The audit was performed to address concerns from the [redacted] community related to the timeliness, sufficiency, and execution of funding.

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

[redacted] reconcile their funding records on an annual basis to ensure that fund execution information for the program is consistent.

(U) Criminal Investigation, Project Number 01-5194-OI-057; 23 July 2001

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of misuse of a government-owned vehicle (GOV), a ^{(b)(6)} [redacted] was ordered to pay the U.S. government ^{(b)(6)} [redacted] Starting in September 2000, the subject used the GOV to commute to and from his duty location. During December 2000, the subject parked the GOV in a 2-hour residential parking space near his domicile in Washington, DC, and went on personal leave to a foreign country. The subject's negligent handling of the GOV resulted in the loss and/or theft of the GOV. The GOV has not been recovered.

(U) GISR Evaluation; Project Number 01-1943-OA-006; 1 August 2001

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. This report details the results of our evaluation of DIA's response to the requirements of Government Information Security Reform as specified in Public Law 106-398. The primary objective of the evaluation was to assess the adequacy and effectiveness of agency information assurance (IA) policy and procedures in implementing applicable federal statutes and Department of Defense and DCI directives. The secondary objective was to determine the effectiveness of information security control practices and techniques applied to the agency's information systems. Due to time constraints, the scope was limited to review of IA

measures applied to an appropriate [redacted] No specific findings were identified during this review.

(U) [redacted] Audit; Project Number 00-1877-OA-029; 31 August 2001

(S//NF) This report is classified ~~SECRET//NOFORN~~.

[redacted]

(b)(1); (b)(3); 10 USC 424, Sec. 1.4(c)

(U) [redacted] Follow-up Inspection; 00-1907-HQ-008; 4 September 2001

(U) This report is classified ~~SECRET//NOFORN~~. This inspection was a follow-up inspection of [redacted]

[redacted] to evaluate progress in correcting deficiencies noted in mission accomplishment and operational effectiveness during the FY 2000 inspection. The inspection employed the use of the leave behind recommendation lists to evaluate progress in correcting deficiencies in mission accomplishment and effectiveness of the units. Administratively, there have been significant improvements in both [redacted] operations. The arrival of the [redacted] in July 2000, coupled with the filling of a [redacted] have allowed the unit to focus on needed corrective action identified in our November 1999 inspection. Office transition to a new [redacted] appears to have occurred

smoothly and both individuals have provided effective, focused attention to correcting the problems identified in our November 1999 inspection.

(U) DAC-4 Evaluation; 00-1932-HQ-007; 7 September 2001

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. In late November 2000, the Director, DIA, received an anonymous complaint alleging preferential treatment in the Protective Services Division (DAC-4), Counterintelligence and Security Activity (DAC). This complaint resulted in an organizational evaluation of DAC-4 to include an assessment of mission performance, efficiency and effectiveness of unit operations and programs, training, personnel management, command climate and special interest items. Over the past several years, DAC-4 has engaged in a progressive effort to increase the professionalism of the element. Substantial efforts have been completed to enhance the training and skills of officers; restructure the organization to provide upward mobility opportunity, automate division functions, address physical security concerns, redesign current facilities, upgrade equipment, and improve customer service. While the element has made significant improvements in overall operations, we identified weaknesses in the clarity of authorities and SOPs, effectiveness of internal management controls, and appropriate levels of management oversight over day to day functions. Findings were specifically noted in the areas of policy and mission, personnel management, time and attendance, overtime administration and command climate. Several recommendations were made to bring the DAC-4 in line with current policies and regulations:

(U) [redacted] Evaluation; Project Number 00-1925-OA-034; 20 September 2001

(U) This report is UNCLASSIFIED//~~FOUO~~. The Deputy Director, DIA, requested the evaluation. The objectives of the evaluation were to determine: if the [redacted] program is in compliance with DIA regulations; whether the approval process for installation of a [redacted] addresses security issues associated with such installation; and the cost of the program. We found that DIA employees did not consistently follow the policies and procedures governing the operations for the [redacted] program. We concluded that the guidance for the [redacted] program contained in the DIA manual is generally sufficient if followed, but certain increased specificity in the program is warranted. We recommended that: key components revalidate the need for all [redacted] on an annual basis, and after an individual changes position; the Office for Human Resources each month provide a list of personnel terminations, resignations, and retirements to the responsible office to ensure awareness of personnel changes affecting the [redacted] and that security acknowledgement forms be revised to address the appropriate level of security for [redacted] use and are in compliance with DIA [redacted]. In addition, we recommended that

[Redacted]

(U) [Redacted] Inspection;
Project Number 00-1881-HQ-008; 24 September 2001

(U//FOUO) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted to evaluate the economy, efficiency, and effectiveness with which the [Redacted] perform their mission. Inspectors assessed: internal operational and managerial processes; [Redacted] relationships with the [Redacted] training and career development issues; quality of life; and compliance with regulatory and statutory issues. Additionally, the team assessed a wide-range of IG special interest items including safety and security; IO; fraud, waste, and abuse; [Redacted] and the equal opportunity climate. It was determined that the overall mission performance of each [Redacted] was credible. [Redacted] received a commendable finding in the area of office administration. Systemic issues pertained to: 1) improper use of official government vehicles; 2) [Redacted] and 3) failure to conduct periodic professional feedback counseling. We recommended that [Redacted] more closely monitor these issues to correct and ensure compliance with existing regulations.

(U) [Redacted] Inspection;
Project 00-1879-HQ-008; 25 September 2001

(U//FOUO) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted to evaluate the economy, efficiency, and effectiveness with which the [Redacted] perform their mission. Inspectors assessed: internal operational and managerial processes; [Redacted] relationships with the [Redacted] training and career development issues; quality of life; and compliance with regulatory and statutory issues. Additionally, the team assessed a wide-range of IG special interest items including safety and security; IO; fraud, waste, and abuse; [Redacted] and the equal opportunity climate. Two systemic findings were noted during this inspection in the areas of improper use of government vehicles and lack of [Redacted]. We recommended that [Redacted] closely monitor these areas to correct deficiencies and insure compliance with existing policy.



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340



U-0143/IG

28 March 2002

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (J) DIA IG memorandum U-121/IG, subject: Intelligence Annex for the Semiannual Report to the Congress, 18 March 2002

(U) The enclosed information should be added to the enclosure to the reference memorandum. If

additional information is required, please contact

(b)(3); 10 USC 424; (b)(6)

(b)(3); 10 USC 424

(b)(3); 10 USC 424

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(b)(3); 10 USC 424; (b)(6)

(U) AUDIT, INSPECTION, AND INVESTIGATION REPORTS

(U) MID Inspection; Project Number 01-1946-HQ-002; 22 March 2002

(U) This report is classified ~~SECRET//NOFORN~~. An Office of the Inspector General inspection team evaluated the *Military Intelligence Digest* (MID) coordination and production process for efficiency and economy of operation, product utility, and customer satisfaction to provide DIA leadership a detailed examination of the MID process. As product manager, the Defense Intelligence Agency (DIA) stated a commitment to assisting and encouraging full community participation in the MID; however,

(b)(3);10 USC 424

We assess that while the process is more difficult than it needs to be, the value of the MID is found in the intercommunity collaboration (driven – forced by its daily coordination requirements). Several recommendations were issued to address the deficiencies noted. Management concurred with all of our recommendations.



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-

~~S~~-0343/IG

26 September 2003

To: (b)(3); 10 USC 424
Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3); 10 USC 424 (b)(6) (b)(3); 10 USC 424

(b)(3); 10 USC 424

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FROM CLASSIFIED ENCLOSURE~~

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**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, AND INVESTIGATION REPORTS**

(U) DISL Selection Process Inquiry; Project Number 03-2110-WA-070; 3 April 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. In response to a formal complaint, the Office of the Inspector General (IG), Defense Intelligence Agency (DIA), conducted a special inquiry concerning the process used to fill a defense intelligence senior-level (DISL) vacancy for the (b)(3); 10 USC 424

(b)(3); 10 USC 424 The promotion panel, which was held on 12 November 2002, was fairly conducted in accordance with existing policies and procedures, and the IG found no validity to the claim that the selection process was flawed, restrictive, and/or unfair. However, existing Office for Human Resources policies and procedures insufficiently addressed several aspects of the DISL selection process and guidance that did exist was not easily accessible by the work force. Additionally, applicants for the job participated in crafting both the position description and the interview questions associated with the selection process.

(U) DIA Regulatory and Statutory Compliance Evaluation; Project Number 03-2049-HQ-006; 4 April 2003

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. At the request of the director, the IG evaluated DIA's level of regulatory and statutory compliance. The evaluation focused on implementation of Department of Defense (DoD)/Director Central Intelligence directives or instructions relevant to DIA. We examined how generalized guidance was interpreted and implemented; how regulations were maintained; evaluated management controls; and assessed the level of compliance through multiple means, to include compliance-related reporting, previous DoD and DIA IG reviews, and random compliance sampling within the agency. DIA did not have an over-arching regulatory philosophy to ensure that regulatory processes and priorities were clear and well understood. In many cases, DIA regulatory policy statements (control objectives) served as the predominant management control tool, without the presence of established and/or applied control procedures to assure that what should occur in daily operations does occur on a continuing basis. We found 27 instances of non-compliance with regulatory and/or statutory requirements, specifically: 14 issues of non-compliance to a DIA regulation (DIAR); 11 to DoD issuances; and 2 to Department of the Air Force Instructions. Despite areas of non-

Derived From: (b)(3); 10 USC 424
~~Declassify On: X1~~
~~Date of Source: March 2002~~

Enclosure to ~~S~~-0343/IG

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~~SECRET//NOFORN//X1~~

compliance found in this evaluation, the current DIA regulatory process was reasonably effective in ensuring compliance at the strategic-level. A reduction in the overall number of DIARs and DIA manuals (DIAM) may be warranted. The issues of non-compliance identified in this report will be considered and integrated into future IG reviews to measure agency efforts to achieve compliance.

(U) (b)(3);10 USC 424 Inspection; Project Number 02-1983-HQ-007;
14 April 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to provide Directorate for Operations (DO) managers a detailed examination of (b)(3);10 USC 424

(b)(1); (b)(3);10 USC 424; 1.4 (c)

(U) (b)(3);10 USC 424 Inspection; Project Number 02-1996-HQ-008; 15 April 2003

~~(U//FOUO)~~ This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for the defense

(b)(3);10 USC 424; (b)(5);50 USC 3024(i)

~~SECRET//NOFORN//X1~~

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

~~(U//FOUO)~~ Criminal Investigation; Case Number 99-4971-OI-054; 30 April 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. When questions were raised about the conduct of a former [redacted] during the subject's assignment to DIA, an investigation raised issues of false claims, travel fraud, military leave fraud, theft of United States Navy relief supplies intended for Third World children, theft of government property, misuse of official vehicles, and counterintelligence issues. Although DIA made the decision not to recoup funds improperly paid the officer, DIA did revoke the Defense Superior Service Medal award that subject had for DIA service. The subject initially received a general officer non-punitive letter of caution from the officer's current Marine Corps commander. The Deputy Commandant for Manpower and Reserve Affairs subsequently directed that a copy of the investigation be placed in the subject's permanent official personnel file.

~~(U)~~ [redacted] Inspection; Project Number 03-2056-HQ-008; 2 May 2003

~~(U//FOUO)~~ This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for [redacted] being inspected, as well as issues of a more systemic nature within the [redacted] were assessed as poor. [redacted] was out-of-date and did not reflect current station and geographic division staffing for the stations inspected during this trip.

~~(U)~~ [redacted] Inspection; Project Number 02-1999-HQ-007; 8 May 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to: evaluate mission accomplishment and operational effectiveness of [redacted] assess internal operational and management processes; examine [redacted] intelligence oversight (IO); and to assess IG special interest items, to include fraud, waste and abuse, sexual harassment, equal employment opportunity and equal opportunity standards, and DIA standards of conduct. [redacted] were meeting mission requirements. However, full mission capability was impaired due to: 1) the lack of a [redacted]

(b)(1); (b)(3):10 USC 424; Sec. 1.4(c)

~~SECRET//NOFORN//X1~~

(U) Records Management Follow-up Inspection; Project Number 03-2130-HQ-004; 15 May 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This follow-up inspection evaluated progress on DIA compliance with National Archives and Records Administration requirements to archive designated historical records, and overall compliance with DIAM 13-1. The IG also evaluated corrective actions taken by the Records Management Office (RMO) since June 2002. The IG found that agency directorates had not consistently emphasized or taken steps to ensure systematic archiving of designated official records to the Washington National Records Center. We recommended that management controls be established to enforce DIA's policies on disposition of official records. Additionally we recommended that administrative personnel determine if additional staffing of the RMO is required, and request funding to implement the DIA-wide automated records management-training program that is currently being developed.

(U) Pre-Deployment Process Evaluation; Project Number 03-2111-HQ-003; 19 May 2003

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The objective of this evaluation was to provide an assessment of current (at time of evaluation) pre-deployment processes, practices, and experiences. DIA processes used to prepare employees for deployment during the February to March 2003 timeframe were overwhelmed by the magnitude of Operation Iraqi Freedom (OIF) support requirements. These challenges notwithstanding, the agency-wide effort to deploy personnel in support of OIF operations was without precedent. As of 8 May 2003, coordination challenges between key directorates still existed. As theater commanders continue to respond to emerging unconventional threats, and rely more on national level support to meet their intelligence needs, DIA may face a growing and sustained challenge to provide timely contingency intelligence support. As a result, force deployments of intelligence personnel and support packages may become standard, and may continue unabated for the near future. Restructuring the DIA pre-deployment process would be an initial step in achieving a more streamlined and responsive mechanism that effectively prepares and fully supports deploying employees.

(U//~~FOUO~~) Crime Prevention Report; Case Number 03-5429-OI-073; 21 May 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of a related criminal investigation, Case Number 03-5404-OI-052, DIA conducted a worldwide inventory of heritage assets and foreign gifts. All such property is now being placed on formal property accountability records. DoD Directive 1005.12, "Gifts and Decorations from Foreign Governments," is being adopted for DIA use by issuing supplemental guidance to fix the offices responsible for agency implementation.

~~SECRET//NOFORN//X1~~

(U) (b)(3);10 USC 424 GPC Audit; Project Number 03-2091-OA-026; 12 June 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A brief IG review of government purchase card (GPC) activity at the (b)(3);10 USC 424 (b)(3);10 USC 424 identified numerous areas of concern. This audit is a result of those concerns. The objectives of the audit were to determine whether: (b)(3);10 USC 424 GPC expenditures were executed in accordance with DoD and DIA directives, regulations, guidelines and program objectives; assets purchased with the GPC were properly accounted for on appropriate property books; and all (b)(3);10 USC 424 GPC purchases were made for legitimate DIA mission requirements. The audit identified a pattern of waste and abuse with the (b)(3);10 USC 424. Most of the approximately \$386,000 in purchases were not in compliance with DoD and/or DIA rules, regulations, policies and procedures; the majority of the purchases were accountable property but were not found on the property book; and many purchases could not be tied to legitimate DIA mission requirements. The director, (b)(3);10 USC 424 was the approving official for the majority of GPC purchases reviewed and had completed required GPC training. The (b)(3);10 USC 424 Directorate for Administration, cancelled cards for the active (b)(3);10 USC 424 cardholders and rescinded the approving official's authority. The report also contained five recommendations designed to improve procedures, guidance, and oversight.

(U) (b)(3);10 USC 424; (b)(3);50 USC 3024(i) Audit; Project Number 02-1980-OA-026; 17 June 2003

(S//NF) This report is classified ~~SECRET//NOFORN~~. The audit satisfied the requirement to conduct an annual audit of management and administration of (b)(3);10 USC 424

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec 1.4(c)

These issues were addressed in two prior audit reports, and the applicable recommendations remain open. The recommendations call for the development of an integrated automated system, and improved documentation and guidance. Therefore, the report contained no new recommendations.

~~SECRET//NOFORN//X1~~

(U) IO Investigation; Project 03-2122-MA-056; 20 June 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. An IO investigation was conducted based on information developed during the earlier IO Special Inquiry," Project Number 03-2093-MA-056, 15 January 2003. The investigation found that had developed and populated a database with information on

(b)(1); (b)(3);10 USC 424; 1.4 (c)

The IG/GC directed corrective measures to bring the database project into compliance with IO procedures and recommended the agency take appropriate management action.

(U) IO Investigation: Project 02-2097-MA-056; 27 June 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. An IO investigation was conducted in response to an allegation that the

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(U) DIA IG Response to FISMA; Project Number 03-2107-OA-026; 30 June 2003

(U//FOUO) This report is ~~SECRET//NOFORN~~. The report details the results of the IG evaluation of information assurance practices in response to the Federal Information Security Management Act (FISMA) of 2002. FISMA superceded the Government Information Security Reform Act. The primary objective was to determine whether the suggested actions contained in last year's GISRA report had been completed, and assess the chief information officer response to Office of management and Budget (OMB) memorandum M-02-09 questions. The evaluation determined that the had made some progress in completing the suggested actions from the previous evaluation. The evaluation also found that the self-assessment response to the OMB memorandum had changed somewhat, but generally found a lack of documentation demonstrating specific progress or accomplishments in these areas. The report contained a number of recommendations in concert with the OMB memorandum areas.

~~SECRET//NOFORN//X1~~

(U) Management Control Program Review; Project Number 03-2120-HQ-006;
2 July 2003

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The objective of this evaluation was to determine if the Office of the Chief Financial Executive's (FE) approach to executing a program of management controls was consistent with regulatory guidance and intent. The agency's management control program lacked sufficient rigor in process and practice to ensure DIA's statement of assurance is an accurate reflection of current conditions within DIA. The IG and FE have discussed the need for improved partnership, and they have agreed in principle to corrective actions. The recommendations identified will greatly assist DIA in implementing a more robust management control program with appropriate checks and balances.

(U//~~FOUO~~) Criminal Investigation; Case Number 03-5404-OI-052; 3 July 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of a criminal investigation, we documented that three "demilitarized" firearms from the foreign materiel program, including one automatic weapon, and other agency heritage property had been presented to departing/retiring DIA senior executives. The violations of the National Firearms Act were presented to the Public Integrity Section, Department of Justice, which declined prosecution. Three current DIA personnel involved in the presentations were counseled in writing. Two other personnel had retired. The weapons were retrieved and provided to the agency's historian for agency use and/or display.

(U) (b)(3);10 USC 424 Inspection; Project Number 03-2065-HQ-008; 24 July 2003

(S//NF) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern

(b)(1); (b)(3);10 USC 424; 1.4 (c)

(U) DIA Disaster Preparedness; Project Number 03-2121-HQ-006; 8 August 2003

~~(S//NF)~~ This report is ~~CONFIDENTIAL//NOFORN~~. This evaluation assessed DIA's overall readiness to respond to

[Redacted]

(b)(3):10 USC 424

Good progress has been made. However, there are additional areas that require focus and attention for DIA.

[Redacted]

(b)(3):10 USC 424

DIA has recognized these issues and is actively working to resolve the problem. Finally, DIA's [Redacted] would benefit from formalizing the requirements, responsibilities, and authorities for [Redacted] in a regulatory form, and from [Redacted] of this program.

(b)(3):10 USC 424

(U) [Redacted] IO Inspection; Project Number 03-2096-MA-056; 28 August 2003

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. An IO inspection of these locations was conducted to assess compliance with IO procedures and determine to what degree these

[Redacted]

(U//FOUO) Special Inquiry; Case Number 03-5468-OI-071; 25 September 2003

(S//NF) This report is ~~SECRET//ORCON, NOFORN~~.

[Redacted]

(b)(1); (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Sec. 1.4(c)



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-

~~S~~ 0352/IG

26 September 2002

To: (b)(3);10 USC 424
Inspector General, Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

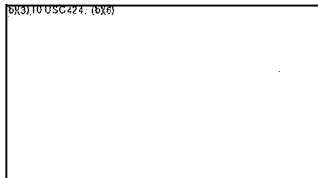
Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 23 August 2002.

(U) As requested by reference, the information pertaining to the audit, inspection, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3);10 USC 424: (b)(6) (b)(3);10 USC 424

1 Encl
Audit, Inspection,
and Investigation
Reports ~~(S)~~, l:c.y

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FROM CLASSIFIED ENCLOSURE~~

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(U) **AUDIT, INSPECTION, AND INVESTIGATION REPORTS**

(U) (b)(3):10 USC 424 Inspection; Project Number 02-1960-HQ-008; 2 April 2002

(U) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(U) (b)(3):10 USC 424; (b)(3):50 USC 3024(i) Follow-Up Inspection; Project Number 02-1974-HQ-004; 21 May 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. An inspection of (b)(1); (b)(3):50 USC 3024(i); Sec 1.4(c) was initially conducted in May through July 1999. At that time, deficiencies were identified in general office and personnel management and administration; personnel training; time and attendance reporting; and, command climate. To validate that appropriate action plans had been implemented to correct these noted deficiencies, we conducted a follow-up inspection of (b)(1); (b)(3):50 USC 3024(i); Sec 1.4(c) in January 2002. Since this was a follow-up inspection, the inspection scope was limited to those areas that were addressed in findings and recommendations of the original report. The inspection determined that (b)(1); (b)(3):50 USC 3024(i); Sec 1.4(c) made improvements to command climate and office management practices and procedures since the previous inspection. Commendable areas of progress included identification and prioritization of training requirements and establishment and tracking of correspondence files. A systemic issue that continues to

Derived From: DO-HUMINT-SCG
Declassify On: X1
Date of Source: March 2002

Enclosure to S-0352/IG

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(b)(1); (b)(3)-10 USC 424; 1.4 (e)

(U) Criminal Investigation - (b)(3)-10 USC 424;
(b)(3)-50 USC 3024(i) Crime Prevention Report; Case Number 02-5300-OI-064; 22 May 2002

(U//~~FOUO~~) This report is classified UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A criminal investigation of allegations of criminal fraud and theft using the (b)(3)-10 USC 424;
(b)(3)-50 USC 3024(i)

(b)(3)-10 USC 424; (b)(3)-50 USC 3024(i) uncovered significant procedures and negligence on the part of an approving official which contributed to the criminal conduct that occurred over a 2-year period. The criminal investigation documented losses to the government in excess of \$10,000. The crime prevention report identified 8 separate crime-conducive conditions and breakdowns of internal controls with 12 recommendations designed to reduce the chance that future fraud could occur.

(b)(3)-10 USC 424

All recommendations were implemented.

(U) MOA/MOU Staffing Process Evaluation; Project Number 02-1982-HQ-003; 18 June 2002

(U) This report is UNCLASSIFIED. The purpose of the evaluation was to determine whether agency-memoranda of agreements (MOA), MOUs and other such agreements with U.S. governmental organizations, were implemented in accordance with applicable agency regulations. The inquiry assessed the sufficiency of governing regulations and evaluated overall management of the MOA and MOU program. All key components (KC) reported reliance on the Executive Services Division (ESO), to varying degrees, to inform them of the agreements they have in force, provide a reminder of the need to update agreements, and furnish overall assistance and guidance. Despite transitions in program management among personnel in ESO - which led to some inconsistencies and duplications of effort - the program generally worked well. It was recommended that the Executive Secretariat develop and publish a process for updating and renewing existing agreements that require no major revisions; either issue guidance clarifying the use of terms of reference, service level agreements, and other similarly named agreements; and develop/publish a policy that defines and provides a process for their preparation, approval, and renewal of MOUs and MOAs.

(U) DIA IG Response to (b)(3)-50 USC
3024(i) Project Number 02-1976-OA-006; 12 July 2002

(S//NF) This report is classified ~~SECRET//NOFORN~~. The report details the results of our evaluation of information assurance practices relative to the responsibilities assigned

~~SECRET//NOFORN//X1~~

to the DIA chief information officer (CIO) under the Government Information Security Reform ^{(b)(3), 50 USC 5024(f)} as specified in Public Law 106-398. The primary objective was to conduct an independent evaluation of the agency's information assurance program and practices. Due to time and resource constraints, we focused on three criteria established for fiscal year 2002 ^{(b)(3), 50 USC 5024(f)} review and reporting, specifically: identification of critical information technology assets; awareness and training of information security risks; and methods of intrusion detection monitoring and security incident response. We found that the Directorate for Information Services (DS) was unable to provide a

(b)(3), 10 USC 424

After an agreement between ASD/C3I, the IC CIO and DoD IG fundamentally changed the evaluation role of the inspectors general (IG), we did not make formal recommendations. However, the DIA CIO has internally tasked the suggested actions contained in our report.

(U) IRS Tax Forms 1099 Audit; Project Number 01-1926-OA-029; 29 July 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~.

(b)(1); (b)(3), 10 USC 424; Sec. 1.4(c)

^{(b)(3), 10 USC 424} **(U) Inspection; Project Number 00-1969-HQ-002; 13 August 2002**

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The purpose of the inspection was to provide DIA managers with a detailed examination of the ^{(b)(3), 10 USC 424} evaluating operations and assessing overall mission accomplishment. It was determined that was accomplishing its mission as defined in DoD Directive 6420.1 ^{(b)(3), 10 USC 424} 30 September

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(U) DO Vacancy Staffing Inquiry; Project Number 02-2010-HQ-065; 4 September 2002

(b)(3); 10 USC 424

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The goal of this special inquiry was to determine if DO complied with published guidance for the civilian table of organization [redacted] vacancy staffing procedures. The objectives of this inquiry were to: 1) determine if DO civilian vacancy staffing procedures follow established DIA corporate hiring practices; 2) determine what went wrong with processing of a specific vacancy announcement; and 3) identify whether other similar hiring anomalies exist. We determined that the Staffing, Position Management, and Classification Branch routinely follows DIA corporate hiring strategy established by the Office for Human Resources and we identified no friction between the two offices on routine hiring actions. However, it was recommended that: DO [redacted] complete the selection hiring process for the vacancy in question, based on the initial conditional offer of employment and consistent with current policy; that the Directorate for Administration (DA) [redacted] write a personnel operating procedure to codify the entire pre-hire employment process; and that DA develop and execute a pre-employment screening model for the agency.

(U) [redacted] **Inspection; Project Number 02-1972-HQ-008; 5 September 2002**

(U) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for [redacted] being inspected, as well as issues of a more systemic nature within [redacted] relations at the embassy were assessed as excellent, and the quality of its reporting was assessed as thorough and very professional. [redacted] working relationships within the embassy were assessed as excellent. [redacted] received high marks from embassy staff sections for their harmonious working relationship that was improving daily.

(b)(3); 10 USC 424; (b)(3); 50 USC 3024(i)

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(U)

(b)(3):10 USC 424

Project Number 02-1975-HQ-008;

10 September 2002.

(U) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

The inspection was part of an effort aimed at identifying issues of concern for the (b)(3):10 USC 424

being inspected, as well as issues of a more systemic nature within (b)(3):10 USC 424 relations at the embassy were assessed as good, as were

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)



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DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-

~~S~~ 0161/IG

26 March 2004

To: (b)(3) TO USC 424
Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 5 March 2004

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3) TO USC 424; (b)(6) (b)(3) TO USC 424

(b)(3) TO USC 424

(b)(3) TO USC 424; (b)(6)

1 Encl
Audit, Inspection,
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(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, AND INVESTIGATION REPORTS

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 02-5351-OI-055;
October 6, 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of conspiracy, theft of public monies, false claims, false statements, mail fraud, and wire fraud.

(U//~~FOUO~~) During the investigation of two agency timekeepers, it was determined that the subject's had conspired and committed the offenses of theft of public monies, false claims, false statements, mail fraud, and wire fraud when they used their positions and gained entry into the defense civilian payroll system, electronically submitting fraudulent time and attendance records for regular duty and overtime hours not worked. The total loss to the government was \$41,406.

(U//~~FOUO~~) Both subjects pled guilty in District of Columbia court to theft. One received 3 years probation, 10 hours of community service, and was ordered to make restitution of \$10,169. The other subject received 2 years probation, 10 hours of community service, and was ordered to make restitution of \$10,444. Both had resigned from the agency prior to their court sessions.

Category: Human Capital

(U) (b)(3);10 USC 424 Inspection; IG, DIA; Report
Number 03-2066-HQ-008; November 14, 2003

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(U//~~FOUO~~)

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

No major deficiencies were noted in intelligence oversight (IO) programs at any of the inspected (b)(3);10 USC 424. Two systemic issues were identified: 1) (b)(3);10 USC 424 (consisting of the (b)(3);10 USC 424 locations) did not have current, updated, signed mission property hand receipts to ensure proper accountability and control - while it was apparent that both stations had made efforts to reconcile property with the Directorate for

~~Derived From: Multiple Sources~~
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Human Intelligence (DH) [redacted] neither station had been able to update and sign property listings in a reasonable period of time; and 2) [redacted]

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(U//~~FOUO~~) Management agreed to review current property accountability processes and take immediate corrective action to bring all elements into compliance with agency-prescribed property accountability and control requirements. Management agreed that

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

Category: [redacted]

(b)(3):10 USC 424

(U) DI IO Investigation; IG, DIA; Report Number 03-2152-MA-056; December 8, 2003

(S//NF) This report is ~~SECRET//NOFORN~~. This investigation was based on an allegation that a civilian employee of the DIA may have violated Department of Defense (DoD) and agency policy with regard to [redacted]

(b)(3):10 USC 424; 1.4(c)

(S//NF) This IO investigation found that a Directorate for Analysis (DI) [redacted]

(b)(1); (b)(3):10 USC 424; 1.4(c)

Additionally, the IG recommended the agency improve its accountability for persons on travel status.

(U//~~FOUO~~) The agency has instituted additional controls for employees traveling abroad to effect notification of agency senior command representatives at the unified commands.

Category: [redacted]

(b)(3):10 USC 424

(U) DIA Support to the [redacted] Audit; IG, DIA; Report Number 04-2249-OA-026; December 19, 2003

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(S//NF) This report is ~~SECRET//NOFORN~~. The Defense Intelligence Agency (DIA) and the [redacted] entered into an agreement in October, 2002, with the signing of a [redacted]. This [redacted]

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(b)(3):10 USC 424

[redacted] for the duration of the agreement. In exchange, the [redacted] was required to [redacted]

(b)(3):10 USC 424

requirements designated in the [redacted]. At the request of the director, the IG conducted an examination of the [redacted] for a select time period.

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

The objective of the audit was to determine whether a reasonable assurance existed that the [redacted] had not charged duplicate expenses against DIA funds during the period September through October 2003.

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

~~SECRET//NOFORN//X1~~

~~SECRET//NOFORN//X1~~

(U//~~FOUO~~) The audit found no evidence of duplicate expense charges during this period. The audit reviewed total expense charges of [redacted] (b)(3):10 USC 424. Approximately [redacted] (b)(3):10 USC 424 in expenses (41 percent of total charges) lacked supporting documentation.

(U) There were no recommendations.
Category: Financial Management.

(U) [redacted] (b)(3):10 USC 424
Inspection; IG, DIA; Report Number 03-2059-HQ-008; December 23, 2003

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(U//~~FOUO~~) It was determined that [redacted]

[redacted] (b)(3):10 USC 424; (b)(3):50 USC 3024(i)

(U//~~FOUO~~) Management while agreeing that mistakes had been made with respect to [redacted]

[redacted] (b)(3):10 USC 424; (b)(3):50 USC 3024(i)

[redacted] management has completed a clarification to the manual that is very specific concerning these payments and allowances. Management has recognized the [redacted] (b)(3):10 USC 424 lack of accuracy and has taken steps to make it more current.

Category: [redacted] (b)(3):10 USC 424

(U) [redacted] (b)(3):10 USC 424 **IO Investigation; IG, DIA; Report Number 03-2222-MA-056; December 24, 2004**

(S//NF) This report is ~~SECRET//NOFORN~~. This investigation derived from an allegation that a DIA civilian [redacted]

[redacted] (b)(1); (b)(3):10 USC 424; 1.4 (c)

~~SECRET//NOFORN//X1~~

~~(S//NF)~~ The investigation found that the subject, with the approval of his chain of command, but without the approval of the Office of the General Counsel as required by Executive Order 12333, paragraph 2.6., [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

~~(S//NF)~~ The IG directed mandatory IO training for all [redacted] personnel assigned to [redacted] duty. This was accomplished on 12 February 2004.

Category: Joint Warfighting and Readiness

(U) [redacted] **Audit; IG, DIA; Report Number 02-1981-OA-021; December 29, 2003**

~~(S)~~ This report is ~~SECRET~~. The [redacted] program is a DoD intelligence community program focused on dramatically improving the quality, responsiveness and timeliness of intelligence support to the [redacted] and combat commanders [redacted] incurred obligations totaling approximately [redacted] for fiscal year (FY) 2000 through FY 2003 (through 20 February 2003). The objective of this audit was to determine whether funding for [redacted] had been managed and executed in a manner consistent with DoD and DIA directives, regulations, guidelines, and program objectives. The audit also evaluated management controls as they pertained to the audit objective.

(U) It was determined that [redacted] was managed and executed in a manner consistent with guidelines and program objectives. However, the audit also determined that program documentation was neither sufficient nor standardized in accordance with DoD policy. Further, that [redacted] had suffered from transitory leadership, having been under the control of the services and then consolidated under DIA in FY 2000. Within DIA, [redacted] has been under [redacted] DIA directorates and [redacted] since the program's inception in 1995. The audit recommended that management implement criteria contained in DoD publications for establishing and maintaining program documentation. Further, establish qualifications for program managers and implement a comprehensive training and professional development program.

(U) Management concurred with the recommendations. The recommendations identified overlap with acquisition and financial management responsibilities and are now being addressed on a systemic, agency-wide basis.

Category: Information Technology Management

(U) [redacted] **Inspection; IG, DIA; Report Number 03-2105-HQ-008; December 29, 2003**

(U) This report is ~~SECRET//NOFORN~~. [redacted]

~~(S//NF)~~

[Redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

(U) Management concurred with the recommendations and is taking corrective action.

Category: [Redacted]

(U) [Redacted] Audit; IG, DIA; Report Number 04-2229-OA-026;
January 6, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

[Redacted]

(b)(3);10 USC 424; (b)(1); (b)(3);50 USC 3024(i); Sec. 1.4(c)

~~(S//NF)~~

[Redacted]

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

~~(S//NF)~~

[Redacted]

(U) (b)(3):10 USC 424; (b)(3):50 USC 3024(f) Climate Survey; IG, DIA; Report Number
04-2268-WA-075; January 16, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~.

(b)(3):10 USC 424; (b)(3):50 USC 3024(f)

(U//~~FOUO~~)

(b)(3):10 USC 424; (b)(3):50 USC 3024(f)

(U//~~FOUO~~)

(b)(3):10 USC 424; (b)(3):50 USC 3024(f)

Category: Joint Warfighting and Readiness.

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 02-5371-OI-055;
January 20, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations
of time and attendance fraud and theft.

(U//~~FOUO~~) Based on an anonymous complaint, a preliminary inquiry determined there
were significant discrepancies in the time and attendance of an agency timekeeper (b)(6)
(b)(6) with the agency. The subsequent criminal
investigation found that the employee deliberately falsified claims for regular work hours
and overtime. The employee was paid in excess of (b)(3):10 USC 424 to which the employee was
not entitled.

(U//~~FOUO~~) The subject admitted guilt and resigned from the agency. As a result of a
plea agreement with the Department of Justice, the subject pled guilty in federal district
court to one count of theft, and was sentenced to 5 years probation, restitution of
(b)(6)

Category: Human Capital.

(U) ^{(b)(3),10 USC 424} Hiring Process Evaluation; IG, DIA; Report Number 03-2137-HQ-003;
January 20, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. DIA civilian personnel initiatives, commonly known as ^{(b)(3),10 USC 424} were developed and fielded in the context of broader public sector and DoD human resources (HR) reform.

(U//~~FOUO~~) The evaluation found that DIA's intentions in advancing HR reform were sound and geared toward maintaining good faith with DIA's employees and managers while optimizing DIA's workforce planning and capacity for the future. Most DIA employees believed that the intent of ^{(b)(3),10 USC 424} was good and in the best interest of DIA. However, there were widespread mid-to-senior level management perceptions that DIA leadership had not effectively engaged senior managers in implementing ^{(b)(3),10 USC 424} or in viewing HR from a mid-to-senior management perspective. Senior manager buy-in to ^{(b)(3),10 USC 424} hiring initiatives was still very mixed. Perceptions at the executive and senior management levels were that ^{(b)(3),10 USC 424} hiring boards at the journeyman level had not added value to the selection process. HR personnel felt that the agency senior leadership lacked confidence in agency HR expertise and employees were frustrated with constantly changing policies and procedures. Substantial morale problems were noted among HR professionals and senior managers.

(U//~~FOUO~~) Management response is pending on recommendations to formalize ^{(b)(3),10 USC 424} hiring process administrative procedures to ensure timely, current guidance is disseminated to HR professionals and directorate administrative personnel and managers; achieve common understanding of current policies and procedures; and achieve consistency where appropriate, in HR service and support. Response is also pending on recommendations to better educate the workforce on the preparation of ^{(b)(3),10 USC 424} job applications and to communicate ^{(b)(3),10 USC 424} related initiatives, successes, failures, and changes more effectively to the workforce.

Category: Human Capital.

(U) ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} Evaluation; IG, DIA; Report Number 04-2289-WA-075;
January 26, 2004

(U//~~FOUO~~) This report is ~~CONFIDENTIAL//NOFORN~~. The IG representative to ^{(b)(3),10 USC 424} became aware of staffing issues regarding the ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} and conducted a review of ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)}. The IG interviewed personnel and observed ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} during the three work shifts on 24 January 2004. The IG also noted several physical impediments with the current temporary ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)}. Because of security and safety concerns the IG returned to the ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} accompanied by the ^{(b)(3),50 USC 3024(i)} to discuss issues, concerns, and clarify actions necessary to correct deficiencies. The IG also provided an outline of these concerns to the ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} for immediate action.

(~~C//NF~~) Personnel staffing the [redacted] were attempting to do their best, but were not given the proper instruction and oversight to effectively and safely do their jobs. When questioned during the on-site, soldiers did not know the current force protection condition; the rules of engagement; or the general orders and responsibilities for their post. Personnel had not received training or formal situational awareness briefings before assuming their duties. Almost half of the personnel interviewed had not read the standard operating procedures, and 8 out of 15 voiced little confidence that the [redacted]

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

[redacted] would respond in a timely manner to an incident or attack at the [redacted]. On the day of the IG on-site, the [redacted] did not have radio or telephonic communications with the [redacted] for over 9 hours. The [redacted] soldiers' number one issue was for definitive policy guidance on who was required to clear their weapons before entering the camp.

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

(b)(3):10 USC 424

(U//~~FOUO~~) [redacted] management took immediate action to correct the issues identified. The completion of the new [redacted] and the rotation of units supporting the [redacted] should resolve any residual issues.

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

(b)(3):10 USC 424

Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG DIA; Report Number 03-5475-01-050; February 4, 2004.

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of altering an official document.

(U//~~FOUO~~) During an administrative review of an Army warrant officer application packet, questions were raised regarding a physical examination report and a bachelor's degree contained in the packet of the applicant, an [redacted] assigned to [redacted]. A criminal investigation found that the physical examination report had been significantly altered and falsely enclosed in support of the application. The investigation also found that the bachelor's degree was fraudulent, having been obtained for a fee from an Internet diploma mill in the United Kingdom.

(b)(3):10 USC 424; (b)(6)

(b)(3):10 USC 424

(U//~~FOUO~~) As a result of the investigation, the [redacted] was relieved of duties within the [redacted]

(b)(3):10 USC 424; (b)(6)

Category: Human Capital.

(U) Unexploded Ordinance Reporting and Disposal; IG, DIA; Report Number 04-2296-WA-075; February 6, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The IG representative to the [redacted] initiated an inquiry into a reported missing 60 milimeter (mm) mortar round after being informed of its disappearance by the [redacted]

(b)(3):10 USC 424

(b)(3):10 USC 424

(b)(3):10 USC 424

[redacted] An unexploded mortar round was discovered lying near the south perimeter wall in close proximity to the garbage burn pit and reported to the [redacted]

(b)(3):10 USC 424;
(b)(3):50 USC 3024(i)

by a civilian contractor working on [redacted] (b)(3), 10 USC 424; (b)(3) 50 USC 3024(i)) The [redacted] (b)(3) 50 USC 3024(i)) called a U.S. Air Force explosive ordnance disposal (EOD) team, but by the time it arrived the mortar round was gone.

(U//~~FOUO~~) The IG inquiry revealed the mortar round was safely disposed of by a [redacted] (b)(3), 10 USC 424) team that was contacted by [redacted] (b)(3), 50 USC 3024(i)) personnel. The British team resides on [redacted] (b)(3), 10 USC 424), supporting [redacted] missions and is used periodically to dispose of unexploded ordnance on post; however, neither U.S. Air Force EOD personnel nor the [redacted] (b)(3), 10 USC 424) representative knew this. Procedures and responsibilities for responding to unexploded ordnance discoveries inadequately defined what actions to take and who to inform, resulting in this case with different responders acting independently and totally unaware of each other.

(U//~~FOUO~~) The [redacted] (b)(3), 10 USC 424) is developing a plan for the large-scale disposal of unexploded ordnance on [redacted] (b)(3), 50 USC 3024(i)) Included will be standard operating procedures; a continuing education program; and the posting of areas containing unexploded ordnance. Category: Joint Warfighting and Readiness.

(U) [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i)) Inspection; IG, DIA; Report Number 03-2148-HQ-003; February 18, 2004

(U//~~FOUO~~) This report is ~~CONFIDENTIAL//NOFORN~~. The inspection concluded a comprehensive evaluation of [redacted] (b)(3), 10 USC 424) under the purview of the DIA [redacted] (b)(3), 10 USC 424), which began with the inspection of [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i)) during the previous FY. The objective of the inspection was to evaluate how well the [redacted] program enhanced the DIA mission of supporting the commands and their respective intelligence directors, and how well the [redacted] supported internal agency consumers. The inspection also assessed whether the right combination of skills, resources, support, and experience were represented at the [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i))

(~~C/NF~~) All [redacted] (b)(3), 10 USC 424) elements visited had highly effective and productive relationships with their respective hosting commands. However, some members of the [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i)) responsiveness to command needs was less than optimal. There was also concern at the [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i))

(b)(1); (b)(3); 10 USC 424; 1.4 (e)

(U//~~FOUO~~) Facilitated in part by the [redacted] (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i)) each of the above cited concerns are being addressed jointly by the respective program managers in DIA and the combatant command representatives who manage the programs in theater to improve interaction and support. No findings of regulatory non-compliance were identified and no formal recommendations were issued as a result of the inspection.

Category: Joint Warfighting and Readiness

(U) DIA EA Roles and Responsibilities; IG, DIA; Report Number 03-2226-HQ-002; February 27, 2004

(U//FOUO) This report is SECRET//NOFORN. At the request of the deputy director, the IG conducted a baseline assessment of the agency's executive agent (EA) roles and responsibilities. Prior to 2002, the use of the term EA had been diverse and unregulated in DoD, resulting in overuse and confusion. Following a review of the terminology DoD Directive (DoDD) 5101.1, "DoD Executive Agent," was issued in 2002 stating that a DoD component can only be designated a DoD EA by the secretary of defense or the deputy secretary of defense. DIA was officially designated as an EA in six DoD issuances, and in one Director of Central Intelligence memorandum. However, not all existing EA designations met the signatory requirement expressed by DoDD 5101.1. In addition, DIA is informally recognized as an EA for the DoD [redacted] and under the DoD intelligence production program; however these EA responsibilities have not been codified.

(U//~~FOUO~~) The IG determined that DIA was not in compliance with the DoD EA program; needed to identify all DoD EAs to the Office of the Secretary of Defense and confirm that the EA requirements remained valid; and needed to take steps to reconcile discrepancies and codify EA responsibilities where appropriate. The IG recommended the designation an agency focal point to coordinate matters regarding assigned DoD/EA responsibilities, functions, and authorities.

(U) Management response to this report is pending.
Category: Infrastructure and Environment.

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 00-5032-Q1-063; March 1, 2004

(S//NF) This report is SECRET//NOFORN. Allegations of false official statements,

[redacted]

(S//NF) When questions were raised about the questionable conduct of a [redacted]

[redacted] stationed at a [redacted] the ensuing criminal investigation determined that the [redacted] The investigation determined that the [redacted] falsified leave and earnings statements, and made numerous questionable expenditures for unnecessary supplies and equipment. In addition, the [redacted]

[redacted]

(U) The [redacted] received a non-punitive general officer letter of reprimand, filed in the subject's permanent official personnel file.

Category: Human Capital.

~~SECRET//NOFORN//X1~~

(U) (b)(3);10 USC 424 Inspection; Report Number 03-2112-HQ-008; March 4, 2004

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424; (b)(7);66 USC 3024(f) IO Follow-Up Inspection; IG, DIA; Report Number 03-2177-MA-056; March 18, 2004

(S//NF) This report is ~~SECRET//NOFORN~~. This inspection was conducted to validate that corrective measures required for (b)(3);10 USC 424; (b)(7);50 USC 3024(f) IO Investigation, Project Number 02-1977-MA-056, 24 September 2002, had been accomplished.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

~~SECRET//NOFORN//X1~~

~~SECRET//NOFORN//X1~~

(U) No corrective actions were required.

Category: (b)(3), 10 USC 424

(U) DIA Support to (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i) Evaluation; IG, DIA; Report Number 04-2277-HQ-008; March 19, 2004

(S//NF) This report is ~~SECRET//NOFORN~~.

(b)(1), (b)(3), 10 USC 424, (b)(3), 50 USC 3024(i), Sec. 1.4(u)

(b)(1), (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i); Sec. 1.4(c)

(U) (b)(3), 10 USC 424

Inspection;

IG, DIA; Report Number 03-2129-HQ-008; March 23, 2004

(b)(1), (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i); Sec. 1.4(c)

~~SECRET//NOFORN//X1~~



~~SECRET//NOFORN//X1~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-

S-0118/IG

25 March 2003

To: (b)(3), (b) USC 424
Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress and the Semiannual Compendium of DoD Intelligence-Related Inspector General and Audit Agency Reports, 29 January 2003

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3), (b) USC 424; (b)(6) (b)(3), (b) USC 424

(b)(3), (b) USC 424

(b)(3), (b) USC 424; (b)(6)

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FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//X1~~

~~SECRET//NOFORN//X1~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, AND INVESTIGATION REPORTS**

**(U) CWF/NAFFS Accounting Discrepancies; Project Number 02-2034-OA-026;
10 October 2002**

(U) This report is UNCLASSIFIED. This audit was conducted at the request of the civilian welfare fund (CWF) custodian after an income discrepancy of approximately [redacted] was generated when the Non-Appropriated Fund Financial Services (NAFFS) assumed responsibility for the CWF accounting function. The objective of the audit was to identify the source of the discrepancy. Our review confirmed that the income discrepancy was valid, and was caused by the adjusting entry made by NAFFS to convert from the CWF method of calculating cost of goods sold to the NAFFS method. We suggested that the CWF fund custodian request that NAFFS reverse its adjusting entry and implement the NAFFS cost of good sold method in accordance with generally accepted accounting principles.

(U) [redacted] Inspection; Project Number 02-1973-HQ-008; 25 November 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected. The inspection was part of an effort aimed at identifying issues of concern for

[redacted]

(U) [redacted] Command Climate Assessment, Project Number 02-2060-HQ-075;
27 November 2002

(U//FOUO) This report is classified UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. In response to several non-specific complaints expressing concern about management within

[redacted]

~~Derived From: DO HUMINT-SCG
Declassify On: X1
Date of Source: March 2002~~

Enclosure to S-0118/IG

~~SECRET//NOFORN//X1~~

(b)(3);10 USC424

[Redacted]

(U) [Redacted] Inspection; Project Number 02-1989-HQ-008; 13 December 2002

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

[Redacted]

(U) [Redacted] IO Investigation; Project Number 02-1994-MA-056; 30 December 2002

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. An intelligence oversight investigation was conducted in response to a report that an [Redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

[Redacted]

(U) ^{(b)(3); 10 USC 424} [redacted] IO Inspection; Project Number 03-2087-MA-056; 30 December 2002

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An intelligence oversight (IO) inspection was conducted based on a fiscal year (FY) 2002 general inspection of the activities that both failed to meet agency standards for IO training and awareness. We found that effective corrective actions had been taken and all assigned personnel met agency standards.

~~(S//NF)~~ Incident Prevention Report; Case Number 03-5412-OI-073; 8 January 2003

~~(S//NF)~~ The report is classified as ~~SECRET//NOFORN~~. As a result of a related criminal investigation into acts of fraud and larceny committed by a

[redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(U) ^{(b)(3); 10 USC 424} [redacted] Inspection; Project Number 02-1987-HQ-008; 10 January 2003

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected, as well as issues of a more systemic nature within ^{(b)(3); 10 USC 424} [redacted]

^{(b)(3); 10 USC 424} [redacted]

^{(b)(3); 10 USC 424} [redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

~~-SECRET//NOFORN//X1~~

(U) (b)(3); 10 USC 424 Inspection; Project Number 02-1988-HQ-008;
10 January 2003

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for

(b)(1); (b)(3); 10 USC 424; Sec. 14(c)

(U//~~FOUO~~) Criminal Investigation; Case Number 97-4695-OI-050; 15 January 2003

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. As a result of a criminal investigation conducted jointly with the

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 14(c)

~~-SECRET//NOFORN//X1-~~

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(U) ^{(b)(3);10 USC 424} IO Special Inquiry; Project Number 03-2092-MA-056; 15 January 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An IO special inquiry was conducted in response to a management request by DO to determine if the

^{(b)(3);10 USC 424; (b)(3);50 USC 3024(i)}

The IG found numerous areas of noncompliance resulting in the withdrawal of authority from ^{(b)(3);10 USC 424} and the initiation of a comprehensive audit to determine possible fraud, waste, and abuse.

(U) ^{(b)(3);10 USC 424} IO Investigation; Project Number 02-2054-MA-056; 21 January 2003

(S//NF) This report is ~~SECRET//NOFORN~~. An IO investigation was conducted in response to a report that personnel assigned to DO and the Office of the General Counsel had initiated an

^{(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)} We took action to halt the ^{(b)(1); (b)(3);10 USC 424;1.4 (c)}

could occur and the subsequent investigation found that the individuals had acted outside regulatory authorities. A separate criminal investigation was conducted and management action will be taken upon issuance of that report.

(U//~~FOUO~~) Incident Prevention Report; Case Number 03-5429-OI-073; 22 January 2003

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. As a result of a related criminal investigation into the illegal transfer of firearms, we found that a DIA office within the ^{(b)(3);10 USC 424} had developed a practice of giving away as retirement gifts firearms and other historically significant memorabilia that had been obtained through the ^{(b)(3);10 USC 424} process. The property was subsequently given to high-ranking civilian and military supervisors upon their retirement or departure from DIA. Some of the gifts were found to be in violation of federal criminal statutes. The criminal investigation report was forwarded to the Department of Justice.

^{(b)(3);10 USC 424}

The total estimated value of the firearms was \$12,000. We recommended to management that the agency conduct a bottom-up inventory of items of

(U) IMPAC Audit; Project Number 01-1940-OA-026; 26 February 2003

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. To respond to management concerns about property accountability in general and laptop computers in particular, the focus of the audit was laptops purchased with IMPAC. The objectives of the audit were to: 1) determine whether IMPAC card holders purchased laptops in accordance with DIA rules and regulations; 2) determine whether these laptops were properly accounted for on DIA property books; and 3) assess the implementation of prior audit recommendations. We also documented any other noncompliance with DoD and DIA regulations, the Federal Acquisition Regulation (FAR), or the Defense Federal Acquisition Regulation.

(U//~~FOUO~~) We found that overall, the IMPAC program is efficient, effective, and not subject to widespread fraud, waste, and abuse. The offices that purchased laptops with IMPAC had authorization to do so. DO split purchases totaling over [redacted] to circumvent the transaction dollar limit. Some of those split purchases resulted in the procurement of 80 laptops. We also discovered that the bank records of cardholders we reviewed in the process of identifying laptop purchases were not current, and some cardholders had more than one IMPAC account. Cardholders did not always maintain proper purchase documentation, including serial numbers for accountable property, which contributed to difficulty verifying laptop accountability. Of the 154 laptops identified during the audit as purchased with IMPAC, less than half were verified on the DIA property books. Although not of material significance to the IMPAC program, we also identified several instances of noncompliance with the FAR and other regulations, where cardholders exceeded dollar limits, purchased restricted items, or had not properly reported fraudulent use of the card. We recommended that: the program coordinator ensure that bank records of cardholders are corrected; discrepancies in the bank cardholder records are resolved quarterly; that conflicting guidance on file maintenance and retention be resolved; that all laptops identified during the audit are located and properly recorded on the appropriate DIA property book; and that guidance concerning lost and stolen cards is updated and clarified. Three of the five recommendations have been closed.

(U) [redacted] Inspection; Project Number 02-1997-HQ-008; 27 February 2003

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected. The inspection was part of an effort aimed at identifying issues of concern for the [redacted] being inspected, as well as issues of a more systemic nature within

[redacted] were assessed as excellent and [redacted] were assessed as good. [redacted] enjoyed excellent relations with [redacted] at the embassy were assessed as excellent and [redacted] at the embassy were assessed as good. [redacted]

(b)(3);10 USC 424; (b)(3);50 USC 3024(i) [redacted] A systemic finding at each of the (b)(3);10 USC 424 [redacted] was that they all had antiquated automated data processing equipment. All stations are scheduled to receive new equipment in late FY 2003.

(U) (b)(3);10 USC 424 [redacted] **Inspection; Project Number 02-1998-HQ-008; 13 March 2003**

(S//NF) This report is classified ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected, as well as issues of a more systemic nature within (b)(3);10 USC 424 [redacted]

(b)(3);10 USC 424 [redacted] relations at the embassy were assessed as good and (b)(3);10 USC 424; (b)(1); Sec. 1.4(c) [redacted] were assessed as excellent. (b)(3);10 USC 424 [redacted] relations with the (b)(3);10 USC 424 [redacted] and the (b)(3);10 USC 424 [redacted] performance in collection operations and office administration were assessed as excellent.

(b)(3);10 USC 424 [redacted] relations at the embassy were assessed as good but (b)(1); (b)(3);10 USC 424; Sec. 1.4(c) [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c) [redacted] could be improved. Systemic findings included inaccurate (b)(3);10 USC 424 [redacted] personnel rosters at Headquarters, though these are a primary tool for reaching personnel in emergency situations. (b)(3);10 USC 424 [redacted] had committed a security violation but the

(b)(3);10 USC 424 [redacted] was not aware, indicating a possible systemic lack of communication between the (b)(3);50 USC 3024(i) [redacted] and DIA related to security violations.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c) [redacted] offices were following inadequate procedures for the (b)(3);10 USC 424 [redacted] In (b)(3);10 USC 424 [redacted] personnel were using unauthorized electronic devices (cellular phones and personal electronic devices) in the (b)(3);10 USC 424 [redacted] controlled access area.



~~SECRET//NOFORN//20300324~~
DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340-

S-0156/IG

24 March 2005

To:

(b)(3) 10 USC 424

~~Inspector General~~
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 3 March 2005

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3) 10 USC 424; (b)(6) (b)(3) 10 USC 424

(b)(3) 10 USC 424; (b)(6)

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**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, AND INVESTIGATION REPORTS**

**(U) DIAC Completion Progress Assessment; IG, DIA; Report Number 04-2400-HQ-003;
October 1, 2004**

(U//~~FOUO~~) This report is classified ~~SECRET~~. An assessment of the Defense Intelligence Analysis Center (DIAC) expansion and completion progress was conducted from 13 August through 16 September 2004. The purpose of the assessment was to provide agency leadership with objective assurance that Defense Intelligence Agency (DIA) equities were being considered and protected during the course of construction.

(U//~~FOUO~~) The assessment determined that the DIAC expansion project was proceeding within the established budget. The project was marginally behind its projected schedule, primarily as a result of weather delays and certain design modifications, but reportedly was still on schedule for initial occupancy in August 2005. The general contractor managed the quality of workmanship and materials, often with the aid of an independent testing organization. Project records reviewed by the Office of the Inspector General (OIG) team indicated routine testing of concrete, steel, and fasteners (steel tension bolts). Certificates of conformance for building materials and certifications of construction crew qualifications indicated contractor compliance with performance specifications.

(U//~~FOUO~~) Regarding safety, the contractor had one incident that resulted in a fatality during the period of the assessment. Results of preliminary inquiries into the fatality suggest the victim may not have used appropriate safety equipment that was available at the work site, and that could have prevented or minimized injury. Two minor recordable safety incidents were also reported during the course of construction up to the period of the assessment - neither injury resulted in loss of life or lost time.

(U//~~FOUO~~) Physical and technical security was described as adequate by on-site security professionals given available funding and considering that construction was on a secured military installation. However, [redacted] by the OIG team indicated that physical and technical security measures may be less than optimal.

Category [redacted]

Derived From: ~~DIA HUMINT SEC~~
Declassify on: ~~20300322~~
Date of source: 1 October 2004

Enclosure to ~~S-0156/IG~~

~~SECRET//NOFORN//20300324~~

~~SECRET//NOFORN//20300322~~

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 04-5602-OI-060, October 4, 2004

(b)(3);10 USC 424

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations that contractor employees had unlawfully [redacted] The investigation indicated that [redacted] had unlawfully [redacted]

(U//~~FOUO~~) As a result of the investigation, the two contractors were fired and returned to the United States.

Category: Acquisition Processes and Contract Management

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 04-5569-OI-055, November 4, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~: Allegation that a [redacted] reported her official travel card stolen after making unauthorized withdrawals for personal use. The investigation indicated that the [redacted] in unauthorized withdrawals.

(U//~~FOUO~~) [redacted]

(b)(3);10 USC 424; (b)(6)

Category: Human Capital

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 04-5472-OI-064, November 19, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that the [redacted] provided by a contractor to help guard DIA facilities

(b)(3);10 USC 424

(U//~~FOUO~~) The allegation was sustained through investigation and by observation when the [redacted]

(b)(3);10 USC 424

disinterested evaluators. The case was declined by the Department of Justice (DoJ) for prosecution.

(U//~~FOUO~~) The [redacted]

(b)(3);10 USC 424

(b)(3);10 USC 424

A new contract to a qualified contractor was expeditiously granted.

Category: Acquisition Processes and Contract Management

~~SECRET//NOFORN//20300322~~

(U) Logistics Business Evaluation; IG, DIA; Report Number 04-2135-HQ-003; November 23, 2004

(S//NF) This report is ~~SECRET//NOFORN~~. An evaluation of DIA logistics business practices was conducted from 20 October 2003 through 9 November 2004.

[Redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(S//NF) [Redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(S//NF) [Redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

The agency is responding to all recommendations.

Category: Logistics

(U) [Redacted] Inspection; IG, DIA; Report Number 04-2336-HQ-008; November 29, 2004

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment, operational effectiveness, and compliance with regulations and directives.

(S//NF) [Redacted] relations with [Redacted] were collegial and supportive. [Redacted] generally met the requirements of the analytic and policy communities, although some [Redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

during the first half of fiscal year (FY) 2004.

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(S//NF) [Redacted] administration was sound. Office administration was managed solely by the [Redacted] often at the expense of [Redacted] Quality of life and morale for single [Redacted] members at this unaccompanied post was negatively impacted by perceptions of [Redacted]

(b)(3); 10 USC 424

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

(b)(3); 10 USC 424

inequities in DIA policy provisions that allowed [redacted]

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 04-2329-HQ-008; November 30, 2004

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment, operational effectiveness and compliance with regulations and directives.

(S//NF) [redacted] was a highly mission-oriented office and a respected component of the embassy's country team. [redacted] was executing its assigned missions. Analysts commented that the quality of [redacted]

(U//FOUO) [redacted] administration was in compliance with applicable DIA regulations and policies. The [redacted] was reviewed during the inspection. An [redacted] was appointed in writing and training was properly documented. Personnel on station were interviewed regarding the [redacted] and all were generally knowledgeable.

Category: [redacted]

(U//FOUO) Criminal Investigation; IG, DIA; Report Number 04-5585-OI-069, December 12, 2004

(U//FOUO) This report is ~~SECRET//NOFORN~~. Allegations that, while intoxicated, a [redacted] engaged in conduct unbecoming an officer, including making sexual advances on [redacted] and DIA female employees.

(U//FOUO) The investigation sustained the allegations. Additionally, the investigation documented that the [redacted] failed to report traffic accidents involving government vehicles, committed serious security violations, disobeyed a lawful order from a superior officer, and wrongfully destroyed classified material in an effort to conceal a security violation.

(U//FOUO) As a result of the investigation, the [redacted]

Category: Human Capital

(U) [redacted] Inspection; IG, DIA; Report Number 04-2334-HQ-008; December 13, 2004

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment, operational effectiveness and compliance with regulations and directives.

(S//NF)

[Redacted]

(b)(1); (b)(3); 10 USC 424; Sec 1.4(c)

(S//NF) [Redacted] administration was sound. Administrative discrepancies were minor and were resolved on the spot. Property accountability and controls were in compliance with established standards. An evaluation of compliance with IO procedures was conducted. Although there was no evidence of [Redacted] a strong and current IO awareness program was not apparent.

Category: Joint Warfighting and Readiness

(U//FOUO) Criminal Investigation; IG, DIA; Report Number 03-5456-OI-052, December 21, 2004

(U//FOUO) This report is ~~SECRET//NOFORN~~. Allegations of misuse of government property, false travel claims, and submission of a false recommendation for a Defense Meritorious Service Medal.

(U//FOUO) The investigation sustained the allegations. As a result of the investigation a [Redacted] was relieved from active duty and given a [Redacted]

Category: Human Capital

(U) North Africa IO Inspection; IG, DIA; Report Number 03-2000-MA-056, December 28, 2004

(S//NF) This report is ~~SECRET//NOFORN~~. This inspection assessed intelligence oversight compliance and operational effectiveness and efficiencies at [Redacted]

[Redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

Category: Joint Warfighting and Readiness

(U) [Redacted] Contracting Audit; IG, DIA; Report Number 03-2168-OA-023; January 31, 2005

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of this audit was to determine the adequacy of oversight and control over selected [Redacted]

[Redacted]

The auditors reviewed three acquisition vehicles

~~SECRET//NOFORN//20300322~~

with an approximate total value of [redacted] This review indicated that although the contracting officer representatives were performing oversight of the contractor's performance, there was no consistent evidence or documentation of the oversight. In addition, billing review and contract close out needed improvement. Generally, contracts were not being closed out when the performance had been completed, depriving both DIA and the contractor of a final accurate resolution of billing. As part of DIA acquisition transformation, DIA will upgrade regulations and procedures, a contract file maintenance procedure will be included.

Category: Acquisition Processes and Contract Management

(U) [redacted] Inspections; IG, DIA; Report Number 04-2204-HQ-008; February 7, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(U//FOUO) All [redacted] were executing their assigned missions to [redacted] represent and advise. Relations with key [redacted] were good. Command climate and quality of life were generally good. Office administration was generally sound. Neither [redacted] had a signed property hand receipt as required by DIAM 100-1. At the time of the inspection, [redacted] were very close to resolving all discrepancies on their hand receipts.

(S//NF)

[redacted]
(b)(3):10 USC 424; (b)(1); Sec. 1.4(e)

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 04-2332-HQ-008; February 7, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(U//FOUO) [redacted] was accomplishing all assigned missions at the time of the inspection. [redacted] described [redacted]

Immediately prior to the OIG inspection, the [redacted] requested and received a staff assistance visit from one of the [redacted] instructors to assist in preparing for the inspection. The [redacted] administrative support staff had corrected many of the discrepancies identified by the [redacted] instructor. However, during the OIG inspection additional discrepancies were identified that required correction.

Category: Joint Warfighting and Readiness

~~SECRET//NOFORN//20300322~~

(U) [redacted] Inspection; IG, DIA; Report Number 04-2345-HQ-008; February 7, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(S//NF) [redacted] was performing its mission in a rather [redacted] [redacted] The [redacted] was complimentary about the entire [redacted] with specific kudos to the current and two preceding [redacted] Members of the country team were pleased with [redacted] staff performance. Command climate and quality of life were generally assessed as good, although there were a few minor issues with intra-office communications. Office administration and property accountability and controls were in compliance with applicable DIA regulations and policies.

Category: Joint Warfighting and Readiness

(U) [redacted] Inspection; IG, DIA; Report Number 04-2346-HQ-008; February 7, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(S//NF) The [redacted] and key members of the country team stated that the [redacted] was truly a lead agency within the embassy community. [redacted] was executing its assigned [redacted]

[redacted] were satisfied with [redacted] Command climate and quality of life were assessed as good although quality of life had been slower to modernize in [redacted] Office administration was in compliance with applicable DIA regulations and policies. Minor discrepancies were corrected on the spot or identified by the OIG team as leave behinds for corrective action. Property accountability and controls were in compliance with established standards.

Category: Joint Warfighting and Readiness

(U) [redacted] Inspection; IG; DIA; Report Number 04-2347-HQ-008; February 7, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment, operational effectiveness and compliance with regulations and directives.

(S//NF) From August 2003 through October 2004, [redacted] had been an office in near constant turmoil because of the [redacted] over that period. [redacted]

[redacted] and the frequent turnover of [redacted] were not critical since they recognized the situation. [redacted] had a [redacted] and was one of the few [redacted] in the region able to produce a list of [redacted] and identify the number [redacted] of those [redacted] also performed duties as the [redacted]

~~SECRET//NOFORN//20300322~~

(U) The OIG team assessed relations with key [redacted] as being very good. Office administration was generally in compliance with applicable DIA regulations and policies; minor discrepancies were corrected on the spot or identified by the OIG team as leave behinds for corrective action. Property accountability and controls were not in compliance with established standards. However, a hand receipt was signed shortly after the inspection.
Category: Joint Warfighting and Readiness

(U) [redacted] Follow-up Inspection; IG, DIA; Report Number 04-2389-HQ-004; February 8, 2005

(U) This report is ~~SECRET//NOFORN~~. This inspection was a follow-up to the [redacted] inspection conducted in February 2001 to evaluate mission accomplishment and operational effectiveness of the office inspected.

(U//~~FOUO~~) During the February 2001 inspection, [redacted] office administration was not being administered in accordance with DIA regulations and policies. A major factor was the lack of appropriate training for the assigned [redacted]. At the time of the follow-up inspection, [redacted] office administration was still not being administered in accordance with regulations; however, [redacted] was accomplishing all assigned missions.

The [redacted] was collocated within the [redacted] and [redacted] received the majority of its support from that organization. The relationship between [redacted] personnel and [redacted] personnel was assessed as excellent.

Category: Joint Warfighting and Readiness

(U) [redacted] Inspection; IG; DIA; Report Number 04-2349-HQ-008; February 18, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment, operational effectiveness, and compliance with regulations and directives.

(S//NF) The [redacted] and key members of the country team were pleased with [redacted] performance. Both the [redacted] stated that the embassy could not have responded so well after the 30 July 2004 suicide bombing attempt on the embassy without the superb efforts of the [redacted]. Relations with other offices of the [redacted] were positive. The OIG team assessed command climate and quality of life as good. Office administration and property accountability and controls were in compliance with applicable DIA regulations and policies.

(S//NF) Analysts were generally satisfied with the [redacted] of [redacted].
[redacted]

Category: Joint Warfighting and Readiness

(U) [redacted] Inspection; IG, DIA; Report Number 04-2361-HQ-008; February 18, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(U//FOUO) [redacted] was accomplishing all assigned missions at the time of the inspection. The [redacted] stated that the quality of the [redacted] was very good, [redacted] and complimented overall [redacted]. Analysts assessed the [redacted]

been a major [redacted]. The [redacted] was well managed and the administration and property accountability were in compliance with applicable DIA regulations and policies.

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 04-2362-HQ-008; February 18, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(S//NF) [redacted] was accomplishing all assigned missions at the time of the inspection. The [redacted] were the only [redacted] at post. The [redacted] was pleased with the [redacted] and the close coordination and working relationship between the [redacted]. Analysts assessed the [redacted] as [redacted]

[redacted] administration and property accountability were in compliance with applicable DIA regulations and policies.

Category: Joint Warfighting and Readiness

(U//FOUO) Criminal Investigation; IG, DIA; Report Number 98-4773-OI-071, March 4, 2005

(U//FOUO) This case is ~~SECRET//NOFORN~~. Special investigation into the failure of a [redacted]. The investigation demonstrated that a contractor defrauded DIA of [redacted] in advance payments on an [redacted] contract when they failed to deliver the materiel for which DIA had contracted and paid. Although investigated under the supervision of a DoJ prosecutor, the case was ultimately declined for prosecution by DoJ management.

(U//FOUO) [redacted]

Category: Acquisition Processes and Contract Management

~~SECRET//NOFORN//20300322~~

(U) ^{(b)(3);10 USC 424} [redacted] Inspection; IG, DIA; Report Number 04-2406-HQ-008; March 15, 2005

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

~~(S//NF)~~

(b)(1); (b)(3);10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c)

Category:

^{(b)(3);10 USC 424} [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 03-5411-OI-072, March 21, 2005

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of travel and time and attendance (T&A) fraud. The investigation determined that a DIA ^{(b)(3);10 USC 424} [redacted] and his ^{(b)(3); 50 USC 3024(i); (b)(6)} [redacted] had submitted fraudulent travel and T&A claims. Further, they misused their official positions to carry on an affair in violation of Executive Order 12731, "Principles of Conduct for Government Officials and Employees."

(U//~~FOUO~~) The ^{(b)(3);10 USC 424} [redacted] pled guilty to misdemeanor fraud in federal court and was ordered to make restitution to the government of ^{(b)(3);10 USC 424} [redacted] and serve ^{(b)(3);10 USC 424} [redacted]. Sentencing of the GS-13 is pending.

Category: Human Capital

(U//~~FOUO~~) Criminal Investigations; IG, DIA, Report Numbers: 04-5563-OI-060, October 1, 2004; 04-5536-OI-050, December 6, 2004; 04-5582-OI-050, December 22, 2004; and 04-5603-OI-060, February 23, 2005

(U//~~FOUO~~) The reports are UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations that personnel were using government computer equipment to access pornography.

~~SECRET//NOFORN//20300322~~

SECRET//NOFORN//20300322-

~~(U//FOUO)~~ The investigations sustained the allegations. As a result of the investigations.

(b)(1), Sec. 1.4(a), (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i)

Category: Human Capital and Acquisition Processes and Contract Management

.SECRET//NOFORN//20300322

~~SECRET//NOFORN~~

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100

~~S~~-08-0307/IG

24 July 2008

To:

(b)(3) TO USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 20 June 2008

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3) TO USC 424; (b)(6)

(b)(3) TO USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports (~~S~~), 1 cy

(b)(3) TO USC 424; (b)(6)

cc:
DR
DD
CP

~~- REGRADED UNCLASSIFIED WHEN SEPARATED -~~
~~- FROM CLASSIFIED ENCLOSURE -~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

**(U) Disposition of Video Recordings Inquiry; DIA OIG; Project No. 2008-003059-SA;
April 8, 2008**

~~(S//NF)~~ The DIA Inspector General (OIG) and the DIA General Counsel (GC) conduct a joint inquiry into the facts and legal considerations surrounding the disposition of video recordings created during the

[REDACTED]

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c); (b)(6)

The Directorate for Mission Services and the Directorate for Human Intelligence are taking appropriate action. **Category:** [REDACTED]

(b)(3); 10 USC 424

(U) [REDACTED] Intelligence Oversight Investigation; DIA OIG; Project No. 2008-003049-MA; April 22, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. A DIA OIG intelligence oversight investigation

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c)

[REDACTED]

~~Derived from: Multiple Sources~~
~~Declassify on: 20330724~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(b)(1); Sec. 1.4(c)

Category: (b)(3) 10 USC 424

(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005933-OI; April 22, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation of time and attendance (T&A) fraud by a (b)(3) 10 USC 424 DIA civilian. The (b)(3) 10 USC 424 submitted fraudulent T&A records from 30 October 2006 through 1 November 2007 totaling 39.73 regular hours she did not work. On 28 March 2008, the (b)(3) 10 USC 424 signed a voluntary repayment agreement with the Chief Financial Executive, DIA, to reimburse the agency. The loss to the U.S. Government is (b)(3) 10 USC 424. Disciplinary action regarding the (b)(3) 10 USC 424 is pending. Category: Human Capital

(U) DIA Personnel (b)(3) 10 USC 424 Assessment; DIA OIG; Project No. 2007-002939-MA; April 23, 2008

(U) This report is ~~SECRET//NOFORN~~. The objective of this assessment was to evaluate the

(b)(3) 10 USC 424; (b)(3) 50 USC 3024(i)

Directorate for Analysis are taking appropriate action. Category: (b)(3) 10 USC 424

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) Misuse of Government-Issued Charge Card Investigation; DIA OIG; Project No. 2008-005961-OI; April 24, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation that a [REDACTED] violated DoD 7000.14-R, "DoD Financial Management Regulation" and DIA Regulation 45-5, "Travel Advances and Government-Contractor-Issued Travel Charge Cards," when from 19 January 2007 to 2 February 2008, he wrongfully used his Government charge card to make personal purchases, totaling [REDACTED]. The [REDACTED] reimbursed the U.S. Government. Disciplinary action is pending. **Category: Human Capital**

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005827-OI; April 25, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation of misuse of Government resources, theft of public funds, and violation of the Department of Defense 5500.7-R, "Joint Ethics Regulation," when a [REDACTED] misused a Government-issued two-way pager from 1 October 2005 to 30 April 2006. The employee exceeded the 1,000 character allowance provided under the DIA pager contract by 38,606 characters for other than official use. The estimated loss to the Government is [REDACTED]. Disciplinary action is pending. **Category: Human Capital**

(U) Misuse of Government Property Investigation; DIA OIG; Project No. 2008-005966-OI; April 25, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation that a [REDACTED] committed the offense of theft of Government property, when [REDACTED] admitted that [REDACTED] knowingly stole various items of Government-owned information technology (IT) equipment from DIA [REDACTED] and [REDACTED] total value of approximately [REDACTED] between 2002 and October 2007. The [REDACTED] returned the Government-owned IT property [REDACTED] stole from DIA; however, the Government-owned unaccountable IT property [REDACTED] stole while on temporary duty to [REDACTED] was disposed of after use. The estimated value of the returned items to DIA was [REDACTED]. Disciplinary action is pending. **Category: Human Capital**

[REDACTED]

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) DIA Defense Analysis Report; DIA OIG; Project No. 2008-003104-MA; April 30, 2008

(U) This report is UNCLASSIFIED. In response to a request for intelligence oversight guidance, the DIA OIG conducted an assessment of the use of U.S. person information in an analytic product. The subject matter of the analytic report was covered in the mission statement for the [redacted] Directorate for Analysis.

[redacted] (b)(3) 10 USC 424

The analyst's report was reviewed by a DIA deputy general counsel before release and was in compliance with intelligence oversight policies and procedures. Category: [redacted] (b)(3) 10 USC 424

(U) Video Recording Inquiries; DIA OIG; Project No. 2008-003081-MA, 2008-003089-MA, 2008-003092-MA, and 2008-003093-MA; May 2, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The OIG conducted a review of all existing

[redacted] (b)(1); (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i); Sec. 1.4(c)

There is no further action pending. Category: [redacted] (b)(3) 10 USC 424

(U) Violation of [redacted] (b)(3) 50 USC 3024(i) General Order Investigation; DIA OIG; Project No. 2008-005956-OI; May 9, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. A DIA OIG investigation determined that a [redacted] (b)(3) 10 USC 424 DIA civilian violated [redacted] (b)(3) 50 USC 3024(i) General Order # 1A (Possession and Consumption of Alcoholic Beverages) when he was in possession of and admitted to consuming alcoholic beverages while assigned in [redacted]. The [redacted] (b)(3) 10 USC 424 later made a false official statement, when he recanted his earlier admission of consuming an alcoholic beverage when interviewed by the OIG. Disciplinary action is pending. Category: Human Capital

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) Unaccounted for Government Property Investigation; DIA OIG; Report Number 2007-005844-OI; May 15, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. DIA OIG substantiated an allegation that a contract company - the prime contractor - violated the statement of work for a DIA contract and DIA Manual 25-1, "Logistics Operational Manual," when it failed to maintain proper accountability, oversee, and manage the logistics operations of the DIA [redacted] that was delegated to the subcontractor. It was determined the subcontractor transported [redacted] from the DIA [redacted]. The subcontractor failed to ensure the pallet was inventoried and processed into the [redacted] and one pallet came up missing. The loss to the Government is estimated at \$68,967.72. DIA is pending receipt of payment from the contractor. Category: Human Capital

(U) [redacted] Remote Inspection; DIA OIG; Project No. 2008-003072-HQ; May 15, 2008

(S//~~NOFORN~~) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge

[redacted]

(b)(1); (b)(3);10 USC 424; Sec.1.4(c)

No recommendations were issued. Category: [redacted]

(U) Wrongful Destruction of Government Property Investigation; DIA OIG; Project No. 2008-005941-OI; May 16, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. DIA OIG substantiated an allegation that a contract company - the prime contractor - violated the contract statement of work for a DIA contract and DIA Manual 25-1, when the contractor failed to oversee the logistical operations of the DIA [redacted] that was delegated to the subcontractor, who lost accountability of [redacted]. Further, the subcontractor failed to follow standard operating procedures concerning the moving and receiving process of property into the [redacted]. The lack of accountability resulted in [redacted] being turned into the [redacted] for destruction and disposition, resulting in an [redacted] loss to the U.S. Government. DIA is pending receipt of payment from the contractor. Category: Human Capital

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

**(U) Standard of Ethical Conduct Investigation; DIA OIG; Project No. 2008-005953-OI;
June 11, 2008**

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. DIA OIG investigated allegations that three ^{(b)(6)} [redacted] DIA civilians accepted gift cards as gratuities from a company sales representative for placing purchase orders with the company. The DIA OIG investigation substantiated the allegations that the employees violated Title 18, U.S. Code 201(c)(1)(B), Title 5, Code of Federal Regulations 2635 and the Federal Acquisition Regulation by accepting the illegal gratuities. Disciplinary action is pending.
Category: Human Capital

**(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005948-OI;
June 19, 2008**

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation sustained the allegation that a ^{(b)(6)} [redacted] committed the offenses of false statements, false claims, and theft of public funds, when ^{(b)(6)} [redacted] prepared, signed, and submitted fraudulent time and attendance records from January 2007 through January 2008, totaling 140.15 regular hours ^{(b)(6)} [redacted] did not worked. The estimated loss to the U.S. Government is ^{(b)(3) TO USC 124} [redacted]. The employee agreed to make financial restitution to the US Government. Disciplinary action is pending. **Category: Human Capital**

**(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005936-OI;
June 20, 2008**

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation that a ^{(b)(6)} [redacted] employee committed the offenses of false official statements, false claims, and theft of public funds, when she prepared, signed, and submitted fraudulent time and attendance records from 26 November 2006 through 24 November 2007, totaling 17.90 regular hours ^{(b)(6)} [redacted] did not work. The estimated loss to the U.S. Government is ^{(b)(3) TO USC 124} [redacted]. The ^{(b)(6)} [redacted] employee agreed to make financial restitution for the hours not worked. Disciplinary action regarding the ^{(b)(6)} [redacted] is pending. **Category: Human Capital**

~~SECRET//NOFORN~~

(U) Report on an Investigation of a [redacted] Activity; DoD OIG and DIA OIG; Project No. 08-INTEL-07 and 2007-002920-MA; June 23, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. A DoD OIG and DIA OIG investigation substantiated allegations of [redacted] The allegation was that a DoD employee traveled to [redacted] on official Government business and, without proper approval or coordination, acquired a [redacted] The DoD employee then transported the [redacted] to the United States and turned it over to DIA. The investigation substantiated the allegation. DIA personnel [redacted]

Appropriate action is pending. Category: [redacted]

(U) [redacted] Inspection; DIA OIG; Project No. 2008-003017-HQ; June 24, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The intent of this inspection was to evaluate the program's impact [redacted] mission accomplishment, current posture, regulatory compliance, and areas for improvement. Overall, the program was an innovative approach to improving collaboration between analysts and collectors and is operating successfully. As of February 2008, [redacted] personnel were assigned to field elements, and [redacted] Working directly with [redacted] were involving the wider [redacted] community to clarify and refine [redacted]

[redacted] and providing substantive input for better context. We found areas for improvement in the program's guidance and resource management. Decisions about assigning [redacted] do not consider the type of expertise the [redacted] need as part of the formal process. As a result, some [redacted] had expertise that was not well-suited to the [redacted] environment and capabilities. In such instances, [redacted] were only able to impact [redacted] efforts and [redacted] in limited ways. Although the program's current manager had been [redacted] to the best of his ability, meeting field input, guidance for the program should instruct future program managers to solicit field input as well. In addition, [redacted] hired directly from the private sector lacked an operational understanding of DIA and the Intelligence Community and were not able to have as great an effect on [redacted] as those who had agency experience. Finally, the Civilian Personnel Branch, Directorate for Human Capital, needed to improve the support that it provides. Action is pending. Category: [redacted]

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) (b)(3) 50 USC 3024(i) **Civilian Overtime Audit; DIA OIG; Project No. 2008-003058-HQ; June 25, 2008**

(U) This report is UNCLASSIFIED. At the request of the director of intelligence, (b)(3) 10 USC 424 (b)(3) 10 USC 424 DIA OIG audited the controls over overtime within the

(b)(3) 50 USC 3024(i) The audit found that (b)(3) 10 USC 424

(b)(3) 50 USC 3024(i) needed to improve management of civilian overtime. Although controls existed, some of the managers deviated from DoD or U.S. Air Force guidance in requesting and approving overtime. Furthermore, although none was detected, not following existing internal controls could result in misuse or abuse of overtime. DIA OIG recommendations included: 1) strengthening supervisory controls over overtime by clearly identifying and justifying the work to be performed during the overtime hours and regularly documenting and reporting the work accomplished as a result of staff overtime; 2) implementing guidance for requesting, scheduling, approving, and reporting regular overtime for headquarters and deployed personnel; 3) identifying internal controls and using them to develop an assessment program for administering overtime; and 4) distributing overtime reports to division chiefs regularly.

(b)(3) 50 USC 3024(i) concurred with and is implementing the recommendations.

Category: (b)(3) 10 USC 424

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100

~~S~~-08-0393/IG

19 September 2008

To:

(b)(3);10 USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress,
5 September 2008

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3);10 USC 424; (b)(6)

(b)(3);10 USC 424

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports (S), 1 cy

(b)(3);10 USC 424; (b)(6)

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FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

(U) False Claims Investigation; DIA OIG; Project No. 2008-005929-OI; July 8, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. A DIA OIG investigation substantiated allegations of false statements, false claims, and theft of public funds by a GG-11 DIA civilian. The employee violated the Department of Defense Joint Travel Regulation and Directorate for Human Intelligence Policy Memorandum 102, "Per Diem Procedures for Deployments," when he submitted a fraudulent travel voucher for payment of meals he missed during his [redacted] (b)(3);10 USC 424. The estimated loss to the U.S. Government was [redacted] (b)(3);10 USC 424 denied he sought to defraud the U.S. Government; however, he agreed to make restitution. Disciplinary action is pending. **Category Human Capital**

(U) FISMA Evaluation; DIA OIG; Project No. 2008-003025-OA; July 10, 2008

~~(U//FOUO)~~ This report is ~~SECRET//NOFORN~~. The report provides the results of the 2008 evaluation of the agency's implementation of the Federal Information Security Management Act. The evaluation was conducted to assess the overall effectiveness of the DIA [redacted] (b)(3);10 USC 424. The DIA OIG scored the security posture of the Department of Defense Sensitive Compartmented Information community [redacted] (b)(3);10 USC 424 out of a [redacted] (b)(3);10 USC 424 oversight of contractor systems. The agency is taking appropriate management action to address the deficiencies. **Category: Information Security and Privacy**

**(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005954-OI;
July 31, 2008**

[redacted] (b)(3);10 USC 424 ~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated an allegation of time and attendance (T&A) fraud by a [redacted] (b)(3);10 USC 424. The [redacted] civilian committed the offenses of false statements, false claims, and theft of public funds, when he prepared, signed, and submitted fraudulent T&A records from January through December 2007. The estimated loss to the U.S. Government was [redacted] (b)(3);10 USC 424. The [redacted] (b)(3);10 USC 424 agreed to make financial restitution. Disciplinary action is pending. **Category: Human Capital**

~~Derived from: Multiple Sources
Declassify on: 20330919~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) Procurement Integrity Act Investigation; DIA OIG; Project No. 2008-006004-OI; August 6, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The DIA OIG investigated allegations that Acquisition Executive (AE) contractors may have provided an unfair business advantage during contract competition to certain contractors and that contractors provided non-public information about contract opportunities to contractors working in other DIA directorates. The allegations were unsubstantiated. Although there was speculation that information could have been released, there was no credible evidence to support that an intentional unauthorized disclosure occurred. No further action pending. **Category: Human Capital**

(U) [redacted] Inspection; DIA OIG; Project No. 2008-003044-HQ; August 7, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of DIA support; and, identify systemic issues with broader implications for the agency. [redacted]

[redacted] were forging improved relations with [redacted] was successfully accomplishing its [redacted] representing, and advising missions. [redacted] command climate and working relations were positive, professional, and productive. However, the [redacted] general office administration and security practices needed significant improvement; office internal controls were not effective; and, its operating practices did not follow the unit's standard operating procedures. Further, the [redacted] was not using the majority of its [redacted]

[redacted] The agency is taking appropriate management action to address the recommendations. **Category: Joint Warfighting and Readiness**

(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2008-005920-OI; August 7, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated allegations of false statements and time and attendance (T&A) fraud. The DIA OIG was notified by the [redacted]

[redacted] Directorate for Human Capital, DIA, that on numerous occasions from 13 June through 31 July 2007, a [redacted] DIA civilian may have committed T&A fraud. The [redacted] submitted fraudulent T&A records from 22 August 2005 through 12 October 2007 totaling 258.58 regular hours he did not work. The loss to the U.S. Government was [redacted] The [redacted] agreed to make restitution. Disciplinary action is pending. **Category: Human Capital**

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) [redacted] Inspection; DIA OIG; Project No. 2008-003047-HQ; August 25, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: assess how well the [redacted] accomplished its mission; the efficiency and effectiveness of its operations; and whether the staff complied with Department of Defense and DIA directives. [redacted] was successfully rebounding from a turbulent period when many of the office's traditional roles and responsibilities were not effectively accomplished and were assumed by other [redacted] personnel struggled with

[redacted] was insufficient. Additionally, the [redacted] was inadequate. Office administration and security administration both suffered because of personnel shortages. The agency is taking appropriate management action to address the recommendations. Category: [redacted]

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2008-005989-OI; August 29, 2008

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated allegations that a [redacted] DIA civilian misused Government resources; committed time and attendance (T&A) fraud; transported a handgun through Washington, DC, and Maryland; was absent from duty; and that a [redacted] supervisor was derelict in his duties. The [redacted] misused the Government patrol vehicle when he drove it to his residence without authorization; was derelict in his duties and absent without leave when he left his assigned post at the Defense Intelligence Analysis Center without authorization; and submitted fraudulent T&A records from 11 August 2007 through 8 March 2008. The loss to the U.S. Government was [redacted]. The investigation also substantiated that a [redacted] DIA civilian was derelict in his duties when he repeatedly allowed the [redacted] and other subordinate police officers to claim about two hours of overtime to pick-up police uniforms in Washington, DC. Disciplinary action is pending. Category: Human Capital

(U) [redacted] Inspection; DIA OIG; Project No. 2007-002999-HQ; September 11, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: assess how well the [redacted] accomplished its mission; the efficiency and effectiveness of operations, and whether the staff complied with Department of Defense and DIA directives. The inspection of [redacted] was originally scheduled as an on-site inspection during October and November 2007. However, a visit by the Secretary of Defense during the scheduled inspection period resulted in the DIA OIG conducting a remote inspection.

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(b)(1); (b)(3) 10 USC 424; Sec. 1.4(c)

The (b)(3);10 USC 424 administration, internal controls, and overall office management were adequate and complied with applicable policies and procedures. The agency is taking appropriate management action to address the recommendations. Category: (b)(3);10 USC 424

(U) Contract Improprieties Investigation; DIA OIG; Project No. 2006-005767-OI; September 12, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A DIA OIG investigation substantiated allegations of contract improprieties. It was alleged that, as the result of a Government contract termination for convenience, a contractor submitted fraudulent invoices for payment and DIA overpaid settlement costs in excess of

(b)(3);10 USC 424

Category: Human Capital

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003045-HQ; September 16, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The overall objective of the inspection was to evaluate the efficiency and effectiveness of the

(b)(1); (b)(3);10 USC 424; 1.4 (c)

Overall, administrative management was being conducted satisfactorily. However, the is trying to improve overall

(b)(1); (b)(3);10 USC 424; 1.4 (c)

The agency is taking appropriate management action to address the recommendations. Category:

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2008-003098-HQ; September 16, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The overall objective of the inspection was to evaluate the operational effectiveness of the

(b)(3);10 USC 424

was effectively executing its mission. The embassy country team was pleased with the and its contributions to the overall mission. The U.S. Central Command and DIA were satisfied with the

(b)(1); (b)(3);10 USC 424; 1.4 (c)

No recommendations were issued.

Category: (b)(3);10 USC 424

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~~SECRET//NOFORN//20310919~~



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



~~S~~06-0371/IG

19 September 2006

To:

(b)(3), (b) USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 22 August 2006

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance final reports issued during this reporting period is attached. If additional information is required, please contact Senior Staff Officer

(b)(3), (b) USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports ~~(S)~~, 1 cy

(b)(3), (b) USC 424; (b)(6)

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**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002703-HQ; April 5, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within [redacted] identify any impediments to mission success; determine the adequacy of support provided by the Defense Intelligence Agency (DIA); and to identify systemic issues with broader implications for DIA. The Office of the Inspector General (OIG) found that [redacted]

[redacted] overall operation was satisfactory. [redacted] The [redacted] did well [redacted] with the [redacted] Relations with the [redacted] were exemplary. The OIG provided advice and guidance on better methods of [redacted] management. Category: Joint Warfighting and Readiness

(U) [redacted] Inspection; DIA OIG; Project No. 2005-002466-HQ; April 14, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. [redacted]

[redacted]

Category: Joint Warfighting and Readiness

(U) Acquisition and Accounting Processes Assessment; DIA OIG; Project No. 2006-002718-OA; May 5, 2006

(U) This report is UNCLASSIFIED. The purpose of this assessment was to review the actions taken by DIA to ensure the reliability and accuracy of financial data maintained within the agencies acquisition and accounting systems. The assessment determined that until manual data entry and document transfer points in acquisition and accounting systems

Derived from: DIA HUMINT SCG
Declassify on: 20310919
Date of source: 1 October 2004

~~SECRET//NOFORN//20310919~~

are fully automated; accuracy and timeliness of financial data can only be ensured if quality controls are increased at the manual data entry and document transfer points by building effective quality assurance processes; skill sets must also be increased through training of acquisition and accounting specialists; and performance metrics must be incorporated into the process. Improving this day-to-day manual processing of accounting information will provide more accurate and timely financial data for DIA managers. **Category: Infrastructure and Environment**

(U) [redacted] **Inspection; DIA OIG; Project No. 2006-002731-HQ; May 12, 2006**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The [redacted] program was compliant with regulatory guidance; [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

The [redacted] was frequently sought out by embassy leadership to provide expert analysis and advice on a broad spectrum of issues. Relations between the [redacted] and the [redacted] were assessed as excellent. To further assist the [redacted] efforts, the OIG provided advice and guidance on better [redacted] **Category: Joint Warfighting and Readiness**

(b)(3);10 USC 424; (b)(1); Sec 1.4(c)

(U) [redacted] **Inspection; DIA OIG; Project No. 2006-002699-HQ; May 15, 2006**

(U) This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to gauge mission performance and regulatory compliance of the [redacted] identify impediments to mission success; determine adequacy of support and oversight provided by DIA; evaluate [redacted] and identify systemic issues with broader implications for DIA. [redacted] was found to be in compliance with regulatory guidance at the time of the inspection. The country team was extremely satisfied with the [redacted]

(b)(3);10 USC 424

[redacted] OIG team identified a myriad of problems with the [redacted] residence; from very poor maintenance to lack of support by the [redacted] OIG recommended to DH to [redacted] OIG team also identified a [redacted] or trained [redacted] OIG recommended to DH to [redacted] ensure that [redacted] personnel before arriving at their country of assignment when assigned to [redacted] billet. **Category: Joint Warfighting and Readiness**

(U) **DH Travel Card Management Assessment; DIA OIG; Project No. 2006-002716-OA; May 22, 2006**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this assessment was to determine whether DH adequately managed its delinquent accounts in the government travel

card program. DH management had taken proactive steps to address the delinquency problem, resulting in improvements in the delinquency rate. The majority of the delinquencies were primarily due to the nature of (b)(1); Sec. 1.4(c) rather than any material weaknesses in management controls applicable to the DH travel charge card program. The OIG found that DH could improve existing efforts to aggressively pursue all of its travel card delinquencies by ensuring that supervisors counsel and/or discipline persistently delinquent account holders. This assessment also disclosed potential (b)(1); Sec. 1.4(c) issues that arise when (b)(1); Sec. 1.4(c) go into effect. A follow-on (b)(1); Sec. 1.4(c)

(b)(1); Sec. 1.4(c) review resulted in significant recommendations to strengthen the security of the (b)(1); Sec. 1.4(c) program. **Category: Financial Management**

(U) (b)(3); 10 USC 424 **Inspection; DIA OIG; Project No. 2005-002523-HQ; June 1, 2006**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within (b)(3); 10 USC 424 identify any impediments to mission success; determine the adequacy of support provided to by DIA; and identify systemic issues with broader implications for the agency. The OIG assessed that although the (b)(3); 10 USC 424 was accomplishing its mission in an effective and efficient manner, the use of official government vehicles under Department of Defense rules and regulations

(b)(3); 10 USC 424 for threat/security purposes was not appropriate. Furthermore, appropriate (b)(3); 10 USC 424 was not current. The (b)(3); 10 USC 424 was communicating with the (b)(1); (b)(3); 10 USC 424; 1.4 (c)

(b)(3); 10 USC 424 Finally, communications within the (b)(3); 10 USC 424 required review and actions to ensure all personnel were not only informed, but also that sensitivities to perceived harsh or "gruff" manners were not taken out of context.

Category: Joint Warfighting and Readiness

(U) **Criminal Investigation; DIA OIG; Project No. 2006-005738-OI; June 12, 2006**

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation of a (b)(3); 10 USC 424 (b)(3); 10 USC 424 stationed at a (b)(3); 10 USC 424 established that he had rendered a false official statement when he said a proctor administered (b)(3); 10 USC 424 examination. It was determined that the (b)(3); 10 USC 424 self-administered the examination while using the proctor's answer sheet. **Category: Human Capital**

(U) (b)(3); 10 USC 424 **Communications Assessment; DIA OIG; Project No. 2006-002486-HQ; June 13, 2006**

(U) This report is ~~SECRET//NOFORN~~. The purpose of this year-long assessment was to provide DIA management with an objective assessment of the level and adequacy of communication in the following areas:

- (U) Between members of a [redacted]
- (U) Between [redacted] and their supporting embassy leadership and staffs
- (U) Between [redacted] and their responsible DIA geographic division
- (U) Between [redacted] and other DIA headquarters elements
- (U) Between [redacted] and their individual military services and/or civilian personnel support offices
- (U) The level and adequacy of communications technology.

(U) The OIG assessed that inter-personal communications in each of the assessment's subject areas was satisfactory. DIA management was advised to ensure [redacted] placed continued emphasis on communications to ensure total information exchange. Technical communications was assessed as adequate with signs of improvement. The creation of technical reference guides for maintenance and systems upkeep for field use was recommended as well as continued emphasis on technical instruction in attaché staff courses.
Category: [redacted]

(U) Security Clearance Adjudications Process Evaluation; DIA OIG; Project No. 2005-002489-HQ; June 28, 2006

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The [redacted] is responsible for processing and adjudicating security clearances for employees and assignees of DIA, the [redacted]. The purpose of this evaluation was to assess [redacted] mission performance, mission load and posture to meet client requirements and needs. The evaluation focused on overall security clearance adjudications processes and practices (i.e., policies and procedures; customer input and feedback); successes, challenges, and impediments.

Category: [redacted]

(U) Criminal Investigation; DIA OIG; Project No. 2006-005731-OI; June 28, 2006

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Based on a complaint, a preliminary inquiry determined there were significant discrepancies in the time and attendance of a contractor, who had 12 months experience with the agency. The subsequent criminal investigation found that the employee deliberately falsified claims for regular work hours and overtime. The employee was paid in excess of [redacted] to which the employee was not entitled. The subject admitted guilt and agreed to reimburse the agency.

The subject also violated the

(b)(3), 10 USC 424, (b)(6)

Category: Human Capital

(U) Unauthorized ^{(b)(3); 10 USC 424; (b)(3); 50 USC 3024(i))} Activity IO Investigation; DIA OIG; Project No. 2005-002748-MA; July 10, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This intelligence oversight (IO) investigation was conducted based on an allegation that a senior civilian assigned to the Directorate for Analysis (DI) engaged in a

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i))

without proper authority. The investigation determined the allegation to be unsubstantiated. The subject of the investigation provided at the direction of DI leadership, for the purpose of validating

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

Category:

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(U) FMA IO Investigation; DIA OIG; Project No. 2005-002555-MA; July 18, 2006

~~(S//NF)~~ This report is ~~SECRET//FGL/NOFORN~~. This IO investigation was conducted based on an allegation that an government employee assigned to the

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

The investigation substantiated the allegation. The investigation found that the subject, who was not assigned in an position, actively

(b)(1); 1.4 (c)

Further, the investigation found that the subject, supported by personnel assigned to the

(b)(1); (b)(3); 10 USC 424; 1.4 (c)

Category: Joint

Warfighting and Readiness

(U) FISMA Evaluation, DIA OIG; Project No. 2006-002750-OA; July 19, 2006

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of this evaluation was to comply with the Federal Information Security Management Act (FISMA), in addition to the Office of Management and Budget (OMB), which directs that the office of inspector general perform annual independent evaluations of the agency's intelligence information systems program and practices.

(U//~~FOUO~~) The evaluation of the agency's information assurance (IA) program during FY 2006 disclosed that the Directorate for Information Management and Chief Information Officer (CIO) made significant improvements in remediating security weaknesses and the overall effectiveness of the information technology (IT) security program, but did not fully meet the standards required by FISMA. Community-wide security weaknesses identified in FY 2005, to include the system inventory, IT security performance, certification and

accreditation, and training continue to be outstanding in FY 2006. The CIO has developed an aggressive agency-level plan of action and milestones (POA&Ms) to track corrective actions taken to address security weaknesses identified by the OIG and the Director for National Intelligence. Additional improvements will be realized when POA&Ms are tracked at the system level. More than 50 percent of DIA employees, including contractors, and 80 percent of employees in the DoD Intelligence Information Systems community have received security awareness training. The agency also plans to link its personnel and security training systems to ensure that the remaining employees receive the necessary security awareness training. In most cases, the CIO was aware of conditions as discussed in community-wide security weaknesses, and improvements are underway. **Category: Information Technology Management**

(U) Periodic Trend Analysis of Inspection Reports and Recommendations; DIA OIG; Project No. 2006-002764-HQ; August 3, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The OIG completed this analysis approximately one year after the first trend analysis. It reported the results of a targeted, metrics driven analysis of the recommendations from the OIG inspection staff for the past three fiscal years. The analysis determined the effectiveness of recent senior leadership decisions to improve agency performance required by the evolving intelligence community requirements. To assist the agency leadership further, this analysis considered performance parameters and found the top three areas of interest to be [redacted] management, security management, and training and career development. Analysis clearly shows improvement in all three areas over the three-year period. Additionally, it reviewed the OIG's decision to increase reviews of broader strategic issues and interests and reduce field unit coverage in its annual plan in order to further assist the senior leadership decision-making process. We found a [redacted]

[redacted] This purely data driven analysis of trends clearly shows the agency is responding positively to senior leadership guidance for improved [redacted] **Category:** [redacted]

(U) Criminal Investigation; DIA OIG; Project No. 2006-005764-OI; August 3, 2006

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The OIG investigated allegations of misuse of government resources (cellular telephone) by a [redacted] DIA civilian. The investigation determined that the [redacted] committed the offenses of theft of public funds and misuse of government property, along with violating the Joint Ethics Regulation and Information Systems Security Management Regulation, when he utilized his government-issued cellular telephone to make 1,980 minutes in personal calls [redacted]. The [redacted] reimbursed the government. **Category: Human Capital**

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002733-HQ; August 4, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within [redacted] identify any

impediments to mission success; determine the adequacy of support provided by headquarters, and to identify systemic issues with broader implications for DIA. The [redacted] aptly coupled his interaction with [redacted] with his security assistance [redacted]. The OIG assessed that a formal [redacted]

(b)(1); (b)(3);10 USC 424; 14 (c)

Category: Joint Warfighting and Readiness

(U) Criminal Investigation; DIA OIG; Project No. 2006-005743-OI; August 4, 2006

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. Allegation that a [redacted] DIA civilian employee entered into a personal business relationship with a contractor while representing the U.S. Government and created the appearance of a conflict of interest were unsubstantiated. OIG investigation determined that the [redacted] had not violated the Joint Ethics Regulation or committed any criminal or administrative offenses. **Category: Human Capital**

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002766-HQ; August 7, 2006

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by headquarters; and identify systemic issues with broader implications for DIA. The OIG assessed that the [redacted] was accomplishing its mission in an effective and efficient manner. Command climate, morale, and esprit de corps were very high in an impoverished region that endured decades of civil war, and where recreational activities were very limited and at great cost. The [redacted] had the full confidence of embassy leadership. The [redacted] was advised to [redacted]

Category: Joint Warfighting and Readiness

(U) Criminal Investigation; DIA OIG; Project No. 2006-005745-OI; August 22, 2006

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a U.S. Army O-6 [redacted] stationed at a [redacted] violated the Military Whistleblower Protection Act, when he retaliated against a U.S. Army sergeant first class for reporting an incident to the OIG. OIG investigation determined that allegations were unsubstantiated and the O-6 did not commit any violations. **Category: Human Capital**

(U) Criminal Investigation; DIA OIG; Project No. 2006-005740-OI; August 24, 2006

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of theft of government property, the "Cam Ranh Bay" painting of Soviet ships, donated as part of the DIA Military Intelligence Art Collection, [redacted] valued at approximately [redacted] was missing and presumed stolen. OIG investigation concluded that poor internal controls and lack of property accountability records resulted in the missing painting. The investigation failed to disclose the painting had been stolen. **Category: Human Capital**

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002732-HQ; August 28, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted]

[redacted]

(b)(3):10 USC 424; (b)(3):50 USC 3024(i)

The OIG recommended that immediate action be taken by [redacted] leadership and the managing headquarters division to resolve these issues. Category: [redacted]

(U) Criminal Investigation; DIA OIG; Project No. 2006-005753-OI; August 28, 2006

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation of time and attendance (T&A) fraud by a [redacted] DIA civilian was investigated. It was determined that the [redacted] had deliberately falsified claims for 83 regular work hours. The employee was paid in excess of [redacted] to which the employee was not entitled. The subject admitted guilt and agreed to reimburse the agency. Category: Human Capital

(U) [redacted] Inspection; IG, DIA; Project No. 2006-002698-HQ; September 6, 2006

(U) This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to gauge mission performance and regulatory compliance of the [redacted] identify impediments to mission success; determine adequacy of support and oversight provided by DIA; evaluate [redacted] stakeholder relationships; and identify systemic issues with broader implications for DIA.

[redacted] was accomplishing all assigned missions at the time of the inspection.

[redacted] complimented the performance of the [redacted]

and the support [redacted] members gave to the country team. [redacted] queried by the OIG team, both from DIA and [redacted] were satisfied with the quality and relevance of [redacted]

OIG team identified a lack of office management controls in place and a residential physical security issue that affected the

[redacted] OIG team recommended DH provide oversight to ensure office

management controls are adequate and in full compliance; and (b)(3);10 USC 424 be allowed to move to more suitable quarters ensuring safety and security measures are enhanced, implemented, and funded appropriately. **Category: Joint Warfighting and Readiness**

(U) **Unauthorized** (b)(3);10 USC 424 **IO Investigation; DIA OIG; Project No. 2006-002721-MA; September 12, 2006.**

(S//NF) This report is ~~SECRET//NOFORN~~. This IO investigation was conducted based on an allegation that a civilian information technology specialist assigned to the Directorate for Intelligence acting outside his mission authority, (b)(3);10 USC 424

(b)(1); (b)(3); 10 USC 424; 1.4 (c)
The report found the allegation substantiated and recommended management action against the subject. / (b)(3);10 USC 424
(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)
Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 **Inspection; DIA OIG; Project No. 2006-002702-HQ; September 15, 2006**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA.

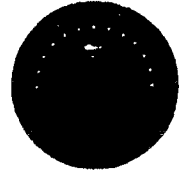
(b)(1); (b)(3);10 USC 424; 1.4 (c)
Category: (b)(3);10 USC 424

~~SECRET//NOFORN//20320319-~~



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



~~S~~-07-0139/IG

19 March 2007

To: (b)(3);10 USC 424
Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress,
23 February 2007

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance final reports issued during this reporting period is attached. If additional information is required, please contact (b)(3);10 USC 424

(b)(3);10 USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports ~~(S)~~, 1 cy

(b)(3);10 USC 424; (b)(6)

cc:
DR
DD

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//20320319-~~

~~SECRET//NOFORN//20320319~~

(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS

(U) [redacted] Assessment; DIA OIG; Project No. 2006-002690-HQ; October 20, 2006

(U) This report is ~~SECRET//NOFORN~~. The purpose of this assessment was to evaluate the effectiveness, continuity, and mission accomplishment of [redacted] through an examination of [redacted]. The Office of the Inspector General (OIG) assessed that while considered a critical element in the [redacted] within the Defense Intelligence Agency (DIA) had no clear career path. Furthermore, the role of [redacted] within the framework of the most recent effort at transforming the Directorate for Human Intelligence (DH) was not clear, further affecting establishment of a formal [redacted] training program. OIG also assessed that on-the-job training and other instructional initiatives were ineffective at preparing [redacted] to perform their functions due to the lack of standardized training initiatives and references. Further, [redacted] deployments also impacted their ability to provide guidance and oversight to field elements.

Category: [redacted]

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002767-HQ; November 1, 2006

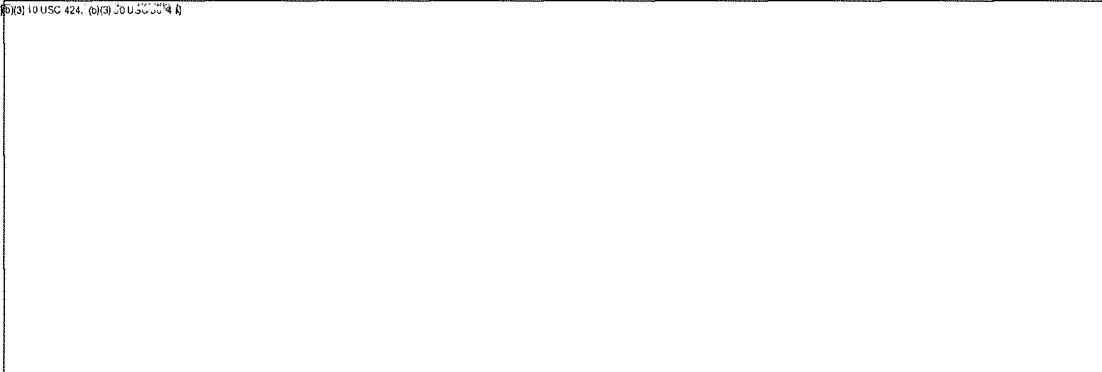
(U) This report is ~~SECRET//NOFORN~~. The OIG assessed that the [redacted] was utilizing approximately 80 percent of its operational mission time facilitating [redacted] which adversely impacted upon its mission to [redacted] advise, and represent. Morale and sense of duty, however, were high despite [redacted] and living in a harsh desert climate with very limited, high-cost recreational activities and basic sustenance. The [redacted] was not maintaining [redacted]

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002772-HQ; November 2, 2006

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to evaluate mission accomplishment, operational effectiveness, and compliance with regulations and directives; evaluate DIA headquarters' guidance and oversight provided to [redacted] and evaluate relations between inspected elements with [redacted] review items of special interest and intelligence oversight (IO); identify issues of concern to the [redacted] capture issues of a systemic nature for DIA and DH action. The OIG inspection team assessed that

Derived from: DIA HUMINT SEC-
Declassify on: 20310919
Date of source: 1 October 2004

~~SECRET//NOFORN//20320319~~



(U) Afghanistan Deployed Field Elements Assessment; DIA OIG; Project No. 2006-002756-MA; November 7, 2006

~~(S//NF)~~ This report is **SECRET//NOFORN**. The OIG conducted an assessment of agency field elements deployed to Afghanistan in direct support of Operation ENDURING FREEDOM (OEF) in August 2006. The OIG assessed [redacted]

[redacted] The OIG assessed [redacted] mission performance in [redacted] as satisfactory, being both timely and comprehensive. The overarching concern identified by the OIG was a lack of headquarters' sense of urgency with contingency operations and a continued Beltway-centric approach to agency processes. At all locations, agency personnel exhibited high morale and a strong commitment to mission accomplishment. **Category:** [redacted]

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002851-HQ; November 7, 2006

~~(S//NF)~~ This report is **SECRET//NOFORN**. The purpose of this inspection was to gauge performance and regulatory compliance within [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The [redacted] program was compliant with regulatory guidance; [redacted] The [redacted] had a substantial visitor workload which moderately impacted the [redacted] ability to focus attention on its primary mission. The [redacted] was frequently sought out by embassy leadership to provide expert analysis and advice on a broad spectrum of issues. Relations between the [redacted] offices, the [redacted] were assessed as excellent. To further assist the [redacted] the OIG provided advice and guidance on better methods of [redacted] **Category:** [redacted]

(U) Cellular Telephones and Pagers Management Audit; DIA OIG; Project No. 2006-002612-OA; November 8, 2006

(U) This report is UNCLASSIFIED. The OIG found several deficiencies problems with the management and oversight of the DIA cellular telephone and pager program. Proper controls over the issuance, usage, accountability, and the continuing need for cellular telephones and pagers were not in place. Agency policy was out dated and needed to be revised, published, and enforced. The IG recommended that management give special attention to determining who in DIA requires a cellular telephone/pager as well as regulate the usage by contractors. The agency has taken appropriate action to recoup [redacted] in unofficial usage identified during the audit and to revise its policy. Furthermore, the OIG continues to monitor cellular telephone/pager usage to detect and eliminate unofficial calls. **Category: Acquisition Processes and Contract Management**

(U) Underground Facilities Analysis Center Evaluation; DIA OIG; Project No. 2006-002762-HQ; November 9, 2006

(U) This report is ~~SECRET//NOFORN~~. The purpose of this assessment was to review the actions taken by DIA to ensure the reliability and accuracy of financial data maintained within the agencies acquisition and accounting systems. The assessment determined that until manual data entry and document transfer points in acquisition and accounting systems are fully automated; accuracy and timeliness of financial data can only be ensured if quality controls are increased at the manual data entry and document transfer points by building effective quality assurance processes; skill sets must also be increased through training of acquisition and accounting specialists; and performance metrics must be incorporated into the process. Improving this day-to-day manual processing of accounting information will provide more accurate and timely financial data for DIA managers. **Category: Financial Management**

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002773-HQ; November 9, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to evaluate mission accomplishment, operational effectiveness, and compliance with regulations and directives; evaluate DIA headquarters' guidance and oversight provided to field elements, and evaluate relations between inspected elements with [redacted] review items of special interest and IO; identify issues of concern to the [redacted] capture issues of a systemic nature for DIA and DH action. The OIG inspection team assessed that [redacted]

(b)(1), (b)(3); 10 USC 424, Sec. 14(c)

[Redacted] (b)(1); (b)(3);10 USC 424; Sec. 14(c) [Redacted] **Category: Joint**

Warfighting and Readiness

(S//NF) [Redacted] (b)(3);50 USC 3024(i) **Assessment; DIA OIG; Project No. 2005-002664-HQ; November 10, 2006**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to assess

[Large Redacted Area]

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 14(c)

Category: Financial Management

(U) MA Command Climate Survey; DIA OIG; Project No. 2006-002842-HQ; November 10, 2006

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This command climate assessment of the [Redacted] Directorate for Analysis (DI), utilized a command specific climate survey, followed by personnel interviews during the on-site portion of the assessment. The OIG assessed that [Redacted] command climate was generally positive, although there were a number of issues surrounding communication, stress, promotion, recognition, and interaction among [Redacted] senior leadership that were identified as areas in need of improvement by [Redacted] employees. [Redacted] personnel expressed that these issues had not impacted [Redacted] mission accomplishment. The majority of the concerns voiced by [Redacted] employees stemmed from recently expanded applications of the [Redacted] mission, to provide products directly to policy-makers, with an emphasis on homeland defense products. The Under Secretary of Defense for Intelligence now looks to [Redacted] for a greater number of current intelligence products. The OIG assessed that while the [Redacted] senior leadership team was universally committed to the [Redacted] mission, there were clear opportunities for more collaboration and unity of effort in leading the [Redacted] mission and workforce.

Category: Human Capital

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(b)(3); 10 USC 424

(U) ^{(b)(3);10 USC 424; (b)(3);50 USC 3024(i)} Inspection; DIA OIG; Project No. 2006-002852-HQ; November 10, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within ^{(b)(3);10 USC 424; (b)(3);50 USC 3024(i)} identify any impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. ^{(b)(3);10 USC 424; (b)(7); Sec. 1.4(c)} liaison functions ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} were being accomplished. The commander had recently expressed a desire for more ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} was being proactive in providing support. Policy guidance concerning the future roles and responsibilities of DIA personnel attached ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} and the structure and operations of command ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} was being developed at DIA in coordination with the ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} Relations between the ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} offices were assessed as excellent; ^{(b)(7); (b)(3);50 USC 3024(i); Sec. 1.4(c)} was routinely coordinated with ^{(b)(3);10 USC 424} Category: ^{(b)(3);10 USC 424}

(U) ^{(b)(3);10 USC 424} Inspection; DIA OIG; Project No. 2006-002771-HQ; December 18, 2006

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of this inspection was to gauge performance and regulatory compliance within the ^{(b)(3);10 USC 424} identify impediments to mission success; determine the adequacy of support provided by DIA; and to quantify the impact of its operations in the ^{(b)(3);10 USC 424} entities in the ^{(b)(3);10 USC 424} and to identify systemic issues with broader implications for DIA. The OIG found that ^{(b)(3);10 USC 424} was a model office, which should be showcased as a benchmark for all other ^{(b)(3);10 USC 424} entities overseas. This office was in full compliance with all regulatory guidance and policies for the Department of Defense, DIA, ^{(b)(3);10 USC 424} was successfully accomplishing its mission as ^{(b)(3);10 USC 424} for the ^{(b)(3);10 USC 424} and many other countries outside of its ^{(b)(3);10 USC 424} were brought on line. OIG identified that although the office had been providing support to ^{(b)(3);10 USC 424} it lacked the proper staffing levels compared to the amount of customer and service support in such a large region. At the time of the inspection ^{(b)(3);10 USC 424} had only three personnel assigned; the ^{(b)(3);10 USC 424} and a vacant position for a ^{(b)(3);10 USC 424} OIG recommended the addition of a billet for an ^{(b)(3);10 USC 424} Category: ^{(b)(3);10 USC 424}

~~(U//FOUO)~~ Criminal Investigation; IG, DIA; Project No. 2006-005834; December 19, 2006

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a DIA ^{(b)(6)} employee was suspected of theft of public funds. OIG investigation substantiated that a ^{(b)(6)} violated Department of Defense (DoD) and DIA regulations, when the ^{(b)(6)} while in the performance of ^{(b)(6)} duties, used ^{(b)(6)} unclassified - but sensitive (N) internet protocol router network account for 37.04 hours to viewed adult nude and inappropriate images. The estimated loss was to the U.S. Government for time spent accessing the website and viewing the images is ^{(b)(3);10 USC 424} Category: Human Capital

(U) [redacted] Services Contract Audit; DIA OIG; Project No. 2005-002487-OA; December 20, 2006

(U) This audit is UNCLASSIFIED. The OIG completed an audit of DIA's [redacted] services contract with SM Consulting (SMC), Incorporated. This [redacted] contract provided [redacted] who performed translation and interpretation services for DIA, primarily in the [redacted]. The OIG found that DIA management and contract oversight of SMC during the period from contract inception to January 2005 was at times deficient and needed improvement in the areas of contract processes during exigent circumstances; appropriateness of contract payments; [redacted] products. Category: Acquisition Processes and Contract Management

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002768-HQ; December 20, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to evaluate mission accomplishment, operational effectiveness, and compliance with regulations and directives; evaluate headquarters' guidance and oversight provided to field elements and relations between inspected elements with [redacted] review items of special interest and intelligence oversight; identify issues of concern to the [redacted] and capture issues of a systemic nature for DIA and DH action. OIG assessed that the [redacted] was utilizing approximately 80 percent of its operational mission time facilitating [redacted] activities, which adversely impacted upon its mission to [redacted] advise, and represent. Morale and sense of duty, however, were high despite [redacted] activities and living in a [redacted] with very limited, high cost recreational activities and basic sustenance. [redacted] was not maintaining [redacted] Category: [redacted]

(U) [redacted] Evaluation; DIA OIG; Project No. 2006-002765-HQ; December 21, 2006

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. OIG conducted an inspection of [redacted]

[redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(j); Sec. 1.4(c)

(b)(1); (b)(3)-10 USC 424; Sec. 1.4(c)

Processes and Contract Management

Category: Acquisition

(U) (b)(3)-10 USC 424 **Inspection; DIA OIG; Project No. 2006-002850-HQ; January 23, 2007**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within (b)(3)-10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The (b)(3)-10 USC 424 program was compliant with regulatory guidance; its reporting was viewed as relevant, and of strategic importance. The newly assigned (b)(3)-10 USC 424 had developed strong and positive working relationships with military and civilian leaders in the region.

(b)(1); (b)(3)-10 USC 424; (b)(3)-50 USC 3024(f); Sec. 1.4(f)

Category: (b)(3)-10 USC 424

(U//FOUO) ~~FOUO~~ **Criminal Investigation; DIA OIG; Project No. 2006-005836-OI; January 25, 2007**

(U//FOUO) This report is UNCLASSIFIED/~~FOR OFFICIAL USE ONLY~~. Allegations that two DIA senior level employees failed to adhere to Career Advancement 21 (CA-21) hiring procedures. OIG investigation substantiated that two senior level employees had failed to follow the operating procedures in CA-21, when they allowed faculty members who were not part of the hiring panel to review candidate packets and allowed two (b)(3)-10 USC 424 to assist in interviewing candidates who applied for the (b)(3)-10 USC 424 position. **Category: Human Capital**

(U) (b)(3)-10 USC 424 **Inspection; DIA OIG; Project No. 2006-002769-HQ; February 2, 2007**

(S//NF) This report is ~~SECRET//NOFORN~~. OIG assessed that the (b)(3)-10 USC 424 was devoting approximately 100 percent of its operational mission time facilitating (b)(3)-10 USC 424 activities. In addition, the vacancy created by the early departure of the (b)(3)-10 USC 424 essentially eliminated any (b)(3)-10 USC 424. The sole (b)(3)-10 USC 424 member, the (b)(3)-10 USC 424 provided limited advisory duties to the chief of mission. Despite the (b)(3)-10 USC 424 vacancy and amount of time dedicated to (b)(3)-10 USC 424 activities, the (b)(3)-10 USC 424 displayed a high sense of morale and duty although the strain of being the sole (b)(3)-10 USC 424 member was observed by OIG inspectors.

Category: (b)(3)-10 USC 424

(b)(3)-10 USC 424

(U) (b)(3);50 USC 3024(i) Review; DIA OIG; Project No. 2007-002897-OA; February 7, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. OIG reviewed shipments of computer equipment to [REDACTED] found that DIA failed to control

[REDACTED] when shipping to DIA elements

[REDACTED] as required by

(b)(1), (b)(3);10 USC 424, (b)(3);50 USC 3024(i), Sec 1.4(d)

[REDACTED] As a result

[REDACTED] were subject to an increased risk of compromise.

Category: Acquisition Processes and Contract Management

(U) UTAP Follow-up Inspection; DIA OIG; Project No. 2007-002906-HQ; February 7, 2007

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The undergraduate training assistance program (UTAP) administrators had made progress to resolve issues identified in the initial assessment. Further action needed to be taken to resolve the following issues: tuition payment process for educational institutions not accepting the government purchase card; study aboard had not been resolved; UTAP mentorship remained problematic; 45 percent of UTAP participants did not have mentors; and DIA Manual 24-10, "Undergraduate Training Assistance Program," had been revised, but not staffed and published. **Category: Human Capital**

(U) Disaster Preparedness Follow-up Evaluation; DIA OIG; Project No. 2007-002907-HQ; February 8, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This follow-up evaluation marks the third time since 2003 that the OIG evaluated the agency's disaster preparedness (DP) program. The OIG observed that (b)(3);10 USC 424 [REDACTED] personnel, responsible for the DP program, had performed a commendable job researching DP documentation, outlining regulatory requirements, and developing a feasible DP strategy; however, additional senior leadership guidance and authority were necessary to take the agency DP to the next level. (b)(3);10 USC 424 [REDACTED] personnel had no authority to implement, require, or enforce the DP strategies, policies or procedures they produced. (b)(3);10 USC 424 [REDACTED] charter did not include all DIA facilities and personnel.

(b)(3);10 USC 424

[REDACTED] **Category: Joint Warfighting and Readiness**

(U//~~FOUO~~) Criminal Investigation; DIA OIG; Project No. 2006-005761-OI; February 15, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of wrongful possession, use, and distribution of a controlled substance (purported steroids), failure to obey an order or regulation/

[redacted] (b)(3)10 USC 424
and wrongful appropriation of government property [redacted] An OIG investigation substantiated that [redacted] (b)(3)10 USC 424 personnel ranging from [redacted] committed the offenses of attempted wrongful possession, use, and distribution of purported steroids; violation of [redacted] (b)(3)10 USC 424 and wrongful appropriation of government property. [redacted] (b)(3)10 USC 424 The investigation substantiated that [redacted] (b)(3)10 USC 424

[redacted] (b)(3)10 USC 424; (b)(6) employees and [redacted] (b)(3)10 USC 424; (b)(6) contractors [redacted] The investigation substantiated that two O-4s, a GG-13, and one government contractor committed the offense of wrongful appropriation of government property (vehicle). Three O-4s committed the offense of dereliction of duty. Six of the enlisted members were reduced one full rank in grade with two months forfeiture of pay and allowances, suspended for one month and 30 days additional duty. Disciplinary action relevant to the officers, government employees, and contractors is pending. **Category: Human Capital**

(U//~~FOUO~~) Criminal Investigation; DIA OIG; Project No. 2006-005741-OI; February 26, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a DIA [redacted] (b)(3)10 USC 424 employee was suspected of unfair hiring practices, discrimination, and abuse of minorities. OIG investigation substantiated the [redacted] (b)(3)10 USC 424 was in violation of CA 21, when the [redacted] (b)(3)10 USC 424 allowed an employee below the grade of [redacted] (b)(3)10 USC 424 to participate in the interview process for a [redacted] (b)(3)10 USC 424 position. The evidence did not support allegations that the [redacted] (b)(3)10 USC 424 discriminated and/or abused minorities. **Category: Human Capital**

(U//~~FOUO~~) Criminal Investigation; DIA OIG; Project No. 2006-005815-OI; February 26, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations that an [redacted] (b)(3)10 USC 424 DIA civilian violated the federal acquisition regulation (FAR). OIG investigation substantiated that the [redacted] (b)(3)10 USC 424 violated the FAR, when he ordered contractors to work without a contract in place, or knowledge and consent of a contracting officer. As a result of an unauthorized commitment an internal inquiry concluded that a violation had occurred, but validated that work had been performed by the contractors. The parties involved were counseled to prevent this from occurring again. **Category: Human Capital**

(U) DIAC Completion Follow-up Inspection; DIA OIG; Project No. 2007-002937-HQ; March 8, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. A final follow-up assessment of the Defense Intelligence Analysis Center (DIAC) expansion project was conducted. The DIAC expansion project was completed on time and under budget. DIA personnel began moving into the expansion offices on schedule, in August 2005, two years after the start of construction. All DNI personnel moved into their office spaces by early 2006, in accordance with the DNI's schedule. Naval Facilities Engineering Command project managers effectively monitored the expansion project and adequately tracked funding and resources. **Category: Acquisition Processes and Contract Management**

(U) IO Follow-up Inspection; DIA OIG; Project No. 2006-002875-MA; March 16, 2007

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE~~. The OIG conducted a follow-up IO inspection to ensure compliance with all federal, DoD, and DIA directives, capture best practices, discuss future IO program proposals, and to determine if all agency components effectively manage an IO program for their employees. The agency had a sufficient program and assigned personnel were generally aware of IO material handling and reporting responsibilities. Agency personnel understood the concept that personal data of individuals should not be in products unless a ^{(b)(3);10 USC 424} [redacted] was evident and/or it met the required guidelines. **Category:** ^{(b)(3);10 USC 424} [redacted]

(U) IO Investigation Report; DIA OIG; Project No. 2007-002898-MA; March 16, 2007

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. In October 2006, OIG was notified of a potential questionable activity. [redacted]

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

[redacted] This was a personal, career progression related matter and thus did not meet the spirit of DoD 5240.1-R. ^{(b)(3);10 USC 424} [redacted] was a potential security issue, and DAC was advised accordingly. **Category: Information Security and Policy**

(U) ^{(b)(3);10 USC 424} [redacted] Assessment; DIA OIG; Project No. 2006-002817-MA; March 19, 2007

(S//NF) This report is ~~SECRET//NOFORN~~. The OIG conducted an IO assessment of agency

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

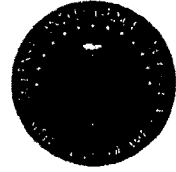
Category: ^{(b)(3);10 USC 424} [redacted]

~~SECRET//NOFORN//20320917-~~



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



\$-07-0377/IG

17 September 2007

To:

(b)(3),10 USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, undated

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance reports issued during this reporting period is

attached. If additional information is required, please contact

(b)(3),10 USC 424; (b)(6)

(b)(3),10 USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports ~~(S)~~, 1 cy

(b)(3),10 USC 424; (b)(6)

cc:
DR
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DNI IG

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~~FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//20320917-~~

~~SECRET//NOFORN//20320917~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

(U//FOUO) Misuse of Government Property Investigation; DIA OIG; Project No. 2006-005800-OI; April 2, 2007

(b)(3) 10 USC 224
(b)(3) 10 USC 424
(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An allegation was made that a [redacted] Defense Intelligence Agency (DIA) civilian employee misused a government cellular telephone. An Office of the Inspector General (OIG) investigation determined that the [redacted] had used the cellular telephone for personal use, totaling [redacted]. The matter was referred to management for appropriate action. **Category: Human Capital**

(U) (b)(3) 10 USC 424 **Inspection; DIA OIG; Project No. 2006-002774-HQ; April 11, 2007**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

(b)(3) 10 USC 424; (b)(1); Sec. 1.4(c)

[redacted] The OIG team recommended that headquarters determine if additional resources were required.

(b)(1); (b)(3) 10 USC 424; Sec. 1.4(c)

[redacted] Assigned government leased housing did not support the mission [redacted] Administration was assessed as commendable and management controls were assessed as appropriate. Management has taken appropriate action on the recommendations. **Category: Joint War fighting and Readiness**

(U) (b)(3) 10 USC 424 **Inspection; DIA OIG; Project No. 2006-002868-HQ; April 11, 2007**

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The OIG inspection team assessed [redacted]

(b)(3) 10 USC 424; (b)(1); Sec. 1.4(c)

Derived from: DIA HUMINT SCG
Declassify on: 20320917
Date of source: 1 October 2004

~~SECRET//NOFORN//20320917~~

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

Management concurred with and is implementing the recommendations. **Category: Joint War fighting and Readiness**

(U) (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i) DIA OIG; (b)(3); 10 USC 424

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

(b)(3); 10 USC 424; (b)(1); (b)(3); 50 USC 3024(i); Sec. 1.4(c)

(U) (b)(3); 10 USC 424 DIA OIG; Project No. 2006-002809-HQ;
April 12, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

(b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c)

(U) [redacted] Inspection; DIA OIG; [redacted]

(S//NF) This report is ~~SECRET//NOFORN~~.

[redacted]

(b)(1); (b)(3) 10 USC 424; (b)(3) 50 USC 3024f; Sec. 1.4(c)

(U) [redacted] Inspection; DIA OIG; Project No. 2006-002869-HQ; April 13, 2007

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

[redacted]

(b)(1); (b)(3) 10 USC 424; Sec. 1.4(c)

Management has taken appropriate action on the recommendation. Category: [redacted]

(U//FOUO) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2006-005804-OI, 2006-5809-OI, and 2006-5810-OI; May 1, 2007

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations were made that a [redacted] DIA civilian employees committed the offenses of false official statements; false claims, and theft of public funds. The OIG investigation determined that the [redacted] employees committed the aforementioned offenses, by signing and submitting fraudulent time and attendance records alleging to have worked 235 regular and overtime hours not worked. The total loss to the U.S. Government is estimated at [redacted] respectively. Further investigation substantiated that a [redacted] DIA civilian supervisor committed the offenses of false official statements and false claims, by verifying the subordinate's records as the approving official, knowing that the employee was routinely released between 15 and 30 minutes prior to the completion of the scheduled shift. The matters were referred to management for appropriate action. Category: Human Capital

(U//~~FOUO~~) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2007-005846-OI; May 4, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a [redacted] employee was negligent in his duties and responsibilities, when he failed to ensure accurate reporting of his time and attendance (T&A) data, resulting in him not being charged for 34.5 annual leave hours and 28.5 sick leave hours taken. Further, investigation disclosed that he allowed his former timekeeper, a [redacted] to prepare and submit his T&A records, later determined to be inaccurate when the timekeeper failed to properly deduct 63 annual/sick leave hours from his T&A records. Employee denied reviewing his leave and earnings statements to determine if annual/sick leave had been properly deducted. The estimated loss to the U.S. Government was [redacted]. Management has taken action. **Category: Human Capital**

(U//~~FOUO~~) False Reporting Investigation; DIA OIG; Project No. 2006-005839-OI; June 4, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An allegation was made that a [redacted] DIA civilian falsely reported his DIA unclassified laptop computer had been stolen during a burglary at his residence. The [redacted] admitted that he destroyed the laptop by removing the hard drive and smashing the laptop with a hammer. The subject reimbursed the U.S. Government [redacted] the value of the laptop computer. The matter was referred to management for appropriate action. **Category: Human Capital**

(U) [redacted] Inspection; DIA OIG; Project No. 2007-002903-HQ; June 5, 2007

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. OIG assessed that the [redacted]

[redacted]

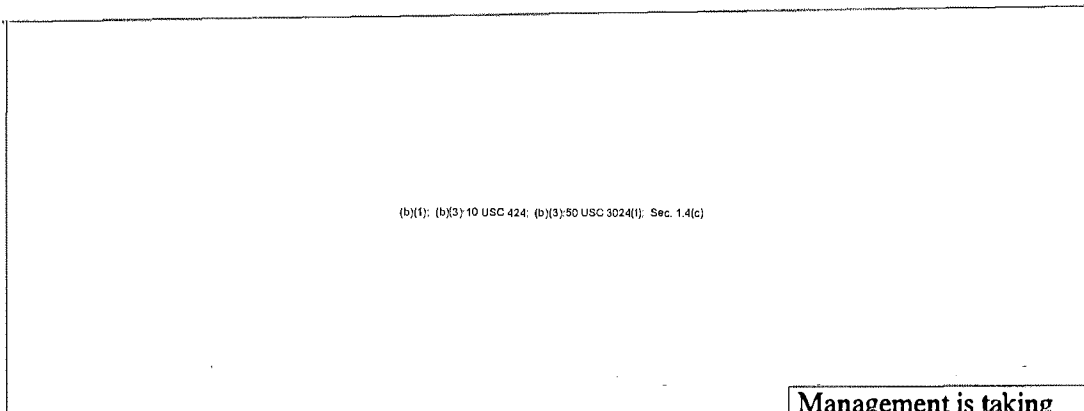
(b)(3) 10 USC 424; (b)(1); (b)(3); 50 USC 3024(i); Sec. 1.4(e)

[redacted] Management has taken appropriate action. **Category:** [redacted]

(U) [redacted] Evaluation; DIA OIG; Project No. 2007-002944-HQ; June 25, 2007

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this evaluation was to assess the effectiveness and efficiency of DIA's [redacted]. This evaluation was conducted in conjunction with an [redacted] was effectively accomplishing its [redacted] mission. DoD had not issued a [redacted]

(b)(1); (b)(3) 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(i)



(b)(1); (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i); Sec. 1.4(c)

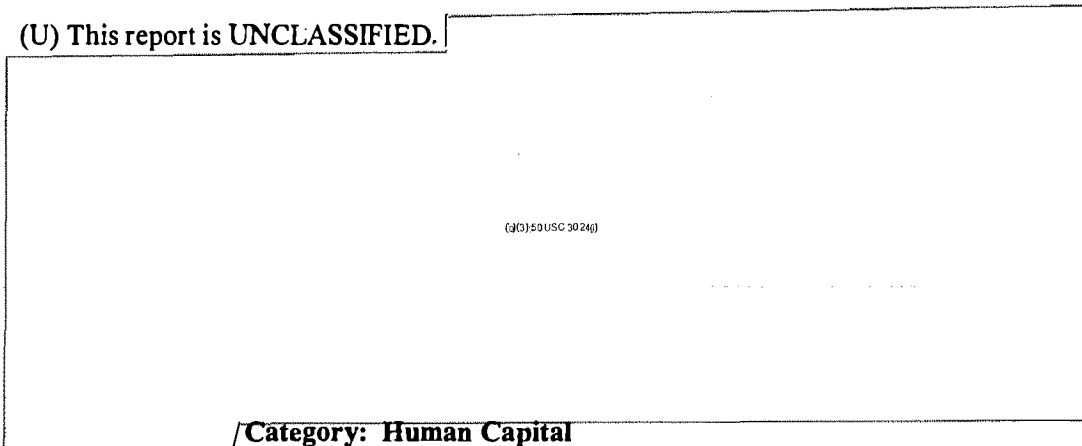
Management is taking appropriate action on the recommendations. Category: (b)(3) 10 USC 424 less

(U) Crime Analysis Report; DIA OIG; Project No. 2007-005880-OI; July 17, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The crime analysis report pertains to the failure to secure and/or maintain accountability for government property. The OIG crime analysis report determined that the contractor failed to maintain accountability for government property valued at approximately (b)(7) 10 USC 424. The contractor did not comply with the contract statement of work, property accountability procedures, and missing or lost property reporting requirements. The report disclosed that there were poor internal controls and communications between the contractor and the contracting officer regarding unresolved property and security issues. Efforts to reconcile the property are underway. Category: Human Capital

(U) Defense Intelligence Analysis Program Audit; DIA OIG; Project No. 2006-002879-OA; July 20, 2007

(U) This report is UNCLASSIFIED.



(a)(3) 50 USC 3024(g)

Category: Human Capital

(U//~~FOUO~~) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2007-005851-OI; August 1, 2007

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated that a [redacted] DIA civilian employee committed the offenses of false official statements, false claims, and theft of public funds, by signing and submitting fraudulent time and attendance records from January 2006 through February 2007 for 49.67 of regular hours not worked. The loss to the U.S. Government was estimated at [redacted]. The [redacted] denied committing the offenses; however, made financial restitution for the hours not worked. The matter was referred to management for appropriate action. **Category: Human Capital**

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(U//~~FOUO~~) Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005863-OI; August 1, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An allegation was made that a DIA element misused and wasted government resources. The OIG substantiated that the DIA element failed to comply with DoD Directive 1015.2 "MWR," 14 June 1995, and DoD Instruction 1327.6 "Leave and Liberty Procedures," 22 April 2005, when it established a morale, welfare, and recreation (MWR) program and subsequently used operations and maintenance (O&M) funds to finance all MWR and rest and relaxation (R&R) programs and activities. There was no evidence to support that the DIA element obtained prior approval from headquarters to establish an MWR or R&R program. From fiscal year (FY) 2003 to April 2007, the DIA element wrongfully spent [redacted] in O&M funds to finance MWR/R&R programs and activities. Additionally, DIA financial documents reflected a total of [redacted] in additional reported expenditures were not reconciled. These documents include a Military Interdepartmental Purchase Request in the amount of [redacted] sent to the U.S. Army to finance MWR/R&R activities for the DIA element. There were multiple DIA employees cited for violations of federal statutes, DoD directives, regulations, and instructions. The employees range from a [redacted]. Management is taking actions based on the recommendations. **Category: Human Capital**

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

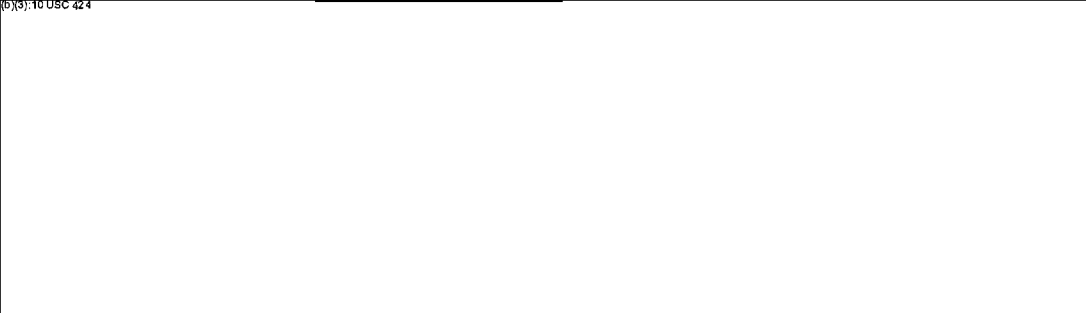
(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(b)(3), (b)(7)(C), (b)(7)(D) USC 424

(U) FISMA Audit; DIA OIG; Project No. 2006-002858-OA; August 15, 2007

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The audit determined that DIA, as the

(b)(3), (b)(7)(C), (b)(7)(D) USC 424



(b)(3)-10 USC 424

Management is taking appropriate action on the recommendations. **Category: Information Security and Privacy**

(U) (b)(3)-10 USC 424 **Inspection; DIA OIG; Project No. 2007-002936-HQ; August 24, 2007**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3)-10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

(b)(1); (b)(3)-10 USC 424; (b)(3)-50 USC 3024(i); Sec. 1.4(d)

Management

concurring with and is implementing the recommendations. **Category:** (b)(3)-10 USC 424

(U) DIA OIG Procurement Fraud Initiative

(U) The OIG initiated a Procurement Fraud Initiative along the (b)(3)-50 USC 3024(i) (b)(3)-50 USC 3024(i) OIG model. An initial DIA-wide review was conducted to identify major acquisition, procurement, contract roles and responsibilities, policies, and practices. The OIG is teaming with the DIA senior staff to improve the procurement process; to better detect, deter, and identify fraud, waste, and abuse. **Category: Other**

~~SECRET//NOFORN~~

DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



~~S~~-08-0117/IG

17 March 2008

To:

(b)(3) TO USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress,
25 February 2008

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3) TO USC 424; (b)(6)

(b)(3) TO USC 424; (b)(6)

(b)(3) TO USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports (S), 1 cy

cc:
DR
DD
CP

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

(U) [redacted] Audit; DIA OIG; Project No. 2006-002846-OA; October 22, 2007

~~(C)~~ This report is ~~CONFIDENTIAL~~. Results of an OIG audit to determine usage and satisfaction level with the [redacted] as well as the effectiveness of the systems found that Directorate for Analysis personnel lacked sufficient [redacted] workstations to effectively perform their mission and Directorate for Human Intelligence personnel experienced lost productivity, due to equipment failure and [redacted] and individual equipment replacement work. Also, communication between and among DIA [redacted] and headquarters was not always effective, and the technical support for [redacted] needed improvement. Management concurred with and is implementing the recommendations. Category: [redacted]

(U) [redacted] Evaluation; DIA OIG; Project No. 2007-002928-HQ; October 22, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The OIG conducted an inspection of the [redacted]. Since consolidation of [redacted] under DIA in 1995, the agency struggled to assimilate its [redacted] management responsibilities and competing [redacted] continued to hamper the program. The [redacted] lacked an efficient and effective process for managing or prioritizing [redacted] requirements. Directorate for Human Intelligence [redacted] was inadequate, and visibility of [redacted] was limited. The [redacted] as required. The Directorate for Human Intelligence offices [redacted] were hampered by staffing constraints, and [redacted] personnel working [redacted] required more effective on-the-job training. Management concurred with and is implementing the recommendations. Category: [redacted]

Derived from: DIA HUMINT SCG
Declassify on: 20330317
Date of source: 1 October 2004

~~SECRET//NOFORN~~

(U) [redacted] Inspection; DIA OIG; Project No. 2007-002992-HQ; December 14, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The [redacted]

(b)(3);10 USC 424; (b)(1); Sec. 1.4(c)

Management has taken appropriate action. Category: [redacted]

(U) [redacted] Inspection; DIA OIG; Project No. 2007-002976-HQ; December 28, 2007

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the [redacted] identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The office was [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Management has taken appropriate action. Category: [redacted]

(U) [redacted] Reinspection; DIA OIG; Project No. 2007-002968-HQ; January 25, 2008

~~(S//NF)~~ The overall objective was to evaluate the operational management of [redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

Management concurred with and is implementing the recommendations.

Category: [redacted]

(U) (b)(3); 10 USC 424 **Inspection; DIA OIG; Project No. 2007-002986-HQ; January 28, 2008**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3); 10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

Management concurred with and is implementing the recommendations. **Category:** (b)(3); 10 USC 424

(U) (b)(3); 10 USC 424 **Inspection; DIA OIG; Project No. 2007-002989-HQ; January 29, 2008**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3); 10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The effectiveness of the

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

Management concurred with and is implementing the recommendations. **Category:** (b)(3); 10 USC 424

~~SECRET//NOFORN~~

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002971-HQ; January 30, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

(b)(3);10 USC 424 Management concurred with and is implementing the recommendations. Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002988-HQ; January 31, 2008

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(3);10 USC 424 Management concurred with and is implementing the recommendations. Category: (b)(3);10 USC 424

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) (b)(3);10 USC 424 **Inspection; DIA OIG; Project No. 2007-002975-HQ; February 1, 2008**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The office was

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Management concurred with and is implementing the recommendations. **Category:** (b)(3);10 USC 424

(U) (b)(3);10 USC 424 **Inspection; DIA OIG; Project No. 2007-002987-HQ; February 6, 2008**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Management concurred with and is implementing the recommendations. **Category:** (b)(3);10 USC 424

(b)(3);10 USC 424

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) MS Academic Personnel Use Review; DIA OIG; Project No. 2007-003018-MA; February 12, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The OIG conducted a review at the ^{(b)(3);10 USC 424} [redacted] Directorate for Analysis, in response to a request for intelligence oversight guidance on using an [redacted] support a research program. The review determined that the [redacted]

[redacted] The contract activities complied with intelligence oversight policies and procedures. Management concurred with and is implementing the recommendations.

Category: ^{(b)(3);10 USC 424} [redacted]

(U) ^{(b)(3);10 USC 424} [redacted] IO Review; DIA OIG; Project No. 2007-003053-MA; February 12, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The OIG received a request to conduct an [redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Category: ^{(b)(3);10 USC 424} [redacted]

(U) DIA Deployments Evaluation; DIA OIG; Project No. 2007-002973-HQ; February 13, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of this evaluation was to examine DIA's overall management of deployments to include governing policies and procedures; deployment related coordination and relationships among agency directorates/offices; deployment processing and training; and resources. [redacted]

(b)(3);10 USC 424

[redacted] Management concurred with and is implementing the recommendations. Category: ^{(b)(3);10 USC 424} [redacted]

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) (b)(3);10 USC 424 IO Inspection; DIA OIG; Project No. 2007-003014-MA; February 13, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The overall objective of this inspection was to evaluate management of the [redacted] and to determine compliance with intelligence oversight requirements. The (b)(3);10 USC 424 and all members of the (b)(3);10 USC 424 established a good working relationship within the [redacted] and the consulate in (b)(3);10 USC 424 they maintained professional relationships with DIA headquarters, outside military elements, and (b)(1); 1.4(c) The [redacted]

(b)(3);10 USC 424
The review determined that the division was in compliance with intelligence oversight polices and procedures. Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002990-HQ; February 15, 2008

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The [redacted]

(b)(3);10 USC 424
Management concurred with and is implementing the recommendations. Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 IO Inspection; DIA OIG; Project No. 2007-003013-MA; February 26, 2008

(b)(3);10 USC 424 (S//NF) This report is ~~SECRET//NOFORN~~. The overall objective of this inspection was to evaluate management of the [redacted] and to determine compliance with intelligence oversight requirements. The [redacted] was accomplishing its [redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)
Category: (b)(3);10 USC 424

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-002993-HQ; February 28, 2008

(~~S//NF~~) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The (b)(3);10 USC 424 was

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(3);10 USC 424 Management concurred with and is implementing the recommendations. Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 Inspection; DIA OIG; Project No. 2007-003000-HQ; February 29, 2008

(~~S//NF~~) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to: gauge mission performance and regulatory compliance within the (b)(3);10 USC 424 identify any impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for the agency. The office was accomplishing its assigned missions. The office had an effective (b)(3);10 USC 424

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

(b)(3);10 USC 424 Management concurred with and is implementing the recommendations. Category: (b)(3);10 USC 424

(U) SOCOM Peer Review; DIA OIG; Project No. 2007-002985-OA; December 6, 2007

(U) This report is UNCLASSIFIED. The system of quality control for the audit function of the U.S. Special Operations Command Inspector General (SOIG), in effect for the period February 2005 through August 2007, was designed to meet the requirements of the quality control standards established by the Comptroller General for a Federal Government audit organization. SOIG compliance during the review period provided reasonable assurance of conforming with applicable auditing standards, policies, and procedures. However, we noted conditions that warranted attention although they did not impact our opinion. Specifically, the SOIG audit division did not meet government auditing standards for timeliness in issuing its final report on the "Audit of Equipment Management at Seal Delivery Vehicle Team One." Immediate corrective action was taken. Category: Other

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(U) Property Accountability Investigation; DIA OIG; Project No. 07-005844-OI; January 10, 2008

(U//~~FOUO~~) This is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated allegations that a prime contractor violated the contract statement of work, contract number MDA908-03-C-0065 and DIA Manual 25-1, "Logistics Operational Manual." The contractor failed to maintain proper accountability, oversee, and manage the logistics operations of the DIA Logistics Operation Center warehouse that was delegated to the subcontractor. Action is pending. **Category: Acquisition Processes and Contract Management**

(U) Acquisition, Contracting, and Procurement Assessment; DIA OIG; Project No. 2007-002934-SA; January 12, 2008

(U) This report is UNCLASSIFIED. This review provided a general independent assessment of acquisition, contracting, and procurement practices within DIA. The agency is improving its acquisition processes; however, we found that important recommendations in past reports are still unresolved. We suggested actions that will supplement, strengthen, and reinforce those areas that we, the Acquisition Executive, and other external organizations previously identified. **Category: Acquisition Processes and Contract Management**

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005897-OI; October 17, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated allegations of misuse of government resources and theft of public funds. A DIA ^{(P)(3) 10 USC 424} violated Department of Defense 5500.7-R "Joint Ethics Regulation," (misuse of government resources – cellular telephone) and committed the offense of theft of public funds, when he used his government-issued cellular telephone to place unauthorized and personal telephone calls. The ^{(P)(3) 10 USC 424} reimbursed the agency the cost of the unauthorized calls. Disciplinary action is pending. **Category: Human Capital**

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005934-OI; November 15, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated the allegations that a DIA contract employee committed the offense of misuse of government resources, when he viewed adult pornographic images on his Unclassified-but Sensitive Internet Protocol Router Network account. Also, the DIA contractor committed the offenses of false claims and theft of public funds, when he submitted his timecard for payment of public funds for services he did not provide. The contract was terminated and the contractor reimbursed DIA for the hours not worked. **Category: Human Capital**

~~SECRET//NOFORN~~

SECRET//NOFORN

(U) Unaccounted for Government Property Investigation; DIA OIG; Report Number 2007-005842-OI; November 26, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An allegation was made that several servers and one storage array were never delivered to the customer and was reported missing from the [REDACTED] (b)(3) 10 USC 424. An OIG investigation could not identify any suspects, but discovered several major systematic weaknesses in the [REDACTED] (b)(3) 10 USC 424 which possibly caused the missing property to be sent to the wrong customer or pilfered. A 100 percent physical inventory determined that government property was missing and/or unaccounted for at the warehouse. Further, the OIG investigation determined that the contractor was responsible for the loss of the government property and several government employees failed to provide adequate oversight over the performance of the contract. Action is pending. **Category: Human Capital**

(U) Misuse of Government Resources Investigation; DIA OIG; Report Number 2007-005801-OI; December 10, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated allegations that a [REDACTED] (b)(3) 10 USC 424 committed the offense of failure to obey order or regulation and obtaining services under false pretenses, when he used his government-issued cellular telephone to place unauthorized and personal calls. The [REDACTED] received a written letter of reprimand. **Category: Human Capital**

(U) Time and Attendance Abuse Investigation; DIA OIG; Project No. 2007-005905-OI; December 31, 2007

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated allegations that a DIA [REDACTED] (b)(3) 10 USC 424 committed the offenses of false official statements, false claims, and theft of public funds, when she signed and submitted fraudulent time and attendance records from June 2004 through July 2007 for regular hours she did not work. The [REDACTED] (b)(3) 10 USC 424 resigned from the agency and agreed to reimburse the U.S. Government. The Department of Justice declined prosecution on this matter. **Category: Human Capital**

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2007-005889-OI; January 9, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG investigation substantiated the allegation that a DIA [REDACTED] (b)(3) 10 USC 424 violated DoD 5500.7-R, "Joint Ethics Regulation (JER)," and committed the offense of theft of public funds, when he used his government-issued cellular telephone to place unauthorized and personal calls. Disciplinary action is pending. **Category: Human Capital**

~~SECRET//NOFORN~~

(U) Misuse of Government Resources Investigation; DIA OIG; Report Number 2007-005908-OI; January 14, 2008

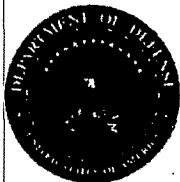
(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An OIG substantiated allegations that a GG-09 violated Department of Defense 5500.7-R "Joint Ethics Regulation," (misuse of government resources) and committed the offenses of misuse of government resources, false official statements, false claims, and theft of public funds when she used DIA resources to operate her van pool business during regular working hours from March 2005 to December 2007. The ^{(b)(3) 10 USC 424} [redacted] utilized about 34 regular hours to operate her vanpool business at her work space during regular work hours. The ^{(b)(3) 10 USC 424} [redacted] admitted culpability to the violation and offenses; however, refused to reimburse the government. Disciplinary action is pending. **Category: Human Capital**

(U) Misuse of Government Resources Investigation; DIA OIG; Project No. 2008-005944-OI; February 4, 2008

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The OIG substantiated an allegation that a DIA ^{(b)(3) 10 USC 424} [redacted] knowingly violated Department of Defense 5500.7-R, "Joint Ethics Regulation," (misuse of government resources), and DIA Regulation 50-23, "Information Systems Security Management," 1 March 2002 (viewing of pornographic material), when he admitted that he used his government-issued laptop computer while at home to access the Internet to view adult nude and pornographic images. Additionally, the ^{(b)(3) 10 USC 424} [redacted] admitted that he sent inappropriate electronic messages on the government laptop computer and he allowed and provided his spouse and two teenage sons' access to the laptop computer for their personal use. Disciplinary action is pending. **Category: Human Capital**

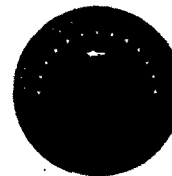
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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



~~S~~-06-0137/IG

17 March 2006

To:

(b)(3),10 USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress,
24 February 2006

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, investigation, and workforce assistance final reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3),10 USC 424

(b)(3),10 USC 424; (b)(6)

(b)(3),10 USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
Investigation, and Workforce
Assistance Reports (~~S~~), 1 cy

cc:
DR
DD

~~REGRADED UNCLASSIFIED WHEN SEPARATED~~
~~FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//20310317~~

~~SECRET//NOFORN//20310317~~

(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS

(U) [redacted] Inspection; IG, DIA; Report Number 05-2507-HQ-008; October 13, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to gauge mission performance and regulatory compliance of the [redacted]; identify impediments to mission success; determine adequacy of support provided by the Defense Intelligence Agency (DIA); [redacted] and communications performance and effectiveness; and identify systemic issues with broader implications for the agency. The report contained an observation that [redacted] was hampered by deficiencies previously identified by the OIG as systemic to the Directorate for Human Intelligence (DH). Deficiencies were identified in the [redacted] [redacted] Inspection Report Number 04-2241-HQ-002, May 11, 2005. DH accepted those recommendations for action therefore they were provided in the [redacted] inspection for information purposes only. The OIG did recommend that DH, working with the [redacted] update and provide the [redacted] Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 05-5656-OI-060; October 13, 2005

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. Allegation that a [redacted] DIA civilian employee and a [redacted] were misusing government computers and government time to exchange obscene e-mail. OIG investigation sustained the allegations, as well as obstruction of justice, violation of the Joint Ethics Regulation, violation of systems security regulations, insubordination, and unprofessional conduct.

[redacted] Disciplinary action regarding the [redacted] and security action regarding the [redacted] were referred to management. Category: Human Capital

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 05-5667-OI-050; October 13, 2005

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a [redacted] DIA civilian employee was misusing an unclassified DIA computer system. OIG investigation determined that the [redacted] had used the computer and 378 hours of government time, valued at [redacted] to construct a personal web page and view pornography. [redacted] Category: Human Capital

Derived from: ~~DIA HUMINT SCG~~
Declassify on: ~~20310317~~
Date of source: ~~1 October 2004~~

~~SECRET//NOFORN//20310317~~

~~SECRET//NOFORN//20310317~~

(U) [redacted] Inspection; IG, DIA; Report Number 05-2513-HQ-008;
November 1, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided to DIA; and identify systemic issues with broader implications for DIA. The OIG assessed that the [redacted] was accomplishing its mission in an effective and efficient manner in a difficult political and cultural environment. No deficiencies or issues of concern were identified. Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 05-5681-OI-064;
November 1, 2005

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of sexual harassment, conflict of interest, misconduct, and contract fraud by government personnel and contractors in [redacted] OIG investigation sustained the allegations. A DIA [redacted] was fired. Action on a [redacted] with disciplinary action pending. Disciplinary action pending regarding a DIA GG-13 and a DISL. Category: Human Capital

(U) [redacted] Inspection; IG, DIA; Report Number 05-2514-HQ-008; November 2, 2005

(S//~~NF~~) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implication for DIA. The OIG found [redacted]

[redacted]

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2535-HQ-008;
November 2, 2005

(S//~~NF~~) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found no deficiencies and no issues of concern. Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2510-HQ-008; November 3, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to gauge mission performance and regulatory compliance of the [redacted] identify impediments to mission success; determine adequacy of support provided by DIA; evaluate [redacted] and communications performance and effectiveness; and identify systemic issues with broader implications for DIA. The OIG assessed [redacted]

[redacted] follow-up confirmed that the [redacted] was in the process of ensuring that security and force protection measures were being appropriately implemented. OIG observed that required weapons qualifications, familiarization and training was not being adhered to by [redacted] personnel nor had the [redacted] published the required [redacted] DH management determined that [redacted] were not required on station and agreed to advise the [redacted] to follow through with proper turn-in and disposition – follow-up by OIG verified that the [redacted] had received approval to turn-in the equipment. Category: [redacted]

(U//FOUO) Criminal Investigation; IG, DIA; Report Number 05-5704-OI-060; November 3, 2005

(S//NF) This case is classified ~~SECRET//NOFORN~~. Allegation a contract employee with system access opened [redacted] to which they were not authorized access. OIG investigation determined that the contract employee was stalking another employee, and [redacted] Due to the nature of the sensitive files involved, a [redacted]

[redacted] The employee resigned in lieu of termination. Category: Human Capital

(U) [redacted] Inspection; IG, DIA; Report Number 05-2522-HQ-008; November 4, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted]; identify impediments to mission success; determine the adequacy of support provided to DIA; and identify systemic issues with broader implications for DIA. The OIG assessed that the [redacted] was accomplishing its mission in an effective and efficient manner. The newly-assigned [redacted] had rapidly overcome legacy interoperability and communications issues and established a firm foundation for success. No deficiencies or issues of concern were identified. Category: [redacted]

(U) Allegation of [redacted] IG, DIA; Report Number 05-2566-MA-056; November 16, 2005 and Report Number 05-5651-OI-050, November 29, 2005

(U//FOUO) This report is ~~SECRET//NOFORN~~. This intelligence oversight investigation was conducted in response to a report that a DIA civilian employee assigned to DH used excessive [redacted]

[redacted] The investigation substantiated the allegations that the subject, [redacted]
(b)(3) 10 USC 424; (b)(3)50 USC 3024(i)

The subject resigned from the agency. Category: [redacted] (b)(3) 10 USC 424

(U) [redacted] (b)(3) 10 USC 424 Unauthorized Operational Activity Investigation; IG, DIA; Report Number 05-2467-MA-056; November 21, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. This intelligence oversight investigation was conducted in response to an allegation that a DIA contract employee assigned to DH employed [redacted] (b)(3) 10 USC 424

[redacted] (b)(3) 10 USC 424 The investigation substantiated the allegations and the contractor removed the employee from the DIA contract. A second individual, working under contract to the [redacted] (b)(3) 50 USC 3024(i) was referred to the IG of that agency. Category: [redacted] (b)(3) 10 USC 424

(U) [redacted] (b)(3) 10 USC 424 Unauthorized Operational Activity Investigation; IG, DIA; Report Number 05-2561-MA-056; December 6, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this intelligence oversight investigation was in response to an allegation that a DIA civilian employee assigned to the [redacted] (b)(3) 10 USC 424 exceeded his investigative authorities while assigned to the [redacted] (b)(3) 50 USC 3024(i). The investigation substantiated the allegations that the subject [redacted] (b)(3) 10 USC 424; (b)(3) 50 USC 3024(i) in violation of theater assigned responsibilities. The subject retired from the agency on 3 October 2005. Category: [redacted] (b)(3) 10 USC 424

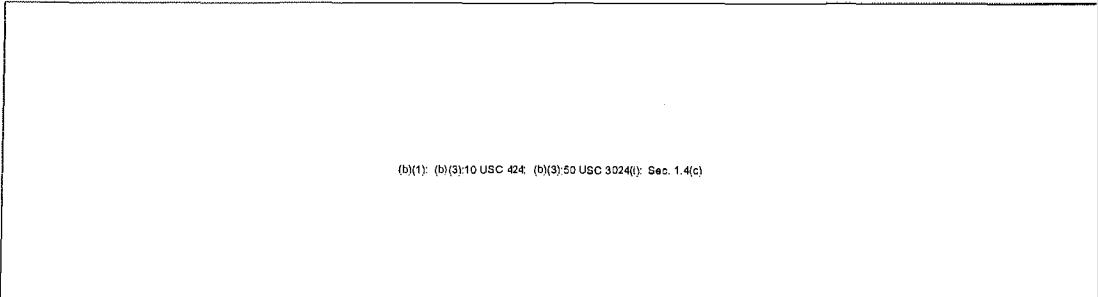
(U) [redacted] (b)(3) 10 USC 424 Inspection; IG, DIA; Report Number 05-2405-HQ-008; December 8, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted]; identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. OIG [redacted] (b)(3) 10 USC 424

[redacted] (b)(3) 10 USC 424 had erroneously implemented the domicile-to-duty (DTD) procedures in the use of government vehicles. OIG briefed [redacted] (b)(3) 10 USC 424 members on proper use of vehicles under DTD approval to clear misperceptions in [redacted] (b)(3) 10 USC 424. Category: [redacted] (b)(3) 10 USC 424

(U) (b)(3):10 USC 424; (b)(3):50 USC 3024(i) Inspection; IG, DIA; Project Report Number 05-2528-HQ-009; December 8, 2005

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to evaluate



The OIG made recommendations to address these challenges. Category: (b)(3):10 USC 424

(U) Allegation Inquiry – FMS Complaint; IG, DIA; Report Number 05-2593-WA-071; December 8, 2005

(U) This report is UNCLASSIFIED. This report addressed eight allegations claiming conflicts of interest, violations of regulations, waste of resources, and fraud regarding Financial Management Solutions (FMS) implementation at DIA. The OIG found that the seven allegations consisting of violations of regulations, wasted resources, and fraud to be unsubstantiated. For the last allegation, it was determined that a conflict of interest existed and the employee resigned. Other managerial and contracting issues were also identified. Category: Information Technology Management

(U) Top Three Association Audit; IG, DIA; Report Number 05-2643-OA-027; December 8, 2005

(U) This report is UNCLASSIFIED. The objective of this audit was to determine if the Top Three Association (Top 3) financial records accurately reflected the financial condition and activity of the organization. The audit revealed that the Top 3 exhibited accurate and complete records of financial transactions as a whole. The audit recommended internal control improvements related to the treasurer's roles and responsibilities, petty cash, and the recording of financial transactions to avoid potential misappropriation of funds. Corrective actions are completed. Category: Financial Management

(U) (b)(3):10 USC 424 Inspection; IG, DIA; Report Number 05-2501-HQ-008; December 10, 2005

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that (b)(3):10 USC 424

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(b)(3);10 USC 424 [redacted] and its security management procedures were commendable and models for other (b)(3);10 USC 424 [redacted] to emulate. Category: (b)(3);10 USC 424 [redacted]

(U) (b)(3);10 USC 424 [redacted] Inspection; IG, DIA; Report Number 05-2502-HQ-008; December 10, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that (b)(3);10 USC 424 [redacted] overall mission accomplishment, its (b)(3);10 USC 424 [redacted] and its security management procedures were satisfactory. (b)(3);10 USC 424 [redacted] however, was not completely in accordance with established guidelines.

The OIG recommended a comprehensive (b)(3);10 USC 424 [redacted]

Category: (b)(3);10 USC 424 [redacted]

(U) (b)(3);10 USC 424; (b)(3);50 USC 3024(i) [redacted] Inspection; IG, DIA; Report Number 05-2503-HQ-008; December 12, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found numerous shortcomings with all aspects of the (b)(3);10 USC 424; (b)(3);50 USC 3024(i) [redacted] stemming, in part, from personnel shortages and inadequate training and oversight. There was no functioning (b)(3);10 USC 424 [redacted] (b)(3);10 USC 424 [redacted] was not being accomplished in accordance with established guidelines. Office security procedures were not satisfactory. Knowledge of intelligence oversight procedures was deficient. Relations with consumers were strained. Overall, (b)(3);10 USC 424; (b)(3);50 USC 3024(i) [redacted] office management, and command relationships were not functioning at professional levels to ensure mission accomplishment. The OIG recommended immediate action by the [redacted] leadership and the managing headquarters to resolve these issues. The OIG plans to conduct a re-inspection in late 2006. Category: (b)(3);10 USC 424 [redacted]

(U) (b)(3);10 USC 424 [redacted] Inspection; IG, DIA; Report Number 05-2505-HQ-008; December 23, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that although (b)(3);10 USC 424 [redacted] was accomplishing its mission, (b)(1);(b)(3);10 USC 424; 1.4 (c) [redacted]

Office administration and (b)(3);10 USC 424 [redacted] were not being conducted in accordance with established regulations and guidelines. Strained intra-office relations had a negative impact on mission performance. The OIG recommended immediate resolution by the (b)(3);10 USC 424 [redacted] and the managing headquarters. Category: (b)(3);10 USC 424 [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2511-HQ-008;
December 23, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that [redacted] was successfully accomplishing its mission in a [redacted] OIG identified several security concerns, which affected the morale and welfare of [redacted] members. OIG recommended and directly coordinated through DIA's [redacted] for a security assessment visit to review post security procedures and to ensure proper measures are implemented in [redacted] residences. Additionally, the OIG recommended a full review of [redacted] processes to ensure [redacted] are maintained and that the [redacted]

Category:

(U//FOUO) Criminal Investigation; DIA IG; Report Number 05-5688-OI-055; December 30, 2005

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation of time and attendance (T&A) fraud by a contract employee. OIG investigation sustained the allegation, documented that the employee's resumes were false, and raised issues of labor rate mischarging by the contract employee's employers. Referred to management for recoupment of [redacted] in fraudulent T&A claims, and renegotiation of the labor rates charged for the employee. Categories: Human Capital/ Acquisition Processes and Contract Management

(U) [redacted] Inspection; IG, DIA; Report Number 05-2517-HQ-008;
January 10, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implication for DIA. The OIG found that despite being the only assigned [redacted] and having just arrived, the [redacted] was actively engaged in [redacted] [redacted] had not maintained a [redacted] in the past and the newly arrived [redacted] had begun the mandatory use of the [redacted] As the [redacted] on station, the previous [redacted] in his personal files. The new [redacted] had begun the implementation of a centralized file system in accordance with current DIA policy. Category: [redacted]

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(U) (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2519-HQ-008; January 12, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the ; identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found no deficiencies and no issues of concern.

Category: (b)(3);10 USC 424

(U) ISG Property Accountability Assessment Follow-up; IG, DIA; Report Number 05-2775-OA-031; January 18, 2006

(U) This report is UNCLASSIFIED. As a result of the ISG Property Accountability Assessment (Project Number 05-2548-OA-031), the deputy director tasked the agency's principals to develop an agency wide deployment process. The OIG closely monitored progress of this tasking which included developing deployment policy, properly preparing the workforce, training seniors, and blending all operational requirements so that they comply with Federal standards. Early staffing was insufficient to accomplish this tasking in the designated timeframe. A more committed effort is now in place to include hiring a new senior staff officer as project lead, centralized deployment support and staffing of the directive outlining the basics to build a readiness program. Many positive steps have been taken towards achieving the successful development of an agency wide deployment process and the required readiness posture. With the continuing close oversight being provided by senior leadership and the pending approval of the final action plan, satisfactory process is being made. Category:

(b)(3);10 USC 424

(U) Government Purchase Card Audit; IG, DIA; Report Number 05-2483-OA-026; January 19, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this audit was to assess the effectiveness and the purchase process for (b)(1); Sec. 1.4(c). The audit revealed no material findings. Program management was in accordance with DoD and DIA policies, procedures, and guidelines. The audit also found that the government purchase cards were used strictly to purchase mission-related supplies and services; and cardholders were held responsible for clearing through the final payment process all outstanding balances when departing the agency.

Category: Acquisition Processes

(U) (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 05-2518-HQ-008; January 26, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the ; identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that inadequate quality control (QC) procedures had a limiting effect on the (b)(3);10 USC 424; (b)(1); Sec. 1.4(c). Although the (b)(3);10 USC 424 had established effective security procedures, few other standard operation procedures (SOPs) had

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been developed. The OIG recommended that the [redacted] with assistance from the managing headquarters, develop a viable system of QC measures and office SOPs. Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2520-HQ-008; January 27, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found no deficiencies and no issues of concern. Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2521-HQ-008; January 27, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and to identify systemic issues with broader implications for DIA. The OIG found that the influx of additional personnel to the [redacted] had created some internal discontent. The OIG provided suggestions for improving office communications and for a more optimal daily routine. The [redacted] was in the process of developing a workable [redacted] that should further enhance the quality of [redacted]

(b)(1) (b)(3) 10 USC 424; Sec. 1.4(e)

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2515-HQ-008; January 31, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implication for DIA. The OIG found [redacted] to be compliant with current DIA policy.

(b)(1) (b)(3) 10 USC 424; Sec. 1.4(e)

and recommended to continue with this trend.

The OIG praised this effort

[redacted] Use of government vehicles at [redacted] was found to be not within the spirit of the law due to a misunderstanding of current DIA policy. The OIG recommended more oversight from DIA headquarters and the issuance of a clearer vehicle policy. Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report 05-2598-HQ-008; January 31, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided to DIA; and identify systemic issues with broader implications for DIA. The OIG assessed that [redacted]

Furthermore, the OIG assessed that security, both physical and personnel, required an updated vulnerability assessment to ensure DIA personnel were safe and secure in their working and living environments. Finally, the OIG assessed that DIA management needed to immediately address personnel shortcomings to ensure continued, uninterrupted mission accomplishment.

Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2516-HQ-008; February 3, 2006

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic

[redacted]

The OIG recommended refresher training on [redacted] personnel. Category: [redacted]

(U) Disaster Preparedness Follow-up Evaluation; DIA, IG; Report Number 02-2444-HQ-004; February 7, 2006

(U//FOUO) This report is ~~SECRET//NOFORN~~. This assessment evaluated agency progress in correcting deficiencies identified in 2003. The OIG found that progress in addressing deficiencies outlined in the 2003 report were mixed. The [redacted] had made major advances in the programmatic development perspective; however there has

[redacted]

disasters. The disaster preparedness program has evolved to an agency wide focus with the [redacted] coordinating and managing disaster preparedness efforts at [redacted] major DIA facilities. The OIG made 12 recommendations to address disaster preparedness concerns. Category: Infrastructure and Environment

(U) ^{(b)(3),50 USC 3024(i)} [redacted] **Inspection; IG, DIA; Report Number 06-2671-HQ-008; February 8, 2006**

(U) This report is ~~SECRET//NOFORN~~. The purpose of the inspection was to gauge mission performance and regulatory compliance of the ^{(b)(3),50 USC 3024(i)} [redacted]

^{(b)(3),50 USC 3024(i)} [redacted] identify impediments to mission success, determine adequacy of support provided by DIA; evaluate source management and communications performance and effectiveness; and validate resolution of audit recommendations from the 1997 OIG audit of DIA funded activities at ^{(b)(3),50 USC 3024(i)} [redacted] staff was in the process of transitioning from a

^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] OIG assessed that ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] was adequately accomplishing ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] management despite not having a formal, structured program. Current staff is developing a structured ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] led by a ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] and aided by an ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted]. The ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] envisioned increased reporting through an effort to match available resources to ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] OIG assessed that audit recommendations outlined in the 1997 OIG audit of DIA-funded activities at ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted] had been addressed and resolved by management. **Category:** ^{(b)(3),10 USC 424; (b)(3),50 USC 3024(i)} [redacted]

(U) **Joint Enlisted Advisory Council Audit; IG, DIA; Report Number 05-2666-OA-027; February 14, 2006**

(U) This report is UNCLASSIFIED. The objective of the audit was to determine if the Junior Enlisted Advisory Council (JEAC) financial records accurately reflected the financial condition and activity of the organization. The audit revealed that the JEAC exhibited accurate and complete records of financial transactions as a whole. The audit recommended internal controls improvement related to the secretary's role and responsibilities to include correctly recording, authenticating, distributing, and maintaining copies of JEAC's meeting minutes on file. These improvements have resulted in accurate minutes that permanently document activity, properly reflect the council's decisions, and provide for continuity of operations. **Category: Financial Management**

~~SECRET//NOFORN//20300916~~



DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



§-0390/IG

16 September 2005

To:

(b)(3);10 USC 424

Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 29 August 2005

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact

(b)(3);10 USC 424; (b)(6)

(b)(3);10 USC 424

1 Encl
Audit, Inspection,
Intelligence Oversight,
and Investigation
Reports (S), 1 cy

(b)(3);10 USC 424; (b)(6)

cc:
DR
DD

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//20300916~~

~~SECRET//NOFORN//20300916~~

**(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, INVESTIGATION, AND
WORKFORCE ASSISTANCE REPORTS**

(U) Investigation; IG, DIA; Report Number 04-5537-OI-052; April 25, 2005

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. An activity supply coordinator (ASC) submitted an improperly conducted report of survey asking to be relieved of accountability for [redacted] in property. An Office of the Inspector General (OIG), Defense Intelligence Agency (DIA), investigation located [redacted] worth of the missing property. The OIG recommended improvements in the control of agency property and on the training of ASCs. **Category: Logistics**

(U) [redacted] **Inspection;**
DIA IG; Report Number 04-2241-HQ-002; May 11, 2005

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. [redacted]

(b)(1), (b)(3); 10 USC 424; Sec. 1.4(c)

The OIG made
recommendations to address these challenges. **Category:** [redacted]

(U) [redacted] **Inspection; IG, DIA; Report Number 05-2424-HQ-008; May 13, 2005**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. [redacted]

(b)(1), (b)(3); 10 USC 424; Sec. 1.4(c)

Category: [redacted]

Derived from: ~~DIA HUMINT SEC-~~
Declassify on: ~~20300916~~
Date of source: ~~1 October 2004~~

Enclosure to S-0390/IG

~~SECRET//NOFORN//20300916~~

(U) Contractor Compliance Inquiry; Report Number 05-2527-HQ-003; May 25, 2005

(U//~~FOUO~~) The report is ~~SECRET//NOFORN~~. This project resulted from a request for IG assistance by the Directorate for Analysis and Production. The inquiry focused on the actions of a (b)(3);10 USC 424 that develops and commercializes technology and manages laboratories for DIA. Subsequent to being presented with a sealed warrant, the contractor allowed federal investigators to acquire sensitive material unrelated to the warrant. The OIG found that the contractor's labeling and storage conventions did not isolate the material of concern from similar inventory, which resulted in an inability to readily distinguish the material from the materials itemized in the warrant. Furthermore, the OIG determined that internal DIA communication and coordination was insufficient in such a circumstance, as the contracting officer's representative (COR) did not consult or seek advice from the Office of the General Counsel.

(U//~~FOUO~~) The OIG recommended that similar DIA (b)(3);10 USC 424 contracts be reviewed and, as appropriate, language modifications be made to contract instruments to ensure close communication between contractors, CORs, and DIA officials to preclude recurrence. In addition, the OIG recommended that DIA policy and the COR training curriculum be modified to specifically addresses the manner in which COR and contracting officer's technical representative personnel respond to requests from external entities. **Category: Acquisition Processes and Contract Management**

(U) (b)(3);10 USC 424; (b)(3);50 USC 3024(i) IG, DIA; Report Number 03-2139-OA-022; June 6, 2005

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~.

(b)(3);10 USC 424; (b)(3);50 USC 3024(i)

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

~~(S//NF)~~

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(f); Sec. 1.4(e)

(U) ISG Property Accountability Assessment; IG, DIA; Report Number 05-2548-OA-031; June 8, 2005

(U) This report is ~~SECRET~~. This assessment was in response to a request from the director, DIA to conduct a life-cycle assessment of the fund expenditure, documentation, and process for Iraq Survey Group (ISG) property accountability. The ISG, concentrating on the core mission, performed its property accountability functions reasonably well under difficult wartime conditions. The assessment revealed deficiencies in the areas of property accountability, financial management, staffing and deployment readiness which were attributable to DIA's use of peacetime processes during wartime conditions; the huge scale of the ISG effort; and the extensive and unprecedented use of contractors. **Category: Property**

(U) Trend Analysis of Inspection Reports and Recommendations; IG, DIA; Report Number 05-2591-HQ-075; June 8, 2005

(U//~~FOUO~~) This report is classified ~~SECRET//NOFORN~~. The OIG undertook a review of inspections, evaluations, and assessments the inspections unit completed since the beginning of fiscal year (FY) 2003. The review encompassed reports on more than

(b)(3);10 USC 424

Additionally, reports on another 18 organizational, functional, or process issues under headquarters element purview were included. The purpose of the review was to identify common trends in OIG findings and recommendations that might further enable continuing performance improvements consistent with the Director's Strategic Intent and the specific tasks from the Agency Mission Essential Task List (AMETL).

(U//~~FOUO~~) During the course of the trend review, the OIG identified areas for changes to its own internal processes to facilitate trend analysis that can more effectively support senior leadership decision-making. Specifically, future OIG reviews will require more standardized tailored to the DIA strategic objectives and the AMETLs and integrated across the OIG functional areas of inspections, audits, intelligence oversight, investigations, and workforce assistance. **Category:**

(U) Inspection; IG, DIA; Report Number 05-2402-HQ-008; June 13, 2005

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The OIG found that

(b)(1); (b)(3);10 USC 424; Sec. 1.4(e)

Category:

(U//~~FOUO~~) Investigation; DIA IG; Report Number 05-5634-OI-055, June 14, 2005

(U//~~FOUO~~) This case is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that a DIA civilian employee was submitting false time and attendance (T&A) claims and misusing government computers for personal use. An OIG investigation sustained the allegations, identifying (b)(3)10 USC 424 in false T&A claims. Category: Human Capital

(U) (b)(3)10 USC 424 Inspection; IG, DIA; Report Number 05-2423-HQ-008; June 14, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the (b)(3)10 USC 424 identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. The OIG determined (b)(3)10 USC 424

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Category: (b)(3)10 USC 424

(U) (b)(3)10 USC 424 Inspection; IG, DIA; Report Number 05-2403-HQ-008; June 15, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the (b)(3)10 USC 424 identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. (b)(3)10 USC 424

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

Category: Joint Warfighting and Readiness

(U) (b)(3)10 USC 424 Inspection; IG, DIA, Report Number 05-2425-HQ-008; June 16, 2005

(S//NF) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the (b)(3)10 USC 424 identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. The OIG found (b)(3)10 USC 424

(b)(1); (b)(3);10 USC 424; 1.4(c)

(b)(1); (b)(3);10 USC 424; Sec. 1.4(c)

[Redacted]

Category:

(b)(3);10 USC 424

(b)(3);10 USC 424

(U) [Redacted] **Administrative Investigation; IG, DIA; Report Number 05-2477-WA-070; July 8, 2005**

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOUO~~. The OIG initiated an investigation as a result of receiving an anonymous undated letter of complaint. The letter alleged several **management deficiencies** and alluded to improper personnel actions taken by the [Redacted] **Acquisition Executive (AE)** leadership. Two of the nine allegations were substantiated. These allegations centered on poor workplace communications. OIG issued three recommendations to address these issues. **Category: Human Capital**

(U) **Investigation; IG, DIA; Report Number 99-4952-OI-063; July 26, 2005**

(U//~~FOUO~~) This case is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation that three contract administrative support employees in the [Redacted] had falsified their T&A records. An **OIG investigation** indicated that the three personnel had submitted false T&A records resulting in a [Redacted] loss to the government. The Defense Criminal Investigative Service (DCIS) assumed jurisdiction of the case on 16 October 2000. On 16 June 2005, DCIS advised that they had closed their investigation after the Department of Justice declined prosecution. The OIG then referred the case to AE for recoupment from the two vendors who employed the personnel. **Category: Acquisition Processes and Contract Management**

(U) [Redacted] **Intelligence Oversight Inspection; IG, DIA; Report Number 04-2310-MA-056; July 26, 2005**

(S//NF) This report is ~~SECRET//NOFORN~~. This investigation was conducted in response to a

[Redacted]

(b)(1); (b)(3);10 USC 424; 1.4 (c)

Category: Acquisition Processes and Contract Management

(U) [Redacted] **Inspection; IG, DIA; Report Number 05-2451-HQ-008; July 26, 2005**

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [Redacted]; identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. No deficiencies or issues of concern were identified. **Category:**

(b)(3);10 USC 424

(b)(3);10 USC 424

(U) [redacted] Inspection; IG, DIA; Report Number 05-2509-HQ-008; July 27, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the office; identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. No deficiencies or issues of concern were identified. Category:

[redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 04-2348-HQ-008; July 28, 2005

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The OIG found

[redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

A collaborative approach between U.S. Central Command and DIA was recommended by the OIG to address this concern. Category:

(U) [redacted] Inspection; IG, DIA; Report Number 05-2504-HQ-008; July 29, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. No deficiencies or issues of concern were identified. Category:

[redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 05-2562-HQ-008; August 1, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this inspection was to gauge mission performance and regulatory compliance within the [redacted] identify impediments to mission success; determine the adequacy of support provided by DIA; and identify systemic issues with broader implications for DIA. No deficiencies or issues of concern were identified. Category:

[redacted]

(U) [redacted] PACOM Inspection; DIA, IG; Report Number 05-2526-HQ-009; August 8, 2005

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The on-site inspection included visits to the Pacific Command (PACOM) and the Joint Intelligence Center Pacific (JICPAC). The purpose of the assessment was to gauge DIA support to the command and assess internal operational and managerial processes as well as internal and external communications and relationships.

(b)(3)-10 USC 424

(U//~~FOUO~~) The [redacted] was serving as a two-way bridge between DIA and PACOM, advising the command on the missions and capabilities of DIA while ensuring PACOM activities and requirements were understood by the agency. The [redacted] enhanced PACOM [redacted] resources with DIA products and capabilities. PACOM/JICPAC personnel spoke of a "positive" and "healthy partnership" with the [redacted] and DIA. [redacted] personnel characterized the support received from their respective directorates as good and responsive. [redacted] guidance, policy, and procedures were being reviewed and updated by the [redacted] **Category:** [redacted]

(b)(3)-10 USC 424

(U) [redacted] **Follow-up Evaluation; DIA, IG; Report Number 05-2439-HQ-004; August 12, 2005**

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This assessment evaluated the progress made in implementing recommendations from a command climate assessment of [redacted] conducted in November 2002.

(U//~~FOUO~~) Since the initial assessment, [redacted] experienced exponential growth, which, combined with expanded mission requirements, resulted in a realignment of the organization's structure. Nonetheless, the OIG found that [redacted] had made considerable progress towards improving the command climate, and the leadership had implemented several initiatives to enhance internal communications. The OIG also assessed that [redacted] leadership had made progress toward professionalizing the workforce and creating a work environment that allows employees to pursue their goals in a supportive environment. The OIG will continue to monitor [redacted] climate progress. **Category: Infrastructure and Environment**

(U) **UTAP Assessment; DIA, IG; Report Number 05-2492-HQ-003; August 15, 2005**

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of the assessment was to assess compliance of the undergraduate training assistance program (UTAP) with statutory requirements; assess the effectiveness of UTAP in contributing to the Director's Strategic Intent; and identify any organizational and policy barriers to achieving the goals of UTAP.

(U//~~FOUO~~) The OIG found that UTAP was compliant with the legislative mandate contained in the enabling legislation. Furthermore, the program received high marks from participants for the summer work experience provided during their academic program and the attention given to their development and acculturation by their summer supervisors and colleagues. However, based on current post-graduation retention data, the OIG determined that the return-on-investment to DIA may not be optimal. The OIG assessed that factors affected satisfaction and retention of UTAP employees, including timeliness of DIA tuition payments to educational institutions; frequent turnover of UTAP program managers, which may affect the quality of support to UTAP employees; frequency and quality of communications and guidance from the UTAP student program manager and mentors; and access to study abroad programs when an integral part of the student's academic curriculum. The OIG made 12 recommendations to address these concerns. **Category: Human Capital**

(U) DIA Publication/Subscription Pricing Review; IG, DIA; Report Number 05-2604-OA-075; August 15, 2005

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The purpose of this review was to determine if the prices DIA paid for various publications/subscriptions were significantly higher than the subscription price available to the general public. The review revealed that DIA paid considerable mark-ups in excess of 100 percent for some non-time sensitive publications and required a contractor security clearance level that was not necessary. Two recommendations were made to address the findings. **Category: Acquisition Processes and Contract Management**

(U) [redacted] **Inspection; IG DIA; Report Number 04-2452-HQ-008; August 22, 2005**

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

Category: [redacted]

(U) FISMA Evaluation; IG, DIA; Report Number 05-2454-OA-021; September 2, 2005

(U) This report is ~~SECRET//NOFORN~~. The purpose of this evaluation was to comply with the Federal Information Security Management Act, in addition to the Office of Management and Budget, which directs that the OIG perform annual independent evaluations of the agency's intelligence information systems programs and practices.

~~(S//NF)~~ The evaluation of the agency information assurance program during FY 2005 disclosed that the [redacted] made significant progress in identifying and compiling the system inventory for the [redacted] and in developing plans of actions and milestones. By properly applying the DCID 6/3 definition of an [redacted] systems vulnerable to attack dropped from [redacted]. [redacted] Also the evaluation disclosed the systems' risk vulnerability can be further reduced through the development, funding, and implementation of a training plan and improved reporting requirements. **Category: Information Assurance**



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DEFENSE INTELLIGENCE AGENCY



WASHINGTON, D.C. 20340- 1028

S-0389/IG

14 October 1999

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, 19 August 1999, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please

contact

(b)(3),10 USC 424; (b)(6)

(b)(3),10 USC 424

(b)(3),10 USC 424

(b)(3),10 USC 424

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FROM CLASSIFIED ENCLOSURE~~

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(U) AUDIT AND INSPECTION REPORTS

(U) Inspection of the Office for Publications; Project Number 99-1781-HQ-004; 27 April 1999

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The inspection was initiated in response to two anonymous Inspector General (IG) Hotline complaints alleging overtime abuse in the Office for Publications (SVP). While the two specific allegations could not be substantiated, we found that due to inadequate oversight of overtime and improper time and attendance documentation requirements, SVP is vulnerable to overtime abuse. Detailed information was received about other possible overtime infractions. These matters were referred to our investigations staff for action. Recommendations were made to improve the production process, enhance management controls, and improve internal communication and morale problems. Management concurred with the recommendations and is taking steps to implement corrective action.

(U) Inspection of the Diversity Management and Equal Opportunity Office; Project Number 98-1743-HQ-001; 7 May 1999

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The inspection was conducted as part of the fiscal year 1999 Annual Plan and was designed to evaluate the economy, efficiency, and effectiveness of the Diversity Management and Equal Opportunity Office's (MD) overall operations, and assess their progress in implementing corrective actions from our 1995 inspection. We found that MD was meeting affirmative action and equal opportunity (EO) complaint mission requirements and has proactively engaged the Defense Intelligence Agency (DIA) Key Components on diversity and EO issues. Key findings and recommendations centered on a need for: 1) corporate focus on strategic diversity management efforts and outcomes; 2) increased focus on specific EO and diversity programs designed to advance these efforts; and, 3) improved oversight and management of Special Emphasis Programs. From a customer service perspective, we found MD should improve their communication with and responsiveness to Headquarters and field elements.

(U) Audit of the (b)(3); 16 USC 424
Program; Project Number 98-1749-OA-006; 11 May 1999

(S) This report is classified ~~SECRET~~. The audit was performed to satisfy the requirements in Chapter 6, DIA Manual 25-1. The report discusses DIA's maintenance and

(b)(1); (b)(3); 16 USC 424; Sec 1.4(c)

Derived From: ~~DHS-SCG~~
Declassify On: ~~X1~~
Date of Source: ~~October 1997~~

Enclosure to S-0389/IG

~~SECRET//X1~~

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

No recommendations were proffered.

(U) Audit of

(b)(3); 10 USC 424

(b)(3); 10 USC 424

Project Number 98-1652-OA-001; 12 May 1999

(S) This report is classified ~~SECRET~~. The audit was conducted at the request of the Deputy Director, (b)(3); 10 USC 424 to evaluate the extent to which (b)(3); 10 USC 424 are being met. The audit found that despite

(b)(3); 10 USC 424

Management concurred and is taking appropriate corrective action.

(U) Audit of the DIA Drug Testing Program; Project Number 97-1649-OA-008; 26 August 1999

(U//FOUO) This report is UNCLASSIFIED//FOR OFFICIAL USE ONLY. The audit was conducted in response to complaints that drug testing of DIA civilian and military personnel were not being performed as required.

(b)(3); 10 USC 424

Management concurred and is taking corrective action.



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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340- 5100



~~S~~ 0143/IG

13 April 2000

MEMORANDUM FOR THE INSPECTOR GENERAL, DEPARTMENT OF DEFENSE

SUBJECT: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, 4 February 2000, subject: Semiannual Report to the Congress.

(U) As requested by reference, the information pertaining to each audit and inspection report issued during this reporting period is attached. If additional information is required, please

contact

(b)(3),10 USC 424; (b)(6)

(b)(3),10 USC 424

(b)(3),10 USC 424

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(U) AUDIT AND INSPECTION REPORTS

(U) Inspection of [redacted] Project
Number 99-1773-HQ-001; 17 November 1999

(S) This report is classified ~~SECRET~~. This inspection was conducted in accordance with the fiscal year (FY) 1999 Annual Plan and in conjunction with the [redacted]

[redacted] inspection. The objective of this inspection was to evaluate the economy, efficiency, and effectiveness with which the [redacted] perform their missions, as well as to identify issues of a systemic nature within [redacted] Findings and recommendations for [redacted] pertained to: [redacted]

[redacted] equipment on station to effectively accomplish the mission. Commendable findings were: [redacted]

[redacted] findings and recommendations for [redacted] pertained to: 1) the [redacted] Key

[redacted] Management concurred with the recommendations and is taking corrective action.

(U) Follow-up Audit of the Pilot Credit Card Program; Project Number 99-1824-OA-032; 18 November 1999

(U//~~FOUO~~) The report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The audit was performed to follow-up on the implementation of recommendations identified in a prior audit report (Project Number 95-1571-OA-006). The prior audit report recommended the strengthening of internal controls, streamlining of administrative procedures, clarifying specific guidelines, and enhancing training for program participants to ensure proper implementation of Defense Intelligence Agency (DIA) guidelines. Although 17 of the 20 recommendations identified in the prior audit report had been fully implemented, the follow-up audit found that internal controls still needed strengthening. Recommendations were made to: 1) strengthen internal controls over requesting and approving waivers to

~~Derived From: DHS-SEC~~
~~Declassify On: X1~~
~~Date of Source: October 1997~~

Enclosure to ~~S~~-0143/IG

~~SECRET//NOFORN//X1~~

purchase restricted items; 2) establish administrative office contacts with specific program responsibility at the directorate level; 3) select a migration system for implementation because of program expansion; and 4) institute the credit card program within the [redacted] (b)(3);10 USC 424

[redacted] (b)(3);10 USC 424 Management concurred with the recommendations and is taking corrective action.

(U) Audit of Civilian Welfare Fund (CWF); Project Number 98-1757-OA-026; 15 December 1999

~~(U//FOUO)~~ This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The audit was performed to satisfy the requirement in DIA Regulation 12-4, "Non-Appropriated Fund Instrumentalities (NAFI)," that the IG conduct an audit of the CWF and its activities every 3 years or upon change of custodian. We found that CWF was well managed and the financial reports fairly represented the financial condition of the fund. However, we found the need to improve internal management controls by: 1) providing a consistent recording process for financial activities; 2) discontinuing the practice of employee check cashing; and 3) conducting cash counts on a random basis, a condition identified in a prior report. In addition, we found that the future continuation of the CWF Program could be in doubt beyond FY03 due to increasing expenses and decreasing revenues. To improve fund management and operations, we recommended that the existing financial database be replaced to provide a consistent method for recording daily income from sales. To reduce the potential for fund misuse, we recommended that the practice of cashing personal checks be eliminated and that the Office of the Comptroller perform quarterly cash counts on a random basis. We also recommended that a plan be developed and implemented to ensure that CWF continues beyond FY03. Management concurred and is taking corrective action.

(U) Inspection of [redacted] (b)(3);10 USC 424 Project Number 99-1783-HQ-001; 15 December 1999

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. This inspection was conducted in accordance with the FY99 Annual Plan and in conjunction with the [redacted] (b)(3);10 USC 424 inspection. The objective of this inspection was to evaluate the economy, efficiency, and effectiveness with which the respective [redacted] perform their missions as well as to identify issues of a systemic nature within [redacted]

(b)(3);10 USC 424; 1.4 (c)

[redacted] findings and recommendations for [redacted] pertained to: 1) personnel management; 2) use of government vehicles; and [redacted]

Key

(b)(3);10 USC 424; (b)(1); (b)(3);50 USC 3024(f); Sec. 1.4(c); 1.4 (c)

[redacted] Management concurred with the recommendations and is taking corrective action.

(U) Inspection of [redacted] Project Number 99-1804-HQ-001; 22 December 1999

(S//NF) This report is classified ~~SECRET//NOFORN~~. This inspection was conducted in accordance with the FY99 Annual Plan and in conjunction with the [redacted] inspection. The objective of this inspection was to evaluate the economy, efficiency, and effectiveness with which the [redacted] perform their respective missions, as well as to identify issues of a systemic nature within [redacted] Key findings and recommendations for [redacted] pertained to:

[redacted] (b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c) Commendable findings were that [redacted] viewed as a valuable and integral member of the country team. [redacted] key findings and recommendations pertained to:

[redacted] (b)(1); (b)(3); 10 USC 424; 1.4 (c) Management concurred with the recommendations and is taking corrective action.

(U) [redacted] Inspection; Project Number 98-1742-HQ-001; 29 December 1999

(S//NF) This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in accordance with the FY99 Annual Plan and was part of a systematic effort to examine operations at all [redacted] Included in this inspection were reviews of [redacted]

[redacted] (b)(1); (b)(3); 10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c) Management concurred with most of our recommendations and is taking corrective action.

(U) Audit of [redacted] Senior-Level Staffing Process; Project Number 99-4969-OA-065; 13 January 2000

(U) This report is UNCLASSIFIED. The audit was conducted in response to an anonymous complaint referred from the DoD IG. The audit did not substantiate the complainant's allegations of a lack of a comprehensive career program for [redacted] personnel and associated advancement opportunities. The audit found that the DIA Career Development System provides guidance and procedures for career development administration within DIA. Specifically, the [redacted] functional area, one of the five

functional areas in the DIA Career Service Program, covers individuals working in the Directorate for Intelligence Operations, including [redacted] In addition, the audit found that the selection process for the GG-15, the Defense Intelligence Senior Executive Service, and the Defense Intelligence Senior Level vacancies that were reviewed was properly conducted in accordance with DIA personnel rules and regulations. Nevertheless, the audit also found that a perception exists among some GG-14/15 employees in [redacted] that favoritism has been a significant factor in the selections for senior-level positions. The audit recommended that [redacted] employees be provided the appropriate training and information to enable them to understand the process used within DIA to select senior-level positions, how it conforms to the DIA Career Development System, and the inherent fairness built in through the independent panel process. Management concurred and is taking corrective action.

(b)(3), 10 USC 424

(b)(3), 10 USC 424

(b)(3), 10 USC 424

(U) Inspection of the [redacted]; Project Number 99-1775-HQ-003; 2 February 2000

(b)(3), 10 USC 424

~~(S//NF)~~ This report is classified ~~SECRET//NOFORN~~. The inspection was conducted in accordance with the FY99 Annual Plan to provide a comprehensive evaluation of DIA's

[redacted]

(b)(3), 10 USC 424; (b)(1), Sec. 1.4(c)

Management concurred with [redacted] percent of the recommendations and is taking corrective action.

(b)(3), 10 USC 424

(U) Audit of the Human Resources Management System (HRMS)/PeopleSoft Program; Project Number 99-1782-OA-001; 15 March 2000

(U//FOUO) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The audit was conducted at the request of the Director, DIA, because the original program schedule had slipped, projected program costs had increased, and software deliveries had experienced problems.

[redacted]

(b)(3), 10 USC 424

(b)(3);10 USC 424 Management

concluded and is taking corrective action.

(U) Inspection of (b)(3);10 USC 424 Project Number: 99-1831-HQ-001; 31 March 2000

(S//NF) This report is classified ~~SECRET//NOFORN~~. This inspection was conducted in accordance with the FY99 Annual Plan and to provide a comprehensive evaluation of (b)(3);10 USC 424 support to assigned field units. Key findings and recommendations included: 1) the need for DHS to develop and implement a more structured and consistent training and development effort for (b)(3);10 USC 424 designated to support field units; 2) (b)(3);10 USC 424 lacked familiarity with (b)(1); Sec. 1.4(c) management; administrative oversight; and IO responsibilities; 3) (b)(3);10 USC 424 lacked effective (b)(1); Sec. 1.4(c) management tools, metrics, and guidance to manage (b)(1); Sec. 1.4(c)

(b)(1); Sec. 1.4(c) Commendable findings related to: (b)(3);10 USC 424; (b)(1); Sec. 1.4(c); (b)(6)

Management did not respond but is taking corrective action.



~~SECRET//NOFORN//MR~~
DEFENSE INTELLIGENCE AGENCY
WASHINGTON, D.C. 20340-5100



~~S~~-0516/IG

1 October 2004

To: (b)(3);(b) USC 424
Inspector General
Department of Defense
400 Army Navy Drive, Room 703
Arlington, VA 22202-4704

Subject: (U) Intelligence Annex for the Semiannual Report to the Congress

Reference: (U) DoD IG memorandum, subject: Semiannual Report to the Congress, 7 September 2004

(U) As requested by reference, the information pertaining to the audit, inspection, intelligence oversight, and investigation reports issued during this reporting period is attached. If additional information is required, please contact (b)(3);(b) USC 424; (b)(6)

(b)(3);(b) USC 424

(b)(3);(b) USC 424; (b)(6)

1 Encl
Audit, Inspection,
Intelligence Oversight,
and Investigation
Reports ~~(S)~~, 1 cy

cc:
DR
DD

~~REGRADED UNCLASSIFIED WHEN SEPARATED
FROM CLASSIFIED ENCLOSURE~~

~~SECRET//NOFORN//MR~~

~~SECRET//NOFORN//MR~~

(U) AUDIT, INSPECTION, INTELLIGENCE
OVERSIGHT, AND INVESTIGATION REPORTS

(U) (b)(3):10 USC 424; (b)(3):50 USC 3024(i) Audit; IG, DIA; Report Number 04-2228-OA-026; April 19, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

(b)(1); (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Sec. 1.4(c)

~~(S//NF)~~

(b)(1); (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Sec. 1.4(c)

(U) The final report contained no recommendations.
Category: Financial Management

(U) (b)(3):10 USC 424; (b)(3):50 USC 3024(i) Audit; IG, DIA; Report Number 04-2272-OA-026; April 20, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~.

(b)(1); (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Sec. 1.4(c)

~~Derived From: DIA HUMINT SCO~~
~~Declassify: 25K1 Human~~
~~Date: 15 June 2004~~

Enclosure to ~~S~~-0516/IG

~~SECRET//NOFORN//MR~~

~~(S//NF)~~

[Redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

Category: Financial Management

(U//FOUO)

[Redacted]

Assessment; IG, DIA; Report

Number 04-2260-MA-056; April 27, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This assessment determined that DIA has been very effective in the [Redacted]

[Redacted] even though DIA's presence is very small. Although mission accomplishment was deemed satisfactory,

[Redacted]

(b)(1); (b)(3); 10 USC 424; 1.4(c)

~~(S//NF)~~

[Redacted]

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

[Redacted] DIA leadership immediately responded in affecting effective changes.

(U) Management response is pending.

Category:

[Redacted]

(U)

[Redacted]

IG, DIA; Report Number 03-2127-OA-029;

April 30, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. The director (DR), DIA, serves as the DoD

[Redacted]

(b)(3); 10 USC 424; (b)(1); Sec. 1.4(c)

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

~~(S//NF)~~

(b)(1); (b)(3); 10 USC 424; 1.4(c)

DIA agreed with the OIG recommendations and has taken appropriate action.

Category: Financial Management

(U) Possible (b)(3); 10 USC 424 Violation Preliminary Review; IG, DIA; Report Number 04-2307-MA-056; May 4, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This preliminary review of a possible (b)(3); 10 USC 424 violation was conducted based on a report by the Office of the General Counsel. The review disclosed (b)(3); 10 USC 424

(b)(1); (b)(3); 10 USC 424; Sec. 1.4(c)

(U) Based on information developed during this review, the OIG has initiated a formal investigation in accordance with DoD Financial Management Regulation, August 1995, Volume 14.

Category: Financial Management

~~SECRET//NOFORN//MR~~

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 03-5463-OI-068;
May 14, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of misuse of government computer systems to conduct a private business.

(U//~~FOUO~~) The investigation indicated that a [redacted] was using government-funded time and electronic systems to conduct a private business. Loss to the government was

[redacted]

(U//~~FOUO~~) As a result of the investigation, the [redacted]

[redacted]

Category: Human capital

(U) [redacted] IG, DIA; Report Number 03-2171-MA-056;
May 18, 2004

(S//NF) This report is ~~SECRET//NOFORN~~. This investigation derived from a report that the [redacted] and the

[redacted] and/or [redacted]

(b)(1); (b)(3);10 USC 424; (b)(3);50 USC 3024(i); Sec. 1.4(c)

(S//NF)

[redacted]

(b)(1); (b)(3);10 USC 424; 1.4 (c)

The investigation did not determine who directed or actually made the changes.

(S//NF) The investigation also revealed a poor command climate and lack of cohesive leadership and mentorship.

(S//NF) The OIG recommended operational reviews to determine if the identified changes impacted the integrity of operations and management is developing a mentoring program to address the specific needs of [redacted]

Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 03-5453-OI-050;
May 25, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations that a [redacted] was involved in a check theft and money laundering ring.

(U//~~FOUO~~) The investigation indicated that [redacted] had received more than [redacted] in proceeds from the ring.

~~SECRET//NOFORN//MR~~

(U//~~FOUO~~) As a result of the investigation, [redacted] was returned to service, the Department of Justice took over the case as part of a larger investigation into the activities of the ring, and [redacted]

Category: Human capital

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 04-5567-OI-072; June 25, 2004

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation of indecent assault.

(U//~~FOUO~~) The investigation determined that a [redacted] had indecently assaulted a [redacted]

(U//~~FOUO~~) As a result of the investigation, the contractor was removed from [redacted] and terminated by their employer.

Category: Human capital

(U) [redacted] Investigation; IG, DIA; Report Number 03-2231-MA-056; July 12, 2004

~~(S//NF)~~ This report is ~~SECRET//NOFORN~~. This investigation originated in response to a report that an [redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (e)

~~(S//NF)~~ The investigation did not substantiate [redacted]

(b)(1); (b)(3); 10 USC 424; 1.4 (e)

~~(S//NF)~~ DH has agreed to publish standards for effective oversight and supervision on all [redacted]

(b)(3); 10 USC 424

Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 03-5406-OI-052; July 20, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of travel fraud.

~~SECRET//NOFORN//MR~~

(U//FOUO) The investigation sustained the allegation that a (b)(6) had engaged in "official travel" to resolve a pending divorce and sell a house. The investigation also developed evidence of false claims, time and attendance fraud, and misuse of official vehicles. Documented loss to the government was (b)(6)

(U//FOUO) As a result of the investigation, management (b)(6)

Category: Human Capital

(U) (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 03-2216-HQ-008; July 1, 2004

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(S//NF) (b)(3);10 USC 424 was executing all of its assigned missions. (b)(3);10 USC 424 was reopened with all new personnel to the (b)(3);10 USC 424. During inspection, (b)(3);10 USC 424 was executing its assigned missions to (b)(3);10 USC 424 but had not initiated (b)(1); Sec. 1.4(c) in countries due to delays in accreditation of the (b)(3);10 USC 424. (b)(3);10 USC 424 was without a permanently assigned (b)(3);10 USC 424 for 18 months, during which time some continuity in operations was lost. Office administration, (b)(1); (b)(3);10 USC 424, 1.4(c) administration, property accountability, and control of government vehicles were in need of improvement. (b)(3);10 USC 424 was executing its assigned (b)(1); (b)(3);10 USC 424, 1.4(c) No major deficiencies were noted in (b)(3);10 USC 424

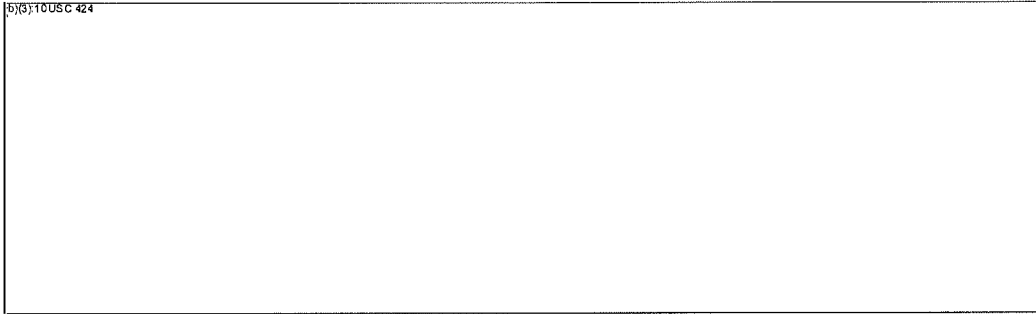
(U) Management concurred with recommendations and is taking corrective action.
Category: (b)(3);10 USC 424

(U) (b)(3);10 USC 424 Inspection; IG, DIA; Report Number 04-2333-HQ-008; July 1, 2004

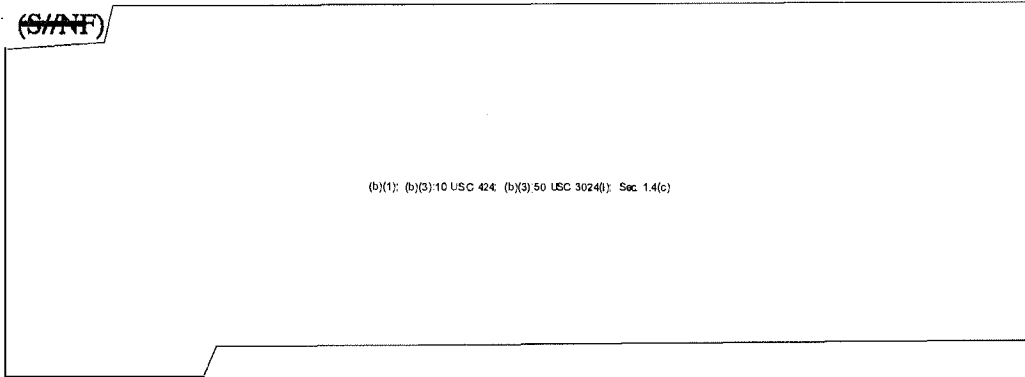
(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of this (b)(3);10 USC 424

(U) (b)(3);10 USC 424 was executing its assigned (b)(3);10 USC 424. (b)(3);10 USC 424 believed that the (b)(3);10 USC 424 was

(b)(3);10 USC 424



(S//NF)



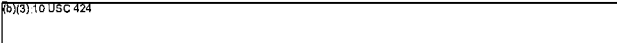
(b)(1); (b)(3);10 USC 424; (b)(3); 50 USC 3024(i); Sec. 1.4(c)

(U) There were no further discrepancies identified during our inspection. An IO officer had been appointed in writing and training was properly documented. No major deficiencies were noted in IO program. No recommendations were issued.

Category:



(U)



Inspection; IG, DIA; Report Number 03-2150-HQ-008; July 12, 2004

(U//FOUO) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the offices inspected.

(S//NF)

[redacted] were executing assigned missions to

[redacted] missions in a demanding environment, but the [redacted] or clearly defined

mission. In addition, at [redacted] the home-to-work (HTW) transportation policy was not being accomplished within regulatory guidelines. No major deficiencies were noted in IO programs at any of the inspected [redacted]. Two systemic issues were identified. [redacted] violations of official vehicle usage under the HTW were in evidence. In one case, the violations were due to the [redacted] failing to outline the unique circumstances of the duty location in their required HTW request. General misunderstanding of the HTW guidelines existed at both locations.

[redacted] office files were not maintained in accordance with DIAM 100-1. There were no gross deficiencies, but numerous careless errors which

showed previous (b)(3);10 USC 424 [redacted] had not diligently maintained files as required.

(S//NF) Management agreed to emphasize the HTW policy during (b)(3);10 USC 424 [redacted] instruction and discuss the consequences of security violations at regional (b)(3);10 USC 424 [redacted] conferences.

(b)(1); (b)(3);10 USC 424; Sec. 1.4(e) [redacted]

Category: (b)(3);10 USC 424 [redacted]

(U//FOUO) Criminal Investigation; IG, DIA; Report Number 03-5470-OI-054; July 22, 2004

(U//FOUO) This report is ~~CONFIDENTIAL//NOFORN~~. Allegations of false claims and military leave fraud.

(U//FOUO) The investigation developed evidence of military leave fraud and misuse of official vehicles (b)(3) [redacted] and of the disappearance of an unidentifiable amount of morale, welfare, and recreation funds.

(U//FOUO) As a result of the investigation the (b)(3) [redacted] received a general officer memorandum of reprimand, locally filed. When advised that a criminal investigator would be visiting his (b)(3);10 USC 424 [redacted] he filed belated leave documentation for 16 days military leave. The (b)(3) [redacted] while the investigation was in progress, (b)(3) [redacted]

Category: Human capital

(U) Limited Scope Audit of the DIA CWF, Custodian Transfer of CWF Assets; IG, DIA; Report Number 04-2370-OA-026; July 23, 2004

(U//FOUO) In accordance with DIAR 12-4, "Appropriated Fund Instrumentalities," 4 January 2002, the audit staff conducted a limited scope audit of the civilian welfare fund (CWF) from June to July 2004. The purpose of this audit was to verify that asset account balances were certified as accurate as of the date the assets were transferred from the outgoing custodian to the incoming custodian. The audit was limited to the two CWF assets with the highest risk for fraud and abuse, which were cash on-hand and resale merchandise inventory. CWF accounting records indicated that cash on-hand consisted

(b)(3);10 USC 424; (b)(6) [redacted]

No discrepancies were noted during the audit that had a material impact on CWF financial records.

(U) The final report contained no recommendations.

Category: Financial Management

(U) ^{(b)(3), (b)(7)(C), (b)(7)(D)} ~~SECRET//NOFORN//MR~~ Follow-up Inspection; IG, DIA; Report Number 04-2256-HQ-004; July 30, 2004

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The inspection was a follow-up to the ^{(b)(3), (b)(7)(C), (b)(7)(D)} inspection conducted in 2002, when 13 recommendations were issued for improving administration, morale, and overall intelligence focus.

(U//~~FOUO~~) The ^{(b)(3), (b)(7)(C), (b)(7)(D)} follow-up inspection revealed that 8 of the 12 recommendations from the 2002 report were resolved. ^{(b)(3), (b)(7)(C), (b)(7)(D)} had corrected internal administrative deficiencies and developed standard operating procedures for retiring intelligence records in accordance with DoD requirements. However, inspectors revalidated the following issues as needing additional attention:

^{(b)(3), (b)(7)(C), (b)(7)(D)}

^{(b)(3), (b)(7)(C), (b)(7)(D)} 3) the Directorate for Analysis (DI) needs to increase its senior leadership's visibility and availability to the ^{(b)(3), (b)(7)(C), (b)(7)(D)} workforce; and 4) ^{(b)(3), (b)(7)(C), (b)(7)(D)} time and attendance administrative procedures were not in compliance with DIAR 46-2, "Time and Attendance Reporting," 15 November 1996.

(U//~~FOUO~~) OIG inspectors surveyed 98 percent of the ^{(b)(3), (b)(7)(C), (b)(7)(D)} workforce, conducted sensing sessions with 65 percent of the personnel, and interviewed senior ^{(b)(3), (b)(7)(C), (b)(7)(D)} and DI management. Current data was compared and analyzed against the previous inspection results. The results indicated that ^{(b)(3), (b)(7)(C), (b)(7)(D)} command climate significantly improved from 2002.

(U//~~FOUO~~) The OIG is awaiting ^{(b)(3), (b)(7)(C), (b)(7)(D)} action plan to identify corrective actions underway.

Category: Human Capital

(U) DIA OIG Response to FISMA; IG, DIA; Report Number 04-2320-OA-006; July 30, 2004

(U) This report is ~~SECRET//NOFORN~~. This evaluation of DIA's compliance with the requirements of Federal Information Security Management Act (FISMA) legislation included an assessment of the progress made on prior suggested actions made in the FY 2003 evaluation; review of FY 2003 chief information officer (CIO) response to the Office of Management and Budget memorandum M-03-19; and an assessment of the intelligence community information technology (IT) security weaknesses as they apply to DIA.

(U) Although agency IT resources increased and management controls for security practices improved, the implementation process for corrective actions became stagnant. The agency had not fully identified its information systems nor developed a plan of action to address the security deficiencies in earlier OIG evaluations. Also, the agency performed management oversight and periodic reviews but did not always promote corrective actions or decisions to improve the security process. The agency anticipates an

improvement in the accuracy of the system inventory based on the requested IC CIO FY 2004 data format for the system inventory. The FY 2004 format requires that all intelligence agencies collect and report the same information. Therefore, the agency expects to achieve the data consistency and uniformity that has eluded the data call in previous years.

(U) The OIG recommended that DIA develop guidance and a plan of action to address deficiencies, remedial actions from prior evaluations, and the incomplete inventory of IT assets. The OIG also recommended that DIA clearly define the oversight roles and responsibilities of key players involved in program management and oversight.

Category: Information Assurance Management

(U) [redacted] Inspection; IG, DIA; Report Number 04-2239-HQ-008; August 10, 2004

(U) This report is ~~SECRET//NOFORN~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(S//NF) [redacted] was accomplishing its assign mission to [redacted] despite having had three [redacted] within the past 2 years. The current leadership was providing much needed focus and direction. The [redacted] was cited by [redacted] as having excellent professional relationships with other agencies and for greatly improving the relationship with the [redacted]. Overall, office administration and program management were in compliance with applicable DIA regulations and policies. No major deficiencies were noted in IO programs at [redacted].

[redacted] Inspection of [redacted] was conducted in conjunction with inspections of [redacted]

(S//NF) [redacted]
(b)(1), (b)(3), 10 USC 424; (b)(3), 50 USC 3024(i), Sec. 1, 4(c)

(S//NF) [redacted]
(b)(1), (b)(3), 10 USC 424; Sec. 1, 4(c)

management concurred with the OIG recommendation.
Category: [redacted]

(U) [redacted] Inspection; IG, DIA; Report Number 04-2331-HQ-008;
August 10, 2004

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. The objective of this inspection was to evaluate mission accomplishment and operational effectiveness of the office inspected.

(U) [redacted] was accomplishing all assigned missions at the time of the inspection. The [redacted] stated that the [redacted] was a fully integrated member of the country team and served as the principal military advisor to the ambassador. The [redacted] noted that the [redacted] was held in the highest regard by the [redacted].

(~~C/NF~~) [redacted] was executing its assigned [redacted] represent, and advise. Attention to detail was cited as the single strongest element of [redacted] which exceeded expectations and lent credibility through its completeness. Minor discrepancies were corrected on the spot or provided by the OIG team as leave behinds for corrective action. Both the [redacted] and the [redacted] were serving first tours in the [redacted] and worked efficiently and harmoniously together to ensure fulfillment of the [redacted] mission.

(U) There were no further discrepancies identified during our inspection. An IO officer had been appointed in writing and training was properly documented. No major deficiencies were noted in IO program. No recommendations were issued.

Category: [redacted]

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 04-5578-OI-072;
August 10, 2004

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegation of aggravated assault.

(U//~~FOUO~~) The investigation indicated that a [redacted] had pointed a loaded pistol at the head of a fellow employee [redacted].

(U//~~FOUO~~) As a result of the investigation, [redacted] received a letter of reprimand filed in the [redacted] unfavorable information file, and was returned to the [redacted].

Category: Human capital

(U) [redacted] Follow-up Inspection, IG, DIA; Report Number 04-2328-HQ-008; August 11, 2004

(U) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. This inspection was a follow-up to the [redacted] inspection conducted in February 2001, and to evaluate mission accomplishment and operational effectiveness.

~~SECRET//NOFORN//MR~~

(U//~~FOUO~~) [redacted] was accomplishing all assigned missions at the time of the follow-up inspection. All discrepancies identified in the February 2001 inspection had been corrected. Overall, office administration and program management were in compliance with applicable DIARs and policies.

(U//~~FOUO~~) [redacted] security management program was in compliance with applicable DIA and Department of State regulations. Security posture and administration of the security program had improved considerably since the February 2001 inspection. The [redacted] had the required security standard operating procedure onsite, and the required quarterly security training was being accomplished. Our review found that security infractions had dropped from 18, for calendar years 1998 through 2000, to 0 during the 6 months prior to the follow-up inspection.

(b)(3):10 USC 424

(U) There were no further discrepancies identified during the follow-up inspection. An IO officer had been appointed in writing and training was properly documented. No major deficiencies were noted in IO program.

Category: [redacted]

(U) [redacted] IG, DIA; Report Number 04-2227-OA-026; August 19, 2004

(S//~~FOUO~~) This report is ~~SECRET//NOFORN~~. The U.S. Government has expressed a

(b)(1); (b)(3):10 USC 424; (b)(3):50 USC 3024(i); Sec. 1.4(c)

(b)(1); (b)(3):50 USC 3024(i); Sec. 1.4(c)

(U) The final report contained no recommendations.

Category: **Financial Management**

(U) **Deployment Operations Issues, IG, DIA; Report Number 04-2407-HQ-003; August 24, 2004**

(U//~~FOUO~~) This report is ~~SECRET//NOFORN~~. Examine relevant deployment issues and consolidated recurring issues based on DIA's strategic shift to a deploying agency, and the current deployment requirements levied on DIA.

~~SECRET//NOFORN//MR~~

~~SECRET//NOFORN//MR~~

(U//~~FOUO~~) Over the past 2 years, DIA has deployed and sustained more personnel than in any other time in agency history. However, the agency lacked adequate mechanisms to capture lessons learned from these contingency deployment operations, which has contributed to recurring mistakes and inefficiencies. Ad hoc, short-term, and crisis planning applied although contingency support typically became a long-term commitment. Ultimately, sustainment and continuity of operations have fallen short.

(S//NF)

(b)(1); (b)(3); 10 USC 424, Sec 1.4(c)

(U//~~FOUO~~) There was no focused unity of command within DIA to support or oversee DIA's deployment commitments. Directorates maintained separate tracks to support contingency operations. Accordingly, each element experienced similar administrative and logistical problems, with minimal effort to coordinate or share lessons learned. Recent deployment emphasis has addressed many procedural concerns, but strategic oversight and management of contingency operations remains an area for improvement. DIA leadership has taken steps to address the deployment issue shortfall. The deployment process was centralized under the (b)(3); 10 USC 424

(b)(3); 10 USC 424 Directorate for Administration. Routine deployments are scheduled on a weekly basis, and information regarding deployments and family support are posted on a website. DIA leadership took immediate action and now has the entire process under the leadership of a newly identified office for operations.

(U//~~FOUO~~) This report served as an advisory product to aid agency leadership in addressing recurring and systemic impediments to fulfilling DIA's (b)(3); 10 USC 424 role. The report has already been used as a guiding product as DIA implements a new operational support structure for (b)(3); 10 USC 424 support. Category: (b)(3); 10 USC 424

(U//~~FOUO~~) Criminal Investigation; IG, DIA; Report Number 03-5465-OI-050; September 2, 2004

(U//~~FOUO~~) This report is UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~. Allegations of theft of Iraqi Dinars from prisoners of war.

(U//~~FOUO~~) The allegation was referred to the (b)(3); 10 USC 424 for initial investigation. The (b)(3); 10 USC 424 investigation, and subsequent investigation by the OIG, DIA, determined that a substantial sum of captured (b)(3); 10 USC 424

(U//~~FOUO~~) As a result of the investigation, (b)(6) retired when (b)(6) was notified that management intended to suspend (b)(6) for 14 days without pay. (b)(6)

In addition, a

~~SECRET//NOFORN//MR~~