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Description of document: List of Department of Justice (DOJ) Office of Professional Responsibility (OPR) Investigations closed 2010-2017

Requested date: 21-February-2017

Release date: 27-February-2017

Posted date: 11-March-2019

Source of document: FOIA Request
Senior Counsel for Freedom of Information and Privacy Acts
Office of Professional Responsibility
U.S. Department of Justice
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Washington, D.C. 20530
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U.S. Department of Justice

Office of Professional Responsibility

950 Pennsylvania Avenue, N.W., Suite 3266
Washington, D.C. 20530
(202) 514-3365

FEB 27 2017

Re: OPR FOIA No.: F17-00032

This letter responds to your February 21, 2017 Freedom of Information Act (FOIA) request to the Office of Professional Responsibility (OPR) seeking a listing or printout of investigations that OPR has closed since January 1, 2010. OPR received your request on February 21, 2017. It has been assigned request number F17-00032. Please refer to that number in any correspondence pertaining to this matter.

Please be advised that a search of OPR's system of records was conducted and 66 pages responsive to your request were located. I have determined this material is appropriate for release without excision, and copies are enclosed.

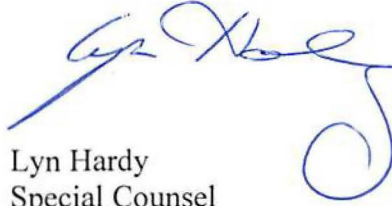
For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. *See* 5 U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

If you have a question about this response, you may contact OPR's FOIA Public Liaison at the telephone number listed above. Additionally, you may contact the Office of Government Information Services (OGIS) to inquire about FOIA mediation. You can write to OGIS at: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001. You may also call OGIS at 202-741-5770, toll free at 1-877-684-6448, or send a facsimile at 202-741-5769; or you can e-mail OGIS at ogis@nara.gov.

If you are not satisfied with my response to this request, you may administratively appeal by writing to the Director, Office of Information Policy (OIP), U.S. Department of Justice, Suite 11050, 1425 New York Avenue, N.W., Washington, D.C. 20530-0001, or you may submit an appeal through OIP's FOIAonline portal by creating an account on the following web site: <https://foiaonline.regulations.gov/foia/action/public/home>. Your appeal must be postmarked or electronically transmitted within 90 days of the date of my response to your request. If you submit your appeal by mail, both the letter and the envelope should be clearly marked "Freedom of Information Act Appeal."

If you are dissatisfied with the result of any appeal you make, judicial review may thereafter be available to you in the United States district court for the judicial district in which you reside, or in which you have your principal place of business, or in the District of Columbia.

Sincerely,

A handwritten signature in blue ink, appearing to read "Lyn Hardy", with a large, stylized flourish at the end.

Lyn Hardy
Special Counsel
for Freedom of Information and Privacy Acts

Enclosures

Matter #: 200900008

Closed: 8/16/2011

Opened: 11/5/2008

Allegations (33)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D29 - Closed Administratively. Other. Specify.
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D29 - Closed Administratively. Other. Specify.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D29 - Closed Administratively. Other. Specify.
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
421 - Failure to Comply With Court Order or Federal Rule	D29 - Closed Administratively. Other. Specify.
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
632 - Failure to Properly Supervise Another Attorney	D05 - Substantiated for Poor Judgment. Report referred to management.
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard
713 - Failure to Comply with USAM Provision (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
713 - Failure to Comply with USAM Provision (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
713 - Failure to Comply with USAM Provision (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
713 - Failure to Comply with USAM Provision (Specify)	D29 - Closed Administratively. Other. Specify.
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 200900011 **Closed:** 1/8/2010
Opened: 10/2/2008

Allegations (1)

Allegation	OPR Findings
150 - Failure to Honor Plea Agreement	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900015 **Closed:** 4/8/2010
Opened: 11/7/2008

Allegations (5)

Allegation	OPR Findings
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional

Matter #: 200900016 **Closed:** 7/28/2011
Opened: 10/22/2009

Allegations (2)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900017 **Closed:** 1/8/2010
Opened: 10/2/2008

Allegations (3)

Allegation	OPR Findings
726 - IJ - Improper Remarks	D05 - Substantiated for Poor Judgment. Report referred to management.
727 - IJ - Bias, Appearance of Partiality	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
730 - IJ - Demeanor	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900018 **Closed:** 6/15/2010
Opened: 10/1/2008

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D25 - Closed Administratively. No merit to allegation based on review. Impro

Matter #: 200900019 **Closed:** 1/8/2010
Opened: 10/17/2008

U.S. DOJ Office of Professional Responsibility
Investigations Closed Between January 1, 2010 and February 22, 2017

Allegations (2)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
632 - Failure to Properly Supervise Another Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900022 Closed: 6/30/2010

Opened: 10/22/2009

Allegations (3)

Allegation	OPR Findings
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900027 Closed: 7/2/2010

Opened: 10/17/2008

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900028 Closed: 6/30/2010

Opened: 10/17/2008

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900029 Closed: 4/8/2010

Opened: 10/17/2008

Allegations (2)

Allegation	OPR Findings
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
422 - Lateness - Missed Deadlines, Etc.	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900030 Closed: 4/1/2010

Opened: 10/30/2008

Allegations (4)

Allegation	OPR Findings
370 - Interference with a Criminal Investigation	D29 - Closed Administratively. Other. Specify.
370 - Interference with a Criminal Investigation	D29 - Closed Administratively. Other. Specify.
400 - Lack of Candor to OPR	D29 - Closed Administratively. Other. Specify.
400 - Lack of Candor to OPR	D29 - Closed Administratively. Other. Specify.

Matter #: 200900031 **Closed:** 3/29/2010
Opened: 10/20/2008

Allegations (2)

Allegation	OPR Findings
726 - IJ - Improper Remarks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
727 - IJ - Bias, Appearance of Partiality	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900032 **Closed:** 4/8/2010
Opened: 10/20/2008

Allegations (2)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900045 **Closed:** 6/14/2011
Opened: 11/13/2008

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
713 - Failure to Comply with USAM Provision (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900046 **Closed:** 6/14/2011
Opened: 4/1/2009

Allegations (5)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
253 - Failure to Competently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
529 - Failure to Comply with DOJ Press Guidelines	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
713 - Failure to Comply with USAM Provision (Specify)	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
713 - Failure to Comply with USAM Provision (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900078 **Closed:** 4/6/2010
Opened: 11/11/2008

Allegations (2)

Allegation	OPR Findings
150 - Failure to Honor Plea Agreement	D02 - Substantiated - Reckless Disregard
150 - Failure to Honor Plea Agreement	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900103 **Closed:** 1/6/2010
Opened: 10/21/2008

Allegations (1)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900114 Closed: 6/30/2011
 Opened: 11/17/2008

Allegations (16)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900119 Closed: 7/6/2010
 Opened: 11/19/2008

Allegations (2)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D01 - Substantiated - Intentional
419 - Improper Introduction of Evidence	D01 - Substantiated - Intentional

Matter #: 200900126 Closed: 1/8/2010
 Opened: 1/21/2009

Allegations (2)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
707 - Failure to Disclose Terms of Plea Agreement to Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900131 Closed: 1/8/2010
 Opened: 1/6/2009

U.S. DOJ Office of Professional Responsibility
Investigations Closed Between January 1, 2010 and February 22, 2017

Allegations (1)

Allegation	OPR Findings
150 - Failure to Honor Plea Agreement	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900137 Closed: 9/27/2010

Opened: 10/22/2009

Allegations (2)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
406 - Discovery - Rule 16	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900140 Closed: 6/30/2010

Opened: 11/25/2008

Allegations (3)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900143 Closed: 7/1/2010

Opened: 11/26/2008

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900147 Closed: 9/28/2010

Opened: 12/4/2008

Allegations (2)

Allegation	OPR Findings
009 - Abuse of Grand Jury or Indictment Process	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
009 - Abuse of Grand Jury or Indictment Process	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900165 Closed: 12/15/2010

Opened: 6/10/2009

Allegations (3)

Allegation	OPR Findings
065 - Conflicting Personal Interests	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
253 - Failure to Competently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

U.S. DOJ Office of Professional Responsibility
Investigations Closed Between January 1, 2010 and February 22, 2017

Matter #: 200900172 **Closed:** 7/7/2010
Opened: 10/22/2009

Allegations (2)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D05 - Substantiated for Poor Judgment. Report referred to management.
539 - Unprofessional Statements or Comments	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 200900177 **Closed:** 8/20/2010
Opened: 2/6/2009

Allegations (1)

Allegation	OPR Findings
419 - Improper Introduction of Evidence	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900181 **Closed:** 3/31/2011
Opened: 7/31/2009

Allegations (2)

Allegation	OPR Findings
529 - Failure to Comply with DOJ Press Guidelines	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
529 - Failure to Comply with DOJ Press Guidelines	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 200900184 **Closed:** 7/25/2010
Opened: 1/28/2009

Allegations (5)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
420 - Failure to Comply with Plea Agreement Policies	D02 - Substantiated - Reckless Disregard
425 - Failure to Comply with Sentencing Recommendation Policy	D02 - Substantiated - Reckless Disregard
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard
754 - Failure to Keep Client Informed	D02 - Substantiated - Reckless Disregard

Matter #: 200900186 **Closed:** 9/30/2011
Opened: 2/6/2009

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 200900188 **Closed:** 2/4/2010
Opened: 1/30/2009

U.S. DOJ Office of Professional Responsibility
Investigations Closed Between January 1, 2010 and February 22, 2017

Allegations (3)

Allegation	OPR Findings
529 - Failure to Comply with DOJ Press Guidelines	D24 - Closed Administratively. Referred/more appropriately handled by ____.
529 - Failure to Comply with DOJ Press Guidelines	D24 - Closed Administratively. Referred/more appropriately handled by ____.
529 - Failure to Comply with DOJ Press Guidelines	D24 - Closed Administratively. Referred/more appropriately handled by ____.

Matter #: 200900219 Closed: 4/5/2010
 Opened: 1/5/2010

Allegations (1)

Allegation	OPR Findings
721 - Failure to Comply with Other Federal Law (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900252 Closed: 4/6/2010
 Opened: 2/17/2009

Allegations (1)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900253 Closed: 2/7/2011
 Opened: 10/21/2009

Allegations (1)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D29 - Closed Administratively. Other. Specify.

Matter #: 200900272 Closed: 3/11/2013
 Opened: 7/30/2009

Allegations (8)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D01 - Substantiated - Intentional
009 - Abuse of Grand Jury or Indictment Process	D02 - Substantiated - Reckless Disregard
253 - Failure to Competently Represent the Interest of the Client	D01 - Substantiated - Intentional
341 - Failure to Diligently Represent the Interest of the Client	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
407 - Discovery - Brady/Exculpatory information	D01 - Substantiated - Intentional
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D01 - Substantiated - Intentional

Matter #: 200900286 Closed: 3/31/2011
 Opened: 3/4/2009

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900354

Closed: 3/22/2013

Opened: 4/13/2009

Allegations (26)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
002 - Abuse of Prosecutive or Investigative Authority	D05 - Substantiated for Poor Judgment. Report referred to management.
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
632 - Failure to Properly Supervise Another Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
632 - Failure to Properly Supervise Another Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
632 - Failure to Properly Supervise Another Attorney	D02 - Substantiated - Reckless Disregard
632 - Failure to Properly Supervise Another Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
632 - Failure to Properly Supervise Another Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
714 - Failure to Abide by DOJ Investigative Guidelines	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900361

Closed: 9/29/2010

Opened: 3/25/2009

U.S. DOJ Office of Professional Responsibility
Investigations Closed Between January 1, 2010 and February 22, 2017

Allegations (6)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
009 - Abuse of Grand Jury or Indictment Process	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
009 - Abuse of Grand Jury or Indictment Process	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
410 - Improper Closing or Rebuttal Argument	D05 - Substantiated for Poor Judgment. Report referred to management.
422 - Lateness - Missed Deadlines, Etc.	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900362 Closed: 8/23/2011
 Opened: 3/17/2009

Allegations (4)

Allegation	OPR Findings
011 - Overzealous Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900363 Closed: 3/1/2010
 Opened: 3/13/2009

Allegations (4)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.
002 - Abuse of Prosecutive or Investigative Authority	D29 - Closed Administratively. Other. Specify.
002 - Abuse of Prosecutive or Investigative Authority	D29 - Closed Administratively. Other. Specify.

Matter #: 200900367 Closed: 7/7/2010
 Opened: 3/17/2009

Allegations (1)

Allegation	OPR Findings
727 - IJ - Bias, Appearance of Partiality	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900379 Closed: 6/23/2010
 Opened: 7/31/2009

Allegations (2)

Allegation	OPR Findings
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Matter #: 200900389 **Closed:** 9/27/2013
Opened: 1/10/2011

Allegations (1)

Allegation	OPR Findings
723 - Retaliation for Protected Disclosure	D39 - OPR Investigation completed. Retaliation found. Referred to ____.

Matter #: 200900455 **Closed:** 3/20/2012
Opened: 3/19/2010

Allegations (3)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
632 - Failure to Properly Supervise Another Attorney	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 200900459 **Closed:** 12/3/2010
Opened: 4/2/2009

Allegations (3)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
412 - Improper Coercion/Intimidation of a Witness	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
631 - Failure to Properly Supervise a Non-Attorney	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200900527 **Closed:** 5/4/2011
Opened: 6/2/2009

Allegations (2)

Allegation	OPR Findings
008 - Failure to Comply with Legal Requirements or Policies Re See	D05 - Substantiated for Poor Judgment. Report referred to management.
532 - Unauthorized Disclosure to Media - (Including Privacy Act and	D02 - Substantiated - Reckless Disregard

Matter #: 200900569 **Closed:** 3/29/2013
Opened: 7/2/2009

Allegations (13)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Responsibility.
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in the circumstances.
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
421 - Failure to Comply With Court Order or Federal Rule	D02 - Substantiated - Reckless Disregard
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Responsibility.
421 - Failure to Comply With Court Order or Federal Rule	D02 - Substantiated - Reckless Disregard
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Responsibility.
462 - Subornation of Perjury/Failure to Correct False Testimony	D02 - Substantiated - Reckless Disregard
462 - Subornation of Perjury/Failure to Correct False Testimony	D01 - Substantiated - Intentional

Matter #: 200900658 Closed: 8/28/2012
 Opened: 7/9/2010

Allegations (3)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Responsibility.
754 - Failure to Keep Client Informed	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200900659 Closed: 5/7/2012
 Opened: 5/29/2009

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900724 Closed: 6/7/2010
 Opened: 5/28/2009

Allegations (4)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
341 - Failure to Diligently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
341 - Failure to Diligently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900783 Closed: 12/17/2012
 Opened: 6/30/2010

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Allegations (6)

Allegation	OPR Findings
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900809 Closed: 3/31/2011
 Opened: 5/27/2009

Allegations (2)

Allegation	OPR Findings
408 - Discovery - Impeachment/Jencks	D24 - Closed Administratively. Referred/more appropriately handled by ____.
408 - Discovery - Impeachment/Jencks	D24 - Closed Administratively. Referred/more appropriately handled by ____.

Matter #: 200900867 Closed: 1/8/2010
 Opened: 6/24/2009

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D27 - Closed Administratively. Complaint withdrawn.

Matter #: 200900888 Closed: 6/15/2010
 Opened: 10/29/2009

Allegations (4)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
001 - Abuse of Authority or Misuse of Official Position	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
252 - Improper Contacts with Represented Party/Interference with A	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
252 - Improper Contacts with Represented Party/Interference with A	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 200900890 Closed: 6/30/2010
 Opened: 7/7/2009

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900891 Closed: 4/8/2010
 Opened: 3/16/2010

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Allegations (1)

Allegation	OPR Findings
529 - Failure to Comply with DOJ Press Guidelines	D16 - Unsubstantiated. Allegation sustained for _____.

Matter #: 200900900 Closed: 3/13/2010
 Opened: 7/2/2009

Allegations (1)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.

Matter #: 200900901 Closed: 3/13/2010
 Opened: 7/2/2009

Allegations (1)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.

Matter #: 200900920 Closed: 3/26/2013
 Opened: 7/10/2009

Allegations (6)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
713 - Failure to Comply with USAM Provision (Specify)	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
713 - Failure to Comply with USAM Provision (Specify)	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
713 - Failure to Comply with USAM Provision (Specify)	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 200900926 Closed: 3/17/2011
 Opened: 10/22/2009

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Allegations (16)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
001 - Abuse of Authority or Misuse of Official Position	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
001 - Abuse of Authority or Misuse of Official Position	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
001 - Abuse of Authority or Misuse of Official Position	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
010 - Selective Prosecution	
010 - Selective Prosecution	
010 - Selective Prosecution	
010 - Selective Prosecution	
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900927 Closed: 6/28/2011
 Opened: 7/7/2010

Allegations (3)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900930 Closed: 6/1/2011
 Opened: 7/19/2010

Allegations (3)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
542 - Failure to Comply with DOJ Rules and Regulations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
542 - Failure to Comply with DOJ Rules and Regulations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900941 Closed: 9/22/2010
 Opened: 7/10/2009

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Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
410 - Improper Closing or Rebuttal Argument	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900942 Closed: 9/30/2011

Opened: 7/20/2009

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900947 Closed: 6/30/2011

Opened: 9/23/2009

Allegations (3)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
005 - Failure to comply with Principles of Federal Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900948 Closed: 9/26/2012

Opened: 5/26/2010

Allegations (5)

Allegation	OPR Findings
065 - Conflicting Personal Interests	D01 - Substantiated - Intentional
252 - Improper Contacts with Represented Party/Interference with A	D01 - Substantiated - Intentional
253 - Failure to Competently Represent the Interest of the Client	D01 - Substantiated - Intentional
253 - Failure to Competently Represent the Interest of the Client	D01 - Substantiated - Intentional
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard

Matter #: 200900949 Closed: 9/13/2010

Opened: 1/28/2010

Allegations (1)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200900953 Closed: 5/17/2011

Opened: 7/27/2009

Allegations (1)

Allegation	OPR Findings
422 - Lateness - Missed Deadlines, Etc.	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

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Matter #: 200900968 **Closed:** 5/28/2010
Opened: 12/1/2009

Allegations (1)

Allegation	OPR Findings
412 - Improper Coercion/Intimidation of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200900977 **Closed:** 7/7/2010
Opened: 1/27/2010

Allegations (2)

Allegation	OPR Findings
529 - Failure to Comply with DOJ Press Guidelines	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
529 - Failure to Comply with DOJ Press Guidelines	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200900978 **Closed:** 2/17/2011
Opened: 6/28/2010

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200901005 **Closed:** 6/22/2011
Opened: 5/18/2010

Allegations (3)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
405 - Misrepresentation to Opposing Counsel	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 200901046 **Closed:** 5/9/2011
Opened: 8/26/2009

Allegations (2)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
411 - Improper Examination of a Witness	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 200901053 **Closed:** 3/31/2011
Opened: 9/1/2009

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 200901072 **Closed:** 9/30/2010
Opened: 9/1/2009

Allegations (2)

Allegation	OPR Findings
413 - Prejudicial Statement to a Grand or Petit Jury	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
413 - Prejudicial Statement to a Grand or Petit Jury	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200901098 **Closed:** 3/21/2011
Opened: 9/2/2009

Allegations (1)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200901131 **Closed:** 2/28/2011
Opened: 9/30/2009

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 200901203 **Closed:** 11/22/2010
Opened: 9/17/2009

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200901235 **Closed:** 1/3/2011
Opened: 9/21/2009

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
713 - Failure to Comply with USAM Provision (Specify)	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 200901268 **Closed:** 2/23/2011
Opened: 1/14/2011

Allegations (1)

Allegation	OPR Findings
405 - Misrepresentation to Opposing Counsel	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in

Matter #: 200901269 **Closed:** 6/30/2010
Opened: 4/26/2010

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Allegations (1)	
Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
Matter #: 201000007	Closed: 7/7/2010
Opened: 10/7/2009	
Allegations (1)	
Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
Matter #: 201000066	Closed: 5/12/2010
Opened: 10/26/2009	
Allegations (1)	
Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard
Matter #: 201000070	Closed: 5/18/2011
Opened: 1/6/2010	
Allegations (2)	
Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D01 - Substantiated - Intentional
422 - Lateness - Missed Deadlines, Etc.	D01 - Substantiated - Intentional
Matter #: 201000071	Closed: 4/8/2010
Opened: 2/25/2010	
Allegations (1)	
Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D05 - Substantiated for Poor Judgment. Report referred to management.
Matter #: 201000131	Closed: 3/2/2011
Opened: 11/12/2009	
Allegations (1)	
Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
Matter #: 201000132	Closed: 9/30/2011
Opened: 11/15/2009	

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Allegations (6)

Allegation	OPR Findings
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 201000133 Closed: 9/30/2010
 Opened: 11/16/2009

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 201000134 Closed: 6/29/2010
 Opened: 11/16/2009

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 201000140 Closed: 6/29/2011
 Opened: 11/14/2009

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000148 Closed: 11/21/2011
 Opened: 11/14/2009

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000149 Closed: 9/30/2011
 Opened: 11/14/2009

Allegations (2)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
411 - Improper Examination of a Witness	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201000333 **Closed:** 6/30/2011
Opened: 12/14/2009

Allegations (1)

Allegation	OPR Findings
252 - Improper Contacts with Represented Party/Interference with A D13	Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201000349 **Closed:** 3/9/2011
Opened: 12/23/2009

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in good faith.
408 - Discovery - Impeachment/Jencks	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in good faith.

Matter #: 201000352 **Closed:** 3/31/2011
Opened: 1/5/2010

Allegations (3)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.

Matter #: 201000367 **Closed:** 2/4/2010
Opened: 1/6/2010

Allegations (2)

Allegation	OPR Findings
011 - Overzealous Prosecution	D24 - Closed Administratively. Referred/more appropriately handled by ____.
011 - Overzealous Prosecution	D24 - Closed Administratively. Referred/more appropriately handled by ____.

Matter #: 201000373 **Closed:** 9/1/2011
Opened: 4/1/2010

Allegations (9)

Allegation	OPR Findings
424 - Discovery - Civil	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
424 - Discovery - Civil	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
424 - Discovery - Civil	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
424 - Discovery - Civil	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
632 - Failure to Properly Supervise Another Attorney	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
744 - Failure to Comply with Federal Rules of Civil Procedure	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
744 - Failure to Comply with Federal Rules of Civil Procedure	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
744 - Failure to Comply with Federal Rules of Civil Procedure	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
744 - Failure to Comply with Federal Rules of Civil Procedure	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201000379 Closed: 5/10/2011

Opened: 1/5/2010

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201000404 Closed: 8/16/2012

Opened: 2/1/2010

Allegations (1)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201000463 Closed: 11/17/2011

Opened: 1/27/2010

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
418 - Ex Parte Communication	D01 - Substantiated - Intentional
535 - Unauthorized Disclosure, Non-Media - Other (Including Private)	D01 - Substantiated - Intentional

Matter #: 201000467 Closed: 5/18/2011

Opened: 2/1/2010

Allegations (2)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D01 - Substantiated - Intentional
422 - Lateness - Missed Deadlines, Etc.	D01 - Substantiated - Intentional

Matter #: 201000474 Closed: 3/19/2013

Opened: 6/30/2010

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Allegations (4)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
999 - Other (Specify)	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201000498 Closed: 6/29/2011
 Opened: 3/10/2010

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000548 Closed: 9/6/2011
 Opened: 2/17/2010

Allegations (1)

Allegation	OPR Findings
406 - Discovery - Rule 16	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201000568 Closed: 3/16/2012
 Opened: 5/14/2010

Allegations (6)

Allegation	OPR Findings
724 - IJ - General	D02 - Substantiated - Reckless Disregard
727 - IJ - Bias, Appearance of Partiality	D02 - Substantiated - Reckless Disregard
728 - IJ - Failure to Follow Proper Procedures	D02 - Substantiated - Reckless Disregard
728 - IJ - Failure to Follow Proper Procedures	D02 - Substantiated - Reckless Disregard
729 - IJ - Failure to Recuse, Conflict of Interest	D01 - Substantiated - Intentional
731 - IJ - Violation of Alien's Due Process Rights	D02 - Substantiated - Reckless Disregard

Matter #: 201000569 Closed: 7/25/2010
 Opened: 3/9/2010

Allegations (2)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D02 - Substantiated - Reckless Disregard
425 - Failure to Comply with Sentencing Recommendation Policy	D02 - Substantiated - Reckless Disregard

Matter #: 201000580 Closed: 11/29/2011
 Opened: 11/8/2010

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Allegations (2)

Allegation	OPR Findings
062 - Conflict - General, Including Appearance of Conflict	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
538 - Outside Unauthorized Practice of Law	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201000598 Closed: 10/2/2010
 Opened: 3/8/2010

Allegations (1)

Allegation	OPR Findings
727 - IJ - Bias, Appearance of Partiality	D40 - ODAG (or other DOJ Component) approved OPR recommendation to ter

Matter #: 201000617 Closed: 6/30/2011
 Opened: 3/10/2010

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000632 Closed: 3/31/2011
 Opened: 6/4/2010

Allegations (1)

Allegation	OPR Findings
252 - Improper Contacts with Represented Party/Interference with A	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201000711 Closed: 9/30/2011
 Opened: 8/2/2010

Allegations (1)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000777 Closed: 1/3/2011
 Opened: 11/8/2010

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201000778 Closed: 4/26/2011
 Opened: 4/19/2010

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

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Matter #: 201000786

Closed: 6/19/2013

Opened: 9/30/2010

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard

Matter #: 201000793

Closed: 6/4/2010

Opened: 4/30/2010

Allegations (1)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct

Matter #: 201000832

Closed: 9/20/2010

Opened: 7/1/2010

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201000855

Closed: 3/30/2012

Opened: 6/11/2010

Allegations (12)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
406 - Discovery - Rule 16	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
421 - Failure to Comply With Court Order or Federal Rule	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201000922

Closed: 8/23/2011

Opened: 6/11/2010

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Allegations (4)

Allegation	OPR Findings
008 - Failure to Comply with Legal Requirements or Policies Re Se	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
008 - Failure to Comply with Legal Requirements or Policies Re Se	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201000999 Closed: 6/28/2011
 Opened: 7/7/2010

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
532 - Unauthorized Disclosure to Media - (Including Privacy Act an	D02 - Substantiated - Reckless Disregard

Matter #: 201001000 Closed: 2/4/2011
 Opened: 7/7/2010

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201001020 Closed: 6/30/2011
 Opened: 7/23/2010

Allegations (5)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
410 - Improper Closing or Rebuttal Argument	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201100034 Closed: 1/31/2011
 Opened: 10/11/2010

Allegations (1)

Allegation	OPR Findings
726 - IJ - Improper Remarks	D29 - Closed Administratively. Other. Specify.

Matter #: 201100262 Closed: 3/23/2011
 Opened: 11/9/2010

Allegations (2)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
005 - Failure to comply with Principles of Federal Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201100318 Closed: 12/14/2011

Opened: 3/16/2011

Allegations (2)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201100345 Closed: 9/21/2012

Opened: 12/15/2010

Allegations (1)

Allegation	OPR Findings
743 - Failure to Comply with Federal Rules of Evidence	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201100382 Closed: 6/28/2011

Opened: 2/14/2011

Allegations (2)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D19 - Closed Administratively. Issues previously addressed. No further action
542 - Failure to Comply with DOJ Rules and Regulations	D19 - Closed Administratively. Issues previously addressed. No further action

Matter #: 201100384 Closed: 2/15/2011

Opened: 12/28/2010

Allegations (4)

Allegation	OPR Findings
722 - Whistleblower Allegations	D25 - Closed Administratively. No merit to allegation based on review. Improperly handled
722 - Whistleblower Allegations	D25 - Closed Administratively. No merit to allegation based on review. Improperly handled
723 - Retaliation for Protected Disclosure	D25 - Closed Administratively. No merit to allegation based on review. Improperly handled
723 - Retaliation for Protected Disclosure	D25 - Closed Administratively. No merit to allegation based on review. Improperly handled

Matter #: 201100416 Closed: 3/22/2013

Opened: 1/5/2011

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
420 - Failure to Comply with Plea Agreement Policies	D01 - Substantiated - Intentional
754 - Failure to Keep Client Informed	D01 - Substantiated - Intentional

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Matter #: 201100480

Closed: 2/28/2012

Opened: 1/28/2011

Allegations (1)

Allegation	OPR Findings
535 - Unauthorized Disclosure, Non-Media - Other (Including Private)	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201100506

Closed: 9/25/2012

Opened: 2/4/2011

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
410 - Improper Closing or Rebuttal Argument	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201100637

Closed: 5/8/2012

Opened: 2/28/2011

Allegations (14)

Allegation	OPR Findings
409 - Improper Opening Argument	D19 - Closed Administratively. Issues previously addressed. No further action
409 - Improper Opening Argument	D19 - Closed Administratively. Issues previously addressed. No further action
409 - Improper Opening Argument	D19 - Closed Administratively. Issues previously addressed. No further action
409 - Improper Opening Argument	D19 - Closed Administratively. Issues previously addressed. No further action
409 - Improper Opening Argument	D19 - Closed Administratively. Issues previously addressed. No further action
411 - Improper Examination of a Witness	D19 - Closed Administratively. Issues previously addressed. No further action
411 - Improper Examination of a Witness	D19 - Closed Administratively. Issues previously addressed. No further action
411 - Improper Examination of a Witness	D19 - Closed Administratively. Issues previously addressed. No further action
411 - Improper Examination of a Witness	D19 - Closed Administratively. Issues previously addressed. No further action
411 - Improper Examination of a Witness	D19 - Closed Administratively. Issues previously addressed. No further action
999 - Other (Specify)	D19 - Closed Administratively. Issues previously addressed. No further action
999 - Other (Specify)	D19 - Closed Administratively. Issues previously addressed. No further action
999 - Other (Specify)	D19 - Closed Administratively. Issues previously addressed. No further action
999 - Other (Specify)	D19 - Closed Administratively. Issues previously addressed. No further action

Matter #: 201100639

Closed: 9/29/2011

Opened: 2/28/2011

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional

Matter #: 201100718 **Closed:** 12/4/2011
Opened: 3/9/2011

Allegations (3)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.
011 - Overzealous Prosecution	D29 - Closed Administratively. Other. Specify.
536 - Unprofessional or Unethical Behavior	D29 - Closed Administratively. Other. Specify.

Matter #: 201100915 **Closed:** 5/23/2013
Opened: 4/20/2011

Allegations (1)

Allegation	OPR Findings
633 - Failure to Comply with Speedy Trial Act	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201100964 **Closed:** 12/5/2011
Opened: 4/28/2011

Allegations (2)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201100980 **Closed:** 3/30/2012
Opened: 5/4/2011

Allegations (4)

Allegation	OPR Findings
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
536 - Unprofessional or Unethical Behavior	D05 - Substantiated for Poor Judgment. Report referred to management.
539 - Unprofessional Statements or Comments	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201100993 **Closed:** 3/29/2012
Opened: 5/11/2011

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
721 - Failure to Comply with Other Federal Law (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201101038 **Closed:** 3/29/2013
Opened: 5/25/2011

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Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
409 - Improper Opening Argument	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201101057 Closed: 12/4/2011
 Opened: 6/1/2011

Allegations (3)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D29 - Closed Administratively. Other. Specify.
011 - Overzealous Prosecution	D29 - Closed Administratively. Other. Specify.
536 - Unprofessional or Unethical Behavior	D29 - Closed Administratively. Other. Specify.

Matter #: 201101067 Closed: 6/25/2012
 Opened: 6/2/2011

Allegations (2)

Allegation	OPR Findings
005 - Failure to comply with Principles of Federal Prosecution	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
420 - Failure to Comply with Plea Agreement Policies	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201101104 Closed: 6/17/2014
 Opened: 6/13/2011

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
420 - Failure to Comply with Plea Agreement Policies	D02 - Substantiated - Reckless Disregard

Matter #: 201101122 Closed: 7/27/2012
 Opened: 6/21/2011

Allegations (2)

Allegation	OPR Findings
532 - Unauthorized Disclosure to Media - (Including Privacy Act an	D01 - Substantiated - Intentional
999 - Other (Specify)	D01 - Substantiated - Intentional

Matter #: 201101123 Closed: 10/28/2013
 Opened: 6/22/2011

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Allegations (3)

Allegation	OPR Findings
424 - Discovery - Civil	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
424 - Discovery - Civil	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
424 - Discovery - Civil	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201101138 Closed: 9/28/2012
 Opened: 6/24/2011

Allegations (4)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
754 - Failure to Keep Client Informed	D02 - Substantiated - Reckless Disregard
999 - Other (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201101183 Closed: 2/21/2013
 Opened: 7/12/2011

Allegations (3)

Allegation	OPR Findings
062 - Conflict - General, Including Appearance of Conflict	D05 - Substantiated for Poor Judgment. Report referred to management.
253 - Failure to Competently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201101271 Closed: 9/16/2013
 Opened: 8/1/2011

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
542 - Failure to Comply with DOJ Rules and Regulations	D01 - Substantiated - Intentional
721 - Failure to Comply with Other Federal Law (Specify)	D01 - Substantiated - Intentional

Matter #: 201101359 Closed: 7/9/2013
 Opened: 8/29/2011

Allegations (1)

Allegation	OPR Findings
418 - Ex Parte Communication	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201101456 Closed: 9/4/2012
 Opened: 9/27/2011

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Allegations (1)

Allegation	OPR Findings
405 - Misrepresentation to Opposing Counsel	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201200030 Closed: 9/12/2012
 Opened: 10/12/2011

Allegations (4)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201200033 Closed: 6/12/2012
 Opened: 10/13/2011

Allegations (2)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D05 - Substantiated for Poor Judgment. Report referred to management.
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201200059 Closed: 2/20/2013
 Opened: 10/21/2011

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard

Matter #: 201200099 Closed: 6/18/2014
 Opened: 11/3/2011

Allegations (13)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
410 - Improper Closing or Rebuttal Argument	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
410 - Improper Closing or Rebuttal Argument	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
410 - Improper Closing or Rebuttal Argument	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
462 - Subornation of Perjury/Failure to Correct False Testimony	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
462 - Subornation of Perjury/Failure to Correct False Testimony	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201200155 Closed: 9/26/2013
 Opened: 11/25/2011

Allegations (1)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201200194 Closed: 12/14/2012
 Opened: 12/2/2011

Allegations (2)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
543 - Failure to Maintain Active Bar Membership	D01 - Substantiated - Intentional

Matter #: 201200217 Closed: 3/19/2013
 Opened: 12/12/2011

Allegations (2)

Allegation	OPR Findings
534 - Unauthorized Disclosure, Non-Media - 6(E) Material (Including Privileged)	D05 - Substantiated for Poor Judgment. Report referred to management.
535 - Unauthorized Disclosure, Non-Media - Other (Including Privileged)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201200301 Closed: 3/20/2013
 Opened: 1/23/2012

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Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.
411 - Improper Examination of a Witness	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201200358 Closed: 9/25/2013
 Opened: 2/6/2012

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.
410 - Improper Closing or Rebuttal Argument	D02 - Substantiated - Reckless Disregard

Matter #: 201200408 Closed: 4/29/2014
 Opened: 2/16/2012

Allegations (2)

Allegation	OPR Findings
065 - Conflicting Personal Interests	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
253 - Failure to Competently Represent the Interest of the Client	D01 - Substantiated - Intentional

Matter #: 201200410 Closed: 3/22/2013
 Opened: 2/16/2012

Allegations (18)

Allegation	OPR Findings
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found

Matter #: 201200424 **Closed:** 10/25/2013
Opened: 2/21/2012

Allegations (2)

Allegation	OPR Findings
406 - Discovery - Rule 16	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard

Matter #: 201200475 **Closed:** 3/12/2013
Opened: 3/14/2012

Allegations (3)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D02 - Substantiated - Reckless Disregard
425 - Failure to Comply with Sentencing Recommendation Policy	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
702 - Failure to Comply with Policies Re Immunity/Non-Prosecutor	D02 - Substantiated - Reckless Disregard

Matter #: 201200481 **Closed:** 9/28/2012
Opened: 3/15/2012

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Allegations (2)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D05 - Substantiated for Poor Judgment. Report referred to management.
462 - Subornation of Perjury/Failure to Correct False Testimony	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201200482 Closed: 12/20/2013

Opened: 3/15/2012

Allegations (7)

Allegation	OPR Findings
065 - Conflicting Personal Interests	D01 - Substantiated - Intentional
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
529 - Failure to Comply with DOJ Press Guidelines	D01 - Substantiated - Intentional
529 - Failure to Comply with DOJ Press Guidelines	D01 - Substantiated - Intentional
532 - Unauthorized Disclosure to Media - (Including Privacy Act and	D01 - Substantiated - Intentional
532 - Unauthorized Disclosure to Media - (Including Privacy Act and	D01 - Substantiated - Intentional

Matter #: 201200484 Closed: 11/26/2013

Opened: 3/15/2012

Allegations (2)

Allegation	OPR Findings
405 - Misrepresentation to Opposing Counsel	D01 - Substantiated - Intentional
424 - Discovery - Civil	D01 - Substantiated - Intentional

Matter #: 201200559 Closed: 9/12/2013

Opened: 3/29/2012

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
406 - Discovery - Rule 16	D05 - Substantiated for Poor Judgment. Report referred to management.
406 - Discovery - Rule 16	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201200591 Closed: 4/10/2013

Opened: 4/6/2012

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Matter #: 201200630 **Closed:** 5/3/2013
Opened: 4/16/2012

Allegations (2)

Allegation	OPR Findings
538 - Outside Unauthorized Practice of Law	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201200698 **Closed:** 6/21/2013
Opened: 5/10/2012

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D01 - Substantiated - Intentional
999 - Other (Specify)	D01 - Substantiated - Intentional

Matter #: 201200711 **Closed:** 3/18/2013
Opened: 5/16/2012

Allegations (2)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
425 - Failure to Comply with Sentencing Recommendation Policy	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201200742 **Closed:** 9/27/2013
Opened: 6/6/2012

Allegations (2)

Allegation	OPR Findings
721 - Failure to Comply with Other Federal Law (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.
742 - Failure to Comply with Federal Rules of Criminal Procedure	D02 - Substantiated - Reckless Disregard

Matter #: 201200776 **Closed:** 6/13/2014
Opened: 6/14/2012

Allegations (2)

Allegation	OPR Findings
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found

Matter #: 201200801 **Closed:** 6/7/2013
Opened: 6/26/2012

Allegations (1)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

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Matter #: 201200802 **Closed:** 12/5/2013
Opened: 6/26/2012

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
405 - Misrepresentation to Opposing Counsel	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201200834 **Closed:** 12/5/2013
Opened: 7/12/2012

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D24 - Closed Administratively. Referred/more appropriately handled by ____.
421 - Failure to Comply With Court Order or Federal Rule	D24 - Closed Administratively. Referred/more appropriately handled by ____.

Matter #: 201200932 **Closed:** 8/12/2014
Opened: 8/6/2012

Allegations (6)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201200998 **Closed:** 6/3/2013
Opened: 8/23/2012

Allegations (2)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional

Matter #: 201201033 **Closed:** 1/2/2013
Opened: 9/5/2012

Allegations (1)

Allegation	OPR Findings
535 - Unauthorized Disclosure, Non-Media - Other (Including Privac	D29 - Closed Administratively. Other. Specify.

Matter #: 201201077 **Closed:** 10/31/2014
Opened: 9/19/2012

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Allegations (15)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D01 - Substantiated - Intentional
002 - Abuse of Prosecutive or Investigative Authority	D05 - Substantiated for Poor Judgment. Report referred to management.
062 - Conflict - General, Including Appearance of Conflict	D01 - Substantiated - Intentional
062 - Conflict - General, Including Appearance of Conflict	D01 - Substantiated - Intentional
405 - Misrepresentation to Opposing Counsel	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
632 - Failure to Properly Supervise Another Attorney	D05 - Substantiated for Poor Judgment. Report referred to management.
721 - Failure to Comply with Other Federal Law (Specify)	D01 - Substantiated - Intentional
754 - Failure to Keep Client Informed	D01 - Substantiated - Intentional
754 - Failure to Keep Client Informed	D01 - Substantiated - Intentional
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
999 - Other (Specify)	D01 - Substantiated - Intentional
999 - Other (Specify)	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201300009 Closed: 3/31/2014
 Opened: 10/3/2012

Allegations (1)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201300045 Closed: 8/29/2013
 Opened: 10/17/2012

Allegations (3)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D05 - Substantiated for Poor Judgment. Report referred to management.
543 - Failure to Maintain Active Bar Membership	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201300075 Closed: 5/14/2014
 Opened: 10/31/2012

Allegations (3)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

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Matter #: 201300077

Closed: 5/29/2014

Opened: 10/31/2012

Allegations (3)

Allegation	OPR Findings
701 - Failure to Honor Immunity/Non-Prosecution Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
701 - Failure to Honor Immunity/Non-Prosecution Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
701 - Failure to Honor Immunity/Non-Prosecution Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201300128

Closed: 9/16/2014

Opened: 11/20/2012

Allegations (1)

Allegation	OPR Findings
413 - Prejudicial Statement to a Grand or Petit Jury	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201300183

Closed: 4/8/2014

Opened: 12/6/2012

Allegations (3)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
538 - Outside Unauthorized Practice of Law	D01 - Substantiated - Intentional
543 - Failure to Maintain Active Bar Membership	D01 - Substantiated - Intentional

Matter #: 201300271

Closed: 9/26/2014

Opened: 1/17/2013

Allegations (6)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
542 - Failure to Comply with DOJ Rules and Regulations	D01 - Substantiated - Intentional
543 - Failure to Maintain Active Bar Membership	D01 - Substantiated - Intentional
754 - Failure to Keep Client Informed	D01 - Substantiated - Intentional
999 - Other (Specify)	D01 - Substantiated - Intentional
999 - Other (Specify)	D01 - Substantiated - Intentional

Matter #: 201300318

Closed: 12/23/2014

Opened: 2/4/2013

Allegations (3)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
415 - Improper Coercion of a Guilty Plea	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

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Matter #: 201300335 **Closed:** 12/4/2014
Opened: 2/8/2013

Allegations (2)

Allegation	OPR Findings
742 - Failure to Comply with Federal Rules of Criminal Procedure	D05 - Substantiated for Poor Judgment. Report referred to management.
742 - Failure to Comply with Federal Rules of Criminal Procedure	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300341 **Closed:** 2/28/2014
Opened: 2/11/2013

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
701 - Failure to Honor Immunity/Non-Prosecution Agreement	D02 - Substantiated - Reckless Disregard

Matter #: 201300382 **Closed:** 6/17/2014
Opened: 2/28/2013

Allegations (4)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
713 - Failure to Comply with USAM Provision (Specify)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
715 - Failure to Comply with Petite Policy	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201300403 **Closed:** 9/26/2014
Opened: 3/12/2013

Allegations (4)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
412 - Improper Coercion/Intimidation of a Witness	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
412 - Improper Coercion/Intimidation of a Witness	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201300424 **Closed:** 4/7/2014
Opened: 3/19/2013

Allegations (2)

Allegation	OPR Findings
152 - Failure to Report Misconduct	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300466 **Closed:** 6/13/2014
Opened: 4/4/2013

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Allegations (2)

Allegation	OPR Findings
150 - Failure to Honor Plea Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
150 - Failure to Honor Plea Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201300505 Closed: 4/6/2015
 Opened: 4/30/2013

Allegations (3)

Allegation	OPR Findings
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
542 - Failure to Comply with DOJ Rules and Regulations	D29 - Closed Administratively. Other. Specify.
754 - Failure to Keep Client Informed	D02 - Substantiated - Reckless Disregard

Matter #: 201300511 Closed: 9/26/2013
 Opened: 5/2/2013

Allegations (4)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
538 - Outside Unauthorized Practice of Law	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201300541 Closed: 12/11/2014
 Opened: 5/16/2013

Allegations (3)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300542 Closed: 4/21/2015
 Opened: 5/16/2013

Allegations (3)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D05 - Substantiated for Poor Judgment. Report referred to management.
005 - Failure to comply with Principles of Federal Prosecution	D02 - Substantiated - Reckless Disregard
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201300577 Closed: 12/9/2014
 Opened: 5/29/2013

Allegations (8)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
061 - Constitutional or Civil Rights Violations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
542 - Failure to Comply with DOJ Rules and Regulations	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201300609 Closed: 6/24/2015
 Opened: 6/7/2013

Allegations (6)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201300656 Closed: 10/21/2014
 Opened: 6/25/2013

Allegations (3)

Allegation	OPR Findings
724 - IJ - General	D12 - Unsubstantiated. Allegation not sustained for Poor Judgement.
728 - IJ - Failure to Follow Proper Procedures	D12 - Unsubstantiated. Allegation not sustained for Poor Judgement.
731 - IJ - Violation of Alien's Due Process Rights	D12 - Unsubstantiated. Allegation not sustained for Poor Judgement.

Matter #: 201300667 Closed: 11/12/2014
 Opened: 6/27/2013

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201300684 Closed: 3/31/2015
 Opened: 7/16/2013

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Allegations (5)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
536 - Unprofessional or Unethical Behavior	D02 - Substantiated - Reckless Disregard
633 - Failure to Comply with Speedy Trial Act	D02 - Substantiated - Reckless Disregard

Matter #: 201300686 Closed: 3/13/2015
 Opened: 7/17/2013

Allegations (22)

Allegation	OPR Findings
008 - Failure to Comply with Legal Requirements or Policies Re See	D05 - Substantiated for Poor Judgment. Report referred to management.
370 - Interference with a Criminal Investigation	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
370 - Interference with a Criminal Investigation	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
370 - Interference with a Criminal Investigation	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
370 - Interference with a Criminal Investigation	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
370 - Interference with a Criminal Investigation	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
421 - Failure to Comply With Court Order or Federal Rule	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300833 Closed: 4/14/2016
 Opened: 9/13/2013

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Allegations (2)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300844 Closed: 5/30/2014
 Opened: 1/7/2013

Allegations (1)

Allegation	OPR Findings
532 - Unauthorized Disclosure to Media - (Including Privacy Act and	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300849 Closed: 12/8/2015
 Opened: 9/20/2013

Allegations (3)

Allegation	OPR Findings
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201300868 Closed: 6/8/2016
 Opened: 9/26/2013

Allegations (18)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
405 - Misrepresentation to Opposing Counsel	D02 - Substantiated - Reckless Disregard
405 - Misrepresentation to Opposing Counsel	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in the circumstances.
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately in the circumstances.
406 - Discovery - Rule 16	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
536 - Unprofessional or Unethical Behavior	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D05 - Substantiated for Poor Judgment. Report referred to management.
542 - Failure to Comply with DOJ Rules and Regulations	D05 - Substantiated for Poor Judgment. Report referred to management.
754 - Failure to Keep Client Informed	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: 201400028 **Closed:** 7/29/2014
Opened: 10/23/2013

Allegations (2)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
535 - Unauthorized Disclosure, Non-Media - Other (Including Privileged Information)	D01 - Substantiated - Intentional

Matter #: 201400102 **Closed:** 2/11/2016
Opened: 11/7/2013

Allegations (8)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
406 - Discovery - Rule 16	D01 - Substantiated - Intentional
406 - Discovery - Rule 16	D01 - Substantiated - Intentional
407 - Discovery - Brady/Exculpatory information	D01 - Substantiated - Intentional
408 - Discovery - Impeachment/Jencks	D01 - Substantiated - Intentional
421 - Failure to Comply With Court Order or Federal Rule	D01 - Substantiated - Intentional
542 - Failure to Comply with DOJ Rules and Regulations	D01 - Substantiated - Intentional
754 - Failure to Keep Client Informed	D02 - Substantiated - Reckless Disregard

Matter #: 201400109 Closed: 7/6/2016
 Opened: 11/15/2013

Allegations (5)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201400150 Closed: 6/14/2016
 Opened: 12/3/2013

Allegations (6)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201400233 Closed: 11/18/2015
 Opened: 1/9/2014

Allegations (2)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D01 - Substantiated - Intentional
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard

Matter #: 201400254 Closed: 3/13/2015
 Opened: 1/24/2014

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Allegations (2)

Allegation	OPR Findings
011 - Overzealous Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professional Misconduct.
152 - Failure to Report Misconduct	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400265 Closed: 6/26/2015

Opened: 1/31/2014

Allegations (1)

Allegation	OPR Findings
062 - Conflict - General, Including Appearance of Conflict	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400272 Closed: 3/28/2016

Opened: 2/3/2014

Allegations (5)

Allegation	OPR Findings
406 - Discovery - Rule 16	D02 - Substantiated - Reckless Disregard
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
408 - Discovery - Impeachment/Jencks	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
421 - Failure to Comply With Court Order or Federal Rule	D02 - Substantiated - Reckless Disregard
713 - Failure to Comply with USAM Provision (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: 201400389 Closed: 3/13/2015

Opened: 3/18/2014

Allegations (3)

Allegation	OPR Findings
009 - Abuse of Grand Jury or Indictment Process	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
412 - Improper Coercion/Intimidation of a Witness	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400396 Closed: 12/12/2015

Opened: 3/20/2014

Allegations (3)

Allegation	OPR Findings
406 - Discovery - Rule 16	D29 - Closed Administratively. Other. Specify.
407 - Discovery - Brady/Exculpatory information	D29 - Closed Administratively. Other. Specify.
408 - Discovery - Impeachment/Jencks	D29 - Closed Administratively. Other. Specify.

Matter #: 201400433 Closed: 8/8/2014

Opened: 3/28/2014

Allegations (1)

Allegation	OPR Findings
539 - Unprofessional Statements or Comments	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400486 Closed: 9/30/2016

Opened: 4/21/2014

Allegations (10)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
406 - Discovery - Rule 16	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
406 - Discovery - Rule 16	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
408 - Discovery - Impeachment/Jencks	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D01 - Substantiated - Intentional

Matter #: 201400526 Closed: 12/24/2014

Opened: 5/7/2014

Allegations (1)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201400594 Closed: 10/30/2015

Opened: 6/12/2014

Allegations (3)

Allegation	OPR Findings
409 - Improper Opening Argument	D02 - Substantiated - Reckless Disregard
410 - Improper Closing or Rebuttal Argument	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201400610 Closed: 7/6/2015

Opened: 6/19/2014

Allegations (2)

Allegation	OPR Findings
414 - Improper Use of Peremptory Strikes During Jury Selection	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
529 - Failure to Comply with DOJ Press Guidelines	D02 - Substantiated - Reckless Disregard

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Matter #: 201400689

Closed: 7/6/2015

Opened: 7/11/2014

Allegations (1)

Allegation	OPR Findings
150 - Failure to Honor Plea Agreement	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201400691

Closed: 3/15/2016

Opened: 7/11/2014

Allegations (4)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
713 - Failure to Comply with USAM Provision (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400742

Closed: 1/13/2017

Opened: 8/5/2014

Allegations (10)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
404 - Misrepresentation/Misleading the Court	D01 - Substantiated - Intentional
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard
462 - Subornation of Perjury/Failure to Correct False Testimony	D01 - Substantiated - Intentional
462 - Subornation of Perjury/Failure to Correct False Testimony	D01 - Substantiated - Intentional
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard

Matter #: 201400785

Closed: 2/11/2016

Opened: 8/19/2014

Allegations (7)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
406 - Discovery - Rule 16	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: 201400814 Closed: 6/29/2016
 Opened: 9/4/2014

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201400881 Closed: 4/16/2015
 Opened: 9/29/2014

Allegations (1)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: 201500074 Closed: 7/26/2016
 Opened: 10/31/2014

Allegations (1)

Allegation	OPR Findings
723 - Retaliation for Protected Disclosure	D38 - OPR Investigation Completed - No Retaliation Found

Matter #: 201500078 Closed: 2/9/2016
 Opened: 10/31/2014

Allegations (1)

Allegation	OPR Findings
722 - Whistleblower Allegations	D38 - OPR Investigation Completed - No Retaliation Found

Matter #: 201500177 Closed: 4/7/2016
 Opened: 12/10/2014

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201500384 **Closed:** 9/27/2016
Opened: 3/16/2015

Allegations (2)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D01 - Substantiated - Intentional
425 - Failure to Comply with Sentencing Recommendation Policy	D01 - Substantiated - Intentional

Matter #: 201500405 **Closed:** 3/28/2016
Opened: 2/3/2014

Allegations (2)

Allegation	OPR Findings
062 - Conflict - General, Including Appearance of Conflict	D05 - Substantiated for Poor Judgment. Report referred to management.
252 - Improper Contacts with Represented Party/Interference with A	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: 201500406 **Closed:** 9/2/2015
Opened: 3/19/2015

Allegations (1)

Allegation	OPR Findings
532 - Unauthorized Disclosure to Media - (Including Privacy Act an	D41 - Source of unauthorized disclosure could not be determined

Matter #: 201500482 **Closed:** 8/4/2016
Opened: 4/21/2015

Allegations (1)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D02 - Substantiated - Reckless Disregard

Matter #: 201500518 **Closed:** 9/27/2016
Opened: 5/1/2015

Allegations (2)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D01 - Substantiated - Intentional
425 - Failure to Comply with Sentencing Recommendation Policy	D01 - Substantiated - Intentional

Matter #: 201500564 **Closed:** 1/22/2016
Opened: 5/27/2015

Allegations (1)

Allegation	OPR Findings
062 - Conflict - General, Including Appearance of Conflict	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: 201500578 **Closed:** 4/7/2016
Opened: 6/2/2015

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Allegations (1)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201500729 Closed: 8/5/2016

Opened: 8/3/2015

Allegations (4)

Allegation	OPR Findings
252 - Improper Contacts with Represented Party/Interference with A	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
405 - Misrepresentation to Opposing Counsel	D05 - Substantiated for Poor Judgment. Report referred to management.
536 - Unprofessional or Unethical Behavior	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201500769 Closed: 9/6/2016

Opened: 8/18/2015

Allegations (1)

Allegation	OPR Findings
535 - Unauthorized Disclosure, Non-Media - Other (Including Private)	D01 - Substantiated - Intentional

Matter #: 201500826 Closed: 5/18/2016

Opened: 9/15/2015

Allegations (1)

Allegation	OPR Findings
408 - Discovery - Impeachment/Jencks	D02 - Substantiated - Reckless Disregard

Matter #: 201500847 Closed: 10/31/2016

Opened: 9/23/2015

Allegations (6)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
408 - Discovery - Impeachment/Jencks	D05 - Substantiated for Poor Judgment. Report referred to management.
421 - Failure to Comply With Court Order or Federal Rule	D05 - Substantiated for Poor Judgment. Report referred to management.
462 - Subornation of Perjury/Failure to Correct False Testimony	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
462 - Subornation of Perjury/Failure to Correct False Testimony	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: 201600104 Closed: 11/7/2016

Opened: 11/30/2015

Allegations (1)

Allegation	OPR Findings
543 - Failure to Maintain Active Bar Membership	D01 - Substantiated - Intentional

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Matter #: 201600243 **Closed:** 1/30/2017
Opened: 2/12/2016

Allegations (2)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.
410 - Improper Closing or Rebuttal Argument	D02 - Substantiated - Reckless Disregard

Matter #: C05-0005 **Closed:** 1/27/2010
Opened: 10/25/2004

Allegations (2)

Allegation	OPR Findings
536 - Unprofessional or Unethical Behavior	D02 - Substantiated - Reckless Disregard
536 - Unprofessional or Unethical Behavior	D01 - Substantiated - Intentional

Matter #: C06-0026 **Closed:** 7/7/2010
Opened: 2/9/2006

Allegations (8)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
406 - Discovery - Rule 16	D02 - Substantiated - Reckless Disregard
421 - Failure to Comply With Court Order or Federal Rule	D02 - Substantiated - Reckless Disregard
536 - Unprofessional or Unethical Behavior	D05 - Substantiated for Poor Judgment. Report referred to management.
536 - Unprofessional or Unethical Behavior	D05 - Substantiated for Poor Judgment. Report referred to management.
536 - Unprofessional or Unethical Behavior	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: C07-0023 **Closed:** 1/5/2010
Opened: 1/22/2007

Allegations (4)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
341 - Failure to Diligently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C07-0025 **Closed:** 1/6/2010
Opened: 1/29/2007

Allegations (2)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C07-0028 Closed: 1/27/2010

Opened: 2/21/2007

Allegations (7)

Allegation	OPR Findings
064 - Conflicting Financial Interests	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
064 - Conflicting Financial Interests	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
065 - Conflicting Personal Interests	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
065 - Conflicting Personal Interests	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
065 - Conflicting Personal Interests	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
152 - Failure to Report Misconduct	D01 - Substantiated - Intentional
341 - Failure to Diligently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C07-0036 Closed: 2/11/2011

Opened: 3/20/2007

Allegations (6)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D19 - Closed Administratively. Issues previously addressed. No further action
001 - Abuse of Authority or Misuse of Official Position	D19 - Closed Administratively. Issues previously addressed. No further action
001 - Abuse of Authority or Misuse of Official Position	D19 - Closed Administratively. Issues previously addressed. No further action
542 - Failure to Comply with DOJ Rules and Regulations	D19 - Closed Administratively. Issues previously addressed. No further action
542 - Failure to Comply with DOJ Rules and Regulations	D19 - Closed Administratively. Issues previously addressed. No further action
542 - Failure to Comply with DOJ Rules and Regulations	D19 - Closed Administratively. Issues previously addressed. No further action

Matter #: C07-0060 Closed: 9/27/2010

Opened: 7/31/2007

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0005 Closed: 1/8/2010

Opened: 10/23/2007

Allegations (3)

Allegation	OPR Findings
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
011 - Overzealous Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
Prosecutorial misconduct (general)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C08-0010 Closed: 8/19/2010
 Opened: 10/23/2007

Allegations (9)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
061 - Constitutional or Civil Rights Violations	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
423 - Interference with Defendant's Right to Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C08-0012 Closed: 12/19/2010
 Opened: 11/2/2007

Allegations (3)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D29 - Closed Administratively. Other. Specify.
600 - Failure to Comply with Policies Re Wiretaps & Electronic Surveillance	D29 - Closed Administratively. Other. Specify.
Negligence/derelection/improper performance of duties (failure to perform duties)	D29 - Closed Administratively. Other. Specify.

Matter #: C08-0015 Closed: 3/9/2011
 Opened: 12/3/2007

Allegations (2)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
422 - Lateness - Missed Deadlines, Etc.	D02 - Substantiated - Reckless Disregard

Matter #: C08-0017 Closed: 10/26/2010
 Opened: 12/13/2007

Allegations (3)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
543 - Failure to Maintain Active Bar Membership	D02 - Substantiated - Reckless Disregard
633 - Failure to Comply with Speedy Trial Act	D02 - Substantiated - Reckless Disregard

Matter #: C08-0019 Closed: 5/12/2011
 Opened: 12/17/2007

Allegations (4)

Allegation	OPR Findings
370 - Interference with a Criminal Investigation	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
404 - Misrepresentation/Misleading the Court	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
421 - Failure to Comply With Court Order or Federal Rule	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
Negligence/derelection/improper performance of duties (failure to pe	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: C08-0021 Closed: 3/28/2012
 Opened: 1/8/2008

Allegations (12)

Allegation	OPR Findings
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D02 - Substantiated - Reckless Disregard
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
405 - Misrepresentation to Opposing Counsel	D02 - Substantiated - Reckless Disregard
744 - Failure to Comply with Federal Rules of Civil Procedure	D02 - Substantiated - Reckless Disregard
744 - Failure to Comply with Federal Rules of Civil Procedure	D02 - Substantiated - Reckless Disregard
744 - Failure to Comply with Federal Rules of Civil Procedure	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
744 - Failure to Comply with Federal Rules of Civil Procedure	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
744 - Failure to Comply with Federal Rules of Civil Procedure	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
744 - Failure to Comply with Federal Rules of Civil Procedure	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: C08-0023 Closed: 1/28/2010
 Opened: 1/8/2008

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Allegations (6)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
407 - Discovery - Brady/Exculpatory information	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0025 Closed: 6/30/2011
 Opened: 1/14/2008

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
404 - Misrepresentation/Misleading the Court	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: C08-0032 Closed: 4/8/2010
 Opened: 2/12/2008

Allegations (2)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
341 - Failure to Diligently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C08-0043 Closed: 4/8/2010
 Opened: 2/17/2008

Allegations (4)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
001 - Abuse of Authority or Misuse of Official Position	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
011 - Overzealous Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
011 - Overzealous Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: C08-0044 Closed: 12/27/2011
 Opened: 2/18/2008

Allegations (10)

Allegation	OPR Findings
400 - Lack of Candor to OPR	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D05 - Substantiated for Poor Judgment. Report referred to management.
407 - Discovery - Brady/Exculpatory information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
462 - Subornation of Perjury/Failure to Correct False Testimony	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
462 - Subornation of Perjury/Failure to Correct False Testimony	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
462 - Subornation of Perjury/Failure to Correct False Testimony	D05 - Substantiated for Poor Judgment. Report referred to management.
999 - Other (Specify)	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C08-0046 Closed: 7/7/2010

Opened: 4/10/2008

Allegations (4)

Allegation	OPR Findings
253 - Failure to Competently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
253 - Failure to Competently Represent the Interest of the Client	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
253 - Failure to Competently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C08-0047 Closed: 7/1/2010

Opened: 4/10/2008

Allegations (2)

Allegation	OPR Findings
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard
542 - Failure to Comply with DOJ Rules and Regulations	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C08-0051 Closed: 6/30/2011

Opened: 5/30/2008

Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir

Matter #: C08-0052 Closed: 6/7/2010

Opened: 6/2/2008

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Allegations (4)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
Negligence/dereliction/improper performance of duties (failure to pe	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0057 Closed: 7/7/2010
 Opened: 6/24/2008

Allegations (4)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
010 - Selective Prosecution	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
253 - Failure to Competently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.
542 - Failure to Comply with DOJ Rules and Regulations	D02 - Substantiated - Reckless Disregard

Matter #: C08-0058 Closed: 7/7/2010
 Opened: 6/24/2008

Allegations (4)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
405 - Misrepresentation to Opposing Counsel	D05 - Substantiated for Poor Judgment. Report referred to management.
408 - Discovery - Impeachment/Jencks	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
421 - Failure to Comply With Court Order or Federal Rule	D02 - Substantiated - Reckless Disregard

Matter #: C08-0059 Closed: 2/28/2012
 Opened: 6/24/2008

Allegations (3)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D05 - Substantiated for Poor Judgment. Report referred to management.
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
406 - Discovery - Rule 16	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: C08-0060 Closed: 4/8/2010
 Opened: 6/30/2008

Allegations (2)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D01 - Substantiated - Intentional
633 - Failure to Comply with Speedy Trial Act	D02 - Substantiated - Reckless Disregard

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Matter #: C08-0062 **Closed:** 10/21/2010
Opened: 7/8/2008

Allegations (2)

Allegation	OPR Findings
727 - IJ - Bias, Appearance of Partiality	D02 - Substantiated - Reckless Disregard
730 - IJ - Demeanor	D02 - Substantiated - Reckless Disregard

Matter #: C08-0064 **Closed:** 3/19/2010
Opened: 7/8/2008

Allegations (2)

Allegation	OPR Findings
001 - Abuse of Authority or Misuse of Official Position	D05 - Substantiated for Poor Judgment. Report referred to management.
061 - Constitutional or Civil Rights Violations	D02 - Substantiated - Reckless Disregard

Matter #: C08-0065 **Closed:** 4/7/2010
Opened: 7/14/2008

Allegations (4)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic
407 - Discovery - Brady/Exculpatory information	D02 - Substantiated - Reckless Disregard

Matter #: C08-0067 **Closed:** 6/30/2010
Opened: 7/18/2008

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: C08-0071 **Closed:** 1/6/2010
Opened: 7/16/2008

Allegations (1)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0074 **Closed:** 6/30/2011
Opened: 7/18/2008

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Allegations (2)

Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C08-0075 Closed: 3/22/2010
 Opened: 7/16/2008

Allegations (1)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: C08-0076 Closed: 6/22/2010
 Opened: 7/18/2008

Allegations (1)

Allegation	OPR Findings
341 - Failure to Diligently Represent the Interest of the Client	D02 - Substantiated - Reckless Disregard

Matter #: C08-0077 Closed: 9/27/2010
 Opened: 7/17/2008

Allegations (3)

Allegation	OPR Findings
408 - Discovery - Impeachment/Jencks	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
421 - Failure to Comply With Court Order or Federal Rule	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
543 - Failure to Maintain Active Bar Membership	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0078 Closed: 6/29/2010
 Opened: 7/22/2008

Allegations (2)

Allegation	OPR Findings
724 - IJ - General	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
725 - IJ - Violation of Right to Counsel	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0079 Closed: 1/8/2010
 Opened: 7/24/2008

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Allegations (6)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
002 - Abuse of Prosecutive or Investigative Authority	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
010 - Selective Prosecution	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C08-0081 Closed: 3/30/2012
 Opened: 7/30/2008

Allegations (3)

Allegation	OPR Findings
412 - Improper Coercion/Intimidation of a Witness	D05 - Substantiated for Poor Judgment. Report referred to management.
532 - Unauthorized Disclosure to Media - (Including Privacy Act and FOIA)	D02 - Substantiated - Reckless Disregard
532 - Unauthorized Disclosure to Media - (Including Privacy Act and FOIA)	D02 - Substantiated - Reckless Disregard

Matter #: C08-0084 Closed: 9/30/2010
 Opened: 8/5/2008

Allegations (3)

Allegation	OPR Findings
410 - Improper Closing or Rebuttal Argument	D02 - Substantiated - Reckless Disregard
410 - Improper Closing or Rebuttal Argument	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism
413 - Prejudicial Statement to a Grand or Petit Jury	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism

Matter #: C08-0085 Closed: 1/6/2010
 Opened: 7/16/2008

Allegations (1)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0086 Closed: 1/6/2010
 Opened: 7/16/2008

Allegations (1)

Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: C08-0087 Closed: 1/6/2010
 Opened: 7/16/2008

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Allegations (1)	
Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
Matter #: C08-0088	Closed: 1/6/2010
Opened: 7/16/2008	
Allegations (1)	
Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
Matter #: C08-0089	Closed: 1/6/2010
Opened: 7/16/2008	
Allegations (1)	
Allegation	OPR Findings
061 - Constitutional or Civil Rights Violations	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
Matter #: M07-0131	Closed: 4/23/2010
Opened: 11/5/2008	
Allegations (2)	
Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
422 - Lateness - Missed Deadlines, Etc.	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
Matter #: M07-0145	Closed: 6/27/2011
Opened: 10/15/2008	
Allegations (1)	
Allegation	OPR Findings
405 - Misrepresentation to Opposing Counsel	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
Matter #: M07-0168	Closed: 6/30/2010
Opened: 12/12/2008	
Allegations (4)	
Allegation	OPR Findings
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
407 - Discovery - Brady/Exculpatory information	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
534 - Unauthorized Disclosure, Non-Media - 6(E) Material (Including)	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professionalism.
Matter #: M08-0070	Closed: 3/23/2011
Opened: 3/15/2010	

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Allegations (3)

Allegation	OPR Findings
534 - Unauthorized Disclosure, Non-Media - 6(E) Material (Including	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
542 - Failure to Comply with DOJ Rules and Regulations	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct
753 - Unauthorized Disclosure, Non-Media - Tax Information	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: M08-0093 Closed: 2/23/2011
 Opened: 10/22/2008

Allegations (1)

Allegation	OPR Findings
413 - Prejudicial Statement to a Grand or Petit Jury	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: M08-0103 Closed: 1/28/2011
 Opened: 2/5/2009

Allegations (1)

Allegation	OPR Findings
420 - Failure to Comply with Plea Agreement Policies	D09 - Unsubstantiated - Allegation Not Sustained for Professional Misconduct

Matter #: M08-0109 Closed: 12/8/2011
 Opened: 1/15/2009

Allegations (9)

Allegation	OPR Findings
406 - Discovery - Rule 16	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
406 - Discovery - Rule 16	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
407 - Discovery - Brady/Exculpatory information	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
408 - Discovery - Impeachment/Jencks	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
462 - Subornation of Perjury/Failure to Correct False Testimony	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Matter #: M08-0110 Closed: 10/18/2010
 Opened: 5/21/2009

Allegations (2)

Allegation	OPR Findings
404 - Misrepresentation/Misleading the Court	D02 - Substantiated - Reckless Disregard
999 - Other (Specify)	D02 - Substantiated - Reckless Disregard

Matter #: M08-0113 Closed: 3/21/2011
 Opened: 5/19/2009

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Allegations (4)

Allegation	OPR Findings
002 - Abuse of Prosecutive or Investigative Authority	D15 - Unsubstantiated. Allegation not sustained. Subject acted appropriately ir
370 - Interference with a Criminal Investigation	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
539 - Unprofessional Statements or Comments	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.
631 - Failure to Properly Supervise a Non-Attorney	D05 - Substantiated for Poor Judgment. Report referred to management.

Matter #: M08-0115 Closed: 7/7/2010
 Opened: 3/20/2009

Allegations (1)

Allegation	OPR Findings
529 - Failure to Comply with DOJ Press Guidelines	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: M08-0142 Closed: 1/8/2010
 Opened: 12/12/2008

Allegations (1)

Allegation	OPR Findings
009 - Abuse of Grand Jury or Indictment Process	D13 - Unsubstantiated. Allegation not sustained for Poor Judgment or Professic

Matter #: M08-0163 Closed: 5/4/2010
 Opened: 8/17/2009

Allegations (1)

Allegation	OPR Findings
411 - Improper Examination of a Witness	D14 - Unsubstantiated. Allegation not sustained. Subject made a mistake.

Report complete. Number of main records listed: 302