



governmentattic.org

"Rummaging in the government's attic"

Description of document: List of National Aeronautics and Space Administration (NASA) Office of Inspector General (OIG) Investigations Closed in 2021 and 2022

Requested date: 09-January-2023

Release date: 17-March-2023

Posted date: 01-July-2024

Source of document: FOIA Request
Office of Inspector General
NASA Headquarters
Room 8V69
300 E Street, S.W.
Washington, D.C. 20546
Fax: (202) 358-2767
Email: foiaoig@hq.nasa.gov
[Electronic Freedom of Information Act \(FOIA\) Request Form](#)

The governmentattic.org web site ("the site") is a First Amendment free speech web site and is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



NASA OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS
SUITE 8U71, 300 E ST SW
WASHINGTON, D.C. 20546-0001

March 17, 2023

**Re: Initial Determination on Freedom of Information Act (FOIA) Request # 23-00011-IG-F /
OIG # 2023-14**

Pursuant to the Freedom of Information Act (FOIA) (5 U.S.C. § 552), on January 9, 2023, you submitted a request to the NASA Office of Inspector General (OIG). Your FOIA request was assigned tracking number # 23-00011-IG-F / OIG # 2023-14. Specifically, you sought the following records:

"[...] list of investigations closed by the NASA OIG during CY 2021 and CY 2022."

In response to your FOIA request, we conducted a search for responsive records within OIG's Office of Investigations. Our search identified the responsive information releasable under the FOIA as described below. Pursuant to 5 U.S.C. § 552(a)(2)(D)(ii)(II), the requested information has been posted to the NASA OIG FOIA Reading Room for public viewing. You can find the Reading Room, and the information responsive to your request, at the following link:

https://oig.nasa.gov/FOIA_index.html

Certain exemptions have been applied to withhold information from the requested documents that is not releasable under FOIA exemptions (b)(3), (b)(5), (b)(6), (b)(7)(C), (b)(7)(D), and (b)(7)(E). The exempt information has been redacted. In applying these exemptions, we have determined that the withheld information would cause foreseeable harm if released.

FOIA exemption (b)(3) incorporates the various nondisclosure provisions that are contained in other federal statutes. Exemption 3 was broadly phrased so as to cover information "specifically exempted from disclosure by statute." Many statutes have been held to qualify as Exemption 3 statutes. A primary example is Rule 6(e) of the Federal Rules of Criminal Procedure, which

regulates disclosure of matters occurring before a grand jury. It is well established that Rule 6(e) embodies a broad sweeping policy of preserving the secrecy of grand jury material regardless of the substance in which such material is contained.

FOIA exemption (b)(5) protects inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency. The courts have interpreted this exemption to incorporate the deliberative process privilege, the general purpose of which is to prevent injury to the quality of agency decisions. The exemption protects not merely documents, such as predecisional documents, recommendations, and opinions on legal or policy matters, but also the integrity of the deliberative process itself where the exposure of that process would result in harm.

Exemption (b)(6) exempts from disclosure personnel and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Exemption (b)(7)(C) provides protection for law enforcement information and records compiled for law enforcement purposes, the disclosure of which “could reasonably be expected to constitute an unwarranted invasion of personal privacy”. Exemption (b)(7)(C) is routinely applied to protect the personal privacy interest of law enforcement personnel involved in conducting investigations. Disclosure of the mere fact that an individual is mentioned in an agency's law enforcement files carries a stigmatizing connotation cognizable under FOIA Exemption (b)(7)(C). See, e.g., *Fund for Constitutional Government v. National Archives & Records Service*, 656 F.2d 856, 865 (D.C. Cir. 1981). Numerous courts have recognized that individuals' privacy interests are substantial given the nature of law enforcement records, whether they are suspects, informants, witnesses or investigators. See, e.g., *Fitzgibbon v. CIA*, 911 F.2d 755, 767 (D.C. Cir. 1990); *Dunkelberger v. DOJ*, 906 F.2d 779, 781 (D.C.Cir.1990); *Stern v. FBI*, 737 F.2d 84, 91-92 (D.C. Cir. 1984)); see also *Neely v. FBI*, 208 F.3d 461, 464-66 (4th Cir. 2000). Among other concerns, an individual's connection to particular investigations can result in harassment, annoyance, and embarrassment. See, e.g., *Halpern v. FBI*, 181 F.3d 279, 296-97 (2nd Cir.1999); *Manna v. DOJ*, 51 F.3d 1158, 1166 (3rd Cir.), cert. denied, 516 U.S. 975, 116 S. Ct. 477, 133 L.Ed.2d 405 (1995); *Nix v. United States*, 572 F.2d 998, 1005-06 (4th Cir.1978).

Exemption (b)(7)(D) exempts from disclosure information that “could reasonably be expected to disclose the identity of a confidential source...”

Exemption (b)(7)(E) affords protection to all law enforcement information that “would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law.”

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

You may contact our FOIA Public Liaison, Francis P. LaRocca at (202) 358-2575 for further assistance and to discuss aspects of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records

Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

You also have the right to appeal this initial determination to NASA, Deputy Inspector General, George Scott. Pursuant to 14 CFR §1206.700(b), the appeal must (1) be in writing; (2) be addressed to the following:

NASA, Office of Inspector General
Headquarters
300 E Street, S.W., Suite 8V39
Washington, D.C. 20546-0001
Attn: George Scott, Deputy Inspector General;

(3) be identified clearly on the envelope and in the letter as “Freedom of Information Act Appeal”; (4) include a copy of the request for the Agency record and a copy of the adverse initial determination; (5) to the extent possible, state the reasons why the requester believes the adverse initial determination should be reversed; and (6) must be postmarked and sent to the Deputy Inspector General within 90 calendar days of the date of receipt of the initial determination.

Sincerely,



Digitally signed by ROBERT
STEINAU
Date: 2023.03.06 08:19:42 -05'00'

Robert H. Steinau
Assistant Inspector General for Investigations
OIG FOIA Officer – Investigations

Enclosures

NASA OIG Closed Cases for CY2021

| Case Number | Case Title | Closing Date | Allegations |
|--------------------------------|--|--------------|---------------------------------|
| C-MA-10-0395-O | (b)(6), (b)(7)(C) | 12/10/2021 | Computer Crime |
| | Criminal Results: Criminal Indictment 3/15/2011 (b)(6), (b)(7)(C) | | |
| O-NJ-12-0317-O | ARKLIGHT, et al | 8/23/2021 | SBIR/STTR Fraud |
| | Admin Actions: Suspension 11/30/2015 ArkLight Incorporated Suspension 11/30/2015 DING, Yujie J Suspension 11/30/2015 Zotova, Yuliya B Debarment 12/2/2016 ArkLight Incorporated Debarment 12/2/2016 DING, Yujie J Debarment 12/2/2016 Zotova, Yuliya B | | |
| | Civil Results: Civil Settlement 7/31/2020 Lehigh University Civil Settlement Amt \$200,000.00 Lehigh University | | |
| | Criminal Results: Criminal Indictment 11/3/2014 DING, Yujie J Criminal Indictment 2/3/2015 Zotova, Yuliya B Criminal Sentencing 9/20/2016 Zotova, Yuliya B Criminal Conviction 11/20/2015 Zotova, Yuliya B Criminal Indictment 2/3/2015 DING, Yujie J Criminal Sentencing 9/28/2016 DING, Yujie J Criminal Conviction 11/20/2015 DING, Yujie J Criminal Restitution Amt \$72,000.00 DING, Yujie J | | |
| O-LB-13-0150-O | THERMODYNE | 4/13/2021 | Kickbacks; Other Federal Crimes |
| | Criminal Results: Criminal Indictment 4/12/2017 ACKERMAN, GARY SCOTT Criminal Sentencing 2/5/2021 ACKERMAN, GARY SCOTT Criminal Conviction 12/1/2017 ACKERMAN, GARY SCOTT Criminal Restitution Amt \$205,049.00 ACKERMAN, GARY SCOTT Criminal Indictment 4/3/2018 SOJO, Juan Bosco Criminal Sentencing 10/19/2018 SOJO, Juan Bosco Criminal Conviction 4/27/2018 SOJO, Juan Bosco | | |
| O-KE-13-0356-O | CENTER FOR THE ADVANCEMENT OF SCIENCE IN SPACE | 9/14/2021 | Conflict of Interest |
| | Criminal Results: Criminal Indictment 4/11/2019 Resnick, Charles Criminal Sentencing 10/13/2020 Resnick, Charles Criminal Conviction 2/18/2020 Resnick, Charles Criminal Restitution Amt \$170,779.31 Resnick, Charles | | |

| | | | |
|--|------------------------------------|------------|--|
| O-GL-14-0086-O | P AND E CONSTRUCTION, LLC., ET AL. | 10/12/2021 | Bribery " Other; False Claim; False Statements; Other Federal Crimes; Proactive/Project Cases; Procurement Fraud - Other (False Claims/False Statements) |
| <p>Admin Actions:</p> <p>Suspension 11/8/2019 Alford, James Kevin Suspension 11/8/2019 Arrow Construction Group, LLC Suspension 11/8/2019 P and E Construction, LLC Suspension 11/8/2019 Black Arrow Aviation, Inc. Suspension 11/8/2019 Clark, James Allen Suspension 11/8/2019 Daniels Jr., Harvey Suspension 11/8/2019 Daniels Painting Service, Inc. Suspension 11/8/2019 Dillard, Jennifer Denise Suspension 11/8/2019 Enola Contracting Services, Inc. Suspension 11/8/2019 Enola Manufacturing, Inc. Suspension 11/8/2019 Galo Enterprises, Inc. Suspension 11/8/2019 HDJ Security, Inc. Suspension 11/8/2019 Hogan, Eric Louie Suspension 11/8/2019 HTR Construction, LLC Suspension 11/8/2019 HTR Contractors, LLC Suspension 11/8/2019 K&S Constructors, Inc. Suspension 11/8/2019 KHD Rentals, Inc. Suspension 11/8/2019 Latham, Kenneth A. Suspension 11/8/2019 P and E Construction & Enola Contracting JV Suspension 11/8/2019 Reynolds, Kenneth Jack Suspension 11/8/2019 Streamline Trade and Investment, LLC Debarment 12/8/2020 Clark, James Allen Debarment 12/8/2020 Latham, Kenneth A. Debarment 12/8/2020 Enola Contracting Services, Inc. Debarment 12/8/2020 Enola Manufacturing, Inc. Debarment 12/8/2020 Black Arrow Aviation, Inc. Debarment 12/8/2020 Streamline Trade and Investment, LLC Debarment 12/8/2020 Galo Enterprises, Inc. Debarment 12/8/2020 Enola Construction, Inc. Debarment 2/22/2021 Alford, James Kevin Debarment 2/22/2021 K&S Constructors, Inc. Debarment 3/2/2021 Reynolds, Kenneth Jack Debarment 3/2/2021 Arrow Construction Group, LLC Debarment 3/2/2021 HTR Construction, LLC Debarment 3/2/2021 Dillard, Jennifer Denise Debarment 3/2/2021 HTR Contractors, LLC Debarment 4/8/2021 Hogan, Eric Louie Debarment 4/8/2021 P and E Construction, LLC Debarment 4/8/2021 P and E Construction & Enola Contracting JV Debarment 5/3/2021 Daniels Jr., Harvey Debarment 5/3/2021 HDJ Security, Inc.</p> | | | |

Debarment 5/3/2021 Harvey Daniels Holdings, Inc.
 Debarment 5/3/2021 HDJ Monitoring, LLC

Criminal Results:

Criminal Indictment 3/12/2019 Alford, James Kevin
 Criminal Indictment 3/12/2019 Clark, James Allen
 Criminal Indictment 3/12/2019 Daniels Jr., Harvey
 Criminal Indictment 12/17/2018 Dillard, Jennifer Denise
 Criminal Sentencing 9/22/2020 Dillard, Jennifer Denise
 Criminal Conviction 1/22/2019 Dillard, Jennifer Denise
 Criminal Indictment 3/12/2019 Hogan, Eric Louie
 Criminal Indictment 12/17/2018 Reynolds, Kenneth Jack
 Criminal Sentencing 9/16/2020 Reynolds, Kenneth Jack
 Criminal Conviction 1/28/2019 Reynolds, Kenneth Jack
 Criminal Indictment 3/12/2019 Latham, Kenneth A.
 Criminal Indictment 9/26/2019 Alford, James Kevin
 Criminal Sentencing 7/28/2020 Alford, James Kevin
 Criminal Conviction 10/31/2019 Alford, James Kevin
 Criminal Indictment 9/26/2019 Clark, James Allen
 Criminal Sentencing 7/28/2020 Clark, James Allen
 Criminal Conviction 11/6/2019 Clark, James Allen
 Criminal Indictment 9/26/2019 Daniels Jr., Harvey
 Criminal Sentencing 7/29/2020 Daniels Jr., Harvey
 Criminal Conviction 10/23/2019 Daniels Jr., Harvey
 Criminal Indictment 9/26/2019 Hogan, Eric Louie
 Criminal Sentencing 7/29/2020 Hogan, Eric Louie
 Criminal Conviction 10/31/2019 Hogan, Eric Louie
 Criminal Indictment 9/26/2019 Latham, Kenneth A.
 Criminal Sentencing 7/29/2020 Latham, Kenneth A.
 Criminal Conviction 10/30/2019 Latham, Kenneth A.

[O-MA-14-0205-O](#)

BLACK HILLS NANOSYSTEMS, et al.

7/15/2021

SBIR/STTR Fraud

Admin Actions:

Debarment 8/13/2019 Laserlith Corporation
 Debarment 8/13/2019 Chao, Cassindy
 Debarment 8/13/2019 Tang, Wallace
 Debarment 8/13/2019 Kim, Gina
 Debarment 8/13/2019 Black Hills Nanosystems
 Debarment 8/13/2019 Blue Sky Engineering

Civil Results:

Civil Settlement 10/15/2020 Chao, Cassindy
 Civil Settlement Amt \$122,452.00 Chao, Cassindy
 Civil Settlement 9/17/2020 Kim, Gina
 Civil Settlement Amt \$250,000.00 Kim, Gina
 Civil Settlement 7/10/2020 Tang, Wallace
 Civil Settlement Amt \$250,000.00 Tang, Wallace

Criminal Results:

Criminal Indictment 7/27/2018 Black Hills Nanosystems
 Criminal Sentencing 1/14/2019 Black Hills Nanosystems

| | | | |
|-----------------------------------|--|------------|--|
| | <p>Criminal Conviction 9/5/2018 Black Hills Nanosystems Criminal Indictment 1/24/2019 (b)(6), (b)(7)(C) Alternate Resolution Date 1/24/2019 (b)(6), (b)(7)(C) Alternate Resolution (b)(6), (b)(7)(C) Criminal Indictment 7/27/2018 Blue Sky Engineering Criminal Sentencing 1/14/2019 Blue Sky Engineering Criminal Conviction 9/5/2018 Blue Sky Engineering Criminal Indictment 7/27/2018 Laserlith Corporation Criminal Sentencing 1/14/2019 Laserlith Corporation Criminal Conviction 9/5/2018 Laserlith Corporation Criminal Indictment 7/27/2018 MicroAssembly Technologies Criminal Indictment 2/4/2019 (b)(6), (b)(7)(C) Alternate Resolution Date 2/4/2019 (b)(6), (b)(7)(C) Alternate Resolution (b)(6), (b)(7)(C) Criminal Indictment 7/27/2018 Tang, Wallace Criminal Sentencing 1/14/2019 Tang, Wallace Criminal Conviction 9/5/2018 Tang, Wallace</p> | | |
| O-KE-14-0294-O | STAT INDUSTRY, INC. ET AL | 10/14/2021 | Product Substitution |
| | <p>Admin Actions: Debarment 8/21/2020 Hipps, Jonathan</p> | | |
| | <p>Criminal Results: Criminal Indictment 9/7/2016 (b)(6), (b)(7)(C) Alternate Resolution Date 6/27/2017 (b)(6), (b)(7)(C) Alternate Resolution (b)(6), (b)(7)(C) Criminal Indictment 1/16/2019 Hipps, Jonathan Criminal Sentencing 12/9/2019 Hipps, Jonathan Criminal Conviction 8/29/2019 Hipps, Jonathan Criminal Indictment 3/13/2019 Yun, Steven Criminal Conviction 12/18/2019 Yun, Steven</p> | | |
| O-NJ-15-0083-O | POLYK TECHNOLOGIES, LLC, ET AL. | 8/27/2021 | False Claim; SBIR/STTR Fraud |
| O-GL-15-0161-O | CUBRC INC. | 11/5/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Grants; Procurement Fraud - Other (False Claims/False Statements) |
| | <p>Civil Results: Civil Settlement 10/28/2021 CUBRC INC Civil Settlement Amt \$128,985.15 CUBRC INC</p> | | |
| O-LA-15-0243-O | (b)(6), (b)(7)(C) et al. | 3/18/2021 | Procurement Irregularities |
| O-GO-15-0255-HL-O | ALPHASENSE ET., AL. | 4/20/2021 | False Statements; SBIR/STTR Fraud |
| | <p>Admin Actions: Suspension 4/3/2017 AlphaSense Suspension 4/3/2017 Zhang, Xin Suspension 4/3/2017 Lv, PengCheng Suspension 4/3/2017 (b)(6), (b)(7)(C) Debarment 8/20/2021 AlphaSense Debarment 8/20/2021 Zhang, Xin Debarment 8/20/2021 Lv, PengCheng</p> | | |

| | | | |
|-----------------------------------|---|------------|--|
| | Civil Results: Civil Settlement 2/3/2021 Lv, PengCheng Civil Settlement Amt \$700,000.00 Lv, PengCheng | | |
| | Criminal Results: Criminal Indictment 7/28/2020 Lv, PengCheng Criminal Sentencing 2/3/2021 Lv, PengCheng Criminal Conviction 7/28/2020 Lv, PengCheng | | |
| O-GO-16-0103-O | MP TECHNOLOGIES, LLC | 10/28/2021 | False Claim; Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - SBIR/STTR |
| | Civil Results: Civil Settlement 8/26/2021 MP Technologies, LLC Civil Settlement Amt \$1,000,000.00 MP Technologies, LLC Civil Settlement 11/13/2020 McClintock, Ryan P Civil Settlement Amt \$70,000.00 McClintock, Ryan P | | |
| O-LA-16-0132-O | AEROPROBE CORPORATION, ET AL. | 3/10/2021 | Procurement Fraud - Other (False Claims/False Statements); SBIR/STTR Fraud |
| O-LB-16-0241-O | ALBIDO CORPORATION | 1/8/2021 | SBIR/STTR Fraud |
| | Civil Results: Civil Settlement 7/16/2020 Albido Corporation Civil Settlement Amt \$374,184.00 Albido Corporation Civil Settlement 12/1/2020 Gnadinger, Alfred Civil Settlement Amt \$28,500.00 Gnadinger, Alfred | | |
| O-MA-16-0276-O | MITCHELL INDUSTRIAL CONTRACTORS ET.AL. | 7/15/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| C-MA-16-0305-HL-O | FAR DISCLOSURE - BROWN, Norman S. | 8/24/2021 | Computer Crimes - Child Pornography Other; Computer Crimes - Other; Misconduct - Contractor Employee |
| | Criminal Results: Criminal Indictment 6/30/2016 Brown, Norman Stewart Criminal Sentencing 7/26/2021 Brown, Norman Stewart Criminal Conviction 7/26/2021 Brown, Norman Stewart | | |
| O-NJ-17-0122-O | PENNSYLVANIA STATE UNIVERSITY | 9/9/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| | Civil Results: Civil Settlement 5/6/2020 Pennsylvania State University Civil Settlement Amt \$151,000.00 Pennsylvania State University | | |
| O-KE-17-0141-S | PROACTIVE: INVESTIGATION OF POTENTIAL SMALL BUSINESS CONCERN FRAUD | 3/10/2021 | Proactive/Project Cases |
| O-GO-17-0280-HL-O | IMSG LABORATORIES, INC. | 12/17/2021 | Procurement Fraud - Grants; Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |

| | | | |
|--------------------------------|--|------------|---|
| O-LB-17-0347-S | Proactive: Financial Crimes Task Force Coordination in AOR | 7/30/2021 | Other Offenses - All Others Not Defined Elsewhere |
| O-WA-18-0053-S | UNAUTHORIZED OUTSIDE ACTIVITIES & MISUSE OF GOVERNMENT RESOURCES | 3/19/2021 | Misconduct - NASA Employee |
| | Admin Actions: Action Taken 3/18/2021 (b)(6), (b)(7)(C) | | |
| C-LA-18-0085-O | SUBJECT UNKNOWN: KOVTER MALWARE INFECTIONS | 3/9/2021 | Computer Crime - Unauthorized Access |
| C-JS-18-0161-O | MESSENGER, Scott | 6/23/2021 | Computer Crimes - Child Pornography Other |
| | Criminal Results: Criminal Sentencing 4/22/2021 Messenger, Scott R Criminal Conviction 2/10/2021 Messenger, Scott R | | |
| O-AR-18-0279-O | AMERICAN PARAGON PROTECTIVE SERVICES/FIORE | 1/10/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| | Civil Results: Civil Settlement 8/11/2020 Fiore Industries, Inc. Civil Settlement Amt \$1,200,000.00 Fiore Industries, Inc. | | |
| O-NJ-19-0035-S | PROACTIVE PROJECT: AFFIRMATIVE CIVIL ENFORCEMENT STRIKE FORCE | 1/26/2021 | Non-Criminal; Other Offenses - All Others Not Defined Elsewhere |
| O-NJ-19-0095-O | COMBUSTION RESEARCH AND FLOW TECHNOLOGY (CRAFT TECH) | 4/21/2021 | Procurement Fraud - SBIR/STTR |
| | Civil Results: Civil Settlement 4/15/2021 Combustion Research and Flow Technology Civil Settlement Amt \$192,586.00 Combustion Research and Flow Technology | | |
| O-AF-19-0105-O | (b)(6), (b)(7)(C) | 3/9/2021 | Theft/Larceny/Embezzlement â€“ ADP/Computer Hardware |
| | Admin Actions: Action Taken 1/28/2021 (b)(6), (b)(7)(C) | | |
| O-MA-19-0106-O | (b)(6), (b)(7)(C) | 9/10/2021 | Export Violations â€“ Technology (Including Computers) |
| O-ST-19-0108-O | HARRY PEPPER AND ASSOCIATES INC., ET. AL. | 12/22/2021 | Procurement Fraud - Cost/Labor Mischarging |
| O-MA-19-0111-O | Interdisciplinary Consulting Corporation | 7/22/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| O-LB-19-0119-O | YOUNING LI | 7/30/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| | Admin Actions: Debarment 7/18/2019 Applied Science and Technology Consulting, LLC Debarment 7/18/2019 Li, Younging | | |
| | Civil Results: Civil Settlement 1/29/2021 CH2M HILL Civil Settlement Amt \$1,453,263.00 CH2M HILL | | |
| O-JS-19-0129-O | FINK, Bradley T. | 3/16/2021 | Theft/Larceny/Embezzlement â€“ Property/Equipment/Supply |

| | | | |
|-----------------------------------|--|------------|--|
| | Admin Actions: Debarment 6/25/2020 Fink, Bradley Todd | | |
| | Criminal Results: Criminal Indictment 6/10/2019 Fink, Bradley Todd Criminal Sentencing 11/18/2019 Fink, Bradley Todd Criminal Conviction 8/26/2019 Fink, Bradley Todd Criminal Restitution Amt \$14,999.00 Fink, Bradley Todd | | |
| O-AR-19-0138-O | THERMOSOLV LLC | 11/29/2021 | Procurement Fraud - SBIR/STTR |
| | Civil Results: Civil Settlement 12/28/2020 Thermosolv LLC Civil Settlement Amt \$541,531.00 Thermosolv LLC | | |
| C-AF-19-0144-S | PROACTIVE PROJECT: AFRC RAW DATA FILE | 5/12/2021 | Proactive/Project Cases |
| O-LB-19-0150-O | (b)(6), (b)(7)(C) | 4/12/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-19-0163-HL-S | IMPROPER HANDLING OF EMPLOYEE DRUG ADDICTION | 7/19/2021 | Other Offenses - All Others Not Defined Elsewhere |
| O-MA-19-0173-O | (b)(5), (b)(7)(C) | 1/19/2021 | Export Violations "Technology (Including Computers) |
| C-HS-19-0178-O | (b)(6), (b)(7)(C) | 4/14/2021 | Computer Crimes - Child Pornography Other; Misconduct - Contractor Employee |
| O-GO-19-0184-S | PROACTIVE PROJECT: 1,000 TALENTS PLAN ASSESSMENT | 12/3/2021 | Export Violations "Technology (Including Computers); Misconduct - Employee - False Statements; Proactive/Project Cases; Procurement Fraud - Grants; Theft/Larceny/Embezzlement - Other |
| C-AR-19-0187-S | (b)(6), (b)(7)(C) | 5/12/2021 | Computer Crimes - Other; Theft/Larceny/Embezzlement - Other |
| C-KE-19-0188-O | (b)(6), (b)(7)(C) | 1/20/2021 | Computer Crimes - Child Pornography Other |
| C-GO-19-0198-S | (b)(6), (b)(7)(C) | 1/13/2021 | Computer Crimes - Child Pornography Other |
| O-MA-19-0201-S | EAST-2 CONTRACT IRREGULARITIES | 12/22/2021 | Misconduct - NASA Employee; Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-LA-19-0204-O | CHARLES STARK DRAPER LABORATORY, INC. | 12/21/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-19-0226-S | PROACTIVE PROJECT: CENTRAL FLORIDA FINCEN SAR TASK FORCE | 10/28/2021 | Proactive/Project Cases |
| O-AF-19-0249-O | (b)(6), (b)(7)(C) | 12/14/2021 | Conflict of Interest - Other |
| | Admin Actions: Action Taken 8/24/2021 (b)(6), (b)(7)(C) Action Taken 1/30/2021 (b)(5), (b)(7)(C) | | |

| | | | |
|--------------------------------|--|-----------|--|
| O-GL-19-0256-O | SENTIENT SCIENCE CORPORATION | 3/29/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| | Civil Results: Civil Settlement 3/23/2021 Sentient Science Corporation Civil Settlement Amt \$490,000.00 Sentient Science Corporation | | |
| C-AR-19-0262-S | (b)(6), (b)(7)(C) | 3/2/2021 | Computer Crimes - Data Theft |
| C-AR-19-0276-S | (b)(6), (b)(7)(C) | 9/3/2021 | Export Violations " Technology (Including Computers); Misconduct - NASA Employee |
| O-JS-20-0005-O | UNITED LAUNCH ALLIANCE, LLC, et al | 8/16/2021 | Safety " Human Flight/Direct Human Impact |
| O-KE-20-0017-O | (b)(6), (b)(7)(C) | 8/16/2021 | General Criminal Offenses " Other (Includes Drug Offenses) |
| | Criminal Results: Criminal Indictment 4/28/2020 (b)(6), (b)(7)(C) Criminal Sentencing 6/24/2021 (b)(6), (b)(7)(C) Criminal Conviction 6/24/2021 (b)(6), (b)(7)(C) Alternate Resolution Date 6/24/2021 (b)(6), (b)(7)(C) Alternate Resolution (b)(6), (b)(7)(C) | | |
| O-LA-20-0018-O | UNAUTHORIZED DATA TRANSFER " NATIONAL TRANSONIC FACILITY | 6/23/2021 | Export Violations - Other; General Criminal Offenses " Other (Includes Drug Offenses) |
| O-KE-20-0046-O | GLOBAL TECHNOLOGY CONNECTION INC. (SBIR) | 5/24/2021 | Procurement Fraud - SBIR/STTR |
| O-KE-20-0066-S | PROACTIVE INVESTIGATION: PAWN TRANSACTIONS INVOLVING KSC EMPLOYEES AND CONTRACTORS | 4/22/2021 | Theft/Larceny/Embezzlement " Property/Equipment/Supply |
| C-GO-20-0069-O | SUBJECT UNKNOWN: GIFT CARD SCAM EMAILS | 1/13/2021 | Computer Crime - Unauthorized Access; Computer Crimes - Other |
| O-LB-20-0078-S | Allegation of Whistleblower retaliation | 2/12/2021 | Whistleblower |
| C-AF-20-0083-O | (b)(6), (b)(7)(C): Potential Threat and Unauthorized Access | 5/5/2021 | Misconduct - NASA Employee |
| O-KE-20-0087-O | (b)(6), (b)(7)(C) | 4/8/2021 | Computer Crimes - Child Pornography Other |
| O-MF-20-0107-S | WHISTLEBLOWER RETALIATION INVESTIGATION - (b)(6), (b)(7)(C) | 1/26/2021 | Whistleblower Retaliation |
| O-ST-20-0111-S | POSSIBLE WHISTLEBLOWER- (b)(6), (b)(7)(C) | 1/25/2021 | Whistleblower |
| O-LB-20-0113-S | PROACTIVE: JPL SUBCONTRACTS BETWEEN \$10-\$25 MILLION | 12/6/2021 | Proactive/Project Cases |
| O-LB-20-0123-S | PROACTIVE PROJECT: REGIONAL ANALYTICS AND INVESTIGATIVE DEVELOPMENT | 10/4/2021 | Proactive/Project Cases |

| | | | |
|---|---|------------|--|
| O-GO-20-0129-S | MISSING FIREARM â€“ GODDARD SECURITY | 4/7/2021 | Misconduct - Contractor Employee; Security Violations â€“ All Types; Theft/Larceny/Embezzlement â€“ Property/Equipment/Supply |
| O-KE-20-0143-P | ALLEGED RETALIATION AND MISMANAGEMENT INVOLVING NEST CONTRACT | 2/23/2021 | Procurement Fraud - Cost/Labor Mischarging; Whistleblower Retaliation |
| O-JS-20-0145-HL-S | MISUSE OF NASA RESOURCES â€“ [REDACTED] | 1/12/2021 | Other Offenses - All Others Not Defined Elsewhere |
| C-HS-20-0149-O | HETLE, Michael J | 3/10/2021 | Other Offenses - All Others Not Defined Elsewhere |
| Criminal Results: Criminal Indictment 11/16/2020 Hetle, Micheal J | | | |
| O-LB-20-0150-S | TRESPASSING AT SSFL | 10/22/2021 | General Criminal Offenses â€“ Other (Includes Drug Offenses) |
| C-AR-20-0161-P | OSX Malcol Malware | 3/9/2021 | Computer Crimes - Other |
| O-NJ-20-0165-O | PRINCETON UNIVERSITY, ET AL. (1K TALENT) | 11/22/2021 | Procurement Fraud - Grants |
| O-JS-20-0167-O | [REDACTED] | 9/21/2021 | Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - Substitution/Nonconforming Product |
| O-LB-20-0170-S | TRESPASSING AT SSFL - NUTTAL, et al | 10/21/2021 | Other Offenses - All Others Not Defined Elsewhere |
| C-MA-20-0173-S | Recurrent Misuse of Government IT Equipment By a NASA Contractor | 8/4/2021 | Misconduct - Contractor Employee |
| O-GO-20-0190-S | PROACTIVE PROJECT: FOREIGN INFLUENCE EMAIL ANALYSIS - (1K TALENT) | 8/24/2021 | Conflict of Interest - Employment Considerations; Conflict of Interest - Other; Misconduct - Employee - False Statements; Other Offenses - All Others Not Defined Elsewhere; Proactive/Project Cases; Procurement Fraud - Grants |
| O-WA-20-0191-HL-P | SAND POINT SERVICES, LLC | 1/7/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-LB-20-0195-S | ALLEGED FALSE CLAIM [REDACTED] | 3/1/2021 | Procurement Fraud - Cost/Labor Mischarging |
| O-KE-20-0197-S | PROACTIVE: NASA CARES ACT FUNDS | 3/30/2021 | Proactive/Project Cases |
| O-WA-20-0199-S | PROCUREMENT FRAUD - BALLOON PROGRAM OFFICE | 5/24/2021 | Misconduct - NASA Employee; Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements); Procurement Integrity Issues |
| O-ST-20-0202-O | [REDACTED] | 12/21/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-GL-20-0227-P | [REDACTED] - (1K TALENT) | 1/11/2021 | Procurement Fraud - Grants |
| O-KE-20-0231-O | [REDACTED] | 3/26/2021 | Security Violations â€“ All Types |

| | | | |
|-----------------------------------|--|------------|---|
| O-KE-20-0234-O | (b)(6), (b)(7)(C) | 4/6/2021 | Other Offenses - All Others Not Defined Elsewhere |
| | Admin Actions: Action Taken 6/5/2020 (b)(6), (b)(7)(C) | | |
| | (b)(6), (b)(7)(C) | | |
| O-JS-20-0239-P | WOMACK MACHINE SUPPLY | 6/3/2021 | Procurement Fraud - Buy America Act Violations |
| O-MF-20-0242-S | WHISTLEBLOWER RETALIATION INVESTIGATION- (b)(6), (b)(7)(C) | 6/10/2021 | Whistleblower Retaliation |
| O-JS-20-0244-O | RICE UNIVERSITY | 3/31/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Grants; Procurement Fraud - Other (False Claims/False Statements) |
| | Civil Results: Civil Settlement 2/9/2021 Rice University Civil Settlement Amt \$121,320.00 Rice University | | |
| C-AR-20-0248-P | (b)(6), (b)(7)(C) | 5/25/2021 | Computer Crimes - Child Pornography Other |
| O-KE-20-0257-P | ALLEGED THEFT OF CONSTRUCTION EQUIPMENT | 4/2/2021 | Theft/Larceny/Embezzlement " Property/Equipment/Supply |
| C-MA-20-0260-P | Potential Business Email Compromise of NASA Contractor | 3/9/2021 | Computer Crime - Unauthorized Access; Computer Crimes - Other |
| O-JS-20-0262-P | LORAND TECHNOLOGIES INC. | 1/5/2021 | Procurement Fraud - SBIR/STTR |
| O-KE-20-0263-P | ALLEGED COLLUSION RELATED TO COST MISCHARGING | 6/10/2021 | Antitrust Act Violation " Other; Misconduct - Contractor Employee; Pay & Allowance Fraud " Other |
| O-KE-20-0266-S | WHISTLEBLOWER RETALIATION - (b)(6), (b)(7)(C) | 3/26/2021 | Other Offenses - All Others Not Defined Elsewhere |
| C-AR-20-0268-P | UNKNOWN SUBJECT: ARC Spear Phishing and Impersonation | 7/14/2021 | Computer Crimes - Other; Other Offenses - All Others Not Defined Elsewhere |
| O-NJ-20-0270-HL-P | (b)(6), (b)(7)(C) | 1/22/2021 | Other Offenses - All Others Not Defined Elsewhere |
| O-AR-20-0273-O | SPACE X INVESTIGATION INTO SUBCONTRACTOR FIVE AXIS INDUSTRIES | 6/11/2021 | Bribery " Other; Procurement Fraud - Substitution/Nonconforming Product |
| C-JP-20-0278-P | (b)(6), (b)(7)(C) | 4/21/2021 | Computer Crimes - Other |
| O-JP-20-0281-O | (b)(6), (b)(7)(C) | 12/13/2021 | Export Violations - Other; Misconduct - Contractor Employee |
| O-LB-20-0286-O | (b)(6), (b)(7)(C), et al. | 5/20/2021 | Export Violations - Other |
| O-LB-20-0288-P | SUBJECT UNKNOWN: SUSPECTED COOPERATIVE AGREEMENT IRREGULARITIES | 2/11/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-ST-20-0289-HL-P | POTENTIAL LEAK OF SENSITIVE PROCUREMENT DATA | 5/20/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |

| | | | |
|--|--|------------|--|
| O-ST-20-0290-S | PROACTIVE EFFORT â€“ SLS COUNTERFEIT/NON-CONFORMING HARDWARE | 7/12/2021 | Proactive/Project Cases |
| O-GO-20-0294-O | TEZNA, Nestor A. - (COVID-19 Relief) | 11/26/2021 | Bank Fraud; General Criminal Offenses â€“ Other (Includes Drug Offenses); Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere |
| Criminal Results: Criminal Indictment 4/19/2021 Tezna, Nestor A Criminal Sentencing 7/15/2021 Tezna, Nestor A Criminal Conviction 4/19/2021 Tezna, Nestor A Criminal Restitution Amt \$285,449.11 Tezna, Nestor A | | | |
| O-GL-20-0296-S | (b)(6), (b)(7)(C) | 4/12/2021 | Non-Criminal; Standards of Conduct - 5 CFR part 2635 Subpart H Outside Activities |
| O-GL-20-0300-P | ALLEGED SUBCONTRACTING FRAUD | 8/27/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-20-0301-P | (b)(6), (b)(7)(C) | 3/8/2021 | General Criminal Offenses â€“ Other (Includes Drug Offenses) |
| Admin Actions: Action Taken 3/2/2021 (b)(6), (b)(7)(C) | | | |
| O-WA-20-0304-HL-P | POTENTIAL CONFLICT OF INTEREST â€“ WALLOPS SAFETY OFFICE | 3/2/2021 | Other Offenses - All Others Not Defined Elsewhere |
| O-GO-20-0305-HL-S | RETALIATION AFTER REPORTING COVID-19 SAFETY ISSUE | 11/8/2021 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere; Whistleblower Retaliation |
| O-RM-20-0310-O | Center for Severe Weather Research | 11/18/2021 | Procurement Fraud - Grants; Procurement Fraud - Other (False Claims/False Statements) |
| Admin Actions: Suspension 10/19/2020 Center for Severe Weather Research Suspension 10/19/2020 (b)(6), (b)(7)(C) Suspension 10/19/2020 (b)(6), (b)(7)(C) | | | |
| Civil Results: Civil Settlement 10/4/2021 Center for Severe Weather Research Civil Settlement Amt \$2,613,413.00 Center for Severe Weather Research | | | |
| O-AR-20-0312-HL-P | QUESTIONABLE ACTIONS OF BAERI EMPLOYEE | 1/28/2021 | Other Offenses - All Others Not Defined Elsewhere |
| O-WA-20-0314-HL-S | POTENTIAL CONFLICT OF INTEREST â€“ SAFETY OFFICE | 3/26/2021 | Other Offenses - All Others Not Defined Elsewhere |
| C-MA-20-0316-S | PROACTIVE PROJECT - Analyses of NASA Network Border Traffic | 8/27/2021 | Proactive/Project Cases |

| | | | |
|-----------------------------------|---|------------|--|
| O-GO-20-0319-HL-S | QUESTIONABLE SOCIAL MEDIA BEHAVIOR | 4/22/2021 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere; Standards of Conduct - 5 CFR part 2635 Subpart E Impartiality in Performing Official Duties |
| O-LB-21-0003-HL-S | RETALIATION BY LOCKHEED MARTIN [REDACTED] [REDACTED] [REDACTED] | 11/18/2021 | Whistleblower Retaliation |
| C-MA-21-0004-S | Unauthorized Disclosure of an Investigation | 8/4/2021 | Computer Crimes - Other |
| O-GO-21-0005-S | PROACTIVE PROJECT: UNREPORTED FOREIGN GIFTS TO U.S. UNIVERSITIES | 11/22/2021 | Proactive/Project Cases; Procurement Fraud - Other (False Claims/False Statements) |
| O-NJ-21-0006-P | CORNELL UNIVERSITY | 7/21/2021 | Other Offenses - All Others Not Defined Elsewhere; Proactive/Project Cases; Procurement Fraud - Other (False Claims/False Statements) |
| O-WA-21-0008-P | POSSIBLE FALSE CLAIMS â€” LIT & Associates, Inc. | 6/1/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-LB-21-0009-O | [REDACTED] | 7/6/2021 | Misconduct - Employee - False Statements |
| O-RM-21-0011-HL-P | UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH | 4/29/2021 | Conflict of Interest - Other |
| O-LB-21-0012-O | EXPRESS MANUFACTURING | 10/21/2021 | Export Violations - Other |
| C-JS-21-0014-S | NASA Purchase Card Program Temporary Suspension | 4/14/2021 | Non-Criminal |
| O-JS-21-0024-S | ALLEGED WHISTLEBLOWER RETALIATION - [REDACTED] | 5/10/2021 | Whistleblower Retaliation |
| O-KE-21-0026-P | [REDACTED] | 1/21/2021 | General Criminal Offenses â€” Other (Includes Drug Offenses); Misconduct - Contractor Employee |
| | Admin Actions: Action Taken 12/18/2020 [REDACTED] | | |
| O-KE-21-0029-S | ALLEGED WHISTLEBLOWER RETALIATION ALLEGATIONS | 1/22/2021 | Whistleblower Retaliation |
| O-JS-21-0032-P | Firefly Aerospace, Inc. | 7/28/2021 | Export Violations - Other; Procurement Fraud - Other (False Claims/False Statements) |
| O-JS-21-0034-HL-S | ALLEGED STANDARDS OF CONDUCT VIOLATION - OUTSIDE ACTIVITIES | 7/29/2021 | Standards of Conduct - 5 CFR part 2635 Subpart H Outside Activities |
| O-RM-21-0038-S | RAW DATA FILE: PROACTIVE PROJECT ARTEMIS PROGRAM | 7/22/2021 | Proactive/Project Cases |
| O-AR-21-0040-HL-P | BREACH OF PRIVACY BY A CONTRACTOR EMPLOYEE | 4/19/2021 | Misconduct - Contractor Employee |
| O-KE-21-0042-S | PROACTIVE PROJECT: THOUSAND TALENTS PLAN | 1/6/2022 | Proactive/Project Cases |

| | | | |
|-----------------------------------|---|------------|--|
| O-ST-21-0044-S | INTERNAL INVESTIGATION - 2021-1 | 1/14/2022 | Misconduct - Employee - False Statements |
| C-MA-21-0045-P | SUBJECT UNKNOWN - Possible Compromises and targeting of NASA Systems | 5/5/2021 | Computer Crime - Unauthorized Access; Computer Crimes - Other |
| O-MA-21-0047-S | DOJ COMMERCIAL LITIGATION BRANCH REQUEST | 1/25/2021 | Non-Criminal |
| O-JP-21-0048-O | (b)(6), (b)(7)(C) ET AL. (COVID-19 RELIEF) | 8/13/2021 | Bank Fraud; False Statements; Misconduct - NASA Employee |
| O-AF-21-0049-P | SUBJECT UNKNOWN: SUSPICIOUS FINANCIAL ACTIVITY | 6/3/2021 | Misconduct - NASA Employee |
| O-LA-21-0052-P | POSSIBLE FALSE CLAIMS | 12/21/2021 | Procurement Fraud - Buy America Act Violations; Procurement Fraud - Other (False Claims/False Statements) |
| O-AR-21-0054-P | (b)(6), (b)(7)(C) | 4/22/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-ST-21-0057-HL-P | MANAGEMENT IRREGULARITIES - SECURITY WALLS, LLC | 6/15/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-JS-21-0059-P | ALLEGED GRANT FRAUD AT WASHINGTON UNIVERSITY ST. LOUIS | 9/7/2021 | Procurement Fraud - Other (False Claims/False Statements) |
| O-GO-21-0063-HL-S | POTENTIAL HATCH ACT VIOLATION â€” FLIGHT PROJECTS DIRECTORATE | 3/26/2021 | Hatch Act Violation; Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere |
| O-KE-21-0064-P | FRAUDULENT USE OF NASA CREDIT CARDS | 10/4/2021 | Theft/Larceny/Embezzlement - Other |
| O-MA-21-0066-S | Whistleblower Allegation | 6/24/2021 | Whistleblower Retaliation |
| O-GL-21-0067-P | UHV TECHNOLOGIES INC., ET AL. | 7/8/2021 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - SBIR/STTR |
| O-MA-21-0068-P | FRAUD BY NASA EMPLOYEES | 5/13/2021 | Theft/Larceny/Embezzlement - Other |
| O-KE-21-0069-P | PROPRIETARY DATA BREACH â€” HUMAN LANDER SYSTEM (HLS) PROGRAM | 5/10/2021 | Procurement Integrity Issues |
| O-KE-21-0077-P | CHILD MOLESTATION ARREST WARRANT ISSUED FOR NASA EMPLOYEE | 9/23/2021 | General Criminal Offenses â€” Other (Includes Drug Offenses) |
| O-KE-21-0078-O | MALIK, Jezza Adam | 12/13/2021 | General Criminal Offenses â€” Other (Includes Drug Offenses) |
| | Criminal Results: Criminal Indictment 9/22/2021 Malik, Jezza Adam Criminal Sentencing 12/7/2021 Malik, Jezza Adam Criminal Conviction 12/7/2021 Malik, Jezza Adam | | |
| O-GL-21-0081-P | ALLEGED ETHICS VIOLATION | 3/25/2021 | Conflict of Interest - Other |
| C-JS-21-0091-P | ALLEGED DISCLOSURE OF ISS RECORDS | 10/28/2021 | Export Violations - Other |
| O-GL-21-0096-S | ALLEGED CONFLICT OF INTEREST | 3/31/2021 | Conflict of Interest - Financial; Misconduct - NASA Employee; Standards of Conduct - 5 CFR part 2635 Subpart D Conflicting Financial Interests |

| | | | |
|-----------------------------------|--|------------|---|
| O-KE-21-0098-P | ALLEGED MISAPPROPRIATION OF FIREFIGHTER TRAINING | 6/28/2021 | Theft/Larceny/Embezzlement â€“ Property/Equipment/Supply |
| | Admin Actions: Action Taken 6/23/2021 (b)(6), (b)(7)(C) | | |
| O-MA-21-0099-O | (b)(6), (b)(7)(C) | 1/4/2022 | Export Violations â€“ Technology (Including Computers) |
| O-KE-21-0103-P | ALLEGED BURGLARY AT KSC VISITOR'S CENTER | 3/29/2021 | Theft/Larceny/Embezzlement - Other |
| O-JS-21-0104-P | SUSPICIOUS CIRCUMSTANCES AFFECTING A FINANCIAL INTEREST | 8/31/2021 | Program Management Irregularities - Other |
| O-MA-21-0106-P | SUSPICIOUS ACTIVITY | 4/9/2021 | Non-Criminal |
| O-AR-21-0113-HL-S | HYUNDAI MOTOR COMPANY | 4/22/2021 | Conflict of Interest - Other |
| O-GO-21-0122-O | QUADRANT MAGNETICS | 11/2/2021 | Export Violations â€“ Technology (Including Computers); Procurement Fraud - Buy America Act Violations; Procurement Fraud - Substitution/Nonconforming Product |
| C-MA-21-0126-HL-P | SUBJECT UNKNOWN - (b)(6), (b)(7)(C) | 12/10/2021 | Other Offenses - All Others Not Defined Elsewhere; Theft/Larceny/Embezzlement - Other |
| O-AR-21-0128-HL-P | QUESTIONABLE PROJECT MANAGEMENT â€“ CODE SCF | 6/11/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-MA-21-0129-P | ALLEGED MISAPPROPRIATION OF GRANT FUNDS | 12/21/2021 | Procurement Fraud - Grants |
| O-KE-21-0131-S | WHISTLEBLOWER ALLEGATION â€“ (b)(6), (b)(7)(C) | 4/29/2021 | Whistleblower Retaliation |
| O-LB-21-0136-P | SUBJECT UNKNOWN: ALLEGATIONS OF CERTIFICATION FRAUD IN PARTS TREATMENT | 8/31/2021 | Procurement Fraud - Substitution/Nonconforming Product |
| O-AR-21-0138-P | Ames Research Center Exchange | 6/16/2021 | Misconduct - NASA Employee |
| O-MA-21-0140-HL-P | QUANTECH SERVICES, INC. â€“ PROCUREMENT IRREGULARITIES | 7/19/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-MA-21-0143-HL-P | THE BOEING COMPANY - PROCUREMENT IRREGULARITIES | 9/17/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| C-AR-21-0144-P | (b)(6), (b)(7)(C) | 9/28/2021 | General Criminal Offenses â€“ Other (Includes Drug Offenses); Other Offenses - All Others Not Defined Elsewhere; Theft/Larceny/Embezzlement â€“ Property/Equipment/Supply |
| O-KE-21-0149-HL-P | QUESTIONABLE CONTRACT MODIFICATION LANGUAGE â€“ KPSC II | 7/7/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-KE-21-0150-P | ALLEGED THREATS OF VIOLENCE â€“ NON-NASA EMPLOYEE | 7/31/2021 | Other Offenses - All Others Not Defined Elsewhere |

| | | | |
|-----------------------------------|---|------------|--|
| O-MA-21-0152-P | MISHANDLING OF CLASSIFIED INFORMATION | 1/6/2022 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere; Security Violations â€œ All Types |
| O-MA-21-0154-HL-S | FAR DISCLOSURE â€œ AEROJET ROCKETDYNE, INC. (TIME MISCHARGING) | 8/30/2021 | Procurement Fraud - Cost/Labor Mischarging |
| C-HS-21-0155-S | UNKNOWN: LEEP Portal Compromise | 10/5/2021 | Computer Crime - Unauthorized Access |
| O-AR-21-0159-P | (b)(6), (b)(7)(C) | 10/21/2021 | Conflict of Interest - Employment Considerations |
| O-MF-21-0160-P | ALLEGED SEXUAL ASSAULT | 6/24/2021 | Misconduct - Contractor Employee |
| O-KE-21-0164-S | SALE OF NASA ITEMS ON AUCTION WEBSITE | 6/10/2021 | Export Violations - Other; Theft/Larceny/Embezzlement â€œ Property/Equipment/Supply |
| O-AR-21-0167-HL-S | ALLEGED DISCRIMINATION BY NASA SBIR OFFICE | 9/7/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-GO-21-0168-HL-P | ALLEGED DRUG ACTIVITY BY GODDARD CIVIL SERVANT | 9/15/2021 | General Criminal Offenses â€œ Other (Includes Drug Offenses); Misconduct - NASA Employee |
| O-GO-21-0169-S | (b)(6), (b)(7)(C) | 6/22/2021 | Misconduct - Contractor Employee; Non-Criminal |
| O-LB-21-0170-P | POTENTIAL DEFECTIVE PRODUCTS | 12/13/2021 | Procurement Fraud - Substitution/Nonconforming Product |
| O-GO-21-0172-P | SUSPICIOUS FINANCIAL TRANSACTIONS | 11/22/2021 | Bank Fraud |
| O-LB-21-0180-P | SUBJECT UNKNOWN: SUSPECTED COST MISCHARGING | 12/6/2021 | Non-Criminal |
| O-GO-21-0187-HL-P | ALLEGED SEXUAL ASSAULT OF FORMER CONTRACTOR EMPLOYEE | 11/26/2021 | Misconduct - NASA Employee |
| O-AR-21-0188-P | (b)(6), (b)(7)(C) (COVID-19 RELIEF) | 12/2/2021 | Proactive/Project Cases; Standards of Conduct - 5 CFR part 2635 Subpart H Outside Activities |
| O-AR-21-0192-P | (b)(6), (b)(7)(C) (COVID-19 RELIEF) | 1/10/2022 | Misconduct - Employee - False Statements |
| O-LA-21-0193-S | ISS Action Protective Services â€œ Contract Security Incident | 12/22/2021 | Misconduct - Contractor Employee; Non-Criminal |
| O-AR-21-0194-P | (b)(6), (b)(7)(C) (COVID-19 RELIEF) | 12/13/2021 | Misconduct - Employee - False Statements |
| O-GO-21-0200-S | PROACTIVE: FRAUDULENT USE OF PANDEMIC RELIEF FUNDING (INTERNAL) | 12/14/2021 | Proactive/Project Cases |
| O-RM-21-0201-P | (b)(6), (b)(7)(C) (1K Talent) | 11/17/2021 | Procurement Fraud - Grants |
| O-AR-21-0205-P | Auto Fire Guard, LLC | 9/27/2021 | Misuse of NASA Seal; Proactive/Project Cases |
| O-LA-21-0211-P | ALLEGED SALE OF PRESCRIPTION NARCOTICS | 8/27/2021 | General Criminal Offenses â€œ Other (Includes Drug Offenses) |
| O-MA-21-0213-P | SUSPICIOUS ACTIVITY | 9/10/2021 | Other Offenses - All Others Not Defined Elsewhere |

| | | | |
|-----------------------------------|--|------------|--|
| O-GO-21-0215-HL-P | PROCUREMENT IRREGULARITIES â€” LJT & ASSOCIATES, INC. | 9/29/2021 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-NJ-21-0219-P | ALLEGED SBIR FRAUD | 9/23/2021 | Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| O-GL-21-0235-HL-P | ALLEGED COST MISCHARGING BY CONTRACTOR EMPLOYEE | 11/16/2021 | Procurement Fraud - Cost/Labor Mischarging |
| O-JP-21-0236-P | SUBJECT UNKNOWN: SUSPICIOUS FINANCIAL ACTIVITY | 12/29/2021 | Bank Fraud; Misconduct - Contractor Employee |
| O-LA-22-0014-S | DATA ACQUISITION SYSTEM CONFLICT-TRANSONIC DYNAMICS TUNNEL | 12/22/2021 | Non-Criminal |
| O-GO-22-0045-HL-S | ALLEGED PROCUREMENT INTEGRITY ISSUES | 1/6/2022 | Computer Crimes - Other; Procurement Integrity Issues |

NASA OIG Closed Cases for CY2022

| Case Number | Case Title | Closing Date | Allegations |
|--------------------------------|---|--------------|---|
| O-KE-10-0261-O | SECURITY ASSISTANCE CORPORATION, ET AL | 8/4/2022 | False Statements; Violation of NASA Regulations |
| | Admin Actions: Debarment 4/7/2015 Dunkel, Michael B Debarment 4/7/2015 Michael B. Dunkel, Inc. Debarment 4/7/2015 M & P Dunkel, LLC | | |
| | Civil Results: Civil Settlement 12/11/2014 Hedman, Keith Civil Settlement Amt \$4,500,000.00 Hedman, Keith Civil Settlement 2/23/2015 Hamilton, Dawn Civil Settlement Amt \$300,000.00 Hamilton, Dawn Civil Settlement 9/3/2015 Sanborn, David Civil Settlement Amt \$58,587.67 Sanborn, David Civil Settlement 9/3/2015 Protection Strategies, Inc. Civil Settlement Amt \$250,000.00 Protection Strategies, Inc. | | |
| | Criminal Results: Criminal Indictment 3/13/2013 Sanborn, David Criminal Sentencing 7/19/2013 Sanborn, David Criminal Conviction 3/13/2013 Sanborn, David Criminal Restitution Amt \$234,351.00 Sanborn, David Criminal Indictment 3/14/2013 Richards, Joseph Criminal Sentencing 6/14/2013 Richards, Joseph Criminal Conviction 3/14/2013 Richards, Joseph Criminal Restitution Amt \$120,378.73 Richards, Joseph Criminal Indictment 3/13/2013 Hedman, Keith Criminal Sentencing 6/21/2013 Hedman, Keith Criminal Conviction 3/13/2013 Hedman, Keith Criminal Restitution Amt \$6,100,000.00 Hedman, Keith Criminal Indictment 3/15/2013 Hamilton, Dawn Criminal Sentencing 6/28/2013 Hamilton, Dawn Criminal Conviction 3/15/2013 Hamilton, Dawn Criminal Restitution Amt \$1,232,000.00 Hamilton, Dawn Criminal Indictment 3/18/2013 Lux, David Criminal Sentencing 6/14/2013 Lux, David Criminal Conviction 3/18/2013 Lux, David Criminal Restitution Amt \$115,556.90 Lux, David Criminal Indictment 4/8/2013 Hertogs, John Criminal Sentencing 7/26/2013 Hertogs, John Criminal Conviction 4/8/2013 Hertogs, John Criminal Indictment 4/11/2013 Matthews, Derek G Criminal Sentencing 7/18/2013 Matthews, Derek G Criminal Conviction 4/11/2013 Matthews, Derek G Criminal Restitution Amt \$12,500.01 Matthews, Derek G Criminal Indictment 5/23/2013 Dunkel, Michael B Criminal Sentencing 2/28/2014 Dunkel, Michael B Criminal Conviction 5/23/2013 Dunkel, Michael B Criminal Restitution Amt \$2,960,697.37 Dunkel, Michael B | | |

| | | | |
|---|--|-----------|--|
| O-IS-14-0106-O | NEIPERT, Marshall | 2/22/2022 | Export Control Violations; Non-Criminal Cases; Other Federal Crimes on NASA Facilities; Violation of NASA Regulations |
| Admin Actions: Action Taken 12/20/2017 Neipert, Marshall D | | | |
| Criminal Results: Criminal Indictment 11/16/2017 Neipert, Marshall D Criminal Sentencing 2/20/2019 Neipert, Marshall D Criminal Conviction 11/16/2017 Neipert, Marshall D Criminal Indictment 9/18/2017 Lutey, Michael Douglas Criminal Sentencing 2/20/2019 Lutey, Michael Douglas Criminal Conviction 9/18/2017 Lutey, Michael Douglas | | | |
| O-GL-14-0189-O | MAINTHIA TECHNOLOGIES INCORPORATED, ET AL. | 1/21/2022 | Cost Mischarging/Defective Pricing/False Claims; Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| Civil Results: Civil Settlement 12/16/2021 Mainthia Technologies Inc. Civil Settlement Amt \$990,000.00 Mainthia Technologies Inc. | | | |
| O-LA-15-0242-O | UNIVERSITY OF VIRGINIA - ALLEGED PURCHASING IRREGULARITIES | 6/22/2022 | False Claim; False Statements |
| Civil Results: Civil Settlement 6/26/2020 University of Virginia Civil Settlement Amt \$1,000,000.00 University of Virginia | | | |
| O-LA-15-0273-O | POET TECHNOLOGIES INC. | 2/3/2022 | False Claim; False Statements; Other Federal Crimes; Procurement Irregularities; SBIR/STTR Fraud |
| O-GL-17-0094-O | A. WEST ENTERPRISE, LLC, ET AL. | 8/15/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| Civil Results: Civil Settlement 4/21/2022 A. West Enterprise, LLC Civil Settlement Amt \$662,056.00 A. West Enterprise, LLC | | | |
| O-NJ-17-0239-O | KALSCOTT ENGINEERING INC., ET AL. | 3/10/2022 | Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| Admin Actions: Action Taken 3/1/2018 (b) (6), (b) (7)(C) Action Taken 6/13/2019 KALSCOTT ENGINEERING INC. | | | |
| Civil Results: Civil Settlement 11/23/2020 KALSCOTT ENGINEERING INC. Civil Settlement Amt \$672,352.00 KALSCOTT ENGINEERING INC. | | | |
| O-LA-17-0267-O | ADVRATECH, LLC | 1/31/2022 | Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| O-MA-17-0321-O | (b) (6), (b) (7)(C) | 2/8/2022 | Conflict of Interest - Financial |
| O-GO-18-0092-HL-O | TELOPHASE CORPORATION | 2/16/2022 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| Civil Results: Civil Settlement 12/23/2021 Telophase Civil Settlement Amt \$1,389,509.00 Telophase | | | |

| | | | |
|-----------------------------------|--|-----------|---|
| O-GO-18-0230-O | (b) (6), (b) (7) | 8/24/2022 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements); Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-AR-18-0279-O | AMERICAN PARAGON PROTECTIVE SERVICES/FIORE | 1/10/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| | Civil Results: Civil Settlement 8/11/2020 Fiore Industries, Inc. Civil Settlement Amt \$1,200,000.00 Fiore Industries, Inc. | | |
| O-JP-19-0137-S | PROACTIVE PROJECT: JPL RAW DATA FILE | 1/25/2022 | Proactive/Project Cases |
| O-AR-19-0180-S | (b) (6), (b) (7)(C) and (b) (6), (b) (7) | 2/9/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| O-GO-20-0048-O | CREW SERVICES, LLC, ET AL | 8/15/2022 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Grants |
| O-LB-20-0080-S | PROACTIVE PROJECT: PROCUREMENT COLLUSION STRIKE FORCE "STATE OF CALIFORNIA | 3/4/2022 | Proactive/Project Cases |
| O-AR-20-0117-HL-S | NORTHEAST EARTH AND SPACE SCIENCES PIPELINE | 2/4/2022 | Procurement Fraud - Grants |
| O-GO-20-0140-HL-S | (b) (6), (b) (7)(C) | 2/16/2022 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere; Standards of Conduct - 5 CFR part 2635 Subpart E Impartiality in Performing Official Duties |
| | Admin Actions: Action Taken 11/18/2021 (b) (6), (b) (7) | | |
| O-GO-20-0159-O | ANG, Simon - (1K Talent) | 8/5/2022 | Procurement Fraud - Grants |
| | Admin Actions: Suspension 8/21/2020 Ang, Simon | | |
| | Criminal Results: Criminal Indictment 7/28/2020 Ang, Simon Criminal Indictment 7/28/2021 Ang, Simon Criminal Sentencing 6/16/2022 Ang, Simon Criminal Conviction 1/21/2022 Ang, Simon | | |
| O-AF-20-0171-S | SUSPECTED PROHIBITED PERSONNEL PRACTICES AT ARMSTRONG FLIGHT RESEARCH CENTER | 7/25/2022 | Misconduct - NASA Employee |
| O-MA-20-0179-S | PROACTIVE PROJECT: NASA'S CHINA FUNDING RESTRICTION | 8/17/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| O-IS-20-0212-HL-S | ALLEGED MISUSE OF PURCHASE CARD | 4/12/2022 | Theft/Larceny/Embezzlement "Government Purchase Card and/or Travel Card Fraud |
| O-RM-20-0229-S | PROACTIVE PROJECT: CO POD RAW DATA FILE | 4/1/2022 | Proactive/Project Cases |
| O-RM-20-0252-S | PROACTIVE - IPR & CPI EFFORTS | 2/25/2022 | Proactive/Project Cases |
| O-AR-20-0274-HL-S | GRANT IRREGULARITIES - ASTRONOMICAL SOCIETY OF THE PACIFIC | 2/25/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-LB-20-0307-O | BRANDYWINE COMMUNICATIONS, ET AL. | 3/1/2022 | Procurement Fraud - Substitution/Nonconforming Product |

| | | | |
|-----------------------------------|--|-----------|--|
| O-AR-21-0015-HL-S | ASRC FEDERAL HOLDING COMPANY, LLC | 3/1/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-LB-21-0035-O | (b) (6), (b) (7) (COVID-19 RELIEF) | 7/19/2022 | Bank Fraud; Procurement Fraud - SBIR/STTR |
| | Admin Actions: Suspension 10/23/2020 Kao, Martin | | |
| | Criminal Results: Criminal Indictment 9/29/2020 Kao, Martin | | |
| O-KE-21-0042-S | PROACTIVE PROJECT: THOUSAND TALENTS PLAN | 1/6/2022 | Proactive/Project Cases |
| O-ST-21-0044-S | INTERNAL INVESTIGATION - 2021-1 | 1/14/2022 | Misconduct - Employee - False Statements |
| O-KE-21-0058-O | (b) (6), (b) (7)(C) | 1/27/2022 | Other Offenses - All Others Not Defined Elsewhere |
| | Admin Actions: Action Taken 12/13/2021 (b) (6), (b) (7)(C) Action Taken 12/13/2021 (b) (6), (b) (7)(C) Action Taken 11/4/2021 (b) (6), (b) (7)(C) Action Taken 11/16/2021 (b) (6), (b) (7)(C) | | |
| O-NJ-21-0076-O | UNIVERSITY OF KANSAS | 2/1/2022 | Procurement Fraud - Grants; Procurement Fraud - Other (False Claims/False Statements) |
| O-MA-21-0089-P | INTERNAL INVESTIGATION 2021-2 | 2/3/2022 | Conflict of Interest - Other; False Statements |
| O-RM-21-0097-S | RAID PROACTIVE PROJECT: REVIEW OF SBIR & STTR CONTRACT CLAUSE MANAGEMENT | 7/26/2022 | Program Management Irregularities - Other |
| | Admin Actions: Action Taken 7/8/2022 NASA SBIR/STTR Program | | |
| O-MA-21-0099-O | (b) (6), (b) (7)(C) | 1/4/2022 | Export Violations "Technology (Including Computers) |
| O-AR-21-0101-S | RAID PROACTIVE PROJECT: AMES LEASE MANAGEMENT AUDIT ASSISTANCE | 1/28/2022 | Proactive/Project Cases |
| O-RM-21-0109-HL-S | BLUE ORIGIN FEDERATION, LLC | 2/18/2022 | Procurement Fraud - Cost/Labor Mischarging |
| O-GO-21-0111-S | (b) (6), (b) (7) | 6/23/2022 | Misconduct - NASA Employee; Standards of Conduct - 5 CFR part 2635 Subpart E Impartiality in Performing Official Duties; Standards of Conduct - 5 CFR part 2635 Subpart G Misuse of Position |
| | Admin Actions: Action Taken 6/17/2022 (b) (6), (b) (7)(C) Action Taken 6/17/2022 (b) (6), (b) (7)(C) | | |
| O-RM-21-0123-S | SUBJECT UNKNOWN: SUSPECTED SBIR/STTR FRAUD | 8/16/2022 | Procurement Fraud - SBIR/STTR |
| O-KE-21-0127-O | ESCOBAR DE LEON, Julio | 8/4/2022 | General Criminal Offenses "Other (Includes Drug Offenses) |
| | Criminal Results: Criminal Indictment 9/22/2021 Escobar De Leon, Julio Criminal Sentencing 5/5/2022 Escobar De Leon, Julio Criminal Conviction 5/5/2022 Escobar De Leon, Julio | | |

| | | | |
|-----------------------------------|--|-----------|--|
| O-KE-21-0134-P | ALLEGED BATTERY BY KSC CIVIL SERVANT | 3/10/2022 | General Criminal Offenses â€” Other (Includes Drug Offenses) |
| O-GO-21-0135-HL-S | QUESTIONABLE IMPLEMENTATION OF THE MISSION SUPPORT FUTURE ARCHITECTURE PROGRAM | 7/21/2022 | Conflict of Interest - Employment Considerations; Program Management Irregularities - Other |
| | Admin Actions: Action Taken 6/2/2022 (b) (6), (b) (7)(C) | | |
| O-GO-21-0139-S | POSSIBLE PROHIBITED PERSONNEL PRACTICES | 3/31/2022 | Misconduct - NASA Employee |
| | Admin Actions: Action Taken 3/21/2022 (b) (6), (b) (7) | | |
| O-MA-21-0152-P | MISHANDLING OF CLASSIFIED INFORMATION | 1/6/2022 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere; Security Violations â€” All Types |
| O-HS-21-0161-S | PROACTIVE: FRAUDULENT USE OF PANDEMIC RELIEF FUNDING | 7/5/2022 | Proactive/Project Cases |
| O-GL-21-0174-O | NEGRELLI, William G. | 2/24/2022 | Theft/Larceny/Embezzlement â€” ADP/Computer Hardware |
| | Criminal Results: Criminal Indictment 8/25/2021 Negrelli, William Criminal Sentencing 2/2/2022 Negrelli, William Criminal Conviction 2/2/2022 Negrelli, William | | |
| O-KE-21-0176-P | KSC GATE PENETRATION - DAMAGE TO GOVERNMENT PROPERTY | 2/17/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-GO-21-0191-HL-S | POTENTIAL ETHICS VIOLATION | 6/22/2022 | Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere |
| | Admin Actions: Action Taken 2/15/2022 (b) (6), (b) (7)(C) | | |
| O-AR-21-0192-P | (b) (6), (b) (7) (COVID-19 RELIEF) | 1/10/2022 | Misconduct - Employee - False Statements |
| O-JP-21-0197-P | SUBJECT UNKNOWN: SUSPICIOUS FINANCIAL ACTIVITY | 6/22/2022 | Bank Fraud; Misconduct - Contractor Employee |
| O-GO-21-0204-S | WHISTLEBLOWER COMPLAINT â€” ALLEGED ABUSE OF AUTHORITY | 7/20/2022 | Non-Criminal |
| | Admin Actions: Action Taken 6/9/2022 (b) (6), (b) (7)(C) | | |
| O-ST-21-0208-HL-P | THE BOEING COMPANY â€” ALLEGED FALSIFICATION OF DOCUMENTS | 6/21/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-21-0214-HL-S | CONTRACTOR SHARING OF NASA TECHNICAL INFORMATION | 8/19/2022 | Procurement Integrity Issues |
| C-JS-21-0222-P | (b) (6), (b) (7)(C) | 3/14/2022 | Computer Crimes - Other |
| O-GL-21-0228-P | ALLEGED SMALL BUSINESS FRAUD | 7/22/2022 | Other Offenses - All Others Not Defined Elsewhere; Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - Substitution/Nonconforming Product |
| O-AR-22-0002-S | PROACTIVE PROJECT: REGIONAL ANALYTICS AND INVESTIGATIVE DEVELOPMENT (ARC) | 3/21/2022 | Proactive/Project Cases |

| | | | |
|-----------------------------------|---|-----------|---|
| O-LB-22-0003-S | PROACTIVE PROJECT: REGIONAL ANALYTICS AND INVESTIGATIVE DEVELOPMENT (LBRA) | 3/22/2022 | Proactive/Project Cases |
| O-JS-22-0010-O | (b) (6), (b) (7)(C) | 8/15/2022 | Theft/Larceny/Embezzlement - Other |
| O-GL-22-0011-HL-S | ASTROBOTIC TECHNOLOGY, INC. | 1/25/2022 | Procurement Integrity Issues |
| O-KE-22-0012-P | SUSPECTED PAYCHECK PROTECTION PROGRAM FRAUD (COVID-19 RELIEF) | 4/12/2022 | Misconduct - Employee - False Statements |
| C-MA-22-0015-P | -Unauthorized Access of NASA Network Equipment and Export Control Documents | 2/28/2022 | Computer Crime - Unauthorized Access |
| O-MA-22-0019-HL-P | THE UNIVERSITY OF ALABAMA IN HUNTSVILLE | 4/7/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-GO-22-0026-HL-S | ALLEGED WHISTLEBLOWER RETALIATION (b) (6), (b) (7) SCIENCE DIVISION | 3/17/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities); Whistleblower Retaliation |
| O-KE-22-0030-HL-S | (b) (6), (b) (7)(C) | 1/24/2022 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-22-0031-P | ALLEGED GRANT FRAUD " UNIVERSITY OF MIAMI (FOREIGN INFLUENCE) | 8/9/2022 | Procurement Fraud - Grants |
| O-NI-22-0033-P | (b) (6), (b) (7) ALLEGED FALSE CLAIMS | 7/25/2022 | Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Other (False Claims/False Statements) |
| O-KE-22-0036-P | ALLEGED TIMECARD FRAUD | 6/23/2022 | Pay & Allowance Fraud " Payroll |
| | Admin Actions: Action Taken 5/26/2022 (b) (6), (b) (7)(C) | | |
| C-AR-22-0038-P | UNKNOWN: Sale of Access to US Government Systems | 3/15/2022 | Computer Crime - Unauthorized Access; Computer Crimes - Other |
| O-MA-22-0039-P | SUSPECTED UNEMPLOYMENT INSURANCE FRAUD | 6/21/2022 | General Criminal Offenses " Other (Includes Drug Offenses) |
| O-LB-22-0041-S | PROACTIVE PROJECT: GRANT EXPENDITURE REVIEW | 7/7/2022 | Proactive/Project Cases |
| O-GO-22-0045-HL-S | ALLEGED PROCUREMENT INTEGRITY ISSUES | 1/6/2022 | Computer Crimes - Other; Procurement Integrity Issues |
| O-MA-22-0050-P | THEFT OF CONTRACTOR PROPERTY FROM MSFC | 8/17/2022 | Theft/Larceny/Embezzlement " Property/Equipment/Supply |
| O-IP-22-0060-S | RAID PROACTIVE PROJECT: ARIZONA RAW DATA FILE | 3/18/2022 | Proactive/Project Cases |
| O-AF-22-0061-S | SUSPECTED FAILURE TO DISCLOSE (COVID-19 RELIEF) | 6/22/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-AF-22-0062-S | SUSPECTED FAILURE TO DISCLOSE (COVID-19 RELIEF) | 7/26/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-KE-22-0069-O | (b) (6), (b) (7)(C) | 8/2/2022 | General Criminal Offenses " Other (Includes Drug Offenses) |
| | Criminal Results: Criminal Indictment 5/23/2022 (b) (6), (b) (7)(C) Criminal Sentencing 7/28/2022 (b) (6), (b) (7)(C) Alternate Resolution Date 7/28/2022 (b) (6), (b) (7)(C) Alternate Resolution Withheld Adjudication (b) (6), (b) (7)(C) | | |
| O-JS-22-0077-P | ALLEGED PRODUCT NON-CONFORMANCE | 8/12/2022 | False Statements |

| | | | |
|-----------------------------------|---|-----------|--|
| O-RM-22-0084-P | WHISTLEBLOWER RETALIATION ALLEGATIONS | 6/22/2022 | Whistleblower Retaliation |
| O-GL-22-0086-HL-S | ALLEGED PROGRAM IRREGULARITY â€” PROPULSION DIVISION | 3/18/2022 | Program Management Irregularities - Other |
| O-GO-22-0087-HL-S | ALLEGED PROCUREMENT MISMANAGEMENT â€” SCIENCE MISSION DIRECTORATE | 8/5/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-GO-22-0092-HL-S | QUESTIONABLE USE OF CONTRACTORS â€” PLANETARY SCIENCES DIVISION | 6/22/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-WA-22-0096-P | (b) (6), (b) (7) (COVID-19 RELIEF) | 6/22/2022 | Bank Fraud |
| O-KE-22-0098-HL-S | ALLEGED SAFETY ASSURANCE IRREGULARITIES â€” ARTEMIS PROGRAM | 8/19/2022 | Program Management Irregularities - Other |
| O-GO-22-0099-P | ALLEGED SEXUAL ASSAULT | 6/24/2022 | General Criminal Offenses â€” Other (Includes Drug Offenses) |
| C-JS-22-0104-HL-P | ALLEGED HARASSMENT AND EXTORTION â€” FLIGHT OPERATIONS DIRECTORATE | 7/21/2022 | Misconduct - NASA Employee |
| O-JS-22-0110-HL-P | MASTEN SPACE SYSTEMS, INC. | 6/28/2022 | Procurement Fraud - SBIR/STTR |
| O-KE-22-0123-O | (b) (6), (b) (7) | 8/1/2022 | Other Offenses - All Others Not Defined Elsewhere |
| | Criminal Results: Criminal Indictment 5/18/2022 (b) (6), (b) (7) Alternate Resolution Date 6/30/2022 (b) (6), (b) (7) Alternate Resolution Pretrial diversion (b) (6), (b) (7) | | |
| O-GO-22-0131-HL-S | QUESTIONABLE HIRING PRACTICES â€” OFFICE OF COMMUNICATIONS | 8/29/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-LB-22-0132-P | MISUSE OF NASA INSIGNIA | 8/10/2022 | Misuse of NASA Seal |
| O-ST-22-0141-P | ALLEGED SURREPTITIOUS RECORDING | 6/24/2022 | General Criminal Offenses â€” Other (Includes Drug Offenses) |
| O-LA-22-0144-HL-S | Alleged Personally Identifiable Information Violation | 7/29/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-MA-22-0148-P | SUSPICIOUS FINANCIAL TRANSACTIONS | 8/8/2022 | Bank Fraud |
| O-JS-22-0161-S | ALLEGED ABUSE OF AUTHORITY | 8/15/2022 | Misconduct - NASA Employee |
| O-KE-22-0162-HL-P | ALLEGED CONTRACTOR PERFORMANCE AND SAFETY ISSUES | 7/22/2022 | Safety â€” Human Flight/Direct Human Impact; Safety - Operational |

| | | | |
|-----------------------------------|--|------------|--|
| C-MA-13-0374-O | (b)(6), (b)(7)(C) | 11/28/2022 | Computer Crime - Child Pornography |
| O-KE-16-0186-O | AMPHENOL AEROSPACE | 10/14/2022 | Product Substitution |
| O-JS-17-0278-HL-O | ANAHUAC TRANSPORT, INC. | 11/15/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| | Admin Actions: Suspension 1/25/2022 Anahuac Transport, Inc. Suspension 1/25/2022 Charpiot, Arnold Brandt Suspension 1/25/2022 Monteau, Gary | | |
| | Criminal Results: Criminal Indictment 11/24/2021 Anahuac Transport, Inc. Criminal Sentencing 7/14/2022 Anahuac Transport, Inc. Criminal Conviction 2/23/2022 Anahuac Transport, Inc. Criminal Restitution Amt \$251,401.00 Anahuac Transport, Inc. | | |
| O-KE-18-0262-O | MOTOR COACH INDUSTRIES, INC., ET. AL. | 10/17/2022 | Forgery of Negotiable/Other Instruments - Other |
| | Admin Actions: Debarment 9/14/2022 Guralnick, Mitchell A Debarment 9/14/2022 Cathy, Janet Louise | | |
| | Criminal Results: Criminal Indictment 5/5/2022 Cathy, Janet Louise Criminal Sentencing 5/5/2022 Cathy, Janet Louise Criminal Conviction 5/5/2022 Cathy, Janet Louise Criminal Indictment 5/5/2022 Guralnick, Mitchell A Criminal Sentencing 5/5/2022 Guralnick, Mitchell A Criminal Conviction 5/5/2022 Guralnick, Mitchell A | | |
| O-LA-18-0289-O | KERCZEWSKI, Robert J. | 12/12/2022 | (b) (6), (b) (7)(C) Misconduct - NASA Employee; Other Offenses - All Others Not Defined Elsewhere |
| | Criminal Results: Criminal Indictment 11/20/2019 Kerczewski, Robert Criminal Sentencing 9/23/2021 Kerczewski, Robert Criminal Conviction 6/3/2021 Kerczewski, Robert | | |

| | | | |
|--|--|------------|--|
| O-GO-19-0151-HL-O | (b)(6). (b)(7)(C) | 12/7/2022 | Computer Crime - Unauthorized Access; Misconduct - NASA Employee; Procurement Fraud - Cost/Labor Mischarging; Procurement Fraud - Defective Pricing; Procurement Fraud - Other (False Claims/False Statements); Procurement Integrity Issues; Program Management Irregularities - Other; Whistleblower |
| Admin Actions: Action Taken 11/10/2022 West Virginia University (WVU) | | | |
| O-KE-19-0157-O | (b)(6). (b)(7)(C) | 9/15/2022 | Misconduct - Employee - False Statements |
| Admin Actions: Action Taken 5/25/2020 (b)(6). (b)(7)(C) | | | |
| O-GO-20-0057-O | GIRI, Ana V. | 9/8/2022 | Bribery " Contracting Official; Bribery " Other; Misconduct - Contractor Employee; Other Offenses - All Others Not Defined Elsewhere; Procurement Fraud - Contractor/Subcontractor Kickbacks |
| Criminal Results: Criminal Indictment 10/15/2021 Giri, Ana Veronica Criminal Sentencing 3/4/2022 Giri, Ana Veronica Criminal Conviction 10/15/2021 Giri, Ana Veronica Criminal Restitution Amt \$165,472.00 Giri, Ana Veronica Criminal Indictment 9/30/2021 Giri, Vishesh Criminal Sentencing 3/4/2022 Giri, Vishesh Criminal Conviction 9/30/2021 Giri, Vishesh | | | |
| C-LA-20-0253-O | (b)(6). (b)(7)(C) | 10/7/2022 | Computer Crimes - Child Pornography Other |
| O-GO-20-0261-O | (b)(6). (b)(7)(C) | 9/9/2022 | Theft/Larceny/Embezzlement " Property/Equipment/Supply |
| Admin Actions: Action Taken 8/11/2020 (b)(6) (b)(7)(C) Action Taken 9/3/2020 (b)(6) (b)(7)(C) | | | |
| O-JP-20-0291-O | (b)(6). (b)(7)(C) | 9/14/2022 | Misconduct - Contractor Employee |
| O-JP-20-0292-O | (b)(6). (b)(7)(C) | 12/2/2022 | Misconduct - Contractor Employee |
| O-NJ-21-0062-O | PHASE SENSITIVE INNOVATIONS INCORPORATED | 12/15/2022 | Procurement Fraud - Other (False Claims/False Statements); Procurement Fraud - SBIR/STTR |
| O-GO-21-0110-S | (b)(6). (b)(7)(C) | 10/13/2022 | Misconduct - NASA Employee; Standards of Conduct - 5 CFR part 2635 Subpart E Impartiality in Performing Official Duties; Standards of Conduct - 5 CFR part 2635 Subpart G Misuse of Position |
| Admin Actions: | | | |

| Action Taken 9/15/2022 (b)(6), (b)(7)(C) | | | |
|---|---|------------|---|
| O-RM-21-0112-O | INTEC INC. (QUI TAM) | 12/20/2022 | Procurement Fraud - Buy America Act Violations; Procurement Fraud - Other (False Claims/False Statements) |
| Civil Results: Civil Settlement 8/5/2022 Instec Inc. Civil Settlement Amt \$625,000.00 Instec Inc. | | | |
| O-JP-21-0115-O | (b)(6), (b)(7)(C) (Foreign Influence) | 9/27/2022 | Misconduct - Contractor Employee; Procurement Fraud - Grants; Procurement Fraud - Other (False Claims/False Statements) |
| O-JS-21-0227-P | ALLEGED IMPROPER CALIBRATION OF REPAIRED AVIATION INSTRUMENTS | 9/14/2022 | False Statements |
| O-GO-22-0006-S | WHISTLEBLOWER COMPLAINT â€œ ROTHE ENTERPRISES INCORPORATED | 10/4/2022 | Whistleblower Retaliation |
| O-JP-22-0016-S | (b)(6), (b)(7)(C) | 9/27/2022 | Export Violations - Other |
| O-JP-22-0017-O | (b)(6), (b)(7)(C) | 12/5/2022 | General Criminal Offenses â€œ Other (Includes Drug Offenses) |
| O-GO-22-0035-O | ALLEGED FALSE TESTING CERTIFICATION | 9/8/2022 | False Statements; Misconduct - Contractor Employee; Procurement Fraud - Other (False Claims/False Statements) |
| C-MA-22-0042-P | POTENTIAL SEXUAL and PHYSICAL ABUSE OF A MINOR | 12/5/2022 | Computer Crimes - Child Pornography Other; Computer Crimes - Child Pornography Production/Endangerment; Other Offenses - All Others Not Defined Elsewhere |
| C-GO-22-0056-P | (b)(6), (b)(7)(C) | 9/6/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-JS-22-0070-HL-P | POSSIBLE FRAUD INVOLVING A NASA EMPLOYEE | 11/1/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-JS-22-0071-S | WHISTLEBLOWER â€œ MISSION INTEGRATION (b)(6), (b)(7)(C) | 9/8/2022 | Whistleblower Retaliation |
| O-MA-22-0075-S | PROCUREMENT IRREGULARITIES - SLS | 9/15/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-AR-22-0079-O | (b)(6), (b)(7)(C) (COVID-19 RELIEF) | 11/2/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-AR-22-0082-S | (b)(6), (b)(7)(C) (COVID-19 RELIEF) | 11/2/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-MA-22-0088-HL-S | ALLEGED PROGRAM IRREGULARITIES â€œ ENGINEERING DIRECTORATE | 12/6/2022 | Program Management Irregularities - Other |
| Admin Actions: Action Taken 11/18/2022 MSFC Engineering Directorate | | | |
| O-GL-22-0093-S | PROACTIVE PROJECT: GRC UNEMPLOYMENT INSURANCE REVIEW | 9/26/2022 | Proactive/Project Cases |
| O-JS-22-0095-P | SUSPECTED UNEMPLOYMENT FRAUD INVOLVING A NASA EMPLOYEE | 9/2/2022 | General Criminal Offenses â€œ Other (Includes Drug Offenses) |

| | | | |
|-----------------------------------|---|------------|---|
| O-GO-22-0102-HL-S | LSN PARTNERS, LLC | 9/2/2022 | Misconduct - NASA Employee |
| O-KE-22-0118-P | ALLEGED HARASSMENT VIA WRITTEN COMMUNCIATON | 10/13/2022 | Other Offenses - All Others Not Defined Elsewhere |
| | Admin Actions: Action Taken 9/20/2022 PAE-SGT Partners, LLC (PSP) Action Taken 6/14/2022 (b)(6), (b)(7)(C) Action Taken 6/14/2022 (b)(6), (b)(7)(C) | | |
| O-LB-22-0122-HL-P | QUESTIONABLE PROCUREMENT PRACTICES | 9/12/2022 | Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| C-MA-22-0127-P | SUBJECT UNKNOWN: MSFC Technical Support Fraud | 11/22/2022 | Computer Crimes - Other |
| O-GL-22-0149-S | ALLEGED CONTRACTOR TRAINING DEFICIENCY | 10/20/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| O-NJ-22-0170-P | ALLEGED ETHICAL MISCONDUCT | 11/8/2022 | Procurement Fraud - SBIR/STTR |
| O-KE-22-0174-P | ALLEGED COST MISCHARGING ON KSC CONTRACT | 10/7/2022 | Procurement Fraud - Cost/Labor Mischarging |
| O-GO-22-0176-HL-S | POTENTIAL MISUSE OF POSITION FOR PERSONAL GAIN | 9/1/2022 | Standards of Conduct - 5 CFR part 2635 Subpart G Misuse of Position |
| O-GO-22-0177-P | (b)(6), (b)(7)(C) | 12/16/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-AR-22-0182-HL-S | MILLENNIUM ENGINEERING AND INTEGRATION COMPANY | 9/9/2022 | Misconduct - Contractor Employee; Program Management Irregularities - Contract Administration (AKA Procurement Irregularities) |
| O-GL-22-0186-HL-S | ALLEGED MISMANAGEMENT " OFFICE OF PROCUREMENT | 9/29/2022 | Other Offenses - All Others Not Defined Elsewhere; Pay & Allowance Fraud " Payroll |
| O-MA-22-0192-P | POTENTIAL PROCUREMENT INTEGRITY ACT VIOLATION | 10/11/2022 | Procurement Integrity Issues |
| O-GO-22-0198-P | ALLEGED ASSAULT - GODDARD DAYCARE CENTER | 11/1/2022 | General Criminal Offenses " Other (Includes Drug Offenses); Misconduct - Contractor Employee; Other Offenses - All Others Not Defined Elsewhere |
| | Admin Actions: Action Taken 10/6/2022 (b)(6), (b)(7)(C) | | |
| O-KE-22-0199-P | UNLAWFUL CONCEALED CARRY OF A FIREARM | 10/3/2022 | General Criminal Offenses " Other (Includes Drug Offenses); Security Violations " All Types |
| O-KE-22-0208-P | (b)(6), (b)(7)(C) | 10/4/2022 | Other Offenses - All Others Not Defined Elsewhere |
| O-MA-22-0212-P | (b)(6), (b)(7)(C) | 11/2/2022 | Procurement Fraud - Other (False Claims/False Statements) |
| O-GO-23-0008-HL-S | ALLEGED MISUSE OF FUNDS " OFFICE OF DIVERSITY AND EQUAL OPPORTUNITY | 11/21/2022 | Program Management Irregularities - Other |

| | | | |
|--------------------------------|---|------------|---|
| O-KE-23-0016-P | BOMB THREATS AT KENNEDY SPACE CENTER | 11/18/2022 | Antitrust Act Violation â€” Bid Rotation; General Criminal Offenses â€” Other (Includes Drug Offenses); Safety â€” Human Flight/Direct Human Impact |
| O-KE-23-0022-P | UNAUTHORIZED DRONE INCIDENT PRIOR TO ARTEMIS 1 LAUNCH | 11/28/2022 | General Criminal Offenses â€” Other (Includes Drug Offenses); Safety â€” Aviation; Safety â€” Human Flight/Direct Human Impact; Security Violations â€” All Types |