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Description of document: List of Department of Energy (DOE) Inspector General (OIG) Investigations closed during CY2020

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Department of Energy
Washington, DC 20585

Re: Freedom of Information Act Request HQ-2021-00225-F

This is a final response from the Department of Energy (DOE), Office of Inspector General (OIG) to your request for information under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You are seeking a copy of the listing of Department of Energy Office of Inspector General Investigations CLOSED during Calendar Year 2020.

The OIG has completed the search and review of its files and identified two documents responsive to your request. A review of the responsive documents and determination concerning their release has been made pursuant to the FOIA, 5 U.S.C. § 552. Based on this review, the OIG determined that certain material has been withheld from the responsive documents pursuant to subsections (b)(3), (b)(6), and (b)(7)(C), (referred to as Exemptions 3, 6, and 7(C), respectively). Specifically, the OIG review determined:

- Documents 1 and 2 are being released to you with certain material withheld pursuant to Exemptions 3, 6 and 7(C).

Exemption 3 protects from disclosure information “specifically exempted from disclosure by statute;” in this case, the qui tam provisions of the False Claims Act, 31 U.S.C. § 3730(b), provides that False Claims Act complaints brought by individuals on behalf of the United States shall be filed in camera and remain under seal for at least 60 days, and shall not be served on the defendant until the court so orders. The information being withheld under Exemption 3 remains under seal pursuant to 31 U.S.C. § 3730(b).

Exemption 6 protects from disclosure “personnel and medical and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. . . .” Exemption 7(C) provides that “records or information compiled for law enforcement purposes” may be withheld from disclosure, but only to the extent the production of such documents “could reasonably be expected to constitute an unwarranted invasion of personal privacy. . . .”

Names and information that would tend to disclose the identity of certain individuals have been withheld pursuant to Exemptions 6 and 7(C). Individuals involved in the OIG enforcement matters, which in this case include subjects, witnesses, sources of information, and other

individuals, are entitled to privacy protections so that they will be free from harassment, intimidation and other personal intrusions.

In invoking Exemptions 6 and 7(C), we have determined that it is not in the public interest to release the withheld material. In this request, we have determined that the public interest in the identity of individuals who appear in these files does not outweigh these individuals' privacy interests. Those interests include being free from intrusions into their professional and private lives.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. *See* 5 U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

To the extent permitted by law, the DOE, in accordance with Title 10, Code of Federal Regulations (C.F.R.), Section 1004.1, will make available records it is authorized to withhold pursuant to the FOIA unless it determines such disclosure is not in the public interest. As required, all releasable information has been segregated from the material that is withheld and is provided to you. *See* 10 C.F.R. § 1004.7(b)(3).

This decision may be appealed within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. § 1004.8. Appeals should be addressed to the Director, Office of Hearings and Appeals, HG-1 /L'Enfant Plaza Building, U.S. Department of Energy, 1000 Independence Avenue, SW, Washington, DC 20585-1615 or by email to OHA.filings@hq.doe.gov, including the phrase "Freedom of Information Appeal" in the subject line.

Thereafter, judicial review will be available to you in the Federal district court either 1) in the district where you reside; 2) where you have your principal place of business; 3) where the Department's records are situated, or 4) in the District of Columbia.

If you have any questions about the processing of your request, you may contact our FOIA Public Liaison, Mr. Alexander Morris, by phone at (202) 586-5955 or by email at Alexander.Morris@hq.doe.gov, to discuss any aspect of your request. Please know that you also have the right to seek dispute resolution services from the FOIA Public Liaison or the Office of Government Information Services, <https://ogis.archives.gov>, via telephone at (202) 741-5770 // (877) 684-6448 (toll free), by fax: (202) 741-5769, or email ogis@nara.gov.

Sincerely,

**Lewe F.
Sessions**

Digitally signed by Lewe
F. Sessions
Date: 2021.02.19
11:12:05 -05'00'

Lewe Sessions
Assistant Inspector General
for Investigations
Office of Inspector General

Enclosures

Law Enforcement Sensitive

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Number	Title	Date Closed
(b)(6), (b)(7)(C) 21-0005-W	[redacted] Retaliation; Berkeley National Lab	03NOV2020
(b)(6), (b)(7)(C) 21-0004-W	[redacted] Retaliation; Inter-Con Security; BPA, WA	05NOV2020
21-0002-W	TRIAD National Security, LLC; Retaliation; Albuquerque, NM	21OCT2020
20-0023-W	PNNL; Retaliation; Richland, WA	30SEP2020
(b)(6), (b)(7)(C) 20-0021-W	[redacted] Retaliation; AECOM/Bechtel; Hanford Waste Treatment Plant; WA	02SEP2020
20-0020-W	(b)(6), ([redacted] Retaliation; SAIC; Sandia National Lab	24AUG2020
20-0019-W	Whistleblower Retaliation; AECOM; Hanford Waste Treatment Plant, Richland, WA	02SEP2020
(b)(6), (b)(7)(C) 20-0018-W	AECOM [redacted] Retaliation; Hanford Site	02SEP2020
(b)(6), (b)(7)(C) 20-0017-W	[redacted] Retaliation [redacted] Oak Ridge National Lab	20JUL2020
20-0015-W	Battelle Energy Alliance; Retaliation [redacted] Idaho Natl Lab	15JUN2020 (b)(6), (b)(7)(C)
20-0013-W	Whistleblower Retaliation; UCOR; Oak Ridge, TN	03DEC2020
20-0011-W	Retaliation; NTESS; Sandia, CA	06APR2020
20-0010-W	Battelle; Retaliation; Pacific Northwest National Laboratory	06APR2020
(b)(6), (b)(7)(C) 20-0009-W	Apogee/NNSA; Retaliation [redacted] Richland, WA	16OCT2020
20-0002-W	UCOR; Discrimination and Retaliation [redacted] Oak Ridge, TN	26OCT2020 (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) 19-0012-W	[redacted] and [redacted] Retaliation; Portsmouth	03FEB2020
(b)(6), (b)(7)(C) 18-0014-W	[redacted] and Consolidated Nuclear Security, LLC; Retaliation; Y-12 National Security Complex	03JAN2020
18-0009-W	Retaliation; Carnegie Institution of Washington	10JUL2020
17-0003-W	(b)(6), (b)(7) WTCC et al; Retaliation; WTP Hanford	02SEP2020

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	Number	Title	Date Closed
(b)(6), (b)(7) (C)	20-0020-I	[REDACTED] Foreign Influence; SUNY Albany: NY	06Jan2020
	18-0016-I	Ethics Violation; URENCO USA/NNSA (formerly); [REDACTED]	06Jan2020
(b)(6), (b)(7) (C)	12-0015-I	AA SOLAR; FS-FC TGP ARRA GRANT FUNDS; DANVILLE, IL	10Jan2020
	18-0056-I	(b)(6), (b)(7)(C) Time and Attendance Fraud; Bechtel National; Hanford Waste Treatment Plant	16Jan2020
	19-0049-I	(b)(6), (b)(7)(C) False Claim, HQ-Forrestal	24Jan2020
	19-0037-I	Porifera; Civil False Claims (Qui Tam - Under Seal); DOE	24Jan2020
	14-0087-I	(b)(6), (b)(7)(C) Theft of Government Property; Lawrence Berkeley National Laboratory	27Jan2020
	09-0108-I	AMER INDUSTRIAL TECHNOLOGIES; FALSE STATEMENTS/CLAIMS; SRS	28Jan2020
	12-0019-I	(b)(6), (b)(7)(C) KICKBACKS - COI; DOE-HQ	30Jan2020
	19-0052-I	Multiple Subjects; Theft of Intellectual Property (Bioengineered Red Alder Seedlings); Pacific Northwest National Laboratory	24Feb2020
(b)(6), (b)(7) (C)	16-0034-I	[REDACTED] Tax Fraud; Oak Ridge, TN	25Feb2020
	17-0081-I	Bechtel National, Inc.; False Statements/Claims; Hanford Site	27Feb2020

DOE -OIG Investigations Closed (CY2020)

	14-0072-I	United Environment & Energy; SBIR Fraud; Office of Science	02Mar2020
(b)(6), (b)(7) (C)	15-0097-I	[REDACTED] Allegation of Misuse of Grant Funds; EE	06Mar2020
	17-0073-I	Asplundh Tree Expert Company; FC/FS; WAPA	09Mar2020
	15-0051-I	Qui Tam - Under Seal; Fisker Automotive; False Claims; LPO	11Mar2020
(b)(6), (b)(7) (C)	19-0082-I	[REDACTED] Time Card Fraud; INL	12Mar2020
	18-0040-I	Battelle Memorial Institute; Civil False Claims (QUI-TAM, UNSEALED) ; Pacific Northwest National Laboratory	13Mar2020
(b)(6), (b)(7) (C)	19-0025-I	[REDACTED] False Statements; Power Marketing Administration Shared Service Center, Lakewood, CO	17Mar2020
(b)(6), (b)(7) (C)	19-0034-I	[REDACTED] Computer Fraud; Oak Ridge	20Mar2020
	18-0034-I	(b)(6), (b)(7)(C) Ethics Violations (Lobbying) & FCA; MSA Hanford Site	24Mar2020
	18-0033-I	Z, Inc.; Time Card Fraud / False Claims; EIA	02Apr2020
	19-0010-I	Intel; Access Device Fraud; PPPO	30Apr2020
	19-0078-I	DOE Office of the Chief Information Officer; Contract Fraud, Procurement Integrity Act Violations, Antideficiency Act Violation	06May2020
	19-0086-I	(b)(6), (b)(7)(C) Computer Misuse/Compromise; SRS	13May2020
	19-0068-I	(b)(6), (b)(7)(C) Mishandling of Classified Information; Sandia National Laboratories	18May2020

DOE -OIG Investigations Closed (CY2020)

19-0058-I	Hanford Fire Department; Abuse of Overtime; Hanford Site	18May2020
19-0016-I	(b)(6), (b)(7)(C) False Statements; KCNSC	26May2020
19-0074-I	(b)(6), (b)(7)(C) Aggravated Identity Theft - Use of Fraudulent Documents, Protective Force; AU	27May2020
20-0039-I	(b)(6), (b) Theft of Copper Wire and Tools; ETPP	09Jun2020
19-0087-I	Fuel Card Theft and Misuse of Government Property; BPA	11Jun2020
18-0053-I	D-Tech, LLC; Alleged SBIR Fraud; Ashburn, VA	22Jun2020
19-0084-I	(b)(3):31 U.S.C. § 3730 Civil False Claims (Qui Tam-Under Seal); Various Department Contracts	25Jun2020
17-0075-I	Grant Fraud; Interagency Agreement with EPA/ (b)(6), (b)(7)(C)	26Jun2020
19-0093-I	(b)(6), (b)(7)(C) Sabotage of Equipment; Y-12 National Security Complex	06Jul2020
19-0053-I	(b)(6), (b)(7)(C) Theft of Government Program Funds, Traverse City, Michigan	13Jul2020
18-0066-I	Vandalism of Pioneer Cemetery; Fermi National Accelerator Laboratory / Office of Science; Batavia IL	14Jul2020
17-0034-I	Qui-Tam, University of Virginia, Grant Irregularities - DOE	16Jul2020
20-0030-I	Unknown Suspects; Fraudulently Obtained Goods; PNNL	05Aug2020

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

DOE -OIG Investigations Closed (CY2020)

(b)(6), (b)(7) (C)	19-0103-I	[REDACTED] Travel Card Fraud; BPA	05Aug2020
	19-0088-I	(b)(6), (b)(7)(C) Contract Steering; KCNSC	05Aug2020
	19-0021-I	(b)(6), (b)(7)(C) Procurement Integrity Act Violations; INL	05Aug2020
	20-0014-I	Nepotism and Contract Mismanagement; Brookhaven Lab; NY	12Aug2020
	17-0022-I	Area V; Misuse of Weatherization Funds; EE	12Aug2020
(b)(6), (b)(7) (C)	19-0001-I	[REDACTED] Misappropriation of Government Funds, Procurement Integrity Violation(s), et al.; Washington, DC	13Aug2020
	13-0043-I	EM PHOTONICS; SBIR FRAUD; COI; NEWARK, DE	17Aug2020
	19-0003-I	CRAY, Inc.; Qui Tam-Under Seal; DOE/NNSA	18Aug2020
	18-0062-I	BWXT -- Y12; Qui Tam-Under Seal; Oak Ridge	18Aug2020
	19-0044-I	Wanco; Qui Tam-Under Seal; MOX	10Sep2020
	20-0034-I	Southeastern Universities Research Association; Billing Irregularities and Prohibited Campaign Contributions; Thomas Jefferson Lab	11Sep2020
(b)(6), (b)(7) (C)	18-0039-I	SulfCrete, LLC and [REDACTED] Potential False Statements/Conflict of Interest; BNL	14Sep2020
(b)(6), (b)(7) (C)	20-0016-I	[REDACTED] Child Pornography; Savannah River Remediation (SRR)	16Sep2020
(b)(6), (b)(7) (C)	19-0033-I	[REDACTED] Pension Fraud; SRS; Aiken, SC	17Sep2020

DOE -OIG Investigations Closed (CY2020)

15-0110-I	SRNS/FFS; Bonus Payments False Claims/False Statements; SRS, Aiken, SC	24Sep2020
16-0046-I	Cell-Free Bioinnovations, [redacted] SBIR Fraud; VA	27Sep2020
16-0111-I	Battelle Energy Alliance and Terrapower LLC; Qui Tam-Under Seal; Idaho National Laboratory	28Sep2020
19-0076-I	Centrus, Improper Sole-Source Award; Portsmouth	29Sep2020
(b)(6), (b)(7) (C) 20-0008-I	[redacted] Inappropriate Behavior; International Affairs	01Oct2020
20-0004-I	SRNS/FFS; False Statement; SRS, Aiken, SC/PGDP, Paducah, Ky	03Oct2020
(b)(6), (b)(7) (C) 19-0011-I	[redacted] Weatherization Fraud; Montgomery County Community Partnership	15Oct2020
(b)(6), (b)(7) (C) 20-0080-I	[redacted] (b)(6), (b)(7)(C) Improper Influence; HQ	22Oct2020
16-0125-I	(b)(6), (b) (7)(C) TGP; SLAC	03Nov2020
19-0092-I	FERC Investigation of Greenhat Energy LLC	05Nov2020
19-0105-I	(b)(6), (b)(7)(C) Disability Fraud; LLNL	09Nov2020
17-0045-I	Parsons Government Services; False Claims; SRS	24Nov2020
19-0079-I	(b)(6), (b)(7) (C) Unauthorized Media; NETL	09Dec2020
16-0097-I	Intl Sensor Technology; False Certification; ANL, Chicago, IL	11Dec2020
15-0035-I	Black Hills Nanosystems Corporation; SBIR Fraud; Rapid City, SD	14Dec2020
18-0018-I	(b)(6), (b) (7)(C) Computer Crimes; SRS	31Dec2020

(b)(6), (b)(7)
(C)